

Fair Political Practices Commission

2021 Filing Schedule for State Candidate Controlled Committees Committees Primarily Formed to Support/Oppose Candidates for State Office

<i>Deadline</i>	<i>Period</i>	<i>Form</i>	<i>Notes</i>
Within 10 Business Days <i>\$5,000 Report</i>	1/1/21 – 12/31/21	497	Only E-Filers file this report: <ul style="list-style-type: none"> File if a contribution of \$5,000 or more is received from a single source. Not required for committees primarily formed to support or oppose state candidates. No paper copy is required. File within 10 business days of receipt of contribution.
Within 10 Business Days of the Expenditure <i>\$5,000 Ballot Measure Reports</i>	Ongoing	496 497	Only E-Filers file these reports: <ul style="list-style-type: none"> 496: Report each independent expenditure made totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. 497: Report each contribution made totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. List all new contributions of \$100 or more received by the committee that have not been previously reported up through the date of the \$5,000 or more payment.
Feb 1, 2021 <i>Semi-Annual</i>	* – 12/31/20	460	<ul style="list-style-type: none"> All committees must file this statement.
Apr 30, 2021 <i>Odd Year</i>	1/1/21 – 3/31/21	460	<ul style="list-style-type: none"> File if contributions totaling \$10,000 or more are made to other committees controlled by an elected state officer or a committee primarily formed to support or oppose another elected state officer during the period covered.
Aug 2, 2021 <i>Semi-Annual</i>	1/1/21 – 6/30/21	460	<ul style="list-style-type: none"> All committees must file this statement. Period covered is 4/1/21 – 6/30/21 if an odd year report was filed.
Nov 1, 2021 <i>Odd Year</i>	7/1/21 – 9/30/21	460	<ul style="list-style-type: none"> File if contributions totaling \$10,000 or more are made to other committees controlled by an elected state officer or a committee primarily formed to support or oppose another elected state officer during the period covered.
Jan 31, 2022 <i>Semi-Annual</i>	7/1/21 – 12/31/21	460 or 470	<ul style="list-style-type: none"> All committees must file this statement. Period covered is 10/1/21 – 12/31/21 if an odd year report was filed.

See next page for additional reporting information.

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Additional Notes:

- ***Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- **Where to File:** State committees file statements with the Secretary of State. Only candidate controlled committees that are not E-Filers file paper copies with the elections official at the candidate's county of domicile.
- **Form 501:** All state candidates must file Form 501 before soliciting or receiving any contributions or before making expenditures from personal funds. A candidate may, until the deadline for filing nomination papers set forth in Section 8020 of the Elections Code, amend Form 501 to change his/her statement of acceptance or rejection of voluntary expenditure limits provides he or she has not exceeded the limits.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including E-Filers, must also file paper statements.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- **State Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **State Special Elections:** If a state special election is held in 2021, a filing schedule for that election will be posted on the FPPC website. Additional statements may be required.
- **Expenditures for Local Elections:** 24-hour independent expenditure or contribution reports (Forms 496 or 497) must be filed if independent expenditures or contributions totaling \$1,000 or more are made during the 90-day period prior to an election or on the date of the election. Independent expenditures of \$1,000 or more also require the filing of Form 462. Contact local jurisdictions for information on local restrictions (e.g., contribution limits), if any.
- **Penalties:** Late statements are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or, visit www.fppc.ca.gov > Learn > Campaign Rules.

Committees Primarily Formed to Support/Oppose Candidates for State Office:

- **Multi-Purpose Organizations (including non-profits):** A multi-purpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Campaign Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file reports disclosing contributors. See the [Campaign Reporting Rules for Multipurpose Organizations](#) fact sheet.
- **Form T-10:** Committees primarily formed to support or oppose a state candidate that receive contributions totaling \$1 million or more must file this report with the FPPC within three business days.