

# Fair Political Practices Commission

## Filing Schedule for Committees Primarily Formed to Support/Oppose State Measures Listed on the November 3, 2020 Ballot

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Apr 30, 2020</b> <i>Quarterly</i>	1/1/20 – 3/31/20	<a href="#">460</a>	<ul style="list-style-type: none"> <li>Committees formed for a measure being voted upon November 3, 2020 must file quarterly reports until the pre-election period begins for that election, regardless of activity.</li> </ul>
<b>Within 10 Business Days</b> <i>\$5,000 Report</i>	<b>Ongoing – file anytime other than the 90-day election cycle</b>	<a href="#">497</a>	<p><b>Only e-filers file this report:</b></p> <ul style="list-style-type: none"> <li>File if a contribution of \$5,000 or more is received from a single source.</li> <li>No paper copy is required.</li> <li>File within 10 business days of receipt of contribution</li> </ul>
<b>Within 3 Business Days</b> <i>Top Ten Contributor List</i>	<b>Ongoing</b>	<a href="#">T-10</a>	<ul style="list-style-type: none"> <li>Committees (primarily formed to support or oppose a state ballot measure) that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. <b>If the requirement is triggered within 16 days before the election, the Form T-10 must be filed within 2 business days.</b></li> <li>The Form T-10 must be filed with the FPPC by e-mail only.</li> </ul>
<b>Jul 31, 2020</b> <i>Semi-Annual</i>	* - 6/30/20		<ul style="list-style-type: none"> <li>All committees must file this report.</li> <li>The period covered is 4/1/20 – 6/30/20 if a quarterly report was filed.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	8/5/20 – 11/3/20	<a href="#">497</a>	<ul style="list-style-type: none"> <li>File if a contribution of \$1,000 or more in the aggregate is received from a single source.</li> <li>File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or another ballot measure committee formed for a measure being voted on the November 3 ballot, or made to a political party committee.</li> <li>The recipient of a non-monetary contribution of \$1000 or more in the aggregate must file a 497 within 48 hours from the time the non-monetary contribution is received.</li> <li>E-File only. No paper copy is required.</li> </ul>
<b>Sep 24, 2020</b> <i>1<sup>st</sup> Pre-Election</i>	7/1/20 – 9/19/20	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> </ul>
<b>Oct 22, 2020</b> <i>2<sup>nd</sup> Pre-Election</i>	9/20/20 – 10/17/20	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> <li>Paper copies must be filed by personal overnight delivery or guaranteed overnight service only.</li> </ul>
<b>Feb 1, 2021</b> <i>Semi-Annual</i>	10/18/20 – 12/31/20	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> </ul>
<b>Within 10 Days</b> <i>Paid Spokesperson Report</i>	<b>Ongoing</b>	<a href="#">511</a>	<ul style="list-style-type: none"> <li>File if either of the following expenditures are made related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers must also file a paper copy.</li> </ul>

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## Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- **Independent Expenditure Reports.** Committees making independent expenditures totaling \$1,000 or more in the aggregate to support or oppose other ballot measures or candidates must file:
  - [462](#): E-mail to FPPC within 10 days of reaching \$1,000 threshold.
  - [496](#): This form must be filed within 24 hours if made in the 90-day, 24-hour reporting period of the measure's or candidate's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected measure or candidate.
- **Payments Related to a *Different State Ballot Measure (E-Filers only)*:** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a *different* state ballot measure. No paper copy is required.
- **Form E-530:** File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

### Additional Notes:

- **\* Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- **E-filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper statements. Paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see FPPC's fact sheet, [Multipurpose Organizations Reporting Political Spending](#).
- **State Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or, visit [www.fppc.ca.gov](http://www.fppc.ca.gov) > Learn > Campaign Rules.