Form 700 Filers Statement of Economic Interests Schedule A-1: Investments



PRESENTED BY EXTERNAL AFFAIRS AND EDUCATION DIVISION FAIR POLITICAL PRACTICES COMMISSION

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Statement of Economic Interests



Form 700

A Public Document

Also available on the FPPC website:

- Form 700 in Excel format
- Reference Pamphlet for Form 700

California Fair Political Practices Commission Email Advice: advice@fppc.ca.gov Toll-free advice line: 1 (866) ASK-FPPC • 1 (866) 275-3772 Telephone: (916)322-5660 • Website: www.fppc.ca.gov

Use Schedule A-1...

- if you, your spouse, or dependent children have direct or indirect financial interest in a business, and
- if the business is reportable per your agency's conflict of interest code, and
- if the business is located in or doing business in your jurisdiction, and
- if your investment in the business reached a value of \$2,000 or more during the reporting period.

E OF BUSINESS ENTITY	
	NAME OF BUSINESS ENTITY
ERAL DESCRIPTION OF THIS BUSINESS	Apple GENERAL DESCRIPTION OF THIS BUSINESS
	served and the second se
chnology	Technology
MARKET VALUE 2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000
100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
JRE OF INVESTMENT	NATURE OF INVESTMENT
itock Other	Stock Other
(Describe) Partnership () Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	Income Received of \$500 or More (Report on Schedule
PLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
,03 , xx	/ / XX / / XX
ACQUIRED DISPOSED	ACQUIRED DISPOSED
E OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
el	Horton Inc.
ERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
ftware	
MARKET VALUE	FAIR MARKET VALUE
2,000 - \$10,000	\$2,000 - \$10,000 × \$10,001 - \$100,000
100,001 - \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
JRE OF INVESTMENT	NATURE OF INVESTMENT Stock Other
(Describe) Partnership () Income Received of \$0 - \$499	(Describe)
Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Scheduli
PPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ <u>XX</u> / <u>XX</u>	XX XX
ACQUIRED DISPOSED	ACQUIRED DISPOSED
E OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
hnson & Johnson	
ERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
armaceuticals	
MARKET VALUE	FAIR MARKET VALUE
2,000 - \$10,000 \$10,001 - \$100,000 100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
JRE OF INVESTMENT	NATURE OF INVESTMENT
(Describe)	Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule
PLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ XX/ XX ACQUIRED DISPOSED

Schedule A-1 Reportable Interests

- Stocks
- Non-governmental bonds
- Partnerships
- Ownership interests of less than 10%
- Certain types of assets in retirement accounts

Schedule A-1 Non-Reportable Interests

- Diversified mutual funds
- Insurance policies and annuities
- Shares in a credit union
- Money in a checking or savings account
- Government bonds

- CalPERS/CalSTRS
 accounts
- Retirement accounts invested in non-reportable interests
- Stock dividends and income from the sale of stock (unless the source can be identified)

Completing A-1

- Enter the name of the business entity.
- Give a brief, general description of the business.

GENERAL DESC		THIS BUSINE	ESS	
\$2,000 - \$10 \$100,001 - \$,000		1 - \$100,000 1,000,000	
NATURE OF INV	ESTMENT			
Partnership		eceived of \$0	Describe) - \$499 0 or More (Repor	t on Schedule
IF APPLICABLE,	LIST DATE:			
	<u>(X</u>		X	

Completing A-1

- Check the box indicating the highest fair market value of your investment during the reporting period.
- Identify the nature of your investment.

FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$\$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT (Describe) Stock Other (Describe) Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedu)	REAL DESCRIPTION OF	F THIS BUSINESS
Stock Other	R MARKET VALUE \$2,000 - \$10,000	
	Stock Other Partnership O Income R	Received of \$0 - \$499
IF APPLICABLE, LIST DATE: 10 / 03 / XX // / XX ACQUIRED DISPOSED	0,03, <u>xx</u>	<u>XX</u>

Completing A-1

 Enter the dates only if you acquired the investment anew, or sold all interest in the investment during the reporting period.

GENERAL DES		THIS BUSINE	SS	
Technol	ogy			
FAIR MARKET \$2,000 - \$1 \$100,001 -	0,000		- \$100,000 ,000,000	
NATURE OF IN	VESTMENT	(D	escribe)	
Partnership		eceived of \$0 -	/	on Schedule C
	, LIST DATE:			
10 03	<u>xx</u>	DISPOSED	<u><</u>	

Schedule A-1 Excel Format

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements. CALIFORNIA FORM FAIR POLITICAL PRACTICES COM NAME: Pat Clark					
NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/20XX) ACQUIRED DISPOSED	
COMCAST	UTILITIES	\$2,000 - \$10,000	Stock		
AT&T	TELECOMMUNICATIONS	\$2,000 - \$10,000	Stock		
APPLE	COMPUTER SYSTEMS	\$2,000 - \$10,000	Stock		
JOHNSON & JOHNSON	PHARMACEUTICAL	\$2,000 - \$10,000	Stock		
WAL-MART	RETAIL	\$2,000 - \$10,000	Stock		
COCA-COLA	BEVERAGE	\$2,000 - \$10,000	Stock		
MACY'S	RETAIL	\$2,000 - \$10,000	Stock		
UNDER ARMOUR	CLOTHING	\$2,000 - \$10,000	Stock		
FITBIT INC	HEALTH/TECHNOLOGY	\$2,000 - \$10,000	Stock		
BANK OF AMERICA	FINANCE	\$2,000 - \$10,000	Stock		
ACTIVISION BLIZZARD	VIDEO GAME	\$2,000 - \$10,000	Stock		
INTEL CORP	SOFTWARE	\$2,000 - \$10,000	Stock		
FORD MOTOR CO	VEHICLE MANUFACTURING	\$2,000 - \$10,000	Stock		
NEWS CORP	NEWS	\$2,000 - \$10,000	Stock		
ELECTRONIC ARTS INC	VIDEO GAME	\$2,000 - \$10,000	Stock		
NORDSTROM INC	RETAIL	\$2,000 - \$10,000	Stock		
MICRON TECHNOLOGY INC	ROBOTICS/TECHNOLOGY	\$2,000 - \$10,000	Stock		

Amending Schedule A-1

- File amendments as soon as error or omission is discovered.
- Complete only the schedule with error(s).
- Amended schedule is retained with entire, original statement.

SCHEDULE A-1 Investments				
Stocks, Bonds, and (Ownership Interest is Do not attach brokerage o	Less Than 10%)			
NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY			
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS			
FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000			
NATURE OF INVESTMENT Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule c)	NATURE OF INVESTMENT Stock Other (Describe) Partnership O Income Received of \$50 - \$499 Income Received of \$50 or More (Report on Schedule C)			
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:			
//_16				
NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY			
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS			
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 O income Received of \$500 or More (Report on Schedule C)	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other Partnership Income Received of \$0 - \$499 Oncome Received of \$500 or More (Report on Schedule C)			
IF APPLICABLE, LIST DATE: //_16/_16/_16	IF APPLICABLE, LIST DATE: 			
► NAME OF BUSINESS ENTITY	Filer's Verification			
GENERAL DESCRIPTION OF THIS BUSINESS	Print Name			
	Office, Agency			
FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	or Court Statement Type 2016/2017 Annual Assuming Leaving Leaving Annual Candidate			
NATURE OF INVESTMENT Stock Other (Describe)	I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.			
Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.			
IF APPLICABLE, LIST DATE:	Date Signed			
//16	(month, day, year)			
AGQUINED DIGI USED	Filer's Signature			
Comments:				

Questions

- Call 916-322-5660 or 866-275-3772 (866-ASK-FPPC)
 Monday Thursday, 9–11:30 a.m.
- E-mail advice@fppc.ca.gov

E-Filing Problems

- Your agency's system: Contact your filing officer
- FPPC's system: E-mail form700@fppc.ca.gov