Fair Political Practices Commission Filing Schedule for State Officeholders and Candidates Not Listed on the November 3, 2020 Ballot

| Deadline | Period | Form | Notes |
|---|---|--------------------------|---|
| Jul 31, 2020 Semi-Annual | * - 6/30/20 | 460 | All committees must file this statement. |
| Within 10 Business Days \$5,000 Report | Ongoing – file any time other than 90-day election cycle | <u>497</u> | Only e-filers file this report: File if a contribution of \$5,000 or more is received from a single source. E-file only. No paper is copy required. File within 10 business days of receipt of contribution. |
| Within 10 Days Independent Expenditure Verification | Ongoing | 462 | Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required. Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure. |
| Within 24 Hours Election Cycle Reports | 8/5/20 — 11/3/20 | <u>496</u> <u>497</u> | E-file only. No paper copy is required. 496: File if an independent expenditure of \$1,000 or more, in the aggregate, is made in connection with a candidate or ballot measure being voted on the November 3 ballot. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the November 3 ballot, or made to a political party committee. |
| Sep 24, 2020 1 st Pre-Election | 7/1/20 — 9/19/20 | <u>460</u> | File this statement if contributions or independent expenditures of \$500 or more are made to a state or county general purpose committee, or to support or oppose a candidate or measure appearing on the November 3 state general election ballot. |
| Oct 22, 2020 2 nd Pre-Election | 9/20/20 - 10/17/20 | <u>460</u> | File this statement if contributions or independent expenditures of \$500 or more are made to a state or county general purpose committee, or to support or oppose a candidate or measure appearing on the November 3 state general election ballot. |
| Feb 1, 2021 Semi-Annual | 10/18/20 - 12/31/20 | <u>460</u> | All committees must file this statement. |

See next pages for additional reporting information.

Fair Political Practices Commission

Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- Payments Related to a State Ballot Measure (E-Filers only): File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required.
- <u>Form 511:</u> File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-Filers must also file a paper copy.
- Form E-530: File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a
 communication that identifies a state candidate being voted upon in the election, but does not expressly advocate the election or defeat of the candidate. No
 paper copy is required.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- Where to File: State committees file reports with the Secretary of State. Only candidate controlled committees that are <u>not</u> e-filers file paper copies with the elections official at the candidate's county of domicile.
- E-Filer: A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- Form 460: All state committees, including e-filers, must also file paper statements.
- Forms 496 and 497: All reports filed online only.
- **Penalties:** Late statements are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- State Contribution Limits: Refer to the contribution limits chart on the FPPC website.
- Public Documents: All statements are public documents.
- Resources: Campaign manuals and other instructional materials are available here. Or, visit www.fppc.ca.gov > Learn > Campaign Rules.