Fair Political Practices Commission Filing Schedule for

State Candidate Controlled Committees Assembly Districts 39, 45, and 54 Special Primary and Special General Elections Being Voted on the April 3, 2018 and June 5, 2018 Ballots

Deadline	Period	Form	Notes
Jan 31, 2018 Semi-Annual	* – 12/31/17	<u>460</u>	All committees must file this report.
Within 10 Business Days \$5,000 Report	Ongoing File any time other than the 90-day election cycle	497	 Only e-filers file this report: File if a contribution of \$5,000 or more is received from a single source. No paper copy required.
Within 24 Hours Election Cycle Reports	1/3/18 – 4/3/18 (AD 39 April 3 Primary) 1/9/18 – 4/3/18 (AD 45 & 54 April 3 Primary) 4/4/18 – 6/5/18 (Special General)	497	 E-File only – no paper copy is required. File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution totaling \$1,000 or more in the aggregate is made to a candidate or ballot measure being voted upon in the April 3 or June 5 elections, or to a political party committee. The recipient of an in-kind or non-monetary contribution must file a Form 497 within 48 hours from the time the in-kind or non-monetary contribution is received.
Feb 22, 2018 1 st Pre-Election (Special Primary)	1/1/18 – 2/17/18	<u>460</u>	 All committees must file this report.
Mar 22, 2018 2 nd Pre-Election (Special Primary)	2/18/18 – 3/17/18	<u>460</u>	 All committees must file this report. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Apr 26, 2018 1st Pre-Election (Special General)	3/18/18 – 4/21/18	<u>460</u>	 Candidates who were unsuccessful in the April 3 election do not file this report.
May 24, 2018 2 nd Pre-Election (Special General)	4/22/18 – 5/19/18	<u>460</u>	 Candidates who were unsuccessful in the April 3 election do not file this report. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Jul 31, 2018 Semi-Annual	* - 6/30/18	<u>460</u>	 All committees must file unless the committee filed termination Forms 410 and 460 on or before June 30, 2018.

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Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- Payments Related to a State Ballot Measure (E-Filers only): File Form 496 (24-hour Independent Expenditure Report) or Form 497 (24-hour Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a state ballot measure. No paper copy is required.
- Form 511: File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers also file online.
- Form E-530: File within 48 hours of making a payment of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- Form 460: All state committees, including e-filers, must file paper reports.
- Paper Filings: All paper filings may be filed by first class mail unless otherwise noted.
- Forms 496 and 497: All reports filed online only.
- Where to File: State committees file reports with the Secretary of State. Only candidate controlled committees that are not e-filers file paper copies with the elections official at the candidate's county of domicile.
- Filing Deadlines: Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week and are subject to a \$10 per day late fine.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (e.g., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.

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- Contribution Limits: Refer to the contribution limits chart on the FPPC website.
- Public Documents: All statements are public documents. Campaign manuals and instructional materials are available at www.fppc.ca.gov, click on the Learn link.
- Multiple Committees: All committees controlled by a state candidate listed on the April 3 and June 5 ballots must file statements on the pre-election filing deadlines, in addition to the committee formed for this election. For example, a candidate for Senate listed on the June 5, 2018 ballot who maintains an Assembly officeholder committee must file pre-election reports for both committees even if the Assembly committee has not received or made payments.
- Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates or ballot measures also file:
 - Form 462: This verification form must be e-mailed to the FPPC within 10 days.
 - o **Form 496**: This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's or measure's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.

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