

Fair Political Practices Commission

Filing Schedule for Committees Primarily Formed to Support/Oppose Candidates for State Office Senate District 32 Special Primary and Special General Elections Being Voted on the June 5, 2018 and August 7, 2018 Ballot

Deadline	Period	Form	Notes
Within 3 Business Days <i>Top Ten Contributor List</i>	Ongoing <i>Except during the 16 days before the election – see notes</i>	T-10	<ul style="list-style-type: none"> Committees primarily formed to support or oppose a state candidate that raise \$1,000,000 or more and have received contributions of \$10,000 or more from a single source must file a list of their top ten contributors on Form T-10 with the FPPC. If the requirement is triggered within 16 days before the election, the Form T-10 must be filed within two business days.
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required. Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure.
Within 24 Hours <i>Election Cycle Reports</i>	3/8/18 – 6/5/18 <i>(Jun 5 Special Primary)</i> 6/6/18 – 8/7/18 <i>(Aug 7 Special General)</i>	496 497	<ul style="list-style-type: none"> E-file only – no paper copy is required. 496: File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a candidate appearing on the June 5 or August 7 ballot. 497: File if a contribution totaling \$1,000 or more in the aggregate is received from a single source. 497: File if a contribution totaling \$1,000 or more in the aggregate is made to a candidate being voted upon in the June 5 or August 7 election, or to a political party committee. The recipient of an in-kind or non-monetary contribution must file a Form 497 within 48 hours from the time the in-kind or non-monetary contribution is <i>received</i>.
Apr 26, 2018 <i>1st Pre-Election (Special Primary)</i>	1/1/18 – 4/21/18	460	<ul style="list-style-type: none"> All committees must file this report.
May 24, 2018 <i>2nd Pre- Election (Special Primary)</i>	4/22/18 – 5/19/18	460	<ul style="list-style-type: none"> All committees must file this report. The second pre-election and semi-annual statements have been combined. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Jul 31, 2018 <i>Semi-Annual for those defeated in Special Primary only</i>	5/20/18 – 6/30/18*	460	<ul style="list-style-type: none"> All committees must file this report unless the committee filed termination Forms 410 and 460 on or before June 30, 2018.
Jun 28, 2018 <i>1st Pre-Election (Special General)</i>	5/20/18 – 6/23/18	460	<ul style="list-style-type: none"> Committees formed to support or oppose candidates who were unsuccessful in the June 5 election are not required to file this report.

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Jul 26, 2018 Semi-Annual/ 2 nd Pre- Election (Special General)	6/24/18 – 7/21/18**	460	<ul style="list-style-type: none"> ▪ Committees formed to support or oppose candidates who were unsuccessful in the June 5 election are not required to file this report. ▪ The second pre-election and semi-annual statements have been combined. (Special General only) ▪ Paper copies must be filed by personal delivery or guaranteed overnight service only.
Jan 31, 2019 Semi-Annual	*7/1/18 – 12/31/18 or **7/22/18 – 12/31/18	460	<ul style="list-style-type: none"> ▪ All committees must file this report unless the committee filed termination Forms 410 and 460 on or before December 31, 2018.

Additional Reports

Depending on committee activity, one or more of the following reports may also be required

- **Payments Related to a State Ballot Measure (E-Filers only):** File Form 496 (24-hour Independent Expenditure Report) or Form 497 (24-hour Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a state ballot measure. No paper copy is required. Payments of this type may change the nature of a primarily formed candidate committee.
 - **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers also file online.
- Form E-530:** File within 48 hours of making a payment of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- **This filing schedule is prepared for the special election only. Committees making payments in connection with other elections may trigger other reporting deadlines.**
- *** Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **E-File:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper reports.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- **Where to File:** State committees file reports with the Secretary of State.

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- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour independent expenditure reports (Form 496) or to 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week and are subject to a \$10 per day late fine.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (e.g., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Contribution Limits:** Refer to the contribution limits chart on the FPPC website.
- **Public Documents:** All statements are public documents. Campaign manuals and instructional materials are available at www.fppc.ca.gov, click on the [Learn](#) link.
- **Multi-Purpose Organizations (including non-profits):** An organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and report payments on Campaign Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file reports disclosing contributors. Some organizations will not need to file the year-end semi-annual report. See the [Campaign Reporting Rules for Multipurpose Organizations](#) fact sheet for complete instructions.