

Appendix IV
Summary of Enforcement Decisions

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1050 17TH STREET LLC AND AFFILIATED ENTITIES, 2003/0470 (2003)

84200 - 1 count
\$733

1050 17th Street LLC and affiliated entities, San Francisco, failed to file a major donor campaign statement in 2000.

11 HAIGHT STREET, 2003/0458 (2003)

84200 - 1 count
\$400

11 Haight Street of Burlingame failed to file a major donor campaign statement in 2000.

2228 UNION STREET INVESTORS, 2003/0325 (2003)

84200 - 1 count
\$400

2228 Union Street Investors of San Francisco failed to file a major donor campaign statement in 2002.

24 HOUR FITNESS, INC, 2001/0232 (2001)

84200 - 1 count
\$400

24 Hour Fitness, Inc. of Pleasanton failed to file a major donor campaign statement in 2000.

25TH WARD REGULAR DEMOCRATIC ORGANIZATION, 2005/0120 (2005)

84203 - 1 count
\$1,500

The 25th Ward Regular Democratic Organization of Chicago, Illinois, failed to file a late contribution report in 2003.

25TH WARD REGULAR DEMOCRATIC ORGANIZATION, 2004/0811 (2005)

84200 - 1 count
\$400

The 25th Ward Regular Democratic Organization of Chicago, Illinois, failed to file a major donor campaign statement in 2003.

303 ALMADEN PARTNERS AND WILLIAM WILSON & ASSOCIATES, 1996/0245 (1996)

84303 & 84301 - 9 counts
\$15,300

303 Almaden Partners, a limited partnership in the real estate development business in San Jose, and its general partner, William Wilson, laundered contributions made to candidates in the area.

38TH ASSEMBLY DISTRICT REPUBLICAN CENTRAL COMMITTEE AND MICHAEL HILDEBRAND, JR., TREASURER, 2010/0562 (2012)

84200.5(e) & 84200.7(b)(2) & 84211(c) & 84211(f) - 1 count
84200 & 84211(a) & 84211(d) - 1 count

\$5,000

38th Assembly District Republican Central Committee, and its Treasurer, Michael Hildebrand, Jr., failed to itemize 44 contributions of \$100 or more, totaling \$10,469, received during the July 1, 2010, through September 30, 2010, reporting period; and failed to report \$5,348 in contributions received during the October 17, 2010, through December 31, 2010, reporting period.

3D/INTERNATIONAL, 2005/0125 (2005)

84203 - 2 counts
\$1,500

3D/International of Houston, Texas, failed to file late contribution reports in 2004.

7-ELEVEN, INC.,, 2007/0579 (2007)

84203 - 1 count
\$1,500

7-Eleven, Inc. of Dallas, Texas, failed to timely disclose a late contribution totaling \$10,000.

95/5, PUT THE MONEY WHERE THE KIDS ARE/YES ON PROP 223 AND KINDE DURKEE, 2000/0059 (2002)

84303 - 2 counts
\$4,000

95/5, Put the Money Where the Kids Are/Yes on Prop 223, a primarily formed committee in Burbank in support of Proposition 223 in the 1998 primary election, and its treasurer, Kinde Durkee, failed to report subvendor information on campaign statements in 1998.

A C NIELSEN, 1999/0380 (2000)

84200 - 1 count
\$400

A C Nielsen, located in Allentown, PA, failed to timely file a major donor campaign statement for a contribution made to Lungren Committee for Common Sense Conservatism in 1998.

A COMMITTEE IN SUPPORT OF PROP 34/SPONSORED BY ACLU OF NORTHERN CALIFORNIA AND ABDI SOLTANI, 2013/0537 (2013)

84200, 84200.5, 84203 - 4 counts
\$800

A Committee in Support of Proposition 34, Sponsored by ACLU of Northern California, and Abdi Soltani, as Treasurer, failed to timely file campaign reports for the semiannual period January 1, 2012, through June 30, 2012, due July 31, 2012, as an electronic filing; the preelection periods July 1, 2012, through September 30, 2012, due October 5, 2012, and October 1, 2012, through October 20, 2012, due October 25, 2012; and a Late Contribution Report for contributions made on April 27, 2012, due April 28, 2012. All campaign reports were required to be filed with the Secretary of State and the failure to file resulted in violations

AANESTAD, SAM, 2009/0837 (2010)

87207 - 1 count
\$200

Senator Sam Aanestad, failed to disclose on his 2008 Statement of Economic Interests a gift of a concert ticket from the Pechanga Band of Luise?o Indians valued at \$56.25.

AARON, ATHENA, 2003/0728 (2004)

87300 - 1 count
\$100

Athena Aaron failed to timely file a 2002 annual statement of economic interests.

AB&I, 2002/0781 (2002)

84203 - 1 count
\$1,500

AB&I of Oakland failed to file a late contribution report in 2002.

AB&I FOUNDRY, 2001/0136 (2001)

84200 - 1 count
\$400

AB&I Foundry of Oakland failed to file a major donor campaign statement in 2000.

ABBOTT, WILLIAM, 2011/0467 (2011)

84200 - 1 count
\$537

William Abbott, who qualified as a major donor committee in the first half of 2010, failed to timely disclose 11 contributions, totaling \$13,699.50, to various candidates, both state and local, on a semi-annual statement covering the first half of 2010.

ABEL MALDONADO FOR SENATE, CHRISTOPHER J. RAYMER, AND CHRIS STEINBRUNER, 2010/0070 (2011)

84203(a)(b) - 2 counts
85309 - 12 counts
\$28,000

Abel Maldonado was elected to the California Senate on November 2, 2004, representing the 15th District. Respondent Maldonado ran for re-election to the state Senate, won in the June 3, 2008, primary election and was re-elected to the state Senate in the November 4, 2008, general election. Abel Maldonado for Senate, was a candidate controlled committee for Respondent Maldonado's 2008 state Senate campaign. During the period covering May 20, 2005, through February 28, 2008, Christopher J. Raymer was the treasurer for Respondent Committee. During the period covering February 29, 2008, through July 30, 2009, Respondent Chris Steinbruner was the treasurer for Respondent Committee. Respondents violated the requirements of the Political Reform Act by: (1) failing to file late contribution reports; (2) failing to file

online campaign reports disclosing contributions received of \$1,000 or more during the 90 day period before an election, and (3) failing to file online campaign reports disclosing contributions received of \$5,000 or more outside the 90 day period before an election.

ABERNATHY, MARK AND WESTERN PACIFIC RESEARCH, 2007/0036, 2007/0117, & 2008/0202 (2010)

84200(b) - 2 counts
84203.5 - 8 counts
\$22,500

Mark Abernathy is the president and founder of Respondent Western Pacific Research, which provides political campaign consulting and management services, among other services. Respondents failed to file required supplemental independent expenditure reports, and failed to file required semi-annual independent expenditure committee campaign statements.

ABERNETHY, ROBERT, 2002/0030 (2002)

84203 - 3 counts
\$1,800

Robert Abernethy of Los Angeles failed to file late contribution reports in 2000.

ABHYANKER, RAJ AND COMMITTEE TO ELECT RAJ ABHYANKER FOR CUPERTINO CITY COUNCIL, 2007/0737 (2010)

84200, 84200.8 & 84211 - 1 count
84305(a) - 1 count
84200.8 & 84211 - 1 count
84300(b) - 1 count
\$15,000

Raj Abhyanker, a candidate for Cupertino City Council in the November 6, 2007, election caused to be sent just weeks prior to the election, mailers opposing another candidate, Mark Santoro, which failed to identify Respondents as the senders of the mailers. Respondents also caused to be made a cash expenditure for the mailer and failed to properly disclose other payments in connection with Respondent Abhyanker's candidacy in the November 8, 2005, and November 6, 2007, elections.

ABRAHAM, WALDEMAR P., 1991/0201 (1993)

87200 & 87206 - 4 counts
87200 & 87207 - 3 counts
\$14,000

Walt Abraham, former Riverside county Supervisor, committed personal financial disclosure violations. On his 1989 through 1992 statements of economic interests, Abraham failed to fully disclose a source of income and failed to disclose names of sources of income to a business entity in which he held a 10% or greater interest. Abraham also failed to fully disclose various real property interests held by a business entity in which he held interest.

ACE PARKING MANAGEMENT, INC, 2005/0553 (2005)

84203 - 1 count

\$1,500

Ace Parking Management, Inc. of San Diego failed to file a late contribution report in 2004.

ACE PARKING MANAGEMENT; TERMINAL AUTO PARKS, 1998/0485 & 1998/0488 (1999)

84200 - 1 count
\$2,000

Ace Parking Management of San Diego and Terminal Auto Parks, an affiliated entity, failed to file a semi-annual campaign statement for contributions made to Lungren for Governor, a controlled committee, in 1998.

ACEVEDO, MARY, 1999/0810 (2000)

87300 & 87302 - 1 count
\$1,000

Mary Acevedo, a Commissioner of the Trade and Commerce Agency, failed to timely file her 1998 annual statement of economic interests.

ACKERMAN, RICHARD S, 2007/0489 (2007)

84203 - 1 count
\$1,500

Richard S. Ackerman of Beverly Hills failed to timely disclose a late contribution totaling \$10,000.

ACS STATE & LOCAL SOLUTIONS, 2002/1016 (2003)

84203 - 1 count
\$1,500

ACS State & Local Solutions of Washington, D.C., failed to file a late contribution report in 2002.

ACS STATE & LOCAL SOLUTIONS, 2003/0838 (2004)

84203 - 1 count
\$1,500

ACS State & Local Solutions failed to file late contribution reports in 2002.

ADAMS, ANTHONY, 2009/0839 (2010)

87207 - 1 count
\$200

Assemblymember Anthony Adams failed to disclose on his 2008 Statement of Economic Interests a gift of airport parking and shuttle services provided by the City of Los Angeles and valued at \$100.

ADAMS, BRIAN, 1987/0014 (1987)

87300 - 3 counts
\$2,000

Brian Adams, a member of the Department of Consumer Affairs' Board of Accountancy, violated the department's conflict-of-interest code and Chapter 7 of the Political Reform Act by failing to file annual statements of economic interests for the years 1983, 1984, and 1985.

ADELPHIA COMMUNICATIONS CORP, 2006/0522 (2006)

84200 - 4 counts
\$2,400

Adelphia Communications Corp. of Greenwood Village, Colorado, failed to timely file semi-annual campaign statements disclosing contributions totaling \$22,561 in 2004 and \$23,071 in 2005.

ADVANCED DIAGNOSTIC AND SURGICAL CENTER, INC, 2004/0807 (2005)

84200 - 1 count
\$400

Advanced Diagnostic and Surgical Center, Inc. of Alhambra failed to file a major donor campaign statement in 2003.

ADVANTAGE CONSTRUCTION, 2007/0568 (2007)

84203 - 1 count
\$3,000

Advantage Construction of Irvine failed to timely disclose a late contribution totaling \$20,000.

ADVANTAGE CONSTRUCTION, 2007/0569 (2007)

84200 - 1 count
\$400

Advantage Construction of Irvine failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2006.

ADVERTISING DISPLAY SYSTEMS, 2003/0327 (2003)

84200 - 1 count
\$400

Advertising Display Systems of Burlingame failed to file a major donor campaign statement in 2002.

AECOM, 2002/0033 (2007)

84203 - 1 count
\$2,000

AECOM Technology Corporation of Los Angeles failed to timely file a late contribution report in 2000.

AERA ENERGY LLC, 2007/0026 (2007)

84605 - 3 counts
\$15,000

Aera Energy LLC is a major donor committee that contributed almost \$32 million to the "Californians Against Higher Taxes - No on Proposition 87" committee prior to the November 7, 2006, statewide general election. Respondent failed to electronically file a late contribution report within 24 hours of making a late contribution.

AF EVANS COMPANY, INC.,, 2003/0460 (2003)

84200 & 84605 - 1 count

\$918

AF Evans Company, Inc. of Oakland failed to file a major donor campaign statement in paper and electronic format in 2000.

AFFILIATED COMMUNITY HEALTHCARE PHYSICIANS, 2001/0551 (2002)

86117 - 6 counts
\$10,500

Affiliated Community Healthcare Physicians, a lobbyist employer located in Los Angeles, failed to timely file six lobbyist employer reports in 1999 and 2000.

AFFORDABLE HOUSING ALLIANCE POLITICAL ACTION COMMITTEE, 1994/0065 (1997)

84220 - 1 count
84305.5 - 1 count
\$2,000

Affordable Housing Alliance Political Action Committee, a low income tenant's rights organization located in San Francisco, failed to report a late payment for a slate mailer received in 1993 and failed to include a "Notice to Voters" disclaimer and to designate by an asterisk (*) candidates and ballot measures which paid to appear in a slate mailer.

AFL-CIO COMMITTEE ON POLITICAL EDUCATION - TREASURY ACCOUNT AND RICHARD L. TRUMKA, TREASURER, 2001/0394 (2002 CIVIL LAWSUIT))

84200.5
84200.7
\$22,000

AFL-CIO Committee on Political Education-Treasury Account, a general purpose recipient committee, and its treasurer, Richard L. Trumka, failed to file a campaign statement disclosing a contribution received, and contributions made to a committee opposing Proposition 226.

AFL-CIO NO ON 226 EDUCATION FUND AND FRANCES ARLENE HOLT-BAKER, 2000/0377 (2003)

84211 - 3 counts
84300 - 3 counts
\$8,000

AFL-CIO No on 226 Education Fund, a primarily formed committee opposing Proposition 226, and its treasurer, Frances Arlene Holt-Baker, failed to properly report expenditures on campaign statements in 1998 and made cash expenditures.

AFSCME LOCAL 2006 PAC, 2012/0973 (2013)

84200(a) - 1 count
\$400

AFSCME Local 2006 PAC, a general purpose committee, failed to timely file the Form 460 campaign statement for the period covering January 1, 2012 through June 30, 2012, due July 31, 2012.

AGNOS, ART, 1988/0398 (1989)

84305 - 1 count
87206 & 87207 - 2 counts
\$7,000

San Francisco Mayor Art Agnos committed financial disclosure violations while a state assembly member and did not provide proper sender identification on a local campaign mass mailing in 1988. The financial disclosure violations involved the failure to adequately disclose \$441,521 in income from the sale of investments and interests in real property in 1986.

Over half of the unreported income came from the sale of his interest in "Meiss Road Partnership," which owned real estate, to Sacramento developer Angelo Tsakopoulos for \$275,000 in December 1986. Mr. Agnos failed to disclose the \$275,000 income and the name and address of the source of income on his statement of economic interests. He also failed to disclose \$1,521 in income realized from the partnership.

Mr. Agnos also failed to report income of \$155,000 from the sale of a Sacramento duplex.

Additionally, Mr. Agnos failed to disclose the acquisition of a 10% interest in a partnership from AKT Development Corporation for \$10,000 in 1986 and the sale of the investment during the same year.

The mass mailing violation involved the failure of Mr. Agnos' committee, San Franciscans for Yes on Proposition R, to provide proper sender identification on 325 identical letters sent in September 1988 urging passage of the measure.

AGOLD CORPORATION, MA LI KUO, SU YUEH LIN, ZHOU JIAN YU, 1992/0483 (1997)

84200, 84300, 84301, 84302
\$23,000

Agold Corporation of Mountain View failed to disclose the true source of a contribution made to Santa Clara Citizens Seeking a Vote (SCC). Agold Corporation also failed to disclose that it was an intermediary for the contribution. Zhou Jian Yu, Santa Clara restaurant owner, failed to provide a check containing his name when he used a cashier's check to make a contribution through Agold Corporation. Zhou Jian Yu also failed to file major donor statements for three contributions made to SCC in 1992. Ma Li Kuo, corporate secretary and agent for service of process for Agold Corporation, failed to disclose that she was the true source of one contribution made to SCC in 1992.

AGUILAR, ROBERT, 2013/0363 (2013)

87207 - 1 count
\$200

Robert Aguilar, as Superintendent for the Delano Union School District, failed to timely disclose gift(s) of: meals totaling \$139.20 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

AGUILERA, RENE, 2013/0650 (2013)

87207 - 1 count
\$200

Rene Aguilera, as a Member of the Roseville City School District Board of Directors, failed to timely disclose gift(s) of: four meals totaling \$338.93 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

AIDE CASTRO, AIDE, ET AL., 2011/0253 (2013)

84211(a), (b), (f), & (k) - 1 count
\$3,500

Aide Castro was a replacement candidate in the September 2007 Lynwood City Council recall election. Friends to Elect Aide Castro was her candidate controlled recipient committee, and Matthew Lemcke was the treasurer at all times relevant. Voters Against Corruption ("VAC") was a recipient committee formed to support both the recall of the incumbent Lynwood City Council members and the replacement candidates in the recall election, including Aide Castro. Matthew Lemcke was also the treasurer for VAC. The campaign consultant for Friends to Elect Aide Castro, Mr. Chris Robles and his company, The Pericles Group, were also hired to be VAC's campaign consultants. Through this interaction between the two committees by the same agents, VAC became a controlled committee of Aide Castro and, as such, all contributions and expenditures were required to go through Friends to Elect Aide Castro. Respondents failed to disclose information regarding a \$3,000 contribution received and over \$10,000 in expenditures made of \$100 or more on a semi-annual Form 460 Campaign Statement for the reporting period ending December 31, 2007.

AIELLO, FRANK, 2002/0427 (2004)

87207 - 4 counts
89503 - 2 counts
\$20,000

Frank Aiello failed to disclose the source of gifts and accepted gifts in excess of the annual gift limit.

AITKEN, WYLIE AND BETTE, 2001/0237 (2001)

84200 - 1 count
\$600

Wylie and Bette Aitken of Santa Ana failed to file a major donor campaign statement in 2000.

A-K ASSOCIATES, 1987/0226 (1989)

86203 - 1 count
\$1,000

A-K Associates, a Sacramento lobbying firm, illegally arranged for the making of a gift to a person in excess of \$10 in a calendar month. The violation resulted when A-K Associates allowed the use of its offices for a reception hosted by one of their clients, the California Ambulance Association. Among other guests, 36 state senators, assembly members and legislative staff attended the function. An employee of A-K Associates acted as the contact for responses from invitees who planned to attend.

The cost was valued at \$18.72 per attendee with the Ambulance Association paying the costs.

AKIAN, Z. PAUL, 2007/0503 (2007)

84203 - 1 count
\$1,500

Z. Paul Akian of Valencia failed to timely disclose a late contribution totaling \$10,000.

ALAOUI, BEN, 2011/0570 (2012)

87300 - 1 count
\$400

Ben Alaoui, Associate Transportation Engineer for the City of Oakland Public Works Agency, failed to timely file a Statement of Economic Interests for the reporting period January 1, 2009, through December 31, 2009, by the April 1, 2010, due date.

ALATORRE, RICHARD, 1986/0530 (1988)

87100 - 1 count
\$2,000

Los Angeles Councilmember Richard Alatorre committed a conflict-of-interest violation arising from his attempt to influence a council decision on a \$722,500 Eastside Community Transit contract. Mr. Alatorre sought in late July and early August 1986 to steer the transit contract to the East Los Angeles Community Union (TELACU) who had paid a \$1,000 speaking honorarium to the council member in June.

ALATORRE, RICHARD, 1997/0268 (1997)

87100 - 1 count
\$2,000

Richard Alatorre, member of the Los Angeles City Council, violated the conflict-of-interest provisions when he used his official position to influence a governmental decision resulting in a professional fundraising company being granted a retroactive extension of its expired license, a company in which he had an economic interest through his spouse's source of income.

ALBERTSON'S, INC, 2005/0554 (2005)

84203 - 1 count
\$3,500

Albertson's, Inc. of Boise, Idaho, failed to file a late contribution report in 2004.

ALBRECHT, CHRISTOPHER, 2006/0867 (2006)

84200 - 1 count
\$400

Christopher Albrecht of Encino failed to timely file a semi-annual campaign statement disclosing contributions totaling \$18,600 in 2005.

ALBY, BARBARA, ALBY FOR ASSEMBLY 1993, AND LORA SMITH, TREASURER, 1995/0023 (1996)

84211 - 2 counts

\$3,000

Barbara Alby, candidate for assembly in 1993, and her controlled committee and its treasurer, Lora Smith, failed to timely report non-monetary contributions from the California Republican Party on campaign statements in 1993

ALDEN, KATHERINE, 2004/0761 (2005)

84203 - 1 count
\$1,950

Katherine Alden of Menlo Park failed to file a late contribution report in 2003.

ALDER, C. MICHAEL, 2006/0609 (2006)

84200 - 4 counts
\$1,871

C. Michael Alder of Encino failed to timely file semi-annual campaign statements disclosing contributions totaling \$22,450 in 2004 and \$11,374 in 2005.

ALEXANDROU, ALEX, 2006/0692 (2006)

84200 - 1 count
\$800

Alex Alexandrou of Port Washington, New York, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$21,000 in 2004.

ALIOTO, ANGELA AND ANGELA ALIOTO FOR SUPERVISOR, 1991/0009 (1991)

84203 - 2 counts
\$2,500

Angela Alioto, San Francisco City and county Supervisor, and the Angela Alioto for Supervisor Committee failed to file late contribution reports for two loans which Ms. Alioto made to her campaign during the last two weeks before the 1988 election.

Ms. Alioto made a \$35,500 loan from her personal funds and an additional loan of \$14,300 to her committee and only reported them on semi-annual campaign statements filed after the election.

ALIOTO, JOSEPH M., LAW FIRM OF, 2002/0782 (2003)

84203 - 1 count
\$2,250

The law firm of Joseph M. Alioto in San Francisco failed to disclose a late contribution made in 2002.

ALIOTO, MICHELA A.D., MICHELA ALIOTO FOR CALIFORNIA SECRETARY OF STATE, AND LINDA T. BLUM, TREASURER, 2000/0065 (2001)

84303 - 1 count
\$2,000

Michela A.D. Alioto, unsuccessful Novato candidate for Secretary of State, her controlled committee, Michela Alioto for Secretary of State, and Linda T. Blum, treasurer,

failed to timely report subvendor information for expenditures made in 1998.

ALL VALLEY ENGINEERING CORPORATION, INC., 2012/0268 (2013)

86115 - 2 counts
\$400

All Valley Engineering Corporation, failed to timely file the Lobbyist Employer Report Form 635 covering the periods July 1, 2011 through September 30, 2011, due October 31, 2011, and October 1, 2011 through December 31, 2011, due January 31, 2012, with the Secretary of State in electronic format.

ALL-AMERICAN ASPHALT, ALL-AMERICAN AGGREGATE, 2002/0698 (2002)

84203 - 1 count
\$1,500

All-American Asphalt, All-American Aggregate of Corona failed to file a late contribution report in 2002.

ALLEN L. BARKER CANDIDATE 28TH ASSEMBLY DISTRICT 2010, 2010/0663 (2011)

84200.5 - 1 count
\$200

Allen L. Barker, Allen L. Barker Candidate 28th Assembly District 2010, and Allen L. Barker as the committee's treasurer, failed to timely file a pre-election report.

ALLEN, A. WILLIAM, III, 2005/0547 (2005)

84200 - 1 count
\$400

A. William Allen, III of Newport Coast failed to file a major donor campaign statement in 2004.

ALLEN, BARRY, 1996/0401 (1998)

87100 - 5 counts
\$5,000

Barry Allen, Area Supervisor of Office Machine Repair Services for the Department of General Services, violated the conflict-of-interest provisions when he signed purchase orders to receive computer parts from a company that was a source of income to him.

ALLEN, CURTIS, EISENBERGER AND AFFILIATED ENTITIES, 2003/0471 (2003)

84200 - 1 count
\$723

Allen, Curtis, Eisenberger and affiliated entities of San Francisco failed to file a major donor campaign statement in 2000.

ALLEN, HERBERT A, 2003/0314 (2003)

84200 - 1 count
\$400

Herbert A. Allen of New York City, New York, failed to file a major donor campaign statement in 2002.

ALLEN, MICHAEL, 2010/0123 (2011)

87100 - 1 count
\$3,000

As a planning commissioner for the City of Santa Rosa, Michael Allen violated the Political Reform Act by failing to disqualify himself from two governmental decisions in which he had a financial interest.

ALLEN, MORTON AND MORT ALLEN FOR CITY COUNCIL, 1980/0058 (1982)

84211 - 2 counts
84213 - 2 counts
\$8,000

The Commission adopted an administrative law judge's recommendation that found that former Los Angeles City Council candidate Mort Allen and his campaign committee knowingly and willingly concealed a \$50,000 loan during his 1979 council campaign.

In January of 1979, Allen received a \$50,000 loan from Martin and Gladys Robbins, parents of Senator Alan Robbins. When the loan was made, Allen was already a city council candidate and intended to use the loan for his campaign. Instead of turning the loan over to his campaign committee, however, he placed the proceeds into personal and business accounts. He then paid over \$20,000 to his campaign committee, representing the money as a loan from himself. Later in the campaign, Allen transferred the remaining \$30,000 received from the Robbins' to his campaign in the form of a loan from Allen Realty. In two separate campaign statements, Allen stated that the loans were from himself and his company.

ALLEN, PENNY, 1994/0138 (1995)

87100 - 1 count
87300 - 3 counts
\$3,500

Penny Allen, member of the Chula Vista Economic Development Commission, violated conflict-of-interest disqualification provisions when she voted on a decision in 1993 which would have a material financial effect on a source of income to her. In addition, she failed to timely disclose this source of income on her annual statement of economic interests for three consecutive years.

ALLEN, THOMAS P., JR, 1999/0836 (2003)

87203 & 87206 - 2 counts
\$4,000

Thomas P. Allen, Jr., an elected South Bay Municipal Court Judge, Los Angeles county, failed to disclose investments on his 1998 and 1999 annual statements of economic interests.

ALLIANCE FOR MISSION VIEJO - YES ON MEASURE A, YES ON RECALL, 1990/0163 (1990)

84305 - 1 count
\$2,000

Alliance for Mission Viejo - Yes on Measure A, Yes on Recall failed to provide proper sender identification on a last-minute mailer. The mass mailing in question involved some 24,000 copies of a one-page letter supporting Measure A, signed by Orange county Supervisor Thomas F. Riley. The mailing gave the misleading impression that its sender was Supervisor Riley rather than Alliance. They were sent six days before the election in envelopes displaying the supervisor's name on the outside as the sender.

ALLIANCE TO REVITALIZE CALIFORNIA, A COMMITTEE FOR PROPOSITIONS 200, 201 AND 202; VIRGINIA BOYD, TREASURER, AND THOMAS PROULX, CHAIRMAN, 1996/0089 (1997)

84107 & 84305 - 1 count
\$2,000

Alliance to Revitalize California, a committee for Propositions 200, 201 and 202; Virginia Boyd, Treasurer, and Thomas Proulx, Chairman, failed to include proper sender identification on a mass mailing sent in 1996 by omitting the names of the committee's proponents and the specific ballot measures supported.

ALLIANCE TO REVITALIZE CALIFORNIA, A COMMITTEE FOR PROPOSITIONS 200, 201, AND 202; VIRGINIA BOYD; AND THOMAS PROULX, 1999/0225 (2002)

84211 & 84303 - 12 counts
\$18,000

Alliance to Revitalize California, a Committee for Propositions 200, 201, and 202, a state ballot measure committee formed to support tort reform initiatives in 1996, its treasurer, Virginia Boyd, and one of the proponents, Thomas Proulx, failed to report subvendor information regarding media advertising purchases on campaign statements filed in 1996.

ALLRED RESIDENTIAL PROPERTY COMPANY, 2002/1017 (2003)

84203 - 2 counts
\$1,950

Allred Residential Property Company of San Diego failed to file late contribution reports in 2002.

ALLRED, ZELMA, 2010/1097 (2011)

84200 - 1 count
\$400

Zelma Allred, a major donor committee, failed to timely file a major donor statement, Form 461.

ALLRED, ZELMA, 2011/0463 (2011)

84200 - 1 count
\$400

Zelma Allred, a major donor committee, failed to timely file a major donor statement, Form 461, for contributions totaling \$3,000 for the period July 1, 2009, through December 31, 2009.

ALLSTADT, MAX, 2011/0506 (2011)

87300 - 1 count
\$200

Max Allstadt, a City of Oakland Community Development Block Grants board member, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

ALLSTATE INSURANCE COMPANY POLITICAL ACTION COMMITTEE AND JAMES P. ZILS, 2001/0389 (2003)

84211 - 4 counts
\$6,000

Allstate Insurance Company Political Action Committee, a state general purpose recipient committee located in Northbrook, Illinois, and its treasurer, James P. Zils, failed to disclose required information about contributors on campaign statements filed in 1998, 1999, and 2000.

ALMEIDA, THOMAS CHRISTOPHER, 1996/0453 (1999)

87302 - 7 counts
\$14,000

Thomas Christopher Almeida, administrative manager at the California State Department of Education (CDE), in the Career Vocational Education Division from 1992 through 1995, failed to disclose sources of income, investment interests, and business positions he held over several years on his annual statements of economic interests.

ALONGI, GEORGE, 1995/0112 (1997)

87100 - 4 counts
\$6,500

The Commission adopted an administrative law judge's proposed decision in the matter of George Alongi, a Lake Elsinore City Council member, who violated the conflict-of-interest provisions in 1994 and 1995 when he participated in two governmental decisions and used his official position on two other separate occasions in an attempt to influence decisions in which he knew he had a financial interest.

ALSOP, STEWART, 2003/0565 (2004)

84200 - 1 count
\$2,500

Stewart Alsop failed to file a major donor campaign statement in 2002.

ALSTERLIND, PAMELA, 2000/0540 (2001)

87300 - 1 count
\$600

Pamela Alsterlind, consultant to the California Public Employees' Retirement System, failed to file a 1999 annual statement of economic interests.

ALTAMED HEALTH SERVICES CORPORATION, 2012/0878 (2013)

86116(f) - 3 counts
\$3,000

AltaMed Health Services Corporation ("AltaMed"), located in Los Angeles, CA, is a California lobbyist employer under the Political Reform Act. AltaMed timely filed quarterly lobbyist employer reports covering the period July 1, 2010 through September 30, 2012. In this matter, AltaMed failed to disclose a reportable activity expense, namely, the salary of an employee who was also a state candidate, during each of the applicable reporting periods in calendar years 2010, 2011, and 2012.

ALTAMIRANO, IVAN, 2011/0927 (2012)

87203 - 1 count
\$200

Ivan Altamirano, a member of the City of Commerce Planning Commission, failed to file a Statement of Economic Interests in a timely manner for the period covering January 1, 2010, through December 31, 2010.

ALVARADO, PABLO, 2000/0575 (2000)

87300 & 87302 - 1 count
\$300

Pablo Alvarado, a Commissioner for the City of Pasadena, failed to timely file both his assuming office and 1999 annual statements of economic interests.

ALVAREZ, ROBERT, 2009/0842 (2010)

87207 - 1 count
\$200

Robert Edwards, Chief of Staff to Senator Dean Florez, failed to disclose on his 2008 Statement of Economic Interests a gift of dinner from Pechanga Band of Luiseño Mission Indians valued at \$128.01.

ALVES, RAMONA, 2001/0005 (2001)

84200 - 1 count
\$600

Ramona Alves of Tiburon failed to file a major donor campaign statement in 2000.

ALVES, RICK, 2009/0069 (2009)

87300 - 1 count
\$200

Rick Alves, Police Chief for the Lake Shastina Community Services District, failed to timely file a 2007 annual Statement of Economic Interests.

AMAC INFORMATION AND GRAPHICS AND WILLIAM MACALPIN, 1999/0237 (2003)

83116.5 - 1 count
\$2,000

AMAC Information and Graphics, a political campaign printing company in Redondo Beach, and its owner, William MacAlpin, caused an anonymous candidate or

committee to send a mass mailing that did not contain sender identification in 1999.

AMERICAN AGENTS ALLIANCE POLITICAL ACTION COMMITTEE, 2005/0390 (2006)

84101 - 1 count
84200 - 4 counts
\$10,000

American Agents Alliance Political Action Committee is a recipient committee formed by American Agents Alliance, a trade association comprised of auto insurance brokers. Respondent failed to timely file a statement of organization and failed to timely file a semi-annual campaign disclosure statement.

AMERICAN BIOSCIENCE, INC. AND ITS AFFILIATE AMERICAN PHARMACEUTICAL PARTNERS, INC, 2003/0372 (2003)

84200 - 1 count
\$400

American Bioscience, Inc. and its affiliate American Pharmaceutical Partners, Inc. of Santa Monica failed to file a major donor campaign statement in 2002.

AMERICAN CIVIL LIBERTIES UNION OF NORTHERN CALIFORNIA, 2005/0555 (2005)

84203 - 1 count
\$3,000

American Civil Liberties Union of Northern California, located in San Francisco, failed to file a late contribution report in 2004.

AMERICAN CIVIL LIBERTIES UNION OF NORTHERN CALIFORNIA, 2005/0490 (2005)

84200 - 1 count
\$400

American Civil Liberties Union of Northern California, located in San Francisco, failed to file a major donor campaign statement in 2004.

AMERICAN CIVIL RIGHTS COALITION, INC. AND WARD CONNERLY, 2002/0522 (2005 (CIVIL LAWSUIT))

84200 & 84605
\$95,000

American Civil Rights Coalition (ACRC) was the sponsor of the Racial Privacy Initiative Committee (the “RPI Committee”), a primarily formed ballot measure committee supporting Proposition 54 in the October 7, 2003 statewide special election. Defendant Ward Connerly was the treasurer of ACRC. ACRC qualified as a recipient committee in January of 2002. Defendants failed to file a statement of organization within ten days of ACRC qualifying as a committee, in violation of Government Code Section 84101, subdivision (a). During the January 1, 2002, through June 30, 2002, campaign reporting period, ACRC received \$1,613,353.19 in contributions in support of Proposition 54. Defendants failed to file a semi-annual

campaign statement disclosing the contributions received by ACRC by July 31, 2002. Defendants also failed to file two other semi-annual campaign statements for the second semi-annual campaign reporting period of 2002 and the first semi-annual campaign reporting period of 2003. Additionally, defendants failed to electronically file all three of the above-referenced semi-annual campaign statements.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO, 1991/0206 (1991)

84200 - 1 count
84200.5 - 2 counts
\$4,000

The American Federation of State, county and Municipal Employees, AFL-CIO, a general purpose recipient committee during 1990, failed to timely file two pre-election campaign statements and one semi-annual campaign statement reporting \$52,100 in contributions received and expenditures made in the identical amount.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO AND WILLIAM LUCY, TREASURER, 1993/0404 (1994)

84203 - 3 counts
\$5,000

The American Federation of State, county and Municipal Employees, AFL-CIO and William Lucy, Treasurer, failed to disclose eleven contributions totaling \$56,500 made to various California candidates and committees in 1989 and 1990.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO AND WILLIAM LUCY, TREASURER, 1995/0304 (1995)

84200.5 - 1 count
84203 - 7 counts
\$15,000

The AFSCME, a general purpose recipient committee from 1991-1992, failed to timely file a campaign statement and seven late contribution reports for contributions made amounting to \$75,000.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO DISTRICT COUNCIL 36, ARTHUR POND, CLARENCE PALMER, KARLENE GEORGE, JEAN NICHOLAS, RALPH MILLER, DENA WEBB, SANDRA MACATEE, ALICE GOFF, AND JOHN WYROUGH, 1996/0264 (1998)

84200 - 11 counts
84200.7 - 9 counts
84202.5 - 1 count
84203 - 4 counts
84204 - 3 counts
84211 - 18 counts
\$85,000

The American Federation of State, county and Municipal Employees AFL-CIO District Council 36, a council of local unions headquartered in Los Angeles, with affiliated local unions in eight southern California counties, and its president, Arthur Pond, and officers, Clarence Palmer, Karlene George, Jean Nicholas, Ralph Miller, Dena Webb, Sandra Macatee, Alice Goff, and Executive Director John Wyrrough, failed to file campaign statements and reports and failed to report expenditures made of \$100 or more, including contributions made to candidates for elective office and ballot measure committees, and contributions received between 1991 and 1997.

AMERICAN FIDELITY LIFE INSURANCE, 2001/0006 (2001)

84200 - 1 count
\$400

American Fidelity Life Insurance of Pensacola, Florida, failed to file a major donor campaign statement in 2000.

AMERICAN HEALTH CARE ASSOCIATION, 2006/0006 (2006)

84200 - 1 count
\$400

American Health Care Association of Washington, DC, failed to timely file a semi-annual campaign statement in 2002.

AMERICAN HOUSING CONSTRUCTION, INC, 2003/0638 (2004)

84200 - 1 count
\$2,000

American Housing Construction, Inc. failed to file a major donor campaign statement in 2002.

AMERICAN PRESIDENT LINES, LTD, 2007/0513 (2007)

84200 - 1 count
\$400

American President Lines, LTD. of Oakland failed to timely file a semi-annual campaign statement disclosing contributions totaling \$21,520 in 2006.

AMERICAN RESORT DEVELOPMENT ASSOCIATION RESORT OWNERS' COALITION PAC AND SANDRA DEPOY, 2011/0860 (2012)

84211(b)(i)(k) - 1 count
\$2,500

American Resort Development Association Resort Owners' Coalition PAC is a general purpose recipient committee sponsored by the American Resort Development Association, and Sandra DePoy is the committee treasurer. On semi-annual campaign statements filed for the reporting periods ending June 30 and December 31, 2008, Respondents failed to report the making of five contributions totaling approximately \$64,800.

AMERICAN SPECIALTY HEALTH PLANS, 2004/0765 (2005)

84203 - 1 count
\$1,875

American Specialty Health Plans of San Diego failed to file a late contribution report in 2003.

AMERICAN SUBCONTRACTORS ASSOCIATION OF CALIFORNIA PAC, AND JORDI GRANT, TREASURER, 2011/0271 (2012)

84605 - 2 counts
\$400

American Subcontractors Association of California PAC, a state general purpose committee, and Jordi Grant, Treasurer of Respondent American Subcontractors Association of California PAC received \$2,950, contributing to a total balance of \$23,032.86 during the semi-annual reporting period of January 1, 2011, through June 30, 2011. Respondents failed to file paper and electronic semi-annual campaign statements for this period by the August 1, 2011, due date.

AMERICAN TELEPHONE AND TELEGRAPH COMPANY, 1991/0341 (1991)

84203 - 1 count
\$2,000

The American Telephone and Telegraph Company failed to timely file a late contribution report in 1990 for a contribution of \$10,000 made to "Los Angeles Taxpayers for Clean Government," a committee supporting Los Angeles Ballot Measure H.

AMERICAN XTAL TECHNOLOGY, INC, 2005/0856 (2006)

84200 - 1 count
\$400

American Xtal Technology, Inc. of Fremont failed to timely file a semi-annual campaign statement in 2001.

AMMIANO, TOM, TOM AMMIANO FOR MAYOR, AND ESTHER MARKS, 2001/0408 (2005)

84300 - 1 count
85201 - 1 count
\$2,500

Tom Ammiano, a member of the board of supervisors for the City and county of San Francisco and unsuccessful candidate for mayor of San Francisco, his controlled committee Tom Ammiano for Mayor and its treasurer Esther Marks, failed to deposit cash contributions into the campaign bank account and made ten separate cash expenditures of \$100 or more in 1999.

AMMON, ANDREW L., COMMITTEE TO ELECT ANDREW AMMON TO SCHOOL BOARD 2011, AND ANDREW L. AMMON, TREASURE, 2012/0342 (2012)

84200 - 1 count
\$200

Los Angeles county School Board Member Andrew L. Ammon, failed to timely file the Form 460 campaign statement covering the period October 23, 2011, through December 31, 2011, due January 31, 2012, with the Registrar-Recorder of Los Angeles county.

AMN HEALTHCARE, INC., 2013/0273 (2013)

86115, 86116, 86117 & 84605 - 2 counts
\$1,200

AMN Healthcare, Inc. failed to timely disclose \$91,296.72 in lobbying activity over six reporting periods during the 2011/2012 legislative session.

AMN HEALTHCARE, INC., 2011/0085 (2011)

86115 - 2 counts
\$1,600

AMN Healthcare, Inc., a registered California lobbyist employer, failed to timely file quarterly lobbyist employer reports for the July 1, 2010, through September 30, 2010, and October 1, 2010, through December 31, 2010, reporting periods. AMN Healthcare, Inc. made \$24,212.34 in payments to lobbying firms during those reporting periods.

ANDAL, DEAN, CITIZENS FOR ANDAL, CITIZENS FOR ANDAL-LINCOLN UNIFIED, AND LARRY SOLARI,, 2005/0703 (2009)

84211 - 2 counts
84305(a) & (c) - 2 counts
\$9,500

Dean Andal and Citizens for Andal sent two mass mailings, which did not display the proper name, address, and city of the candidate and committee that sent the mass mailing; Dean Andal and Citizens for Andal failed to report accrued expenses on a pre-election campaign statement; Dean Andal, Citizens for Andal-Lincoln Unified, and Larry Solari failed to report required information regarding expenditures of \$100 or more on a semi-annual campaign statement.

ANDEN GROUP, 1992/0119 (1993)

84200 - 2 counts
\$4,000

The Anden Group, a Southern California residential real estate company, failed to timely file major donor campaign statements for contributions totaling \$36,250 made in 1990. The Anden Group had a prior filing history and also paid a \$500 late filing penalty to the Secretary of State.

ANDERSON, CHAD, 2011/1107 (2012)

87300 - 1 count
\$200

Chad Anderson, a planning group member of the Ramona Community Planning Group, failed to file a Statement of Economic Interests in a timely manner for the reporting period January 1, 2010, through December 31, 2010, due April 1, 2011.

ANDERSON, DAVID, 1989/0077 (1991)

84200 - 3 counts
\$4,000

The Commission issued a Default Decision and Order against David Anderson, director of the Oakland Unified School Board, who failed to timely file three campaign disclosure statements during 1988 and 1989, despite repeated notices by the Oakland City Clerk's office and the Commission staff. The statements were filed from five to 17 months late.

ANDERSON, DOUGLAS, 1996/0174 (2000)

87402 - 4 counts
\$8,000

Douglas Anderson, former employee of the Franchise Tax Board (FTB), violated the revolving door provisions in 1995 and 1996 when he assisted in representing a client in filing claims for a refund of taxes in a proceeding in which he had participated while still employed by FTB.

ANDERSON, JOEL AND TAX FIGHTERS FOR ANDERSON 2010,, 2009/0694 (2009)

85301 - 5 counts
\$20,000

Joel Anderson is a member of the California State Assembly. Tax Fighters for Anderson 2010 is a candidate controlled committee. Respondents accepted campaign contributions through the Fresno county Republican Central Committee in excess of the contribution limits

ANDERSON, JOHN K., 2009/0735 (2011)

87100 - 1 count
\$3,000

John K. Anderson, Finance Director and City Administrator for the City of Dunsmuir, used his official position to influence a governmental decision in which he had a material financial interest.

ANDERSON, JOHN K., 2012/0055 (2012)

87204 - 1 count
\$200

John K. Anderson, former Finance Director for the City of Dunsmuir left his position with the City of Dunsmuir on April 1, 2010. Respondent failed to file in a timely manner a leaving office Statement of Economic Interests by the May 31, 2010, due date.

ANDERSON, LARRY B., 2013/0794 (2013)

87207 - 1 count
\$200

Larry B. Anderson, as Chief Executive Officer of the Tri-City Healthcare District in the county of San Diego, failed to timely disclose Becker's Hospital Review as a source of income on his 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

ANDERSON, MARILYN, 2010/0824 (2011)

87300 - 2 counts
\$800

Marilyn Anderson, City of South Pasadena finance committee member, failed to timely file an assuming office and 2009 annual Statement of Economic Interests.

ANDERSON, MICHAEL A, 1990/0667 (1993)

87300 - 1 count
\$2,000

The Commission took no action on an administrative law judge's recommendation for a \$2,000 fine against Michael Anderson, a member of the California State Board of Forestry, who failed to disclose Georgia-Pacific Corporation as a source of income on his 1989 statement of economic interests. As a result, that recommendation took effect in 30 days.

ANDERSON, THOMAS, 2001/0007 (2001)

84200 - 1 count
\$600

Thomas Anderson of Indio failed to file a major donor campaign statement in 2000.

ANDREAS, MARTIN, 2013/0627 (2013)

87300 - 1 count
\$200

Martin Andreas, as a Board Member for both the Air Pollution Control District Hearing Board and Big Springs Irrigation District for the County of Siskiyou, failed to timely file the 2012 Annual Statement of Economic Interests (Form 700) covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

ANELLO, TONY, 2006/0290 (2008)

87300 - 1 count
\$100

Tony Anello, Director, Bodega Bay Fire Protection District, failed to timely file a 2004 annual statement of economic interests.

ANESTHESIA CONSULTANTS OF CALIFORNIA MEDICAL GROUP, INC, 2006/5065 (2006)

84200 - 1 count
\$900

Anesthesia Consultants of California Medical Group, Inc. of Escondido failed to timely file a semi-annual campaign statement disclosing contributions totaling \$50,000 in 2004.

ANGEL, JACOB AND JACOB ANGEL FOR PENINSULA HEALTH CARE DISTRICT 2012, 2012/0287 (2013)

85201(a), (c), & (e) - 1 count
84104 - 1 count
\$2,000

Jacob Angel ("Angel"), was a candidate for Peninsula Health Care District in the November 6, 2012 election, but

he withdrew from the election as of May 1, 2012. Jacob Angel For Peninsula Health Care District 2012 was Angel's candidate controlled committee. In this matter, Angel and Jacob Angel for Peninsula Health Care District 2012 failed to establish a campaign bank account upon the filing of a statement of intention, failed to deposit all campaign contributions into, and failed to make all expenditures from a single, designated campaign bank account for Jacob Angel For Peninsula Health Care District 2012, during calendar years 2011 and 2012. Additionally, Angel and Jacob Angel For Peninsula Health Care District 2012 failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare campaign statements, to establish that campaign statements were properly filed, and to comply with the campaign reporting provisions of the Political Reform Act, during calendar years 2011 and 2012.

ANSELMO, REVERGE/SEVEN HILLS LAND AND CATTLE COMPANY, LLC, 2013/0238 (2013)

84200(a) - 2 counts
\$800

Seven Hills Land & Cattle, LLC, and its owner Reverage Anselmo of Shingletown, failed to timely file a semi-annual campaign statement covering the period January 1, 2012, through June 30, 2012, due July 31, 2012, disclosing contributions totaling \$31,900 and a semi-annual campaign statement for the period July 1, 2012, through December 31, 2012, due January 31, 2013, disclosing contributions totaling \$12,700.

ANSHEN & ALLEN ARCHITECTS LOS ANGELES, INC., 2006/0560 (2006)

84200 - 1 count
\$400

Anshen & Allen Architects Los Angeles, Inc., failed to timely file a semi-annual campaign statement disclosing contributions totaling \$43,500 in 2004.

ANSHEN & ALLEN ARCHITECTS, INC, 2006/0634 (2006)

84200 - 1 count
\$400

Anshen & Allen Architects, Inc. of San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$23,500 in 2004.

ANTIOQUIA, BENJAMIN, 2001/0721 (2004)

87300 - 2 counts
\$600

Benjamin Antioquia, member of the Dental Examiners Board for the Department of Consumer Affairs, failed to timely file 2001 and 2002 annual statements of economic interests.

ANTWIH, ANDREW, 1999/0544 (2000)

87300 - 1 count
\$500

Andrew Antwih, a senior consultant for the California State Assembly, failed to timely file his 1998 annual statement of economic interests.

ANYBODY BUT HAMILTON, AND MONICA ROMEYN, 1998/0248 (2003)

84101 - 1 count
84200.5 - 1 count
84204 - 1 count
\$5,500

Anybody But Hamilton, a recipient committee in Petaluma, and its treasurer, Monica Romeyn, failed to file a statement of organization, failed to file a second pre-election campaign statement, and failed to disclose a late independent expenditure in 1998.

ANZA BORREGO FOUNDATION, 2000/0507 (2000)

84203 - 1 count
\$1,500

Anza Borrego Foundation, located in Borrego Springs, failed to file a late contribution report during the March 7, 2000, primary election.

APARTMENT ASSOCIATION POLITICAL ACTION COMMITTEE, 2006/0785 (2007)

84203 - 5 counts
\$2,918

Apartment Association Political Action Committee of Los Angeles failed to timely disclose late contributions totaling \$19,455.

APLIN, PHILIP, 1999/0337 (2000)

87203 - 1 count
\$500

Philip Aplin, member of the Grass Valley Design Review Board, failed to timely file his 1997 annual statement of economic interests.

APPLE COMPUTER, INC, 2006/0130 (2006)

84200 - 1 count
\$400

Apple Computer, Inc. of Cupertino failed to timely file a semi-annual campaign statement in 2005.

APPLIED MATERIALS, 2003/0328 (2003)

84200 - 1 count
\$400

Applied Materials of Santa Clara failed to file a major donor campaign statement in 2002.

AQUACLARA, LLC, 2003/0371 (2003)

84200 - 1 count
\$400

AquaClara, LLC of San Diego failed to file a major donor campaign statement in 2002.

AQUARIUM OF THE PACIFIC, 2007/0122 (2007)

84200 - 1 count
\$400

Aquarium of the Pacific of Long Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2006.

ARB, INC, 1998/0501 (1999)

84200 - 1 count
84203 - 1 count
\$2,500

ARB, Inc. of Lake Forest failed to file a semi-annual campaign statement and a late contribution report for contributions made to Lungren for Governor, a controlled committee, in 1998.

ARCHULETA, BOB, 2011/0097 (2011)

89503(a) - 1 count
\$1,500

Bob Archuleta, a member of the Pico Rivera City Council, accepted gifts from a single source in 2008, which exceeded the respective annual gift limit of \$390.

ARCHULETTA, GILBERT, 1993/0486 (1994)

83116.5 - 8 counts
\$16,000

Gilbert Archuleta, a professional lobbyist in the city of Los Angeles, was given money for reimbursement of contributions totaling \$5,000 he made in his own name to various local candidates in Los Angeles from 1989-1991 rather than the names of the true sources.

ARELLANO, GUADALUPE, ARELLANO FOR MAYOR, AND LUIS RAMIREZ, 2002/0051 (2005)

84200 - 1 count
\$1,000

Guadalupe Arellano, former member of the Gilroy City Council and unsuccessful candidate for mayor of the City of Gilroy, and her controlled committee, Arellano for Mayor, and its treasurer Luis Ramirez, failed to file a semi-annual campaign statement in 2001.

ARELLANO, PETER, 2011/0016 (2011)

87300 - 1 count
\$400

Peter Arellano, a member of the South county Regional Wastewater Authority, failed to timely file his 2009 annual Statement of Economic Interests by the April 1, 2010, deadline.

ARGO PARTNERS, INC., 2011/0768 (2012)

86115 - 6 counts
\$1,200

Argo Partners, Inc., employed a lobbying firm to lobby on its behalf but failed to timely file Reports of Lobbyist Employer (Form 635) for quarters 1 through 6 of the 2011-2012 legislative session.

ARGUELLES, JESUS, 2011/0367 (2011)

87207 - 11 counts
\$2,200

Jesus Arguelles, Portfolio Manager with CalPERS, failed to timely disclose gifts on his 2006 annual Statement of Economic Interests, his 2007 annual Statement of Economic Interests, and his 2008 annual Statement of Economic Interests.. The gifts received were: a gift of entertainment from ARES totaling \$73.00 in 2006; gifts of a softball event and meal from LP Capital Advisors totaling \$95.00 in 2006; gifts of meals from PCGI totaling \$125.00 in 2006; gifts of meals from Advent International totaling \$60.80 in 2007; gifts of bowling and a meal from LP Capital Advisors totaling \$74.00 in 2007; gift of a meal from UBS totaling \$57.68 in 2007; gifts of a dinner and gift bag from Advent International totaling \$89.02 in 2008; gift of entertainment from Carlyle Group totaling \$103.95 in 2008; gift of a windbreaker from GGV totaling \$78.92 in 2008; gifts of event and a meal from LP Capital Advisors totaling \$71.00 in 2008, and a gift of entertainment from Oak Hill Investment Managers totaling \$30.00 in 2008.

ARGUIMBAU, NICHOLAS, 2004/0254 (2004)

87202 - 1 count
87203 - 1 count
\$200

Nicholas Arguimbau failed to timely file an assuming office and 2000 annual statement of economic interests.

ARGUMEDO, HUGO A., CHARMAINE FAULKNER, AND FRIENDS OF HUGO A. ARGUMEDO, 2004/0237 (2009)

84200 (a) - 3 counts
84211 (f) - 1 count
\$9,000

Hugo A. Argumedo, a member of the Commerce City Council was elected in 1996 to fill an unexpired term. Argumedo was re-elected in 1997, 1999, 2003, and 2007. Charmaine Faulkner was the treasurer of Friends of Hugo A. Argumedo, the controlled committee of Respondent Argumedo. Respondents failed to timely file three semi-annual campaign statements, and failed to disclose occupation and employer information for fifteen contributions from individuals in the amount of \$100 or more.

ARIBO CORPORATION, 2003/0329 (2003)

84200 - 1 count
\$400

Aribo Corporation of Marina del Rey failed to file a major donor campaign statement in 2002.

ARLIE RICASA 2008, AND KINDE DURKEE, 2010/0808 (2011)

84211(g) - 1 count
\$2,000

In 2008, Arlie Ricasa was a candidate for California State Assembly, 78th District. Arlie Ricasa 2008 was her

candidate controlled committee for this purpose, and Kinde Durkee was the committee treasurer. Respondents failed to disclose an \$18,000 loan received from Durkee & Associates, LLC on the semi-annual campaign statement filed for Arlie Ricasa 2008 for the reporting period May 18, 2008 through June 30, 2008.

ARMENDARIZ, ART, 2013/0251 (2013)

87207 - 1 count
\$200

Art Armendariz, as a Member of the Delano Joint Union High School District Board, failed to timely disclose gift(s) of: four meals totaling \$279.13 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

ARMIJO, ALBERT AND ALBERT ARMIJO FOR PICO RIVERA CITY COUNCIL, 2006/0790 (2007)

84203 - 4 counts
\$980

Albert Armijo for Pico Rivera City Council failed to timely disclose late contributions totaling \$6,536.

ARMSTRONG, THOM, 2013/0693 (2013)

87207 - 1 count
\$200

Thom Armstrong, as the President of the Barstow Community College District, failed to timely disclose gift(s) of: one meal valued at \$153.86 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

ARNOLD CONSTRUCTION COMPANY, BROOKHURST SHOPPING CENTER, MIRA MESA SHOPPING CENTER, AND PERRIS PROPERTY PARTNERSHIP, 1992/0110 (1993)

84300 & 84301 - 6 counts
\$10,000

Arnold Construction Company, an Anaheim based construction and shopping center development firm, laundered contributions made to six city, county, and state candidates from 1989-1990. The funds used were drawn from one of three partnership accounts.

ARNOLD SCHWARZENEGGER'S TOTAL RECALL COMMITTEE, VOTE YES TO RECALL GRAY DAVIS, 2005/0370 (2005)

84203 - 3 counts
\$8,400

Arnold Schwarzenegger's Total Recall Committee of Santa Monica failed to file late contribution reports in 2003.

ARNOLDSSEN, RONALD, 1999/0640 (2002)

87100 - 1 count
\$1,500

Ronald Arnoldsen, member of the Grover Beach City Council, violated the conflict-of-interest provisions by

making and participating in making a governmental decision in 1999 regarding real property within 300 feet of his property.

ARNS LAW FIRM, 2005/0380 (2006)

84203 - 2 counts
\$3,000

The Arns Law Firm failed to timely disclose a late contribution totaling \$20,000.

ARROWHEAD CREDIT UNION, 2005/0320 (2005)

84200 - 6 counts
\$3,444

Arrowhead Credit Union of San Bernardino failed to file major donor campaign statements in 2000, 2001, 2002 and 2003.

ARROWHEAD GENERAL INSURANCE AGENCY, INC, 2001/0008 (2001)

84200 - 1 count
\$400

Arrowhead General Insurance Agency, Inc. of San Diego failed to file a major donor campaign statement in 2000.

ART CHACON FOR WATER BOARD 2010, 2008/0652 (2011)

84104 & §18401 - 2 counts
84200.5(b) & 84200.7(b) - 2 counts
84203(a) & (b) - 1 count
84211(a), (c), & (f) - 3 counts
84211(b)(i)(k) - 3 counts
84300(a) - 1 count
84300(b) - 1 count
\$30,000

Arturo Chacon was a successful candidate for the Central Basin Municipal Water District Board of Directors in the election that was held on November 7, 2006, and Respondent Art Chacon for Water Board 2010 was his controlled committee. Respondents: (i) failed to file pre-election statements by required deadlines; (ii) failed to report contributions received; (iii) failed to report expenditures made; (iv) failed to report late contributions received; (v) failed to maintain required, supporting records regarding contributions received and expenditures made; (vi) accepted cash contributions of \$100 or more; and (vii) made cash expenditures of \$100 or more.

ASHBECK, LYNNE, 2006/1046 (2009)

89503 - 1 count
\$310

Lynne Ashbeck, while serving as a council member for the City of Clovis, accepted a gift from a single source in the amount of \$400, exceeding the \$360 gift limit for 2005.

ASHER, HANK, 2003/0566 (2003)

84200 - 1 count
\$1,500

Hank Asher of Boca Raton, Florida, failed to file a major donor campaign statement in 2002.

ASHER, HAROLD, 2000/0471 (2000)

84203 - 1 count
\$2,000

Harold Asher of Encino failed to file a late contribution report during the March 7, 2000, primary election.

ASHWILL, BRUCE SEE ALSO, JOHN DOOLITTLE, ET AL., (1987), 1984/0043 (1985)

84301 - 1 count
\$2,000

Bruce Ashwill, a real estate executive, agreed to help Senator John Doolittle by making a contribution to a rival candidate Jack Hornsby, in December 1984. Hornsby was the Democratic candidate in a three-way race for the First Senate District that included Doolittle and incumbent Senator Ray Johnson, running as an independent.

Ashwill, a long-time Republican supporter, was concerned about contributing to a Democrat and decided to have one of his employees make the contribution for him. A \$2,000 contribution was made by an Ashwill employee and Ashwill reimbursed the employee for the contribution. Ashwill did not disclose to the Hornsby campaign that he was the true source of the contribution.

ASIA PACIFIC INVESTMENTS AND DEVELOPMENTS CORPORATION, 1994/0534 (1995)

84302 - 12 counts
\$24,000

The Commission issued a Default Decision and Order against the Asia Pacific Investments and Developments Corporation (APID), a California corporation located in Pasadena, who recruited “strawmen” contributors and distributed \$5,500 on behalf of Grand Sunrise and failed to disclose the true source of the contributions to candidates for Los Angeles City Council in 1991.

ASIA PACIFIC REALTY, 1994/0534 (1995)

84302 - 10 counts
\$20,000

The Commission issued a Default Decision and Order against Asia Pacific Realty, a real estate investment and development corporation located in Pasadena, who laundered contributions totaling \$5,000 on behalf of Grand Sunrise and failed to disclose the true source of the contributions to candidates for Los Angeles City Council in 1991.

ASIAN AMERICAN POLITICAL EMPOWERMENT COMMITTEE, BUU THAI, TREASURER, 2009/0221 (2011)

84200 - 1 count
\$200

Asian American Political Empowerment Committee, and its treasurer, Buu Thai, failed to timely file one semi-annual

campaign statement for the reporting period of July 1, 2008, through December 31, 2008.

ASIAN PACIFIC AMERICAN LEGAL CENTER OF SOUTHERN CALIFORNIA, 2005/0077 (2005)

84200 - 1 count
\$400

Asian Pacific American Legal Center of Southern California, located in Los Angeles, failed to file a major donor campaign statement in 2003.

ASSAGAI, MEL, 1997/0125 (1998)

86205 - 1 count
\$2,000

Mel Assagai, former Senior Executive for Governmental Affairs with the State Bar of California and presently owner of a lobbying firm, violated the Act when he entered into a contract with the State Bar in 1996, which included a bonus contingent upon the enactment of proposed legislation.

ASSOCIATION FOR CALIFORNIA TORT REFORM POLITICAL ACTION COMMITTEE AND DENNIS O'REILLY,, 1989/0007 (1989)

84200 - 2 counts
84200.5 - 2 counts
\$4,000

Association for California Tort Reform Political Action Committee and its treasurer, Dennis O'Reilly, failed to timely file four disclosure statements for campaign activities in 1985 and failed to timely file four disclosure statements required for campaign receipts of \$10,366 and expenditures of \$23,829 during 1986. There were mitigating circumstances for part of the delay since committee records were destroyed by fire.

ASSOCIATION OF AMERICAN MEDICAL COLLEGES, 2004/0800 (2005)

84200 - 1 count
\$400

The Association of American Medical Colleges of Washington, D.C., failed to file a major donor campaign statement in 2003.

ASSOCIATION OF CALIFORNIA SCHOOL ADMINISTRATORS ISSUES PAC AND BOB WELLS, 2003/0282 (2004 CIVIL LAWSUIT)

84200.5
\$25,000

The Association of California School Administrators Issues PAC and Bob Wells failed to file pre-election campaign statements.

AU, KAREN, 1992/0286 (1995)

84300 & 84301 - 4 counts
\$6,500

Karen Au, a partner and officer of Eagle International, a firm engaged in the business of trading and land development, laundered four \$99 campaign contributions in the names of her employees to a Monterey Park City Council candidate. At times from 1990 through 1992, Eagle International had potential commercial and residential development projects pending in the city of Monterey Park.

AURELL, CLAY, 2011/0650 (2012)

87100 - 1 count
\$3,500

Clay Aurell had a partnership interest in an architectural firm and was a member of the Architectural Board of Review for the City of Santa Barbara. Respondent and his architectural firm were representing a client in connection with a proposal to construct an accessory dwelling unit in the City of Santa Barbara. In this matter, Respondent attempted to use his official position to influence a governmental decision on the proposal knowing he had a financial interest in the matter.

AUTHENTIC FITNESS CORPORATION, 1999/0318 (2000)

84200 - 1 count
\$400

Authentic Fitness Corporation, located in Los Angeles, failed to timely file a major donor campaign statement for a contribution made to Californians for Gray Davis committee in 1998.

AUTOZONE, INC., AUTOZONE, INC., COMMITTEE FOR BETTER GOVERNMENT, 1998/0500 (1999)

84200 - 1 count
\$1,250

AutoZone, Inc. of Memphis, Tennessee, and the AutoZone, Inc., Committee for Better Government, an affiliated entity, failed to file a semi-annual campaign statement for contributions made to Lungren for Governor, a controlled committee, in 1998.

AUTRY, CARLOS ALAN, 2006/1048 (2009)

89503 - 1 count
\$654

Carlos Alan Autry, while serving as mayor for the City of Fresno and a member of the Fresno county Transportation Authority, the Council of Fresno county Governments, and the Fresno county Zoo Authority, accepted a gift from a single source in the amount of \$644, exceeding the \$360 gift limit for 2005.

AV CONSULTANTS, INC, 2003/0326 (2003)

84200 - 1 count
\$400

AV Consultants, Inc. of Wayne, Pennsylvania, failed to file a major donor campaign statement in 2002.

AVALEX INC., AND CRAIG W. MORGAN, 2008/0386 (2010)

84204(a) - 2 counts
84305(a) - 2 counts
\$12,000

This matter relates to the election of the board of directors for the Imperial Irrigation District. Craig W. Morton, as president of Avalex Inc., doing business in the Imperial Valley, failed to file two late independent expenditure reports disclosing a total of \$16,494.38 in May and June 2008, and sent two mass mailings without proper sender identification in May and June 2008.

AVERY DENNISON CORPORATION, 1998/0502 (1999)

84200 - 1 count
\$500

Avery Dennison Corporation of Pasadena failed to file a semi-annual campaign statement with the Secretary of State's office for a contribution made to the Governor Pete Wilson Committee in 1998. Campaign statements were, however, filed with three other filing officers.

AVIS RENT A CAR SYSTEM, INC, 2006/0083 (2006)

84200 - 2 counts
\$1,354

Avis Rent A Car System, Inc. of Parsippany, New Jersey, failed to timely file semi-annual campaign statements in 2002.

AZEVEDO, ANTHONY, 1978/0016 (1980)

87206 - 7 counts
87207 - 7 counts
\$2,000

Following a hearing before an administrative law judge, Fremont City Councilman Anthony D. Azevedo was found to have failed to disclose \$348,000 in property investments and income from real estate transactions.

Azevedo failed to disclose a \$70,000 investment in 1975 in the Olive Heights Tract by the firm, Preitas and Azevedo; he failed to disclose the source of his \$11,000 share of income from the sale of a lot in the tract in 1976; he failed to disclose the source of income of \$100,250 from the sale of ten more lots in 1977, including eight to Garrick Development, Inc., and he failed to disclose the source of rental income in 1978 of about \$16,950 to the Azevedo Commercial Center.

B&B FRAMING, INC, 2004/0768 (2005)

84203 - 1 count
\$3,000

B&B Framing, Inc. of Moreno Valley failed to file a late contribution report in 2003.

BACA, JOE, JOE BACA ASSEMBLY '92, FRIENDS OF JOE BACA, AND WILLIAM P. SMITH, TREASURER, 1996/0281 (1997)

84104 - 1 count
84203 - 1 count
84211 - 2 counts
\$6,500

Joe Baca, a member of the assembly, and his controlled committees, Joe Baca Assembly '92 and Friends of Joe Baca, and their treasurer, William P. Smith, failed to maintain records for travel expenses paid, failed to disclose occupation and employer information for contributions received on campaign statements filed between 1993 and 1994 and failed to timely file a late contribution report. In addition, reimbursements from the State of California for travel expenses paid by the committees were not disclosed. These violations were uncovered through a Franchise Tax Board audit of the committee.

BACCUS, LAURIE, 2013/0643 (2013)

87207 - 1 count
\$200

Laurie Baccus, as the Chief Academic Officer for the Whittier City School District, failed to timely disclose gift(s) of: one meal valued at \$112.50 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

BAILEY, PAUL, 1996/0163 (1997)

87100 - 3 counts
\$4,000

Paul Bailey, mayor and member of the Pismo Beach City Council from 1994 until his recall in July 1996, violated the conflict-of-interest provisions when he voted on a decision to reject the certificates of sufficiency for a recall election against himself and another council member and refused to call the recall election.

BAINS, DIDAR SINGH, 2006/0422 (2006)

84200 - 1 count
\$800

Didar Singh Bains of Yuba City failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

BAKER KEENER & NAHRA, LLP, 2007/0483 (2007)

84203 - 1 count
\$1,500

Baker Keener & Nahra, LLP of Los Angeles failed to timely disclose a late contribution totaling \$10,000.

BAKER, CARLOS, 2006/0823 (2007)

87302 - 1 count
\$100

Carlos Baker, a retired judge who served on assignment for 30 days or more in 2005, failed to timely file a 2005 annual statement of economic interests.

BAKER, CARLOS, 2007/0324 2008/0452 (2010)

87203 - 3 counts
\$3,000

Carlos Baker, a retired judge who served 30 days or more in a calendar year, failed to timely file an annual Statements of Economic Interests for years 2006, 2007, and 2008.

BAKER, CHRISTOPHER, 2010/0892 (2011)

87300 - 1 count
\$400

Christopher Baker, Fire Chief of the Mt. Shasta Fire District, failed to timely file a Statement of Economic Interests for the reporting period January 1, 2009, through December 31, 2009, by the April 1, 2010, due date.

BAKER, JERRY, 2012/0628 (2012)

87203 - 1 count
\$200

Jerry Baker, a Tuolumne county Planning Commissioner, failed to timely file a Statement of Economic Interests for the reporting period January 1, 2011, through December 31, 2011, by the April 2, 2012, due date.

BAKER, LYNDALL, 2011/0370 (2011)

87207 - 3 counts
\$600

Lyndall Baker, Portfolio Manager with CalPERS, failed to timely disclose gifts of entertainment, food, and beverage valued at \$97.28 from LP Capital Advisors on his 2006 annual Statement of Economic Interests, as well as entertainment, food, and beverage valued at \$99.29 from LP Capital Advisors on his 2007 annual Statement of Economic Interests, and gifts of entertainment valued at \$71.74 from LP Capital Advisors on his 2008 annual Statement of Economic Interests.

BAKERSFIELD EMERGENCY MEDICAL CORPORATION, 2006/0523 (2006)

84200 - 1 count
\$800

Bakersfield Emergency Medical Corporation of Bakersfield failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

BALDWIN & SONS, LLC AND ITS OWNER, ALFRED BALDWIN, 2010/0837 (2010)

84200(a) - 1 count
\$400

Baldwin & Sons, LLC and its owner, Alfred Baldwin of San Diego, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,850 in 2009.

BALDWIN, MARK, 2003/0204 (2004)

87100 - 2 counts
87300 - 3 counts

87450 - 1 count
\$25,500

Mark Baldwin participated in making governmental decisions in which he had a financial interest, participated in making a governmental decision directly relating to a contract involving a company with which he had a business relationship and failed to disclose sources of income on an assuming office statement of economic interests.

BALDWIN, MARK, 2007/0314 (2008)

87300 - 1 count
\$400

Mark Baldwin, Fortuna Business Improvement District board member, failed to timely file his 2006 annual statement of economic interests.

BALFOUR BEATTY CONSTRUCTION, INC, 2002/0699 (2002)

84203 - 3 counts
\$4,500

Balfour Beatty Construction, Inc. of Atlanta, GA, failed to file late contribution reports in 2002.

BALLARD, TAHNYA, RATEPAYERS FOR TAHNYA BALLARD, AND PATRICIA AUSTIN, 2001/0156 (2005)

84200 - 2 counts
\$2,000

Tahnya E. Ballard, an unsuccessful candidate for a seat on the board of directors for the Sacramento Municipal Utility District, and her controlled committee Ratepayers for Tahnya Ballard and its Treasurer Patricia Austin failed to file semi-annual campaign statements in 2001.

BALLY'S CASINO HOLDINGS, 1998/0554 (1999)

84200 - 1 count
\$2,000

Bally's Casino Holdings failed to file a semi-annual campaign statement for contributions made to the Democratic State Central Committee in 1996.

BAMBERGER, BARBARA, 2010/0559 (2011)

87206, 87207, & 87302 - 1 count
87300 - 1 count
\$400

Barbara Bamberger failed to timely file an assuming office Statement of Economic Interests and failed to report economic interests on the 2008 and 2009 annual Statements of Economic Interests.

BAMERT, TOM, AND THE BAMERT CAMPAIGN COMMITTEE, 1996/0660 (1998)

84305 - 1 count
\$1,750

Tom Bamert, successful candidate for reelection as a member of the Amador county Board of Supervisors, District 2, and the Bamert Campaign Committee, his

controlled committee, failed to include proper sender identification on a mass mailing sent in 1996.

BAMIEH, SAM, 1997/0001 (1997)

84300 & 84301 - 2 counts
\$3,200

Sam Bamieh, a businessman and resident of the city of San Mateo, laundered two campaign contributions to the Committee to Re-Elect Frank Jordan for Mayor in 1995.

BAN GILLNETS COMMITTEE AND KENNETH KUKUDA, 1987/0117 (1988)

84101 - 1 count
84200 - 2 counts
84200.5 - 1 count
\$8,000

The Committee to Ban Gillnets, and Kenneth Kukuda, who, in November 1986 began circulating petitions for a proposed statewide initiative to ban ocean net fishing, failed to file a statement of organization within the required 10 days after qualifying as a campaign committee and failed to file three required campaign disclosure statements.

BANDY, ROBERT, 2010/0514 (2011)

87202 - 1 count
\$200

Robert Bandy, Planning Commissioner for the City of Kerman, failed to timely file an assuming office Statement of Economic Interests.

BANK OF AMERICA, 2010/0016 (2010)

86112.5 - 6 counts
\$1,200

Bank of America failed to send notification letters to 6 legislators alerting them that they had received reportable gifts from Bank of America during calendar year 2008.

BANK OF AMERICA CA PAC, 2000/0505 (2000)

84203 - 5 counts
\$2,400

Bank of America CA PAC, located in Atlanta, GA, failed to file late contribution reports during the March 7, 2000, primary election.

BANKE, BARBARA R, 2007/0516 (2007)

84203 - 7 counts
\$4,920

Barbara R. Banke of Santa Rosa failed to timely disclose late contributions totaling \$32,800.

BARBOSA, MARCO, 2005/0673 (2007)

87300 - 1 count
\$100

Marco Barbosa, a member of the California Strawberry Commission, entered into a stipulation for failing to timely file a 2004 annual statement of economic interests.

BARBOUR, ANNE, 2007/0492 (2007)

87300 - 1 count
\$200

Anne Barbour, Executive Director, Intercollegiate Athletics, University of California, Berkeley, failed to timely file her 2006 annual statement of economic interests.

BARBOUR, ANNE, 2008/0757 (2009)

87300 & 87302 - 1 count
\$1,500

Anne Barbour, Executive Director of the Intercollegiate Athletics Department for the University of California, Berkeley and a designated employee under the Conflict of Interest Code for the University of California, Berkeley, failed to timely file her 2007 Statement of Economic Interests.

BARETT, LAWRENCE, 2009/0441 (2009)

87300 - 1 count
\$400

Lawrence Barrett, Commissioner for the City of Stockton Parks and Recreation Commission, failed to timely file a 2008 annual Statement of Economic Interests.

BARI MANAGEMENT, INC, 2006/0284 (2006)

84200 - 1 count
\$400

Bari Management, Inc. of Glendale failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,000 in 2004.

BARICH, GEORGE, 2009/0774 (2011)

84300(a) - 1 count
85201 - 1 count
\$7,500

Respondent made a \$1,000 cash campaign contribution, and successfully ran for Cotati City Council in the November 4, 2008 election; however, Respondent failed to establish a single campaign bank account upon receipt of contributions of \$1,000 or more for his 2008 Cotati City Council campaign.

BARKER, PETER K, 2003/0318 (2003)

84200 - 1 count
\$400

Peter K. Barker of Montecito failed to file a major donor campaign statement in 2002.

BARKETT, LISA, 2007/0474 (2007)

84203 - 2 counts
\$2,340

Lisa Barkett of La Jolla failed to timely disclose late contributions totaling \$15,600.

BARNETT FOR SCHOOL BOARD 2010 AND SCOTT BARNETT, 2011/1067 (2012)

84200 - 1 count
\$200

Barnett for School Board 2010 and Scott Barnett failed to file a semiannual campaign statement in a timely manner for the reporting period of January 1, 2011, through June 30, 2011, due on August 1, 2011.

BARNETT, DONALD, AND COMMITTEE TO ELECT DONALD BARNETT, 1996/0612 (1998)

84101 - 1 count
84200.5 & 84200.7 - 1 count
84203 - 1 count
\$5,000

Donald Barnett, unsuccessful candidate for Los Angeles county Superior Court Judge, and his Committee to Elect Donald Barnett, failed to timely file campaign statements in 1994.

BARNETTE, JOHNNY, 2009/0018 (2009)

87300 - 1 count
\$200

Johnny Barnette, an alternate commissioner of the California Rice Commission, failed to timely file a 2007 annual Statement of Economic Interests.

BARNHOUSE, BOB, 2005/0081 (2005)

87300 - 1 count
\$100

Bob Barnhouse, a commissioner for the California Strawberry Commission, failed to timely file an assuming office statement of economic interests.

BARNUM & CELILLO ELECTRIC, INC, 2009/0676 (2009)

84200 - 2 counts
\$1,064

Barnum & Celillo Electric, Inc., a major donor committee, failed to timely file two major donor statements, Form 461.

BARON & BUDD, P.C, 2001/0236 (2001)

84200 - 2 counts
\$3,500

Baron & Budd, P.C., of Dallas, Texas, failed to file a major donor campaign statement in 2000.

BARRAGAN, ERIC, COMMITTEE TO RE-ELECT ERIC BARRAGAN, AND ORALIA RAZO, 2002/0859 (2006)

84200 - 2 counts
\$3,500

Eric Barragan was a member of the board of trustees of the Santa Paula Union High School District from 1996 to 2004. Committee to Re-Elect Eric Barragan was his controlled committee, and Oralia Razo served as treasurer. Respondents failed to timely file two post-election semi-annual campaign statements.

BARRENECHEA, MARK J, 2007/0105 (2007)

84203 - 1 count
\$1,500

Mark J. Barrenechea of Berkeley failed to timely disclose a late contribution totaling \$10,000.

BARRERAS, ISABEL, 2013/0378 (2013)

87207 - 2 counts
\$400

Isabel Barreras, as a Member of the State Center Community College District Board of Trustees, failed to timely disclose gift(s) of: three meals totaling \$187.49 on her 2009 Statement of Economic Interests; and three meals totaling \$194.70 on her 2011 Statements of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

BARRY, RICHARD K, 1995/0082 (1995)

84301 - 8 counts
\$13,600

Richard K. Barry, a volunteer on the finance committee of Frank Jordan's 1991 San Francisco mayoral campaign, laundered contributions to the candidate when he purchased a table of ten seats for the "Jordan Birthday Celebration" and wrote in the names of eight friends and family members on the cashier's checks.

BARSTOW COLLEGE FACULTY ASSOCIATION, 2000/0135 (2001)

84301 - 19 counts
\$32,900

Barstow College Faculty Association laundered campaign contributions in 1999 to two candidates for the Barstow Community College Board of Trustees.

BARTEE, THOMAS, 2010/0581 (2012)

87100 - 1 count
\$3,000

Thomas Bartee, a Vallejo Redevelopment Agency member, made a governmental decision in which he had a material financial interest, by voting on a design contract for the Downtown Vallejo Square Sidewalk and Street Improvement Project, Phase I.

BARTEL, ARTHUR, 1990/0504 (1992)

87100 - 2 counts
\$4,000

Arthur Bartel, mayor of the City of Hanford, violated the conflict-of-interest provisions by failing to disqualify himself from two governmental decisions which had a foreseeable material financial effect on an individual who had loaned him \$5,500 twelve months prior to the decisions.

BARWEST, LLC, 2005/0548 (2006)

84200 - 1 count
84203 - 1 count
\$6,500

Barwest, LLC qualified as a major donor committee in the second six months of 2004. Respondent failed to timely disclose a late contribution and timely file a semi-annual campaign statement.

BASHAM, ROBERT D, 2005/0549 (2005)

84200 - 1 count
\$400

Robert D. Basham of Tampa, Florida, failed to file a major donor campaign statement in 2004.

BASHORE, R. WAYNE, 1987/0106 (1987)

87100 - 3 counts
87103 - 3 counts
\$3,500

Willits Mayor Wayne Bashore voted in late 1982 on three city council measures that involved a source of income. Bashore acknowledged that passage of the three council measures regarding annexation and rezoning of property owned by Margie Handley would benefit Ms. Handley, owner of Hot Rocks, Inc., which was a source of more than \$1,500 in income to the mayor.

BASIC AMERICAN FOODS, 1987/0352 (1988)

84200 - 2 counts
84203 - 3 counts
\$3,500

Basic American Foods of San Francisco failed to file major donor committee campaign statements disclosing various contributions totaling \$40,500 in 1986 and failed to file late contribution reports for three donations of \$1,000 or more made during the 16 days before the election.

BASIC RESOURCES, INC, 2003/0567 (2003)

84200 - 1 count
\$400

Basic Resources, Inc. of Modesto failed to file a major donor campaign statement in 2002.

BASS, KAREN, 2009/0844 (2010)

87207 - 3 counts
\$600

Assemblymember Karen Bass failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luise?o Mission Indians valued at \$94.46; a gift of dinner from Chevron Corporation valued at \$59.06; and a gift of dinner from AT&T valued at \$112.78.

BASSETT UNIFIED SCHOOL DISTRICT, 1996/0694 (1999)

84203 - 1 count
84211 - 2 counts
\$4,000

Bassett Unified School District, located in La Puente, improperly sent two mass mailings at public expense when it distributed a letter in 1995 directed to parents which was

signed by and prominently featured the school board president and another mailing in 1996 which included a photograph and featured three of the five elected school district board members.

BATES, NATHANIEL, RICHMOND CITY COUNCILMAN NAT BATES, NAT BATES FOR MAYOR, AND LARRY BATES, 1999/0726 (2005)

84203 - 1 count
84211 - 2 counts
\$7,000

Nathaniel Bates was a successful candidate for the Richmond City Council in the 1999 general election. Richmond City Councilman Nat Bates was the controlled committee of Nathaniel Bates in that 1999 election. Nathaniel Bates was also an unsuccessful candidate for mayor of Richmond in the 2001 general election. Nat Bates for Mayor was the controlled committee of Nathaniel Bates in that 2001 election. Larry Bates was the treasurer of both committees. Nathaniel Bates, Richmond City Councilman Nat Bates, and Larry Bates failed to disclose the receipt of a late contribution on a properly filed late contribution report, and failed to properly disclose the receipt of contributions in a pre-election statement. Respondents Nathaniel Bates, Nat Bates for Mayor, and Larry Bates failed to properly disclose the receipt of contributions in a pre-election statement.

BATTIN, JAMES F., JR, 1996/0686 (1998)

87207 - 1 count
89503 - 6 counts
\$14,000

James F. Battin, Jr., successful candidate for assembly in 1994, violated the gift limit prohibition when he accepted gifts with a total value of over \$280 in 1995. In addition, Battin failed to disclose the receipt of these gifts on his 1995 annual statement of economic interests.

BAUGH, SCOTT R., BAUGH FOR ASSEMBLY '98 AND DAVID BAUER, 1999/0363 (1999)

84203 - 1 count
\$2,000

Scott R. Baugh, successful candidate in the 67th Assembly District, his controlled committee, Baugh for Assembly '98, and its treasurer, David Bauer, failed to file a required late contribution report disclosing the receipt of a \$30,000 monetary contribution from Phillip Morris, Inc., in 1998.

BAUGH, SCOTT R., BAUGH FOR ASSEMBLY, DANIEL A. TRAXLER, AND ADEL ZEIDAN, 1999/0187 (1999 (CIVIL LAWSUIT))

84104
84105
84200
84203
84211
84300
85201
\$52,900

Scott R. Baugh, a special election candidate for state assembly in the 67th district, his committee, Baugh for Assembly, and its treasurer, Daniel A. Traxler, improperly accepted a cash contribution, made a cash expenditure, failed to properly disclose various campaign contributions and expenditures, failed to maintain adequate records, and failed to deposit contributions in the committee bank account. Adel Zeidan made an illegal cash contribution to candidate Baugh and failed to timely file a major donor campaign statement.

BAUTISTA, NIDIA, 2013/0884 (2013)

87300 - 1 count
\$200

Nidia Bautista, California State Senate Assistant Consultant, failed to timely file an Assuming Office Statement of Economic Interests covering the period April 29, 2012, through April 29, 2013, due May 30, 2013.

BAY MEADOWS OPERATING COMPANY, 2000/0362 (2001)

84200 - 1 count
\$2,000

Bay Meadows Operating Company of San Mateo failed to timely file a major donor campaign statement in 1998.

BAZILE, LEO, 1988/0295 (1990)

84200 & 84200.5 - 5 counts
87203 & 87300 - 1 count
\$8,000

Leo Bazile, Oakland City Councilmember, committed campaign reporting and financial disclosure violations involving the failure to timely file six disclosure statements required in 1987 and 1988 and the failure to file a 1987 statement of economic interests timely for his position on the Oakland City Council and the Association of Bay Area Governments. Mr. Bazile had consistently failed to file campaign statements in a timely manner, despite repeated notification of his filing obligations.

BAZILE, LEO, LEO BAZILE FOR MAYOR, FRIENDS TO RE-ELECT LEO BAZILE CITY COUNCIL DIST. #7, JOHN HARRISON, TREASURER, AND VIRTUAL MURRELL, CAMPAIGN CONSULTANT, 1990/0813 (1995)

84211 - 16 counts
84211 & 84216 - 1 count
84211 & 81004 - 6 counts
84211 - 1 count
\$36,000

Leo Bazile, Oakland City Councilman from 1983 to 1991 and unsuccessful candidate for mayor of Oakland in 1990 and city council in 1991, failed to report itemized information about campaign contributions, loans, loan repayments, and expenditures from 1989-1991.

BE, VIET, 1994/0594 (1997)

87100 - 4 counts
\$8,000

The Commission adopted an administrative law judge's proposed decision in the matter of Viet Be, Director of Admissions and Records, Director of Computer Services, and Vice-President of Student Services at Cerritos College in Norwalk, who violated the conflict-of-interest provisions in 1993 and 1994 when he failed to disqualify himself in four governmental decisions in which he knew he had a financial interest.

BEAMHIT, LLC, 2003/0842 (2004)

84203 - 1 count
\$1,500

Beamhit, LLC, failed to file a late contribution report in 2002.

BEARMAN, DAVID, 2012/0700 (2012)

87300 - 1 count
\$200

David Bearman, as a Member of the City of Santa Barbara Measure P Committee, failed to timely file a 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

BEAZER HOMES USA, INC, 2003/0496 (2003)

84200 - 1 count
84605 - 1 count
\$6,000

Beazer Homes USA, Inc. of Atlanta, Georgia, failed to file a major donor campaign statement in paper and electronic format in 2002.

BECK, BOB, 1988/0452 (1989)

87100 - 1 count
\$2,000

Calistoga City Councilman Bob Beck committed a conflict-of-interest violation when he voted in May and June of 1988 on a subdivision proposed by developer Pat Brogan, who had provided a \$15,000 loan to the official during the 12 months prior to the decision.

BECKER, NEWTON, 1987/0389 (1989)

84200 - 1 count
\$1,000

Newton Becker failed to file a major donor campaign statement for contributions totaling \$15,350 in 1986. His campaign statements due in July 1986 were not filed until November 1988.

BEDARD, LARRY A., 2010/1059 (2011)

84206 - 1 count
\$200

Marin Health Care District Director Larry A. Bedard failed to file the short-form annual campaign statement in calendar year 2010.

BEEBE, DENNIS, BEEBE FOR TRUSTEE, AND MARIE BEEBE, TREASURER, 2012/0353 (2012)

84200 - 1 count
\$200

Dennis Beebe, Beebe for Trustee, and Marie Beebe, failed to timely file the Form 460 semiannual campaign statement covering the period July 1, 2011 - December 31, 2011, due January 31, 2012, with the county of Bakersfield.

BEJARANO, ANTHONY, 2004/0446 (2004)

87203 - 1 count
\$100

Anthony Bejarano failed to timely file a 2003 annual statement of economic interests.

BELL CAB, INC, 1992/0278 (1995)

84300 & 84301 - 32 counts
\$45,000

Bell Cab, which operates a taxicab cooperative within the city of Los Angeles, laundered 32 political contributions made in the names of its employees to Los Angeles city council members and candidates for city council.

BELL GARDENS BICYCLE CLUB, GEORGE HARDIE, TAMMY GRANT, CHARLES GERALD WESTLUND, JR., PARK PLACE ASSOCIATES, THE HARDIE FINANCIAL GROUP, INC./HARDIE GROUP, INC./THE HARDIE GROUP, INC., DAVID GOULD, CALIFORNIANS FOR FAIR BUSINESS PRACTICES, CALIFORNIA SPORTS, ENTERTAINMENT AND GAMING ASSOCIATION, ALLIANCE FOR SAFE COMMUNITIES, RESIDENTS TO PROTECT OUR NEIGHBORHOODS, CONCERNED TAXPAYERS OF CALIFORNIA, CALIFORNIANS AGAINST GAMING EXPANSION, SAVE OUR NEIGHBORHOODS, AND PUBLIC INFORMATION SERVICE, 1995/0464 (1999 CIVIL LAWSUIT)

84106
84211
84305
\$142,000

The Bell Gardens Bicycle Club, a joint venture with its principal place of business in Bell Gardens, David Gould, treasurer for Californians for Fair Business Practices, California Sports, Entertainment and Gaming Association, Alliance for Safe Communities, Residents to Protect Our Neighborhoods, Concerned Taxpayers of California, Californians Against Gaming Expansion, Save Our Neighborhoods, and Public Information Service, committees sponsored by the casino and formed to campaign against voter approval for card casinos during the 1993 and 1995 elections in the cities of Cypress, Pico Rivera, Compton, Stanton, West Hollywood, Bellflower, Lynwood, South El Monte, Pomona, Irwindale, Ontario, South San Francisco, Hawaiian Gardens, Azusa, Colton, and Stockton, failed to disclose the sponsor of the committees, and failed to disclose expenditures on major donor statements.

BELL GARDENS, CITY OF, 1996/0187 (1997)

89001 - 1 count
\$2,000

The City of Bell Gardens violated the Act when they sent a letter at public expense which contained the signatures of four elected officials.

BELL, MARTHA A, 2003/0373 (2003)

84200 - 1 count
\$400

Martha A. Bell of Rancho Santa Fe failed to file a major donor campaign statement in 2002.

BELL, WILLIAM AND LEE, 2003/0320 (2003)

84200 - 1 count
\$400

William and Lee Bell of Beverly Hills failed to file a major donor campaign statement in 2002.

BELLI, LIA AND CAESAR AND LIA BELLI FOR STATE SENATE COMMITTEE, 1984/0298 (1988 CIVIL LAWSUIT)

84104
84206
84211
84300
84301
\$75,000

Lia Belli was an unsuccessful candidate for state senate in the 1984 election. During the campaign, Ms. Belli made contributions of \$85,000 to her committee through two other individuals and failed to report herself as the source. In addition, Ms. Belli and the committee failed to report late contributions received totaling \$231,900, failed to itemize contributions received, failed to itemize expenditures made and made a prohibited cash expenditure. Lia Belli and Caesar Belli failed to maintain adequate records.

BELTRAM, DEBBIE, 1987/0579 (1988)

87300 - 2 counts
\$600

Debbie Beltram, a state assembly aide, failed to file two required financial disclosure statements for 1986 until 1988. The assembly aide failed to file the assuming office and annual statements of economic interests as required by the conflict-of-interest code of the California State Assembly despite repeated notifications by the Commission.

BELTRAN, ABE E, 1994/0227 (1999)

87100 - 8 counts
\$16,000

Abe E. Beltran, former member of the Colton City Council and the redevelopment agency for the City of Colton, violated the conflict-of-interest provisions when he made

numerous decisions in 1993 and 1994 on two projects involving a local developer, who was a source of income to him.

BELTRAN, RUBY, 2013/0092 (2013)

87300 - 2 counts
\$400

Ruby Beltran, while serving as a Director on the Western Hills Water District Board of Directors, failed to timely disclose income received from World International, Inc. on her Assuming Office Statement of Economic Interests and 2009 Annual Statement of Economic Interests.

BEN HUESO FOR STATE ASSEMBLY 2010, AND NANCY HALEY, TREASURER, 2010/1041 (2011)

85501 - 1 count
\$2,000

On or about October 7, 2010, Respondents impermissibly contributed twenty-five thousand dollars (\$25,000) from Ben Hueso for State Assembly 2010, to San Diegans for Healthy Neighborhoods and a Strong Economy to Support Felipe Hueso for City Council - 2010 Sponsored by San Diego-Imperial counties Labor Council AFL-CIO, for the purpose of making independent expenditures to support another candidate.

BENETO, INC. AND STEVE BENETO, JR, 2002/1020 (2005)

84200 - 1 count
84203 - 1 count
84605 - 2 counts
\$12,500

Beneto, Inc., headquartered in West Sacramento, and its president, Steve Beneto, Jr., failed to timely disclose a late contribution in a properly filed paper late contribution report and in a properly filed electronic late contribution report. Respondents also failed to timely file a paper semi-annual campaign statement and an electronic semi-annual campaign statement.

BENETO, STEVE, JR., AND BENETO, INC, 2002/0121 (2002)

87300 - 1 count
\$2,000

Steve Beneto, Jr., and Beneto, Inc. of Sacramento failed to file a late contribution report in 2000.

BENEVENTO, ROBERT, 2013/0683 (2013)

87207 - 1 count
\$200

Robert Benevento, as a Member of the Morgan Hill Unified School District Governing Board, failed to timely disclose gift(s) of: one meal valued at \$139.27 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

BENITEZ, DAVID, 2006/0938 (2007)

87300 - 1 count

\$250

David Benitez, a City of Pomona Community Life Commissioner, failed to timely file his assuming office statement of economic interests.

BENITEZ, ROGER, COMMITTEE TO ELECT ROGER BENITEZ, AND BILLY CARVER, 1996/0282 (1997)

84203 - 1 count
84211 - 2 counts
\$3,500

Roger Benitez, an unsuccessful judicial candidate for Imperial county Superior Court in 1994, Committee to Elect Roger Benitez, his controlled committee, and its treasurer, Billy Carver, a certified public accountant, failed to file late contribution reports, failed to disclose subvendor payments, and failed to report accrued expenses on campaign statements.

BENJAMIN, HELEN, 2013/0671 (2013)

87207 - 1 count
\$200

Helen Benjamin, as the Chancellor of the Contra Costa Community College District, failed to timely disclose gift(s) of: one meal valued at \$127.66 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

BENSON, STEPHEN E., COMMITTEE TO ELECT STEVE BENSON JUDGE, AND EUGENE C. HANCOCK, 2002/0503 (2005)

84211 - 1 count
84211 & 84216 - 2 counts
85201 - 1 count
\$7,000

Stephen E. Benson was a successful candidate for Butte county Superior Court in the November 7, 2000 general election. Committee to Elect Steve Benson Judge was the controlled committee of Benson, and Eugene C. Hancock was the treasurer. In 2000, Respondents failed to deposit a loan into the campaign bank account of the committee; failed to disclose the loan on a pre-election campaign statement; and failed to disclose the true source of the outstanding loan on two separate campaigns.

BENTLEY SYSTEMS, INC, 2003/0374 (2003)

84200 - 1 count
\$400

Bentley Systems, Inc. of Exton, Pennsylvania, failed to file a major donor campaign statement in 2002.

BENVENUTI, DANIEL, JR., 1989/0142 (1989)

84300 & 84301 - 4 counts
\$8,000

Daniel Benvenuti, a Sacramento builder, laundered four \$1,000 contributions to the David Knowles for Assembly Committee when he reimbursed four employees who had written contribution checks to the candidate. Mr. Knowles

was not aware of the true source of the contributions until notified by Benvenuti in a letter.

BERDING & WEIL, LLP, 2000/0500 (2000)

84203 - 1 count
\$1,500

Berding & Weil, LLP, located in Alamo, failed to file a late contribution report during the March 7, 2000, primary election.

BERGER & MONTAGUE, P.C, 2003/0568 (2003)

84200 - 1 count
\$1,500

Berger & Montague, P.C., of Philadelphia, Pennsylvania, failed to file a major donor campaign statement in 2002.

BERGNER, GEORGE, 2001/0427 (2002)

87300 - 1 count
\$2,000

George Bergner, Director of Mendocino county Resource Conservation District, failed to file an assuming office statement of economic interests in 2001.

BERKELEY PATIENTS GROUP, 2011/0746 (2011)

86115 - 3 counts
\$600

Berkeley Patients Group, a registered California lobbyist employer, failed to timely file quarterly lobbyist employer reports for the October 1, 2010, through December 31, 2010, reporting period, due on January 31, 2011; the January 1, 2011, through March 31, 2011, reporting period, due by May 2, 2011; and the April 1, 2011, through June 30, 2011, reporting period, due by August 1, 2011. Respondent Berkeley Patients Group made \$24,000 in payments to lobbying firms during the reporting periods.

BERMAN, DEVALERIO, PEASE, TABACCO, BURT & PUCILLO, 2005/0556 (2005)

84203 - 1 count
\$1,500

Berman, DeValerio, Pease, Tabacco, Burt & Pucillo of San Francisco failed to file a late contribution report in 2004.

BERMAN, MICHELLE, 2010/0115 (2010)

84301 & 84302 - 3 counts
\$15,000

Respondent caused a \$1,000 contribution to the Friends of John Guardino committee to be made in the name of three separate intermediaries.

BERMEJO, ELMY, 1999/0548 (2000)

87300 - 1 count
\$600

Elmy Bermejo, a field representative for Senator John Burton, failed to timely file both a 1997 and a 1998 annual statement of economic interests.

BERNARD KARCHER INVESTMENTS, INC, 2003/0423 (2006)

84200 - 1 count
\$800

Bernard Karcher Investments, Inc. of Corona failed to timely file a semi-annual campaign statement disclosing contributions totaling \$4,500 in 2004.

BERNHARD, DANIEL, 2008/0431 (2008)

87300 - 1 count
\$200

Daniel Bernhard, a board member with the Ross School District, failed to timely file a 2007 annual statement of economic interests.

BERNHARD, DANIEL, 2009/0430 (2010)

87300 & 87302 - 1 count
\$1,000

Daniel Bernhard, Board Member, Ross School District, failed to file a 2008 annual Statement of Economic Interests by the deadline of April 1, 2009.

BERNOSKY, ROBERT E., BERNOSKY FOR ASSEMBLY 2012, AND ROBERT E. BERNOSKY, TREASURER, 2012/0788 (2013)

84200.5 - 1 count
\$200

Robert E. Bernosky, Bernosky for Assembly 2012, and Robert E. Bernosky, as Treasurer, failed to timely file the Form 460 campaign statement covering the period October 1, 2012 through October 20, 2012, due October 25, 2012, with the Secretary of State.

BERRY, JACK, SR., 2011/0804 (2012)

87100 - 1 count
\$3,000

Jack Berry, Sr., in his capacity as an Oroville City Councilmember, made a governmental decision in which he knew he had a financial interest, by voting on two resolutions related to the imposition of special taxes on August 2, 2011.

BERRY, JOSEPH C, 1991/0018 (1996)

87100 - 5 counts
\$10,000

Joseph C. Berry, a Live Oak City Councilmember, violated the conflict-of-interest provisions in 1990 and 1991 when he participated in five governmental decisions in which he knew he had a financial interest.

BERTONE, MARK /MADOLE & ASSOCIATES, 2008/0551 (2009)

84200 - 4 counts
\$1,600

Mark Bertone/Madole & Associates of Upland, failed to timely file campaign statements disclosing contributions totaling \$58,375 that were due in 2006, 2007 and 2008.

BERTRAM, MARTIN, 2010/1093 (2012)

89512 & 89513(b)(1) & 89513(b)(2) & 89514 - 2 counts
\$6,000

Martin Bertram was an unsuccessful candidate for Bakersfield City Council in the November 2, 2010 election. On or about January 27 and May 9, 2011, Mr. Bertram made expenditures of campaign funds in the amounts of \$1,500 and \$2,500, respectively, for personal legal fees that were unrelated to any political, legislative, or governmental purpose.

BEST, BEST & KRIEGER, LLP, 2005/0106 (2005)

84200 - 1 count
\$972

Best, Best & Krieger, LLP of Riverside failed to file a major donor campaign statement in 2004.

BEST, BEST & KRIEGER, LLP, 2007/0043 (2007)

84200 - 1 count
\$400

Best, Best & Krieger, LLP of Riverside failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,000 in 2006.

BESTWAY DISPOSAL COMPANY, INC, 2003/0569 (2003)

84200 - 1 count
\$1,500

Bestway Disposal Company, Inc. of Hesperia failed to file a major donor campaign statement in 2002.

BEVERLY HILLS PROPERTIES, 2003/0561 (2004)

84200 - 2 counts
\$4,000

Beverly Hills Properties failed to file major donor campaign statements in 2002.

BEVERLY, WILLIAM, 2009/0657 (2010)

87300 - 1 count
\$200

William Beverly serves as a board member for El Camino Community College District in the county of Los Angeles. Respondent failed to timely file his Statement of Economic Interests for calendar year 2008.

BGP AIRPORT ASSOCIATES, 1996/0472 (1999)

84308 - 3 counts
\$6,000

BGP Airport Associates, a joint venture in Pasadena formed to seek a multi-million dollar contract with the Burbank-Glendale-Pasadena Airport Authority, made campaign contributions of more than \$250 to an officer of the Authority while a proceeding was pending before the Authority and failed to disclose the contributions on the record of the proceedings between 1994 and 1997.

BIANCHI, PETER, 1998/0121 (1999)

87300 & 87302 - 1 count
\$1,000

Peter Bianchi, a Lassen county Deputy Public Defender, failed to timely file an initial statement of economic interests in 1997.

BIGGS, THOMAS, 2011/0534 (2011)

87300 - 1 count
\$200

Thomas Biggs, a City of Oakland Landmark Advisory board member, failed to file a Statement of Economic Interests for the reporting period January 1, 2009, through December 31, 2009, by the April 1, 2010, due date.

BING, STEPHEN, 2002/0098 (2002 CIVIL LAWSUIT))

84203 - 1 count
\$25,000

Stephen Bing of Los Angeles failed to file a late contribution report in 2000 for a \$500,000 contribution to the statewide ballot measure committee, Coalition to Save Prop. 10, a project of Forum for Early Childhood Development, No on Prop. 28.

BIONDI, FRANK J., JR, 2005/0853 (2006)

84200 - 1 count
\$400

Frank J. Biondi, Jr. of Los Angeles failed to timely file a semi-annual campaign statement in 2001.

BIRDFEATHER, ROBIN, 2010/0505 (2010)

84301 & 84302 - 1 count
\$500

Respondent, acting as an agent or intermediary, made a contribution on behalf of another person, such that the identity of the donor was not reported.

BISENIUS, JAMES A, 2007/0067 (2007)

84203 - 1 count
\$3,500

James A. Bisenius of Portland, Oregon, failed to timely disclose late contributions totaling \$25,000.

BJK INVESTMENTS, INC, 2003/0560 (2004)

84200 - 1 count
\$5,000

BJK Investments failed to file a semi-annual campaign statement.

BLACK & VEATCH CORPORATION, 2001/0009 (2001)

84200 - 1 count
\$600

Black & Veatch Corporation of Overland Park, Kansas, failed to file a major donor campaign statement in 2000.

BLACK MEN AND WOMEN AND GEORGE LIVINGSTON, 1999/0726 (2005)

84203 - 1 count
84211 - 2 counts
\$7,000

Black Men and Women, a city general purpose committee in Richmond and its treasurer, George Livingston, failed to disclose the making of a late contribution on a properly filed late contribution report, and failed to properly disclose the making of contributions in a pre-election statement.

BLACK WOMEN ORGANIZED FOR POLITICAL ACTION STATE PAC, AND BERYL CRUMPTON POTTER, TREASURER, 2010/0957 (2011)

84211(a)(b)(c)(d)(e)(f)(i)(j) & (k) - 1 count
\$2,500

Black Women Organized for Political Action State PAC and its treasurer Beryl Crumpton Potter, failed to report approximately \$13,409 in contributions received and approximately \$12,801 in expenditures made by the committee on their campaign statements for the January 1, 2010, through June 30, 2010, and the July 1, 2010, through December 31, 2010, reporting periods.

BLACK, STANLEY, AND BLACK EQUITIES,, 2003/0570 (2003)

84200 - 2 counts
\$2,357

Stanley Black and Black Equities of Beverly Hills failed to file a major donor campaign statement in 2002.

BLACKHAWK CORPORATION, 1987/0354 (1988)

84200 - 2 counts
84203 - 3 counts
84211 - 2 counts
\$5,500

Blackhawk Corporation of Danville failed to file two major donor campaign statements and three late contribution reports. The company made 24 contributions totaling \$86,000 in 1986.

BLACKHAWK CORPORATION, DBA BLACKHAWK DEVELOPMENT COMPANY, 1997/0633 (1998)

84300 & 84301 - 13 counts
\$26,000

Blackhawk Corporation, dba Blackhawk Development Company, a real property developer located in Danville, laundered thirteen campaign contributions made between 1992 and 1996 to Contra Costa county Supervisor Gayle Bishop's campaign committee.

BLAKESLEE, SCOTT, 2008/0400 (2008)

87300 - 1 count
\$200

Scott Blakeslee, a member of the Folsom Library Commission, failed to timely file a 2007 annual statement of economic interests.

BLANCO, SALVADOR M, 2000/0672 (2001)

87302 - 2 counts
\$4,000

The Commission adopted an administrative law judge's proposed decision in the matter Salvador M. Blanco, a member of the Central Region Hearing Board, an attorney position for the San Joaquin Valley Air Pollution Control District in Fresno, who failed to timely file his 1998 and 1999 annual statements of economic interests.

BLEIER, LEO, 2001/0266 (2001)

87300 - 1 count
\$200

Leo Bleier, member of the board of education of the Ukiah Unified School District, failed to timely file a 2000 annual statement of economic interests.

BLISS, TIMOTHY K, 2007/0044 (2007)

84203 - 1 count
\$3,500

Timothy K. Bliss of Santa Barbara failed to timely disclose a late contribution totaling \$50,000.

BLOSSOM VALLEY LANDSCAPING, INC, 1995/0567 (1996)

84300 & 84301 - 4 counts
\$8,000

Blossom Valley Landscaping, Inc., a contractor located in San Jose, and engaged in landscaping and irrigation, laundered four campaign contributions to San Jose City Council candidate Pat Dando in 1995.

BMG ENTERTAINMENT, 2003/0010 (2003)

84200 - 1 count
84203 - 1 count
\$5,250

BMG Entertainment headquartered in New York, New York, failed to disclose a \$15,000 late contribution made to the Yes on 45 Committee and failed to timely file a semi-annual campaign statement in 2002.

BOHN & BOHN, LLP, ROBERT H. BOHN, JR., AND ROBERT H. BOHN, 2003/0835 (2004)

84203 - 1 count
\$1,500

Bohn & Bohn, LLP, Robert H. Bohn, Jr., and Robert H. Bohn failed to properly report late contributions.

BOILEAU, ROBERT, 2003/0648 (2004)

87300 - 1 count
\$250

Robert Boileau failed to timely file a 2002 annual statement of economic interests.

BONE, WILLIAM, 1987/0290 (1989)

84200 - 3 counts
\$3,000

William Bone failed to properly file three campaign statements disclosing contributions made through seven affiliated business entities that totaled \$50,750 in 1985 and \$53,170 in 1986.

BORCALLI, AMELIA, 1993/0321 (1995)

87100 - 2 counts
\$2,500

Amelia Borcalli, a member of the Weed City Council, failed to disqualify herself from governmental decisions during 1993, which had a financial effect on a source of income to her business.

BORDONARO, TOM, JR., BORDONARO FOR STATE ASSEMBLY, AND DAVID L. REDMAN, 1996/0076 (1997)

84211 & 84216 - 1 count
\$1,250

Tom Bordonaro, Jr., candidate for assembly in 1994, and his committee, Bordonaro for State Assembly, and its treasurer, David L. Redman, failed to properly disclose the source of two loans received. This finding was uncovered during a Franchise Tax Board audit of the committee.

BORS, THOMAS, 2011/0870 (2011)

87300 - 1 count
\$200

Thomas Bors, Dixon Regional Watershed JPA, failed to timely file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, with the Technical Assistance Division.

BOSA DEVELOPMENT CALIFORNIA, INC, 2003/0376 (2003)

84200 - 1 count
\$400

Bosa Development California, Inc. of San Diego failed to file a major donor campaign statement in 2002.

BOSCO, DOUGLAS, 2002/0700 (2002)

84203 - 2 counts
\$1,650

Douglas Bosco of Santa Rosa failed to file a late contribution report in 2002.

BOSCO, DOUGLAS H, 2009/0008 (2009)

87300 - 1 count
\$200

Douglas H. Bosco, a board member of the Coastal Conservancy, failed to timely file a 2007 annual Statement of Economic Interests.

BOSSEN, DAVID A, 1998/0294 (1998)

84203 - 1 count
\$1,250

David A. Bossen of Palo Alto failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

BOSTWICK, JAMES, 2001/0228 (2001)

84200 - 1 count
\$400

James Bostwick of San Francisco failed to file a major donor campaign statement during 2000.

BOUL, DAVID, 1999/0438 (2005)

84200 - 1 count
84203.5 - 1 count
84204 - 1 count
\$4,000

David Boul of Moreno Valley failed to disclose the making of a late independent expenditure in 1999, failed to file a semi-annual campaign statement, and a supplemental independent expenditure report in 1999.

BOURAS, NICHOLAS J, 2003/0836 (2004)

84203 - 1 count
\$1,500

Nicholas J. Bouras failed to file a late contribution report in 2002.

BOURASSA, BRENDA, 2012/0708 (2013)

87300 - 1 count
\$200

Brenda Bourassa, as a Manager for the Department of Motor Vehicles, failed to timely file the 2010 Annual Statement of Economic Interests covering the period January 1, 2010 through December 31, 2010, due April 1, 2011.

BOURSEAU, ROBERT I, 1995/0496 (1998)

84300 & 84302 - 3 counts
\$6,000

Robert I. Bourseau, a businessman in Rosemead, failed to disclose accurate and complete information about the true source of contributions when he arranged the purchase of three cashier's checks with funds from his company's account to make contributions to two candidates for the San Fernando City Council in 1994 and failed to identify the contributor on the checks.

BOWER, DWAYNE, 2001/0305 (2003)

87300 - 1 count
\$2,000

Dwayne Bower, a member of the governing board of the Ojai Water Conservation District located in Ventura county, failed to timely file a 2002 annual statement of economic interests.

BOWES, JOHN GARLAND, 2005/0465 (2005)

84200 & 84605 - 1 count
\$1,396

John Garland Bowes of San Francisco failed to file a major donor campaign statement in 2004.

BOYD, SHAWN, 2001/0513 (2002)

87100 - 7 counts
87203 - 1 count
\$25,000

Shawn Boyd, a member of the Seal Beach City Council, violated the conflict-of-interest provisions when he made governmental decisions which had an effect on a source of income in 2000 and failed to report the source of income on his statement of economic interests.

BRADFORD, RICHARD, 2009/0024 (2009)

87300 - 1 count
\$200

Richard Bradford, Alternate Commissioner, California Pepper Commission, failed to timely file a 2007 Statement of Economic Interests.

BRADLEY, OMAR, 2001/0632 (2005)

84200 - 30 counts
84200.5 & 84200.8 - 9 counts
\$73,500

Omar Bradley was a candidate for mayor of the City of Compton in the April 17, 2001, election, and in the June 5, 2001, run-off election. Bradley previously served as mayor of the City of Compton from 1993 until his defeat in the June 5, 2001, run-off election. Committee to Elect Omar Bradley, Committee to Re-elect Omar Bradley Mayor, and Friends of Omar Bradley were controlled committees of Bradley. Respondents failed to timely file semi-annual and pre-election campaign statements for a number of consecutive campaign periods, with the first period beginning January 1, 1999, and the last ending December 31, 2004.

BRADLEY, TOM, 1988/0417 (1990)

84308 - 1 count
\$2,000

Los Angeles Mayor Tom Bradley, while serving as an appointed member of the Los Angeles Transportation Commission, failed to disclose campaign contributions of \$260 or more received during the preceding 12 months from a party seeking a non-competitive bidding contract and to disqualify himself from participation in the decision affecting the campaign contributor, Gruen Associates.

BRANN, RICHARD, 1997/0651 (1998)

84203 - 1 count
84303 & 84211 - 1 count
\$3,000

Richard Brann, former Solano county Supervisor and unsuccessful candidate for state senate in 1986, committed campaign reporting violations, which were discovered during a mandatory state audit of the Brann for Senate Committee. The violations involved the failure of the candidate to report late contributions totaling \$36,075 and the failure to properly disclose subvendors who received payments of \$100 or more from the committee.

BRAUN, KARL, 1986/0364 (1988)

87100 - 1 count
87206 & 87207 - 1 count
\$2,200

Karl Braun, Lompoc City Councilman, violated conflict-of-interest provisions and failed to disclose reportable interests on his statement of economic interests. Mr. Braun had financial interests in commercial property in downtown Lompoc, but participated in discussions and voted to allocate funds to improve the parking situation and make the downtown area more attractive to developers and investors. He also failed to disclose his interest in commercial property.

BRAYTON, GISVOLD & HARLEY, 1997/0651 (1998)

84300 & 84301 - 5 counts
\$9,000

Brayton, Gisvold & Harley, a law firm located in Novato, laundered five campaign contributions to the San Francisco mayoral campaign of Willie Brown in 1995.

BRAZIL, LIONEL, AND BRAZIL DAIRY, 1990/0341 (1995)

84300 & 84302 - 9 counts
\$13,000

Lionel Brazil, a developer in Merced county in 1990 and his business, Brazil Dairy, acted as intermediaries for nine campaign contributions made to the campaign of an unsuccessful candidate in the Santa Clara county Board of Supervisors election in 1990.

BRE PROPERTIES, INC, 2006/0424 (2006)

84200 - 3 counts
\$1,600

BRE Properties of San Francisco failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,000 in 2004 and \$37,500 in 2005.

BREADBOARD ENTERPRISES, 2003/0377 (2003)

84200 - 1 count
\$400

Breadboard Enterprises of San Francisco failed to file a major donor campaign statement in 2002.

BREANS AGAINST MEASURES T & U/REHAN CHAUDRY/BRETT MURDOCK, 2012/0758 (2013)

84101(e) - 1 count
\$2,000

Breans Against Measures T & U (“Respondent Committee”) qualified as a Primarily Formed ballot measure committee on or about October 1, 2012. At all times relevant to this matter, Respondent Rehan Chaudry was the Treasurer of Respondent Committee. At all times relevant, Respondent Brett Murdock was a member of the Brea City Council as well as the controlling candidate. The Respondent Committee opposed Measures T, the Brea Accountability Act, and U, the Brea Open Governance Act, on the ballot in the November 6, 2012 election. Respondents failed to disclose that the Respondent Committee was controlled, and to include the name of the controlling candidate on its statement of organization

BREHM COMMUNITIES, 2006/0425 (2006)

84200 - 2 counts
\$1,600

Brehm Communities of Carlsbad failed to timely file semi-annual campaign statements disclosing contributions totaling \$38,449 in 2004.

BRENCOURT ADVISORS, LLC, 2013/0122 (2013)

86115, 86116, 86117 & 84605 - 2 counts
\$2,000

Brencourt Advisors, LLC and its Responsible Officer, Brian Collins, failed to timely file two Form 635 Lobbyist Employer Reports covering the periods July 1, 2011, through September 30, 2011, and October 1, 2011, through December 31, 2011, failing to disclose total payments made for lobbying services in the amount of \$125,000.

BRESLIN, PATRICIA, 2011/0816 (2011)

87300 - 1 count
\$200

Patricia Breslin, a member of the City and county of San Francisco Rate Fairness Board, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

BREWER , ROBERT, 2009/0565 (2010)

87300 - 1 count
\$200

Robert Brewer, a member of the California Acupuncture Board, failed to file a 2008 annual Statement of Economic Interests.

BREWER, ROBERT, 2012/0521 (2012)

87300 - 1 count
\$200

Robert Brewer, as a Member of the Department of Consumer Affairs Acupuncture Committee, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

BREWINGTON, MELODEE, 2002/0556 (2004)

87300 - 1 count

\$400

Melodee Brewington, a member of the Junction Elementary School District Board of Trustees for the county of Siskiyou, failed to file a 2001 annual statement of economic interests.

BRIARE, MARGARET, 2004/0027 (2004)

87300 - 1 count
\$100

Margaret Briare failed to timely file a 2002 annual statement of economic interests.

BRIDGE HOUSING CORP, 2007/0197 (2007)

84203 - 1 count
\$2,625

Bridge Housing Corp. of San Francisco failed to timely disclose a late contribution totaling \$17,500.

BRIGANTINE, INC, 2006/0450 (2006)

84200 - 1 count
\$800

Brigantine, Inc. of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

BRIGER, PETER L., JR, 2007/0512 (2007)

84203 - 1 count
\$1,500

Peter L. Briger, Jr. of New York, New York, failed to timely disclose a late contribution totaling \$10,000.

BRIGGS, MARK, 2000/0385 (2001)

87300 & 87302 - 1 count
\$1,000

The Commission issued a Default Decision and Order against Mark Briggs, a member of the Commission on HIV Health Services, who failed to file a 1999 annual statement of economic interests.

BRIGGS, MIKE, BRIGGS FOR ASSEMBLY, AND SHARRON NISBETT, 2005/0771 (2009)

84101(a) - 1 count
84104 - 2 counts
84200(a) & 84605(a) - 1 count
84200.3 & 84200.4(a) - 1 count
84203 & 84605(a) - 2 counts
84211(f) - 4 counts
84214 - 1 count
85200 - 1 count
\$34,000

Mike Briggs, an unsuccessful candidate for the Assembly, failed to file a statement of intention to be a candidate for the state Assembly prior to receiving contributions for his legislative campaign; Respondents Mike Briggs, Briggs for Assembly, and Sharron Nisbett, Treasurer for Respondent Committee, failed to file a statement of organization within 10 days of qualifying as a recipient committee; failed to file

a pre-election campaign statement; failed to maintain campaign records; failed to disclose contributor information in campaign statements; failed to file a paper and an electronic late contribution report within 24 hours of receiving late contributions; failed to file a paper and an electronic post-election semi-annual campaign statement, and failed to file a statement of termination.

BRITESMILE, INC, 2001/0553 (2002)

86117 - 5 counts
\$7,500

BriteSmile, Inc., a lobbyist employer located in Walnut Creek, failed to timely file five lobbyist employer reports in 2000 and 2001.

BRITSCH, HANS, 2010/0451 (2011)

87300 - 2 counts
\$400

Hans Britsch, a planning group member of the county of San Diego Valley Center City Planning Group, failed to file assuming office and 2009 annual Statements of Economic Interests.

BRITTINGHAM, SCOTT, 2003/0378 (2003)

84200 - 1 count
\$400

Scott Brittingham of Santa Barbara failed to file a major donor campaign statement in 2002.

BRITZ FERTILIZERS, INC., 2001/0010 (2001)

84200 - 1 count
\$400

Britz Fertilizers, Inc. of Fresno failed to file a major donor campaign statement in 2000.

BROAD & GUZMAN, LLP, 2013/0497 (2013)

86114 & 84605 - 1 count
\$2,500

Broad & Gusman, a California lobbying firm, and Barry Broad, Broad & Gusman's Responsible Officer, failed to timely file a Report of Lobbying Firm (Form 625) covering the period July 1, 2012, through September 30, 2012, failing to disclose total payments received for lobbying services in the amount of \$222,908.04.

BROAD, EDYTHE, 2003/0311 (2003)

84200 - 2 counts
\$800

Edythe Broad of Los Angeles failed to file major donor campaign statements in 2002.

BRONDSTATTER, WALLY, 2009/0378 (2009)

87300 - 1 count
\$200

Wally Brondstatter, Transportation Commissioner for the City of Santa Cruz, failed to timely file a 2008 annual Statement of Economic Interests.

BROOKS, DAVE, 2003/0379 (2003)

84200 - 1 count
\$400

Dave Brooks of Santa Clarita failed to file a major donor campaign statement in 2002.

BROOKS, DAVE, 2005/0308 (2005)

84200 - 1 count
\$400

Dave Brooks of Santa Clarita failed to file a major donor campaign statement in 2001.

BROOKS, JOHN, 1996/0456 (1999)

87406 - 1 count
\$2,000

John Brooks, Waste Management Specialist and Associate Waste Management Specialist in the Governmental and Regulatory Affairs Division, California Integrated Waste Management Board, from 1990 to 1996, made written communications to his former state agency on behalf of his new employer for the purpose of influencing an action involving the awarding of a grant within one year after leaving state service.

BROOKS, MARIE K, 1996/0250 (1997)

84300 & 84301 - 8 counts
\$14,400

Marie K. Brooks, principal owner of Ellis Brooks Chevrolet, a car dealership located in San Francisco, laundered contributions made to the campaign of incumbent San Francisco Mayor Frank Jordan in 1995.

BROOKS, MICHAEL, 2002/0619 (2004)

87203 - 1 count
\$200

Michael Brooks, member of the Hughson Planning Commission, failed to timely file a 2001 annual statement of economic interests.

BROWN & CALDWELL, 2003/0837 (2004)

84203 - 1 count
\$1,500

Brown & Caldwell failed to file a late contribution report in 2002.

BROWN FOR COUNCIL 2010 COMMITTEE, DAVID BROWN, AND WAYNE IVEY, TREASURER, 2011/0207 (2011)

84200 - 1 count
\$200

Marina City Councilman David Brown, and his committee, Brown for Council 2010 Committee, failed to timely file a semiannual campaign statement for the period ending December 31, 2010.

BROWN, C. TERRY, CHARLENE A. BROWN, AND ATLAS HOTELS, INC, 2003/0514 (2005)

84203 - 2 counts
84605 - 2 counts
\$15,000

C. Terry Brown and Charlene A. Brown, principles of Atlas Hotels, Inc., a Delaware corporation, failed to timely disclose late contributions in a properly filed paper late contribution report and failed to timely disclose late contributions in a properly filed electronic late contribution report.

BROWN, DONALD K, 1998/0505 (2001)

84300 & 84301 - 14 counts
86113 - 2 counts
\$32,000

Donald K. BrOwn, a lobbyist and Chairman of the Board of Advocation, Inc., a lobbying firm in Sacramento, laundered 14 campaign contributions to two candidates for Chula Vista City Council and one candidate for state senate, and also failed to disclose two contributions made to state candidates on his lobbyist form in 1996.

BROWN, HARVEY, 1984/0349 (1986)

87100 & 87103 - 1 count
87200 & 87206 - 2counts
87207 - 1 count
\$4,000

The Commission accepted an administrative law judge’s recommended findings that Dr. Harvey Brown, a former Rancho Palos Verdes Planning Commissioner, violated the conflict-of-interest and personal financial disclosure provisions in September 1983, when he voted to approve a coastal permit which allowed a local construction firm to build a 25-unit residential project. The construction firm, Burrell, Ltd., had agreed in August 1983 to loan Brown over \$100,000 to purchase a lot on which Burrell, Ltd. was to design and build a home for him. Brown should have disqualified himself from participating in the permit decision and should have disclosed Burrell, Ltd. as a source of income on his 1983 annual statement of economic interests.

BROWN, KATHLEEN, FRIENDS OF KATHLEEN BROWN, AND MARY ELLEN PADILLA, TREASURER, 1997/0084 (1999)

84203 - 8 counts
84211 - 9 counts
\$24,000

Kathleen Brown, candidate for governor in 1994, her controlled committee, Friends of Kathleen Brown, and Mary Ellen Padilla, its treasurer, failed to itemize campaign contributions and failed to file late contribution reports for contributions totaling over \$1.2 million received between 1993 and 1994.

BROWN, NORMAN, 2011/0695 (2011)

87300 - 1 count
\$200

Norman Brown, Battalion Chief for CalFIRE, Forestry and Fire Protection, failed to file a Statement of Economic Interests covering the reporting period of January 1, 2010, through December 31, 2010, by the April 1, 2011, due date with CalFIRE, Forestry and Fire Protection.

BRUNNER, JANE, AND THE FRIENDS OF JANE BRUNNER COMMITTEE, 1996/0190 (1998)

84305 - 1 count
\$1,750

Jane Brunner, successful candidate for the Oakland City Council, District 1, and her controlled committee, Friends of Jane Brunner, sent a political mass mailing in 1995 which did not have proper sender identification.

BRUNS BELMONT CONSTRUCTION, INC, 1995/0567 (1996)

84300 & 84301 - 5 counts
\$10,000

Bruns Belmont Construction, Inc., a San Jose based company providing general contractor services, laundered five campaign contributions to San Jose City Council candidate Pat Dando in 1995.

BRUYN, PAIGE, 2006/0937 (2008)

87300 - 1 count
\$250

Paige Bruyn, Vehicle Parking District Commissioner, City of Pomona, failed to timely file her 2005 annual statement of economic interests.

BRYAN, CURTIS V., 1993/0275 (1996)

87100 - 1 count
87203 - 1 count
\$3,000

Curtis V. Bryan, Ridgecrest City Councilmember, in his official capacity influenced the reconsideration of a denied application for annexation in which he had a financial interest based on income. Bryan also failed to disclose this income on his annual statement of economic interests.

BRYAN, WILLIAM, BRYAN FOR SUPERVISOR COMMITTEE AND PATRICIA BRYAN, 1983/0003 (1988 CIVIL LAWSUIT)

84211
87206
87207
\$292,500

William Bryan was a member of the Sacramento county Board of Supervisors who failed to disclose numerous gifts, loans, real property interests, and investments on his statements of economic interests covering 1981 through 1983. In addition, several disclosure violations were committed regarding Mr. Bryan’s campaign statements.

BTR, INC., JILL LEDERER, YES! REMOVE ELOIS ZEANAH COMMITTEE, AND LINDA TUCKER, TREASURER, 1997/0524 (2000)

84106 - 1 count
 84200 - 1 count
 84203 - 3 counts
 84211 - 5 counts
 84305- 2 counts
 \$18,000

BTR, Inc., a pizza franchise business located in Thousand Oaks, and its owner, Jill Lederer, failed to timely file a major donor campaign statement, failed to file late contribution reports, and failed to include proper sender identification on two mass mailings sent in 1996, all in connection with their support of a local ballot measure. In addition, BTR, Inc., Jill Lederer, the Yes Remove Elois Zeanah Committee, and its treasurer, Linda Tucker, failed to timely disclose contributions, failed to file late contribution reports, and failed to disclose the sponsored committee status in connection with their support of a recall in 1997.

BUCHANAN, ANGELA, “BAY” AND BUCHANAN FOR TREASURER 1990, 1992/0052 (1994)

84211 - 3 counts
 84303 - 3 counts
 \$9,000

Angela “Bay” Buchanan, candidate for State Treasurer in 1990, and her controlled committee Buchanan for Treasurer 1990, failed to itemize the source of \$41,100 in contributions for two reporting periods. Buchanan also failed to report, for a significant number of contributors, the occupation and employer or name of business of contributors for one reporting period and failed to disclose significant subvendor information totaling \$222,998 on three campaign statements for the reporting periods from January 1, 1990, to June 30, 1990.

BUCK, DAVID, 1980/0020 (1980)

87300 - 4 counts
 \$2,000

David Buck, former executive secretary of the State Board of Funeral Directors and Embalmers, committed violations occurring in a special senatorial election held in Santa Clara county. Buck failed to report on his statement of economic interests a \$30,000 loan received from Melvin Hilgenfeld, president and manager of the Hilgenfeld Mortuary, on December 27, 1978, and a \$65,000 loan received from the Green Acres Memorial Park Cemetery Endowment Care Fund on May 8, 1979. Buck also failed to report an investment in the BruneTalmage Company acquired on December 29, 1978.

BUDHRAJA, VIKRAM, 2002/1075 (2004)

87100 - 5 counts
 \$17,500

Vikram Budhreja, a Department of Water Resources consultant, participated in making five governmental decisions in which he had a financial interest in 2001.

BUFORD, ALESCIA, 1989/0396 (1990)

87300 - 1 count

\$1,500

Alescia Buford, an assembly consultant, failed to provide timely disclosure of her financial interests in 1989. Ms. Buford did not file her statement of economic interests until after being notified repeatedly by the Commission. The statement disclosed income of more than \$10,000 from her public relations firm and an investment in real property in Sherman Oaks. A year earlier, Ms. Buford filed her statement 63 days late, paying a \$100 late filing fine in August 1988.

BUHL, HENRY M., 2002/1021 (2003)

84203 - 1 count
 \$1,500

Henry M. Buhl of New York City failed to file a late contribution report in 2002.

BUILDING INDUSTRIES ASSOCIATION OF CENTRAL CALIFORNIA POLITICAL ACTION COMMITTEE (“BIACC PAC”) AND STEPHEN D. MADISON, 2005/0735 (2007)

84200.7 & 84605 - 1 count
 84605 - 3 counts
 \$5,725

BIACC PAC and Stephen D. Madison failed to file electronically three semi-annual statements and in paper format and electronically a pre-election statement. Respondents also failed to electronically file four late contribution reports within 24 hours of making late contributions totaling \$11,500.

BUKOWSKI, KEN, AND CITIZENS TO ELECT KEN BUKOWSKI, 2006/0029 (2009)

84104 - 1 count
 84200(a) - 4 counts
 84300(b) - 1 count
 87203 & 87300 - 4 counts
 89512 - 1 count
 \$15,500

Ken Bukowski has been a council member for the City of Emeryville since 1987. He was most recently re-elected on November 6, 2007 and now serves as mayor. Citizens to Elect Ken Bukowski is Respondent Bukowski’s controlled committee. Respondent failed to maintain records necessary to prepare campaign statements for the reporting period July 1, 2003-December 31, 2003, made four cash expenditures of \$100 or more, failed to file four semi-annual campaign statements, made eleven expenditures to Respondent Bukowski that were not related to a political, legislative or governmental purpose, and failed to file annual Statements of Economic Interests for the years 2003, 2004, 2005, and 2006.

BULLARD, RONALD, 2002/0597 (2004)

87300 - 2 counts
 \$4,000

Ronald Bullard failed to timely file a 2001 and 2002 annual statement of economic interests.

BURBANK - GLENDALE - PASADENA AIRPORT AUTHORITY, 1997/2002 (1999)

84200 - 1 count
\$3,000

Burbank-Glendale-Pasadena Airport Authority, a public entity which was formed under a Joint Powers Agreement, qualified as an independent expenditure committee by sending mailers to voters urging a “no” vote in a local ballot measure election, but failed to timely file a campaign statement and a late independent expenditure report in 1997.

BURGESS, GERALD, GERALD BURGESS FOR COLLEGE BOARD, AND KIMBERLY BURGESS, 2007/0017 (2009)

84104 - 1 count
84200(a) - 2 counts
89512 - 1 count
\$11,500

Gerald Burgess, a former member of the Compton Community College Board, Gerald Burgess for College Board and committee treasurer Kimberly Burgess, failed to file semi-annual campaign statements; made expenditures that were unrelated to a political, legislative or governmental purpose, and failed to maintain detailed accounts, records, bills and receipts that were necessary to prepare a campaign statement.

BURKE, ANDERS ASHER JESIAH, 2013/0765 (2013)

87203 - 1 count
\$400

Anders Asher Jesuah Burke, a field representative for Assemblyman Brian Jones, failed to timely file the 2012 Annual Statement of Economic Interests.

BURKE, JEFFREY, 2009/0555 (2009)

87300 - 1 count
\$200

Jeffrey Burke, South Pasadena Finance Committee member, failed to timely file a 2008 annual Statement of Economic Interests with the City of South Pasadena.

BURKE, YVONNE BRATHWAITE AND COMMITTEE TO ELECT YVONNE BRATHWAITE BURKE SUPERVISOR L.A. COUNTY, 1995/0182 (1996)

84203 - 2 counts
84211 - 3 counts
\$9,000

Yvonne Brathwaite Burke, a Los Angeles county Supervisor, and her controlled committee, failed to timely report in-kind contributions received from Nestle USA, Inc., during the November 1992 election.

BURNHAM, KAREN, 2012/0030 (2012)

87300 & 87302 - 2 counts
\$2,000

Karen Burnham, Assistant City Manager for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in each of the years 2007 and 2008, and failed to report these gifts on her annual Statements of Economic Interests for reporting periods, January 1, 2007, through December 31, 2007, due April 1, 2008; and January 1, 2008, through December 31, 2008, due April 1, 2009.

BURROWES, HARRY, 2007/0407 (2010)

87300 - 1 count
\$400

Harry Burrowes, Consultant, City of Chula Vista, failed to timely file a Statement of Economic Interests for calendar year 2005.

BURT, LAURIE, 2010/0791 (2010)

84200(a) - 1 count
\$400

Laurie Burt of San Diego, failed to timely file a semi-annual campaign statement disclosing a contribution totaling \$25,900 in 2009.

BURUM, KELLIE, 2007/0418 (2007)

84200 - 2 counts
\$800

Kellie Burum of Upland failed to timely file semi-annual campaign statements disclosing contributions totaling \$31,900 in 2006.

BURY, SUSAN, 2010/0289 (2012)

87300 & 87302(b) - 2 counts
\$6,000

Susan Bury, a commissioner on the Madera county Economic Development Commission from July 9, 2007, to April 1, 2009, failed to file a Statement of Economic Interests for the January 1, 2008, through December 31, 2008, reporting period, due April 1, 2009, and failed to file a leaving office Statement of Economic Interests for the January 1, 2009, through April 1, 2009, reporting period, due May 1, 2009.

BUSH, BING, 2008/0023 (2008)

87300 - 1 count
\$200

Bing Bush, consultant to the Metropolitan Transit System, failed to timely file an annual statement of economic interests.

BUSH, LARRY E, 1987/0059 (1987)

87300 - 2 counts
\$1,900

Larry Bush, an administrative aide to Assemblyman Art Agnos of San Francisco, failed to timely file required annual financial disclosure statements in 1985 and 1986 despite prior notification of his obligations by the Commission.

BUSHALA, TONY, 2012/0831 (2013)

84200.5(i)(1) - 4 counts
\$9,500

Tony Bushala, an active major donor committee in 2012, failed to timely file a preelection statement covering the period January 1, 2012, through March 17, 2012, due March 22, 2012; failed to timely file a preelection statement covering the period March 18, 2012, through May 19, 2012, due May 24, 2012; failed to timely file a preelection statement covering the period July 1, 2012, through September 30, 2012, due October 5, 2012; and, failed to timely file a preelection statement covering October 1, 2012, through October 20, 2012, due October 25, 2012.

BUSINESSES UNITED FOR GOOD GOVERNMENT, AND REX ALBRIGHT, 2007/0687 (2008)

84203 - 4 counts
\$16,000

Businesses United for Good Government is a general purpose committee and Rex Albright served as the committee treasurer. Respondents failed to disclose receiving three late contributions totaling \$7,969, and failed to disclose making a late contribution totaling \$5,000.

BUSTAMANTE, CRUZ M., LIEUTENANT GOVERNOR BUSTAMANTE 2002 COMMITTEE, YES ON BUSTAMANTE, AND THE CRUZ BUSTAMANTE COMMITTEE AGAINST PROP 54, 2003/0593 (2004 CIVIL LAWSUIT)

84211
85201
85301
85302
\$263,000

Cruz M. Bustamante and his controlled committees, Lieutenant Governor Bustamante 2002 Committee, Yes on Bustamante, and the Cruz Bustamante Committee Against Prop 54, improperly accepted contributions in excess of the legal limits, deposited contributions and made expenditures from the wrong committee bank account, and improperly reported contributions and expenditures in connection with the October 7, 2003, gubernatorial election.

BUTLER, GEOFFREY, 2006/1008 (2007)

87300 - 1 count
\$100

Geoffrey Butler, Marin county Strawberry Design Review board member, failed to timely file his 2005 annual statement of economic interests.

BUTLER, GEOFFREY, 2007/0781 (2008)

87300 - 1 count
\$800

Geoffrey Butler, as a member of the Strawberry Design Review Board, and designated employee under Marin county's Conflict-of-Interest Code, failed to timely file his 2006 annual statement of economic interests.

BUTTE COUNTY REPUBLICAN CENTRAL COMMITTEE AND JACK R. SARGENT, 2003/0474 (2004)

85303 - 2 counts
\$10,000

Butte county Republican Central Committee, a political party committee, and its treasurer, Jack R. Sargent, accepted and improperly used contributions in excess of the legal limits in 2002.

BUTTE COUNTY REPUBLICAN PARTY AND DARREL W. WILSON, 2013/0626 (2013)

84200 & 84605 - 2 counts
\$400

Butte county Republican Party and Darrel W. Wilson, the committee's Treasurer, failed to timely file the campaign statement covering the period January 1, 2013, through June 30, 2013, due July 31, 2013, with the Secretary of State.

BUTTENWIESER, PETER L, 2003/0380 (2003)

84200 - 1 count
\$400

Peter L. Bittenwieser of Philadelphia, Pennsylvania, failed to file a major donor campaign statement in 2002.

BYRD, LOUIS, COMMITTEE TO ELECT LOUIS BYRD, AND IANTHE AND SHIRLEY BYRD,, 2002/0189 (2004)

84203 - 1 count
84211 - 3 counts
85201 - 1 count
\$18,000

Louis Byrd, the Committee to Elect Louis Byrd, Ianthe Byrd and Shirley Byrd made campaign expenditures from a source other than the campaign bank account, failed to disclose occupation and employer information for contributors of \$100 or more, and failed to disclose a late contribution in a properly filed late contribution report.

BYRNE, E. BLAKE, 2000/0458 (2000)

84203 - 1 count
\$1,500

E. Blake Byrne of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

C.C. MYERS, INC, 2003/0381 (2003)

84200 - 1 count
\$400

C.C. Myers, Inc. of Rancho Cordova failed to file a major donor campaign statement in 2002.

C.W. POSS, INC, 2003/0382 (2003)

84200 - 2 counts
\$889

C.W. Poss, Inc. of Fullerton failed to file major donor campaign statements in 2002.

CABALLERO, ANNA M., 2009/0851 (2010)

87207 - 1 count
\$200

Assemblymember Anna Caballero failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luise?o Mission Indians valued at \$94.46.

CABALLERO, ANNA, ET AL., 2011/0275 (2013)

84211(k) & 84303 - 1 count
\$1,000

Anna Caballero, an unsuccessful candidate for California State Senate in the November 2, 2010 Statewide General Election, Anna Caballero for Senate 2010, her candidate controlled committee, and James R. Santos, the committee's treasurer, failed to timely report subvendor information for payments totaling approximately \$825,335 on Form 460 Campaign Statements filed for the reporting periods ending September 30, 2010, and October 16, 2010.

CABRASER, ELIZABETH, 2002/0425 (2002)

84200 - 1 count
84203 - 3 counts
\$4,500

Elizabeth Cabraser of San Francisco failed to timely file late contribution reports in 2000 and failed to file a major donor campaign statement in 2001.

CABRASER, ELIZABETH J, 2003/0383 (2003)

84200 - 2 counts
84605 - 1 count
\$12,000

Elizabeth J. Cabraser of San Francisco failed to file major donor campaign statements in paper and electronic format in 2002.

CAJON VALLEY EDUCATION ASSOCIATION AND GREGORY N. CHASE, TREASURER, 2010/0564 (2011)

84200 - 2 counts
\$400

Cajon Valley Education Association and its treasurer, Gregory N. Chase, failed to file two semiannual campaign statements.

CALDERON, CHARLES, CALDERON CAMPAIGN COMMITTEE, CHARLES CALDERON FOR SENATE 90/SPECIAL, CALDERON FOR SENATE 90, AND CHARLES CALDERON FOR SUPERVISOR, 1991/0159 (1995)

84303 - 9 counts
89513 - 3 counts
\$15,000

Charles Calderon, as an assembly member, 59th Assembly District and a senate member, 26th Senate District, and his controlled committees, failed to disclose subvendors for expenditures totaling \$32,407.44. In addition, Charles Calderon and his controlled committees improperly used campaign funds for modeling photographs, a costumed entertainer and a tennis outfit that were not related to campaign purposes.

CALDERON, CHARLES, CALDERON FOR SENATE '98, CALDERON FOR ATTORNEY GENERAL, AND PHILLIP PACE, 2000/0061 (2000)

83116.5 & 89503 - 1 count
84200.5 - 2 counts
84303 - 2 counts
85201 - 1 count
89513 - 3 counts
89516 - 1 count
\$18,000

Charles Calderon, former member of the Senate, 30th District, and candidate for State Attorney General in 1998, his controlled committees, Calderon for Senate '98, its treasurer, Phillip Pace, and Calderon for Attorney General, made improper expenditures of campaign funds for personal travel, vehicle and clothing expenses, failed to file pre-election campaign statements, caused an elected state officer to accept an illegal gift, failed to deposit personal funds into the campaign bank account, and failed to disclose subvendor information from 1996 to 1998.

CALDERON, RON, 2009/0856 (2010)

87207 - 3 counts
\$600

Ron Calderon failed to disclose on his 2008 Statement of Economic Interests a gift in the amount of \$189.94 from Medtronic, Inc., for a meal, a gift in the amount of \$250.00 from CA Telecom Assn. for a golf fee, and a gift in the amount of \$300.00 from Verizon for concert tickets.

CALDERON, SERGIO, FRIENDS OF CALDERON FOR DIRECTOR, AND BILLIE HERNANDEZ, TREASURER, 2011/0053 (2011)

84200.5 - 1 count
\$400

Sergio Calderon, a board member for Water Replenishment District 4, his committee, Friends of Calderon for Director, and Billie Hernandez, Treasurer, failed to timely file a pre-election campaign statement for the period covering October 1, 2010, through October 16, 2010.

CALEGARI, GREGOIRE, 1984/0300 (1987)

84211 & 84300
\$1,500

Senator Quentin Kopp and his controlled campaign committee, Friends of Quentin Kopp, and Gregoire Calegari, the treasurer, failed to disclose certain contributor, vendor and expenditure information on nine campaign statements filed between 1982 and 1984 in connection with Kopp's campaign for county supervisor.

The Friends of Quentin Kopp committee also received unlawful cash contributions of \$100 or more during 1982.

CALIBER COLLISION CENTERS, 2002/1022 (2003)

84203 - 1 count
\$1,500

Caliber Collision Centers of Irvine failed to file a late contribution report in 2002.

CALIFORMULA BROADCASTING, 2002/0783 (2002)

84203 - 1 count
\$1,500

Califormula Broadcasting of Chula Vista failed to file a late contribution report in 2002.

CALIFORNIA ASIAN FAMILIES NETWORK AND RON JIN, 2007/0167 (2010)

84218(a) - 1 count
\$2,000

California Asian Families Network, a slate mailer organization, and Ron Jin, Treasurer, violated the Political Reform Act by failing to file a semi-annual campaign statement for the reporting period January 1, 2006, to November 6, 2006, by the January 31, 2007 due date.

CALIFORNIA ASSISTED LIVING FACILITIES ASSOCIATION, 2001/0494 (2001)

86116 & 86117 - 11 counts
\$11,000

California Assisted Living Facilities Association, a lobbyist employer in Sacramento, failed to timely file eleven quarterly lobbyist employer reports from 1997 to 2000.

CALIFORNIA ASSOCIATION FOR THE GIFTED PAC, AND CATHLEEN SILVA,, 2000/0671 (2003)

84200 - 1 count
84200.5 - 1 count
\$2,500

California Association for the Gifted PAC, a general purpose committee in Sacramento, and its treasurer, Cathleen Silva, failed to file a pre-election and a semi-annual campaign statement in 1998 and 1999.

CALIFORNIA ASSOCIATION OF DRINKING DRIVER TREATMENT PROGRAMS AND MICHAEL WOOD, 1999/0814 (2000)

84200.5 & 84200.7 - 2 counts
\$2,500

California Association of Drinking Driver Treatment Programs, a general purpose committee, and its treasurer, Michael Wood, failed to timely file first and second pre-election statements in connection with the 1996 general election.

CALIFORNIA ASSOCIATION OF HIGHWAY PATROLMEN AND JON H. HAMM, 2012/0724 (2013)

84200.5(d) & 84200.7 - 1 count

84211(e) - 1 count
84211(a) & 84211(d) - 1 count
\$6,500

California Association of Highway Patrolmen and Jon H. Hamm, the committee's Treasurer, failed to timely file a pre-election campaign statement; failed to timely disclose the accurate balance of cash for ten consecutive reporting periods beginning January 1, 2009, through December 31, 2010, by up to approximately \$98,459; and failed to timely report contributions received during four consecutive reporting periods beginning May 23, 2010, through December 31, 2010, totaling approximately \$151,239.

CALIFORNIA ASSOCIATION OF LOCAL CONSERVATION CORPS, 2001/0259 (2001)

84200 - 1 count
\$600

California Association of Local Conservation Corps of Mill Valley failed to file a major donor campaign statement in 2000.

CALIFORNIA ASSOCIATION OF SHEET METAL AND AIR CONDITIONING CONTRACTORS PAC, AND CYNDI MARSHALL, TREASURER, 1999/0088 (2000)

84300 - 3 counts
\$5,400

California Association of Sheet Metal and Air Conditioning Contractors PAC, a general purpose committee organized in Sacramento, and Cyndi Marshall, its treasurer, illegally received cash contributions of \$100 or more in 1995 and 1996 totaling \$6,050.

CALIFORNIA AUTOBODY REPAIR POLITICAL ACTION COMMITTEE AND JOHN SUTHERLAND, III, TREASURER, 2001/0243 (2001)

84200.7 - 4 counts
84200.8 - 2 counts
84203 - 1 count
\$10,000

California Autobody Repair Political Action Committee, a recipient committee located in Sacramento, and John Sutherland, III, its treasurer, failed to file six pre-election campaign statements and one late contribution report in 1998.

CALIFORNIA AUTOBODY REPAIR POLITICAL ACTION COMMITTEE, AND RICK JOHNSON, 2006/0763 & 2007/0164 (2009)

84200.5(d), 84200.7(b), 84215 & 84605(b) - 2 counts

84200(a), 84215 & 84605(b) - 2 counts
\$11,000

California Autobody Repair Political Action Committee is a state general purpose committee sponsored by the California Autobody Association. Rick Johnson served as Respondent Committee's treasurer. Respondents failed to timely file in paper format and electronically two pre-election campaign statements and failed to timely file in

paper format and electronically two semi-annual campaign statements.

CALIFORNIA CAMPAIGN FOR NEW DRUG POLICIES, YES ON PROP. 36, AND DAVE FRATELLO,, 2002/1059 (2006)

84211 - 2 counts
 84303 - 5 counts
 \$12,000

Yes on Prop. 36 was a ballot measure committee primarily formed to support Proposition 36 in the 2000 general election. Dave Fratello served as the treasurer. Respondents failed to report required information regarding expenditures totaling \$1,412,285 made to subvendors; failed to accurately report the total amount of expenditures made during a campaign period as well as the cumulative amount of expenditures made as of the closing date for that reporting period; and failed to report specific information relating to persons to whom an expenditure of \$100 or more was made.

CALIFORNIA CEMENT PROMOTION COUNCIL, 2000/0396 (2000)

84203 - 1 count
 \$1,500

California Cement Promotion Council of Danville failed to file a late contribution report during the March 7, 2000, primary election.

CALIFORNIA COALITION FOR IMMIGRATION REFORM, AND BARBARA ANNE COE, TREASURER,, 1994/0651 (1998)

84200.8 - 1 count
 84203.5 - 1 count
 84204 - 1 count
 \$3,250

California Coalition for Immigration Reform of Huntington Beach, and Barbara Anne Coe, its treasurer, failed to timely file an independent and a late independent expenditure report disclosing expenditures made in support of Proposition 187 during 1994. In addition, the Coalition and Ms. Coe failed to file a semi-annual campaign statement.

CALIFORNIA COLLABORATION FOR YOUTH AND RICK BENFIELD, 2010/0362 (2012)

86115, 86117 & 84605 - 12 counts
 \$12,000

California Collaboration for Youth, a California lobbyist employer, and Rick Benfield, the Youth's Responsible Officer, failed to timely file twelve Lobbyist Employer Reports between October 1, 2009 and September 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$149,032.28.

CALIFORNIA COMMERCE CLUB, 1995/0506 (1997 CIVIL LAWSUIT)

84200
 84203.3
 84211

\$24,000

California Commerce Club (CCC), a California corporation with its principal place of business in Commerce, failed to file major donor statements, to timely disclose in-kind contributions made to Just Say No to Casinos, a committee opposing Measure B in a June 1995 Pico Rivera election. CCC also retained and paid a consultant, who used part of the monies to produce mailers on CCC's behalf, which identified the Save Our City committee as the sender.

CALIFORNIA COMMERCE CLUB, INC., (1999 CIVIL LAWSUIT)

84200
 84203.5
 84211
 \$85,000

California Commerce Club, Inc., a California corporation with its principal place of business in Commerce, failed to report independent expenditures on semi-annual and supplemental independent expenditure campaign statements filed in 1995 and failed to disclose sponsorship of a committee.

CALIFORNIA CONFERENCE BOARD, AMALGAMATED TRANSIT UNION PAC, DONALD DELIS, TREASURER, 2012/0556 (2012)

84203 - 1 count
 \$4,860

This case arose from an audit of the California Conference Board, Amalgamated Transit Union PAC by the Franchise Tax Board. The California Conference Board, Amalgamated Transit Union PAC made a contribution in the amount of \$32,400 to the California Democratic Party, during the late contribution reporting period in connection with the August 17, 2010 Special General Election, Fifteenth Senate District, and failed to report the contribution in a timely manner.

CALIFORNIA CONSERVATIVE PAC AND JOHN FUGATT, TREASURER, 2012/0378 (2012)

84204 & 85500 - 4 counts
 \$1,000

California Conservatice PAC and John Fugatt, Treasurer, failed to timely file, with the appropriate filing officers in paper and electronic format, Late Independent Expenditure reports, Form 496, for late independent expenditures made on the dates May 26, 2012, May 30, 2012, and May 31, 2012, all due within 24 hours of the making of the late independent expenditure.

CALIFORNIA COOPERATIVE CREAMERY STATE PAC, 1999/0818 (2000)

84200.5 - 2 counts
 \$3,000

The California Cooperative Creamery State PAC in Petaluma failed to file two pre-election campaign statements in 1996.

CALIFORNIA CREDIT UNION LEAGUE AND RICHARD MERSEREAU, 1995/0048 (1995)

86113 & 86117 - 9 counts
\$13,000

Richard Mersereau, a lobbyist, and the California Credit Union League, his employer, failed to timely file nine quarterly lobbyist and lobbyist employer reports from 1992 and 1994.

CALIFORNIA DEMOCRATIC VOTER CHECKLIST AND CLINTON REILLY, 1992/0687 (1996)

84218 - 1 count
84220 & 84203 - 40 counts
\$42,000

The California Democratic Voter Checklist, a slate mailer organization located in San Francisco, which primarily endorses Democratic candidates and ballot measures in local, state, and federal elections, and Clinton Reilly, treasurer, failed to timely file a campaign statement and late payment reports in 1992 and 1994.

CALIFORNIA DENTAL ASSOCIATION ISSUES FUND, 2004/0736 (2005)

84203 - 1 count
\$3,500

California Dental Association Issues Fund of Sacramento failed to file a late contribution report in 2002.

CALIFORNIA DEPARTMENT OF WATER RESOURCES, 2001/0335 (2002)

81010 - 52 counts
\$69,500

The California Department of Water Resources failed to determine whether 52 consultants timely filed their assuming office statements of economic interests from 2001 and 2002.

CALIFORNIA EMPLOYMENT LAW COUNCIL, 2013/0489 (2013)

86115, 86116, 86117 & 84605 - 2 counts
\$400

California Employment Law Council, a California lobbyist employer, and Paul Grossman, California Employment Law Council's General Counsel and Responsible Officer, failed to timely file Form 635 Lobbyist Employer Report covering the periods July 1, 2012, through December 31, 2012, failing to disclose total payments made for lobbying services in the amount of \$30,672.12.

CALIFORNIA FIRST ADVOCACY FUND, 2007/0746 (2008)

84101 - 1 count
84200 - 7 counts
84200.3 & 84200.4 - 2 counts
84200.5 & 84200.8 - 3 counts
84203 - 1 count
\$36,000

California First Advocacy Fund was a state general purpose committee. From 2003 through 2007 it failed to file a statement of organization, failed to file pre-election campaign statements, failed to file semi-annual campaign statements, and failed to disclose making a late contribution to a primarily formed ballot measure committee in a late contribution report.

CALIFORNIA GAMING ASSOCIATION, 2011/0137 (2011)

86115 - 1 count
\$200

Lobbyist employer California Gaming Association failed to timely file a quarterly statement for the reporting period of July 1, 2010, through September 30, 2010 (during which it spent \$15,000 on lobbying activity).

CALIFORNIA HEALTHCARE COMMITTEE ON ISSUES, SPONSORED BY CALIFORNIA HEALTHCARE ASSOCIATION, AND DOUG HITCHCOCK, 1999/0085 (2000)

84203 - 1 count
\$2,000

California Healthcare Committee on Issues, Sponsored by California Healthcare Association, a state general purpose committee domiciled in Sacramento, and its treasurer, Doug Hitchcock, failed to file a late contribution report in 1996.

CALIFORNIA HOSPITALS AFFILIATED INSURANCE SERVICES, LLC, 2006/0416 (2006)

84203 - 2 counts
\$2,250

California Hospitals Affiliated Insurance Services, LLC of Sacramento failed to timely disclose late contributions totaling \$15,000 in 2006.

CALIFORNIA HOUSING CONSORTIUM, 2003/0384 (2003)

84200 - 1 count
\$400

California Housing Consortium of Culver City failed to file a major donor campaign statement in 2002.

CALIFORNIA INDEPENDENT BUSINESS POLITICAL ACTION COMMITTEE AND CHARLES H. BELL, JR, 1999/0195 (2004)

84305 - 8 counts
\$16,000

California Independent Business Political Action Committee, a general purpose recipient committee, and its treasurer, Charles H. Bell, Jr., failed to provide proper sender identification on eight mass mailings sent in 1996.

CALIFORNIA INDEPENDENT PUBLIC EMPLOYEES LEGISLATIVE COUNCIL PAC, 1999/0817 (2000)

84200.5 - 2 counts

\$2,500

California Independent Public Employees Legislative Council PAC of Sacramento failed to file pre-election campaign statements in 1996.

CALIFORNIA INDUSTRIAL HYGIENE COUNCIL AND JAIME STEED-LYDE, 2010/0361 (2012)

86115, 86117 & 84605 - 10 counts
\$10,000

California Industrial Hygiene Council, a California lobbyist employer, and Jaime Steedman-Lyde, the Responsible Officer, failed to timely file ten Lobbyist Employer Reports between October 1, 2009 and September 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$95,557.03.

CALIFORNIA INSURANCE GROUP, 1999/0506 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

California Insurance Group, located in Monterey, failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

CALIFORNIA JUDGES ASSOCIATION-COMMITTEE FOR PUBLIC RESPONSIBILITY, 1989/0186 (1990)

84203 - 1 count
84205 - 1 count
\$4,000

The California Judges Association - Committee for Public Responsibility committed two campaign reporting violations in 1986. The violations involved the failure of the committee to provide proper sender identification on a mass mailing and to report \$8,500 in late contributions received during the 1986 general election. The case resulted from a mandatory state audit of campaign statements filed by the committee primarily formed to oppose Proposition 61, a government salary limitation measure defeated in the fall election.

CALIFORNIA LEAGUE OF CONSERVATION VOTERS AND FREDRIC D. WOOCHEER,, 1998/0734 (2001)

84203 - 5 counts
84203.5 - 1 count
84211 - 1 count
\$14,000

California League of Conservation Voters, a general purpose committee in San Francisco, and Fredric D. Woocher, its treasurer, failed to timely file late contribution reports and independent expenditure reports and failed to disclose and itemize contributions of \$100 or more in 1996.

CALIFORNIA LEAGUE OF CONSERVATION VOTERS AND JAMES COMPTON, TREASURER, 1990/0009 (1991)

84204 - 1 count
84211 - 1 count
\$4,000

California League of Conservation Voters and James Compton, its treasurer, failed to disclose a \$50,000 contribution received and five late independent expenditures made, each amounting to \$1,927.60, in connection with mailing a statewide “Voters Guide” in 1986.

CALIFORNIA LEAGUE OF CONSERVATION VOTERS STATE COMMITTEE AND MICHAEL HAWK, 2012/0725 (2013)

84203 - 3 counts
\$1,650

This case arose from an audit of Committee by the Franchise Tax Board. In this matter, Committee made five contributions amounting to \$11,000 during the late contribution reporting periods in connection with the June 8, 2010, August 17, 2010 and November 2, 2010, elections and failed to timely report each contribution.

CALIFORNIA MARKET AND RICHARD RHEE, 1995/0061 (1996)

84200 - 1 count
\$2,000

California Market, a market based in Los Angeles, Garden Grove, and Gardena, and its Executive President Richard Rhee, failed to file a major donor campaign statement for a \$50,000 contribution made to the Ira Reiner for District Attorney Committee in 1992.

CALIFORNIA NEW CAR DEALERS AND THOMAS W. HILTACHK, TREASURER, 2012/0557 (2012)

84203 - 1 count
\$1,500

This case arose from an audit of the California New Car Dealers by the Franchise Tax Board. The California New Car Dealers made a contribution in the amount of \$10,000 to the Budget Reform Now committee, during the late contribution reporting period in connection with the May 19, 2009 Statewide Special Election, and failed to report the contribution in a timely manner.

CALIFORNIA PHYSICIANS FOR A LOGICAL AIDS RESPONSE AND BRETT BARBRE, TREASURER, 1988/0454 (1991)

84101 - 1 count
84200 - 4 counts
84200.5 - 2 counts
\$10,000

The Commission accepted an administrative law judge’s recommended findings that the California Physicians for a Logical AIDS Response (CPLAR) and Brett Barbre, treasurer, failed to file campaign disclosure statements in connection with its support of Proposition 102 (the AIDS reporting initiative) on the November 1988 statewide ballot. When the statements were filed a year later in

November 1989, it was disclosed that CPLAR had raised over \$160,000 in campaign contributions.

CALIFORNIA PODIATRY POLITICAL ACTION COMMITTEE, 1991/0207 (1992)

84200 - 4 counts
 84200.5 & 84200.7 - 4 counts
 84202.7 - 1 count
 \$9,000

The California Podiatry Political Action Committee failed to file campaign statements in 1988, 1989, and 1990, disclosing receipts and expenditures made to numerous candidates. After discovering the reports had not been filed, the PAC's sponsoring organization, California Podiatric Medical Association, hired an accounting firm to audit its records, and the statements were filed in December 1990. The Secretary of State fined the PAC \$7,000 for the late filings.

CALIFORNIA PRO BUSINESS COMMITTEE AND RAVINDER MEHTA, 2000/0051 (2001 CIVIL LAWSUIT)

84200
 84211
 89512.5
 \$23,000

California Pro Business Committee, a recipient committee, and its treasurer, Ravinder Mehta, failed to timely disclose accrued expenses on campaign statements, made expenditures that conferred a substantial personal benefit to Mehta, and failed to timely itemize information about this payment on campaign statements in 1998.

CALIFORNIA PRO-LIFE COUNCIL, INC, 1997/0582 (1998)

84200 - 1 count
 84211 - 1 count
 \$3,000

California Pro-Life Council, Inc., a general purpose committee audited by the Franchise Tax Board for the years 1993 and 1994, failed to list contributor occupation and employer information and failed to timely file a semi-annual campaign statement.

CALIFORNIA PRO-LIFE COUNCIL, INC. PAC AND JAMES MATHWIG, 2001/0182 (2003)

84204 - 8 counts
 \$11,000

California Pro-Life Council, Inc. PAC, a state general purpose committee, and its treasurer, James Mathwig, failed to file late independent expenditure reports in 1998.

CALIFORNIA REFUSE REMOVAL COUNCIL NORTH POLITICAL ACTION COMMITTEE, 1999/0816 (2000)

84200.7 & 84200.8 - 3 counts
 84202.7 - 1 count
 \$5,750

California Refuse Removal Council North Political Action Committee, a state general purpose committee, failed to timely file three pre-election campaign statements and one odd-year campaign report in 1995 and 1996.

CALIFORNIA REPUBLICAN ASSEMBLY COMMITTEE AGAINST TAX INCREASES, AND JAMES HARNSBERGER, 1996/0305 (2000)

84200 - 5 counts
 84200.5 & 84200.7 - 2 counts
 84202.3 - 1 count
 \$13,000

The Commission issued a Default Decision and Order against the California Republican Assembly Committee Against Tax Increases, a ballot measure committee primarily formed to qualify a tax-cut initiative for the November 1996 election in the city of El Cajon, and its treasurer, James Harnsberger, who failed to timely file campaign statements in 1996.

CALIFORNIA REPUBLICAN ASSEMBLY MEMBERSHIP ACTION COMMITTEE AND JAMES D. SMITH, TREASURER, 1999/0427 (2001)

84200 & 84211 - 4 counts
 \$4,000

California Republican Assembly Membership Action Committee, a general purpose committee based in Huntington Beach, and its treasurer, James D. Smith, failed to disclose contributions made and received on its semi-annual campaign report in 1998.

CALIFORNIA REPUBLICAN ASSOCIATION, MEMBER ACTION COMMITTEE, 2000/0443 (2000)

84203 - 2 counts
 \$2,527

California Republican Association, Member Action Committee located in Huntington Beach, failed to file late contribution reports during the March 7, 2000, primary election.

CALIFORNIA REPUBLICAN PARTY, 1996/0374 (1998)

84203.5 - 1 count
 84204 - 1 count
 \$3,000

The California Republican Party failed to file a late independent expenditure report within 24 hours of the time the independent expenditure was made and failed to file a supplemental independent expenditure report for a mass mailing sent in 1996, which was directed to Republican voters in the 40th Assembly District, including the cities of Fresno and Bakersfield, opposing Assemblyman Brian Setencich for Assembly.

CALIFORNIA REPUBLICAN PARTY AND DOUGLAS R. BOYD, SR., 2006/0786 (2010)

84211(b)(i)(j)(k) - 2 counts
 84211(e) - 1 count
 84211(i) & (k) - 2 counts

84211(k) - 1 count
 84211(k) & 84303 - 2 counts
 \$18,000

California Republican Party and Douglas R. Boyd, Sr., Treasurer, failed to properly report the total amount of expenditures made; failed to properly report the balance of cash; failed to properly report accrued expenses; failed to properly report subvendor information; and failed to properly report vendor information for credit card expenditures of \$100 or more.

CALIFORNIA REPUBLICAN PARTY AND SHAWN STEEL, TREASURER, 2000/0160 (2001)

84203 - 2 counts
 84211 - 4 counts
 84303 - 14 counts
 \$31,000

California Republican Party, a state general purpose committee, and its treasurer, Shawn Steel, failed to disclose subvendor information for payments totaling \$4,158,383, failed to report accrued expenses totaling \$822,392, and failed to file a late contribution report in 1995 and 1996.

CALIFORNIA REPUBLICAN PARTY, PAUL D. HANCOCK, TREASURER, AND JOE WEBER; JOHN DOOLITTLE, FRIENDS OF JOHN DOOLITTLE, AND DAVID LOPEZ; ROBERT “TIM” LESLIE, TIM LESLIE FOR SENATE/1991, AND JOHN B. ALLARD, 1993/0250 (1994)

83116.5 - 1 count
 84211 - 3 counts
 84300 & 84301 - 1 count
 \$8,000

In 1991, Friends of John Doolittle (Friends) issued a check for \$1,750 to the California Republican Party (CRP), which was actually intended for Tim Leslie for Senate/1991. After receiving this check, the CRP then issued a check for \$1,750 to the Leslie campaign. The Tim Leslie for Senate Committee failed to properly report that Friends was the true source of this \$1,750 campaign contribution. The committee reported that the contribution came from the CRP, which was only an intermediary, not the actual source of the funds. Both Friends and the CRP also incorrectly reported this transaction on their campaign statements.

CALIFORNIA REPUBLICAN PARTY/VICTORY 1990 CAL PLAN COMMITTEE, AND PAUL HANCOCK, TREASURER, 1993/0331 (1994)

84202.5 - 1 count
 84203 - 10 counts
 84211 - 3 counts
 \$25,500

The California Republican Party/Victory 1990 Cal Plan Committee, and Paul Hancock, Treasurer, which actively supported various Republican candidates for legislative office in 1989 and 1990, failed to timely report late non-monetary contributions totaling \$555,144 made to seven legislative candidates and failed to report non-monetary contributions to two legislative candidates totaling \$43,024

on pre-election campaign statements. The committee also failed to file a pre-election campaign statement reporting non-monetary contributions totaling \$18,411 to one legislative candidate.

CALIFORNIA REPUBLICAN PARTY/VICTORY 1990 STATE OPERATING ACCOUNT, 1994/0077 (1996)

84202.5 - 2 counts
 84203 - 23 counts
 84211 - 1 count
 84305 - 5 counts
 \$56,000

California Republican Party/Victory 1990 State Operating Account failed to timely file two pre-election campaign statements in connection with 1991 special elections and 23 late contribution reports for late non-monetary contributions totaling \$270,977 made to four legislative candidates. The committee also failed to properly report the guarantor of a \$100,000 loan made to the committee in 1991 and to include proper sender identification on five mass mailings which were sent in 1992.

CALIFORNIA REPUBLICAN VICTORY FUND/SAN JOAQUIN COUNTY REPUBLICAN CENTRAL COMMITTEE AND RICK VELDSTRA, 2003/0250 (2004)

85303 - 2 counts
 \$10,000

California Republican Victory Fund/San Joaquin county Republican Central Committee, a political party committee, and its treasurer, Rick Veldstra, accepted and used contributions in excess of the legal limits in 2002.

CALIFORNIA SOCIETY OF PLASTIC SURGEONS PAC, 1992/0374 (1995)

84200 - 6 counts
 84200.5 & 84200.7 - 2 counts
 84203 - 3 counts
 \$9,500

The California Society of Plastic Surgeons Political Action Committee failed to timely file various campaign reports to disclose contributions received and expenditures made, mostly to members of the California State Legislature or candidates for state constitutional office from 1987 to 1991. These statements included six semi-annual statements, two pre-election statements, and three late contribution reports.

CALIFORNIA SPEEDWAY DBA AUTO CLUB SPEEDWAY, 2008/0642 (2009)

84200 - 1 count
 \$400

California Speedway dba Auto Club Speedway of Fontana, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$13,195 in 2007.

CALIFORNIA SPEEDWAY, DBA AUTO CLUB SPEEDWAY, 2009/0115 (2009)

84203 - 2 counts

\$339

California Speedway, dba Auto Club Speedway, of Fontana failed to timely disclose late contributions totaling \$2,260.

CALIFORNIA STATE ALLIANCE OF YMCAS AND CISNAROS, SAL, 2010/0681 (2012)

86115, 86117 & 84605 - 12 counts
\$12,000

California State Alliance of YMCAs, a California lobbyist employer, and Sal Cisaros, the Responsible Officer, failed to timely file twelve Lobbyist Employer Reports (Form 635) between October 1, 2009 and September 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$397,623.11.

CALIFORNIA STATE ASSOCIATION OF COUNTIES - NON PUBLIC FUNDS, 2005/0377 (2005)

84203 - 1 count
\$3,500

California State Association of counties - Non Public Funds of Sacramento failed to file a late contribution report in 2004.

CALIFORNIA STATE EMPLOYEES ASSOCIATION MEMBERSHIP ACTION COMMITTEE, SPONSORED BY CALIFORNIA STATE EMPLOYEES ASSOCIATION, AND BARBARA GLASS AND CHRISTY CHRISTENSEN, 2005/0305 (2006)

84203 - 4 counts
84211 - 3 counts
\$19,500

California State Employees Association Membership Action Committee, sponsored by California State Employees Association, was a state general purpose committee. Barbara Glass and Christy Christensen served as its treasurers. In this matter, the Committee and Barbara Glass failed to properly itemize a contribution of \$100 or more made to an elected officer and failed to timely disclose contributions received. The Committee and Christy Christensen failed to timely file late contribution reports and failed to timely disclose accrued expenses.

CALIFORNIA STATE PIPE TRADES COUNCIL POLITICAL ACTION FUND, 2005/0122 (2005)

84203 - 2 counts
\$3,930

California State Pipe Trades Council Political Action Fund of Sacramento failed to file late contribution reports in 2003.

CALIFORNIA STATE STUDENT ASSOCIATION, 2013/0123 (2013)

86115, 86116, 86117 & 84605 - 2 counts
\$400

California State Student Association failed to timely disclose \$14,674 in lobbying activity over two reporting periods during the 2011/2012 legislative session.

CALIFORNIA STRATEGIES LLC, ET AL., 2011/0850 (2013)

86100(b) & 86101 - 1 count
86114 & 86117 - 1 count
86110 - 1 count
86100(a) - 5 counts
86113 - 5 counts
\$40,500

California Strategies LLC, Jason Kinney, Rusty Areias, and Winston Hickox violated the Political Reform Act by failing to register as a lobbying firm, failing to file quarterly lobbying firm reports during calendar year 2012, and failing to maintain records to support the information required to be disclosed on lobbying reports.

CALIFORNIA THOROUGHBRED BREEDERS ASSOCIATION POLITICAL ACTION COMMITTEE, AND RODNEY F. PITTS AND NATHANIEL B. WESS, TREASURERS, 1992/0734 (1994)

84200.5 - 3 counts
84211 - 3 counts
\$9,000

California Thoroughbred Breeders Association Political Action Committee and Rodney F. Pitts, treasurer, failed to itemize contributions amounting to \$43,492 and expenditures amounting to \$31,536 during the campaign reporting period from July 1, 1989, through December 31, 1989. The committee and its subsequent treasurer, Nathaniel B. Wess, failed to timely file three pre-election statements in 1990. The committee also failed to itemize contributions of \$27,600 on the semi-annual campaign statement that was due on June 30, 1990.

CALIFORNIA VICTORY FUND SPONSORED BY THE NATIONAL REPUBLICAN SENATORIAL COMMITTEE AND MARY M. DOTTER, TREASURER, 1998/0289 (1998)

84102, 84106, 84211 - 1 count
84203 - 2 counts
\$5,000

The California Victory Fund, a committee established by the National Republican Senatorial Committee located in Washington, D.C., and Mary M. Dotter, its treasurer, failed to identify its sponsor and to include the name of its sponsor in the name of the committee on its statement of organization and on its semi-annual campaign statement. In addition, it failed to file late contribution reports for a contribution made and received in 1998.

CALIFORNIA VOTER GUIDE AND GARY CRUMMITT, 2012/0374 (2012)

84305.5(a) - 2 counts
\$3,000

California Voter Guide is a slate mailer organization. Gary Crummitt is the treasurer for the organization. Prior to the 2012 primary election, California Voter Guide sent out two

electronic slate mailers that did not include the street address and city of the organization.

CALIFORNIA WASTE REMOVAL SYSTEMS, INC., AKA CALIFORNIA WASTE RECOVERY SYSTEMS, INC, 1997/0252 (1998)

84301 - 90 counts
\$150,000

California Waste Removal Systems, Inc., aka California Waste Recovery Systems, Inc., a family owned and operated refuse and recycling business, laundered ninety campaign contributions made to the campaigns of several local candidates in San Joaquin and Sacramento counties in 1994 and 1996.

CALIFORNIA YOUNG REPUBLICANS PAC AND E. DANIEL DELLICOMPAGNI, TREASURER, 1987/0228 (1990)

84200 - 5 counts
84200.5 - 3 counts
\$16,000

The California Young Republicans PAC and its treasurer, E. Daniel Dellicompagni, failed to file six semi-annual campaign statements and two pre-election campaign statements by the deadlines in 1986, 1987, 1988, and 1989. The committee only disclosed its pre-election campaign activities in a semi-annual statement filed June 4, 1987. The required campaign disclosures failed to be provided despite notices from the Secretary of State and the Commission.

Information made available by the treasurer during the investigation showed expenditures by the committee of nearly \$145,750, including a payment of \$67,881 to a company for the telephone solicitation of contributions for the group and \$18,360 to candidates.

CALIFORNIA YOUTH BUILD COALITION, 2010/0677 (2011)

86115 - 8 counts
\$1,600

Lobbyist employer California Youth Build Coalition failed to timely file eight reports of lobbyist employer, for the reporting periods ending March 31, 2008; June 30, 2008; September 30, 2008; December 31, 2008; June 30, 2009; September 30, 2009; December 31, 2009, and March 31, 2010. During this time, California Youth Build Coalition spent \$45,750 on lobbying activity.

CALIFORNIAN'S AGAINST CORRUPT POLITICIANS AND ALEX SANCHEZ, 2012/0193 (2013)

84200.5 - 1 count
\$2,000

Californian's Against Corrupt Politicians, a city general purpose committee, and its Treasurer, Alex Sanchez, failed to timely file a preelection statement covering the period February 26, 2012 through March 24, 2012, due March 29, 2012, with the La Puente City Clerk.

CALIFORNIANS AGAINST CORRUPTION, AND CARL RUSSELL HOWARD AND STEPHEN J. CICERO, TREASURERS, 1993/0336 (1995)

84200 & 83116.5 - 2 counts
84203 & 83116.5 - 1 count
84211 & 83116.5 - 2 counts
84211 & 83116.5 - 352 counts
84211 & 83116.5 - 39 counts
84104 & 83116.5 - 7 counts
84105 & 93116.5 - 1 count
\$808,000

The Commission issued a Default Decision and Order against the Californians Against Corruption, Carl Russell Howard, Treasurer, and Stephen J. Cicero, Treasurer, who substantially failed to comply with the disclosure and/or recordkeeping provisions and failed to file or timely file required campaign reports.

CALIFORNIANS AGAINST GOVERNMENT RUN HEALTHCARE, A COMMITTEE AGAINST PROPOSITION ____, WITH MAJOR FUNDING BY RESTAURANTS AND RETAILERS, AND STEVE CHURCHWELL, TREASURER, 2003/0847 (2004)

85309 - 10 counts
\$25,000

Californians Against Government Run Healthcare, a Committee Against Proposition ____, With Major Funding by Restaurants and Retailers, a state ballot measure committee, and its treasurer, Steve Churchwell, failed to file electronic reports disclosing 10 contributions of \$5,000 or more in 2003.

CALIFORNIANS AGAINST UNFAIR TAX INCREASES/NO ON PROPOSITION 99, AND PHILIP MORRIS, U.S.A, 1989/0508 (1992 CIVIL LAWSUIT)

84203
\$125,000

Prior to the November 1988 election, Philip Morris and the Californians Against Unfair Tax Increases (CAUTI) filed late contribution reports to disclose approximately \$700,000 of non-monetary contributions which Philip Morris was planning to make in opposition to Proposition 99, which would increase the cigarette tax. However, during the late contribution period, Philip Morris actually made late contributions totaling \$2.5 million, so the reported amount was understated by \$1.8 million. Neither CAUTI nor Philip Morris filed reports to disclose this additional amount of late contributions.

CALIFORNIANS FOR COMMUNITY SAFETY - COMMITTEE AGAINST PROPOSITION 21, AND RALPH MILLER, 2002/4030 (2004)

84102 - 1 count
84200 - 1 count
84200.5 - 1 count
84203 - 3 counts
84604 - 1 count

\$13,570

The Californians for Community Safety - Committee Against Proposition 21, and Ralph Miller failed to include the name of the sponsor in the name of the sponsored committee on its statement of organization, failed to timely file a paper pre-election campaign statement, failed to timely file an electronic pre-election campaign statement, failed to disclose the receipt of three late contributions on properly filed late contribution reports and failed to timely file a paper semi-annual campaign statement.

CALIFORNIANS FOR ELECTION ACCOUNTABILITY, NO ON 62, AND VONA COPP, 2004/0577 (2008)

84102 - 1 count
 84103 - 1 count
 84106 - 1 count
 \$5,000

Californians for Election Accountability, No on 62 was a primarily formed ballot measure committee sponsored by the California Republican Party and Vona Copp was its treasurer. Respondents failed to amend the committee's statement of organization to disclose its sponsor and to include the name of its sponsor in its name and failed to include the name of its sponsoring organization in its name on numerous required campaign statements and reports filed.

CALIFORNIANS FOR HEALTH SECURITY, HOWARD OWENS, VISHWANATH LINGAPPA, AND PAUL MILNE,, 1997/0086 (1999)

84104 - 1 count
 84105 - 5 counts
 84203 - 1 count
 84211 - 6 counts
 \$21,000

Californians for Health Security, located in Sacramento, Howard Owens, its treasurer, Vishwanath Lingappa, the state proponent, and Paul Milne, its campaign manager, failed to send notification letters to major contributors, failed to disclose occupation and employer information for individual contributors, failed to timely disclose contributions, and failed to maintain adequate records for non-monetary contributions received during 1993 and 1994.

CALIFORNIANS FOR HIGHER EDUCATION, AND CHARLES H. BELL, JR., TREASURER,, 1991/0575 (1992)

84211 - 2 counts
 \$2,000

Californians for Higher Education and Charles H. Bell, Jr., treasurer, failed to timely disclose non-monetary contributions on two separate pre-election campaign statements. This committee supported Proposition 111 and Proposition 121 in the June 1990 election and Proposition 143 in the November 1990 election. It failed to report \$61,985 in non-monetary contributions on campaign

statements due May 24, 1990, from 18 university alumni and faculty associations. On another report due October 25, 1990, it failed to disclose \$66,525 received in non-monetary contributions from 20 university alumni and faculty associations.

CALIFORNIANS FOR PRIVACY, LUKE BREIT, AND MICHAEL GUNTER, 2010/1119 (2012)

84103(a) - 1 count
 89512.5(b) - 1 count
 89513(g) & 89515 - 1 count
 84200(a) - 1 count
 84104 - 1 count
 \$17,000

Californians for Privacy is a state general purpose committee organized for the purpose of decriminalizing prostitution. Luke Breit was the treasurer of the committee from April 2008, through the termination of the committee, on or about November 9, 2012. Michael Gunter took over the treasurer duties from approximately June 2008, through approximately April 29, 2009, when Mr. Breit resumed the treasurer duties. Mr. Gunter and the committee failed to file an amended statement of organization regarding a change of the committee's treasurer; Between October 15, 2008, and March 11, 2009, Mr. Gunter caused the committee to make expenditures of campaign funds, which conferred a substantial personal benefit on him, for purposes other than directly related to a political, legislative, or governmental purpose; On or about December 2, 2009, Mr. Breit made a loan of committee campaign funds for purposes other than reasonably related to a political, legislative, or governmental purpose; the committee and Mr. Breit failed to timely file a semi-annual campaign statement for the reporting period January 1, 2010, through June 30, 2010, which was due by August 2, 2010; the committee and Mr. Breit failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare the semi-annual campaign statement, for the reporting period of July 1, 2009, through December 31, 2009, to establish that the campaign statement was properly filed, and to comply with the campaign reporting provisions of the Act.

CALIFORNIANS FOR SAFE FOOD, A COALITION OF PUBLIC HEALTH AND FOOD SAFETY EXPERTS, LABOR UNIONS, CONSUMERS, FAMILY FARMERS AND VETERINARIANS. NO ON PROPOSITION 2 AND J. RICHARD EICHMAN, 2008/0515 (2010)

84102(a)(b) - 1 count
 84103(a) - 1 count
 \$5,000

Californians for SAFE Food, a coalition of public health and food safety experts, labor unions, consumers, family farmers and veterinarians. No on Proposition 2 was a primarily formed ballot measure committee originally sponsored by the Pacific Egg and Poultry Association. J. Richard Eichman was the treasurer of Respondent Committee. Respondents failed to disclose Pacific Egg and Poultry Association as a sponsor on Respondent Committee's statement of organization, and to include Pacific Egg and Poultry Association in Respondent

Committee’s name, and failed to file an amended statement of organization within ten days to disclose United Egg Producers, Inc., as a sponsor of Respondent Committee.

CALIFORNIANS FOR SCHWARZENEGGER, 2005/0369 (2005)

84203 - 14 counts
\$6,510

Californians for Schwarzenegger of Santa Monica failed to file late contribution reports in 2003.

CALPINE CORPORATION, 2002/0095 (2002)

84203 - 4 counts
\$2,250

Calpine Corporation of Sacramento failed to file late contribution reports in 2000.

CALSAN, INC, 2001/0641 (2005)

84300 & 84301 - 3 counts
\$4,500

Calsan, Inc., located in Downey, made campaign contributions in a name other than its own.

CAMERON, JAMES, 2012/0031 (2013)

87300 & 87302 - 1 count
89503(c) - 1 count
87100 - 1 count
\$6,500

James Cameron ("Cameron"), the Chief Financial Officer for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in 2008, and failed to report these gifts on his Annual Statement of Economic Interests covering the period January 1, 2008 through December 31, 2008, due April 1, 2009. In addition, Cameron received gifts exceeding the applicable gift limit from one source in 2008. Cameron impermissibly made, participated in the making, or influenced a governmental decision by signing and approving a Contract of Purchase for \$20,005,000 in bond anticipation notes with E.J. De La Rosa & Co., Inc., the source of the gifts that exceeded the annual gift limit.

CAMERON, TERRY W., AND T.C. CONSTRUCTION COMPANY, INC, 2000/0140 (2000)

84300 & 84301 - 38 counts
\$70,000

Terry W. Cameron, president and owner of T.C. Construction Company, Inc., a general contracting company headquartered in Santee, laundered campaign contributions to candidates for the Santee City Council in 1998.

CAMP, DRESSER & MCKEE, INC, 2001/0011 (2001)

84200 - 1 count
\$400

Camp, Dresser & McKee, Inc. of Cambridge, Massachusetts, failed to file a major donor campaign statement during 2000.

CAMPAIGN FOR CONSUMER RIGHTS, 2010/0473 (2011)

84200 & 84211 - 3 counts
\$600

Respondent, a California-based non-profit 501(c)(4) corporation failed to timely file three semi-annual campaign statements, for the reporting periods ending December 31, 2007, December 31, 2009 and June 30, 2010, reporting contributions received from Consumer Watchdog (a California-based nonprofit 501(c)(3) corporation of which Respondent is the advocacy and campaign affiliate).

CAMPBELL FOR CONTROLLER COMMITTEE, SENATOR WILLIAM CAMPBELL AND KENNETH RAMMELL, TREASURER, 1988/0210 (1990)

84203 - 1 count
84303 - 1 count
\$4,000

Former state Senator William Campbell and his committee failed to file late contribution reports for \$30,767 or 26 percent of the total received during the final two weeks of the November 1988 election. His committee and its treasurer, Kenneth Rammell, also failed to itemize each of the subvendors to whom payments totaling \$100 or more were made.

CAMPBELL, JIM, 2010/1103 (2011)

87300 - 3 counts
\$600

Jim Campbell, a commissioner for the Rice Commission, failed to file an assuming office, a 2008 annual, and a 2009 annual Statement of Economic Interests.

CAMPBELL, THOMAS, 2002/0462 (2003)

87203 - 1 count
\$600

Thomas Campbell, mayor of Solana Beach, failed to timely file a 2001 annual statement of economic interests.

CANDIDATE MIKE TEN, TEN FOR STATE SENATE, MIKE TEN FOR CITY COUNCIL, AND MIKE TEN, TREASURER FOR TEN FOR STATE SENATE AND MIKE TEN FOR CITY COUNCIL, 2008/0590 (2010)

84200 - 2 counts
\$800

Mike Ten, as a candidate for both South Pasadena City Council and the California State Senate, and his committees, Ten for State Senate and Mike Ten for City Council, with Mike Ten as treasurer, failed to timely file two semi-annual statements.

CANNING, CHRIS, 2012/0696 (2013)

87100 - 1 count
\$3,000

Chris Canning, in his capacity as a member of the Calistoga City Council, made governmental decisions in which he knew, or had reason to know, he had a financial interest, by voting on matters that had a reasonably foreseeable financial effect on the Calistoga Chamber of Commerce while he was the executive director of the Calistoga Chamber of Commerce

CANNON, CURTIS, 2012/0034 (2012)

87300 & 87302 - 4 counts
\$4,000

Curtis Cannon, Community Development Director for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in each of the years 2007 through 2010, and failed to report these gifts on his annual Statements of Economic Interests for reporting periods, January 1, 2007, through December 31, 2007, due April 1, 2008; January 1, 2008, through December 31, 2008, due April 1, 2009; January 1, 2009, through December 31, 2009, due April 1, 2010, and January 1, 2010, through December 31, 2010, due April 1, 2011.

CANTRALL, PATRICIA, 1982/0010 (1983)

87300 - 1 count
\$2,100

Patricia Cantrall, board member of the Modoc Joint Unified School District, failed to file her 1980 annual statement of economic interests until 289 days after the filing deadline.

CANTRALL, PATRICIA, 2011/1005 (2013)

87300 -1 count
\$200

Patricia Cantrall ("Cantrall"), was a county Supervisor in the county of Modoc and also served on the Northeast Plateau Air Basin Pollution Control District. Cantrall failed to file the 2010 Annual Statement of Economic Interests covering the period January 1, 2010 through December 31, 2010, due April 1, 2011, in connection with her position with the Northeast Plateau Air Basin Pollution Control District.

CAPITAL INSURANCE GROUP, 2008/0052 (2008)

84200 - 5 counts
\$2,604

Capital Insurance Group of Monterey failed to timely file five semi-annual campaign statements disclosing contributions totaling \$117,600.

CAPITAL PACIFIC HOLDINGS, INC, 2002/0423 (2002)

84200 - 1 count
84203 - 1 count
84203.5 - 2 counts
84204 - 2 counts
\$6,500

Capital Pacific Holdings, Inc., a California corporation engaged in real estate development located in Newport Beach, failed to file a late contribution report, failed to

disclose late independent expenditures, failed to file supplemental independent expenditure reports, and failed to file a semi-annual campaign statement in 2000.

CAPITOL RECORDS, INC./EMI RECORDED MUSIC, NORTH AMERICA, 2003/0571 (2003)

84200 - 1 count
\$5,000

Capitol Records, Inc./EMI Recorded Music, North America, located in New York, New York, failed to file a major donor campaign statement in 2002.

CARCIONE, JOSEPH, 2001/2012 (2001)

84200 - 1 count
\$600

Joseph Carcione of Redwood City failed to file a major donor campaign statement in 2000.

CARDELUCCI, SAM, AND NATIONAL ENVIRONMENTAL WASTE CORPORATION, 2000/0005 (2001)

84200 - 2 counts
84203.5 - 7 counts
84204 - 7 counts
\$16,000

Sam Cardelucci, president of National Environmental Waste Corporation of Riverside, failed to timely file late independent expenditure reports, supplemental independent expenditure reports, and semi-annual campaign statements from 1997 through 2000.

CARDENAS, ANTONIO, TONY CARDENAS 2000, MARIA SANCHEZ, AND KINDE DURKEE,, 2001/0645 (2005)

84211 - 11 counts
\$35,000

Antonio Cardenas, representing the 39th District of the Assembly successfully ran for reelection and was an unsuccessful candidate for Secretary of State, his controlled committee, Tony Cardenas 2000, and its treasurers Maria Sanchez and Kinde Durkee, failed to disclose required information regarding campaign expenditures of \$100 or more for reporting periods in 2000, 2001 and 2002.

CARDINAL CAPITAL LLC, 2004/0805 (2005)

84200 - 1 count
\$400

Cardinal Capital LLC of Hillsborough failed to file a major donor campaign statement in 2003.

CARE AMBULANCE SERVICE, INC., 2006/0426 (2006)

84200 - 3 counts
\$3,970

Care Ambulance Service, Inc. of Orange failed to timely file semi-annual campaign statements disclosing

contributions totaling \$46,446 in 2004 and \$10,546 in 2005.

CARE ENTERPRISES, 1990/0380 (1991)

84200 - 1 count
\$1,750

Care Enterprises, Inc., owners and operators of skilled nursing facilities, failed to timely file a campaign disclosure statement. During the first half of 1988, Care Enterprises made contributions totaling \$21,500 to political candidates and committees and failed to report these contributions on a major donor statement.

CAREMARK RX, 2005/0794 (2006)

84203 - 1 count
\$3,500

Caremark RX of Nashville, Tennessee, failed to timely disclose a late contribution totaling \$25,000.

CAREMARK RX, 2005/0805 (2006)

84200 - 1 count
\$400

Caremark RX of Nashville, Tennessee, failed to timely file a semi-annual campaign statement in 2004.

CAREY, WILLIAM P, 2003/0385 (2003)

84200 - 1 count
\$400

William P. Carey of New York, New York, failed to file a major donor campaign statement in 2002.

CARLILE, RICHARD G, 1999/0501 (2004)

87100 - 4 counts
87203 & 87206 - 1 count
87203 & 87207 - 4 counts
\$24,000

Richard G. Carlile, member of the Santa Rosa Planning Commission, made, participated in making, and attempted to use his official position to influence governmental decisions in which he had a financial interest in 1999 to 2002. In addition, Carlile failed to disclose an investment interest and sources of income on his statements of economic interests covering 1997-2000.

CARLOTTI, CHRIS, 2011/0096 (2013)

87100 - 1 count
\$3,000

Chris Carlotti, in his capacity as an employee of the Department of Water Resources, participated in nine governmental decisions in which he knew or had reason to know he had a financial interest. Specifically, Carlotti participated in nine governmental decisions involving potential contracts, totaling \$7,028.25, with a vendor within 12 months of receiving \$1,050 in gifts from that vendor.

CARMEN AVALOS FOR ASSEMBLY 2010, 2010/0912 (2011)

84200 - 1 count
\$200

Carmen Avalos was a candidate for election to the 50th Assembly District in 2010, and treasurer for the Carmen Avalos for Assembly 2010 committee. Respondent failed to timely file a semiannual campaign statement for the reporting period of October 18, 2009, through December 31, 2009.

CARMEN AVALOS FOR TRUSTEE, 2010/0917 (2011)

84200 - 1 count
\$200

Carmen Avalos was a candidate for election to the Cerritos Community College District Board, and treasurer for the Avalos for Trustee committee. Respondent failed to timely file a semiannual campaign statement for the reporting period of October 18, 2009, through December 31, 2009.

CAROLYN FORD CAMPAIGN COMMITTEE SAUSALITO CITY COUNCIL 2009 AND ANNE TELLER, TREASURER, 2009/0720 (2010)

84101 - 1 count
\$200

Carolyn Ford, as a candidate for Sausalito City Council, and her committee, Carolyn Ford Campaign Committee Sausalito City Council 2009, with Anne Teller, Treasurer, failed to timely and accurately file a Statement of Organization (Form 410).

CAROLYN TWYMAN FOR PERRIS UNION SCHOOL DISTRICT; CAROLYN TWYMAN; FRED TWYMAN, TREASURER, 2009/0776 (2010)

84200.5 - 1 count
\$1,000

The campaign committee Carolyn Twyman for Perris Union School District; Carolyn Twyman; Fred Twyman, Treasurer, located in Menifee, failed to timely file a pre-election campaign statement with the county of Riverside in connection with the November 3, 2009 election.

CARONA, MICHAEL S., FRIENDS OF MIKE CARONA, AND LESLEY ANN STOLL, 2006/0059 (2007)

84211 - 7 counts
89511.5 - 1 count
\$15,000

Michael S. Carona, his controlled committee, Friends of Mike Carona, and its treasurer, Lesley Ann Stoll, failed to report officeholder expenditures as non-monetary contributions where the expenditures were made with Carona's personal funds and were not reimbursed within a 90-day period. Respondents also failed to correctly report their expenditures and balance of cash and cash equivalents on hand.

CARPENTER, PAUL, 1984/0296 (1985)

84305 - 1 count
\$2,000

Senator Paul Carpenter sent two mailings just before the November 1984 election that lacked sender identification. One of the mailings was an attack on his opponent Margaret Vineyard. Several proofs of the campaign mailings that were checked by the committee contained Senator Carpenter’s name and address as required. However, the committee was negligent by not examining the final proof and allowing the mailings to be sent to voters with no sender identification.

CARPENTER, PAUL AND SENATOR PAUL CARPENTER COMMITTEE, 1987/0122 (1989)

84203 - 1 count
\$2,000

Former State Senator Paul Carpenter, a Democrat elected to the state Board of Equalization in November 1986, failed to properly report a late contribution of \$5,000 from his controlled committee made less than a week before the special election in Senate District 33. The contribution was paid to a committee that was formed for the sole purpose of opposing the Republican candidate in the special election held March 17, 1987. The contribution paid for a last minute mailer critical of the Republican candidate. The Senator Carpenter Committee filed a late report three days after the election, reporting the contribution made on March 20, 1987. However, records showed that the contribution should have been reported by March 15, 1987.

CARRUTH, RON, 2013/0641 (2013)

87207 - 3 counts
\$600

Ron Carruth, as the Superintendent for the Whittier City School District, failed to timely disclose gift(s) of: two meals and tickets to a professional baseball game totaling \$418.33 on his 2009 Statement of Economic Interests; four meals, and tickets to a professional baseball game totaling \$419.82 on his 2010 Statement of Economic Interests; and five meals totaling \$311.18 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

CARSON, RUSSELL L, 2003/0386 (2003)

84200 - 1 count
\$400

Russell L. Carson of New York City, New York, failed to file a major donor campaign statement in 2002.

CARTER, DOUG AND FRIENDS OF CARTER COMMITTEE, 1978/0121 (1981)

84201 - 1 count
84214 - 1 count
84300 - 1 count
\$1,500

Doug Carter, an unsuccessful candidate for the 26th Assembly District in 1978, failed to timely file pre-election campaign statements, failed to report late contributions totaling \$43,000, and accepted cash loans and made cash payments in excess of the then \$50 limitation.

CARTER, DOUG,, 1988/0443 (1990)

84104 - 1 count
\$1,000

Doug Carter, 1988 candidate for San Joaquin county Supervisor, failed to properly document \$9,320 in expenditures made by his controlled committee, Friends of Doug Carter. The expenditures by the committee involved more than 55 checks of \$25 or more which were made to or cashed by the candidate. The failure to maintain adequate records made it impossible to determine whether any one person received payments of \$100 or more so as to require disclosure on campaign statements.

CARTER, WILMER AMINA, 2009/0858 (2010)

87207 - 1 count
\$200

Assemblymember Wilmer Amina Carter failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luise?o Mission Indians valued at \$94.46.

CARTWRIGHT TERMITE & PEST CONTROL, INC, 2005/0851 (2006)

84203 - 2 counts
\$1,830

Cartwright Termite & Pest Control, Inc. of El Cajon failed to timely disclose late contributions totaling \$12,200 in 2004.

CARTWRIGHT TERMITE & PEST CONTROL, INC, 2006/0020 (2006)

84200 - 1 count
\$800

Cartwright Termite & Pest Control, Inc. of El Cajon failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,200 in 2004.

CASANOVA, SERGIO AND ALHAMBRA FIREFIGHTER'S PAC, 2010/0521 (2011)

84200(a) - 3 counts
\$7,500

Alhambra Firefighter's PAC, a state general purpose committee, and its treasurer, Sergio Casanova, failed to file a semi-annual campaign statement for the July 1, 2009, through December 31, 2009, reporting period, the January 1, 2010, through June 30, 2010, reporting period, and the July 1, 2010, through December 31, 2010, reporting period.

CASDEN, SUSAN D, 2007/0511 (2008)

84203 - 1 count
\$1,680

Susan D. Casden of Beverly Hills failed to timely disclose a late contribution totaling \$11,200.

CASEY, DONNA, AND CASEY FOR SUPERVISOR '98, 2000/0854 (2003)

84211 - 4 counts

\$6,000

Donna Casey, an unsuccessful candidate for San Francisco Board of Supervisors, and her controlled committee, Casey for Supervisor '98, failed to disclose expenditures of \$100 or more and failed to report the total amount of expenditures of \$100 or more in 1998.

CASEY, GERRY, REED & SCHENK, 2005/0557 (2005)

84203 - 1 count
\$1,500

Casey, Gerry, Reed & Schenk of San Diego failed to file a late contribution report in 2004.

CASILLAS, JUAN, 1979/0069 (1980)

81005.2 - 1 count
\$250

Dr. Juan F. Casillas of Los Angeles failed to file a personal financial disclosure statement for 1978 with the Department of Consumer Affairs. Casillas served as a member of the Board of Examiners in Veterinary Medicine in the department.

CATTERTON PARTNERS IV MANAGEMENT COMPANY,, 2002/1023 (2003)

84203 - 1 count
\$1,500

Catterton Partners IV Management Company, located in Greenwich, Connecticut, failed to file a late contribution report in 2002.

CEASE, DOREEN, 2010/0910 (2012)

87300 - 2 counts
\$4,000

Doreen Cease, a commissioner with the California Mental Health Planning Council since 1998, failed to file two Statements of Economic Interests in a timely manner - one for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010, and one for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

CEDAR VALLEY HOLDING COMPANY, 1994/0022 (1995)

84301 - 57 counts
\$96,900

The Cedar Valley Holding Company, with various businesses and real property in Contra Costa county, laundered contributions in the names of various individuals associated with the company to candidates for supervisor in Contra Costa county, two ballot measure campaigns, and candidates for the East Bay Municipal Utility District from 1990 through 1992.

CEMENT MASONS LOCAL 400, 2001/0013 (2001)

84200 - 1 count
\$400

Cement Masons Local 400 of Sacramento failed to file a major donor campaign statement in 2000.

CENDANT CORPORATION, 2006/0082 (2006)

84200 - 1 count
\$400

Cendant Corporation of Parsippany, New Jersey, failed to timely file a semi-annual campaign statement in 2001.

CENTER FOR FOOD SAFETY, 2007/0427 (2007)

84203 - 1 count
\$3,000

Center for Food Safety of Washington, DC, failed to timely disclose a late contribution totaling \$20,000.

CENTER FOR FOOD SAFETY, 2005/0769 (2007)

84200 - 2 counts
\$800

Center for Food Safety of Washington, DC, failed to timely file semi-annual campaign statements disclosing contributions totaling \$59,860 in 2004 and 2005.

CENTRAL CALIFORNIA DISTRICT COUNCIL OF CARPENTERS, HARVEY H. LANDRY, JR., AND J.H. LEE ACCOUNTANCY CORPORATION, 1997/0369 (1999)

84200.5 - 2 counts
\$2,500

Central California District Council of Carpenters, a general purpose committee, and its treasurers, Harvey H. Landry, Jr., and J.H. Lee Accountancy Corporation, failed to file the first and second pre-election statements during 1994.

CENTRAL FINANCIAL ACCEPTANCE CORP, 2000/0449 (2000)

84203 - 1 count
\$2,000

Central Financial Acceptance Corp. of Commerce failed to file a late contribution report during the March 7, 2000, primary election.

CENTRAL HEALTH MSO, INC, 2003/0387 (2003)

84200 - 1 count
\$400

Central Health MSO, Inc. of Covina failed to file a major donor campaign statement in 2002.

CENTURY PACIFIC EQUITY CORPORATION, 1999/0322 (2000)

84200 - 1 count
\$400

Century Pacific Equity Corporation of Los Angeles failed to timely file a major donor campaign statement for contributions made to Californians for Gray Davis committee in 1998.

CERTE MANAGEMENT GROUP, 1987/0251 (1989)

84200 - 1 count
\$2,000

Certe Management Group of La Jolla failed to file a major donor campaign statement. This firm and its Kentucky-based affiliated entity, Cobra Oil & Gas, made two contributions totaling \$17,500 to an unsuccessful statewide candidate. The company failed to file the required campaign statement despite repeated notices from the Commission and notification earlier by the candidate receiving the contributions.

CERVANTES, LORRAINE, AND THE COMMITTEE TO ELECT LORRAINE CERVANTES, 1995/0286 (1999)

84200 - 1 count
84200.5 & 84200.8 - 2 counts
\$2,500

Lorraine Cervantes, unsuccessful candidate for Compton City Council in 1995, and her controlled committee, Committee to Elect Lorraine Cervantes, failed to file two pre-election campaign statements and a semi-annual campaign statement in 1995.

CHA, SENG, 2004/0641 (2005)

87300 - 1 count
\$100

Seng Cha, an alternate commissioner for the California Strawberry Commission, failed to timely file a 2003 assuming office/annual statement of economic interests.

CHACON, MARIA, RAMIRO MORALES, ELIZABETH CORONA, AND COMMITTEE TO RE-ELECT CHACON AND MORALES, 1999/0246 (2000)

84200.5 - 2 counts
84203 - 3 counts
\$8,500

Maria Chacon and Ramiro Morales, members of Bell Gardens City Council, their controlled committee, Committee to Re-Elect Chacon and Morales, and its treasurer, Elizabeth Corona, failed to timely file pre-election campaign statements and late contribution reports in 1999.

CHACON, PETER, PETE CHACON 1990?COMMITTEE, AND JEAN CHACON, TREASURER, 1991/0424 (1993)

84104 - 1 count
84211 - 6 counts
87207 - 1 count
\$14,000

Former State Assemblyman Peter Chacon, his campaign committee, and its treasurer committed campaign disclosure violations when they misreported campaign expenditures on Chacon's 1989 semi-annual statements. Chacon also failed to report personal gifts received from his campaign committee on his 1989 statement of economic interests.

In addition, Chacon improperly reported the nature of credit card expenditures made in 1989. Over \$20,000 in

expenditures were not travel related as reported, but instead were for purchases at department stores, mail order companies, and building supply houses. Chacon and his committee also failed to maintain proper source documentation for credit card purchases.

CHACON, RAYMUNDO, 2012/0205 (2012)

84200 - 1 count
\$200

Raymundo Chacon, officeholder with the Los Nietos School District, failed to file Form 470 Officeholder Campaign Statement - Short Form, for the period January 1, 2012 through December 31, 2012, due July 31, 2012.

CHAMBERLAIN, GALEN DUANE, DUANE CHAMBERLAIN FOR SUPERVISOR AND SHIRLEY STOVER, 2006/0795 (2008)

84300 - 1 count
85201 - 1 count
\$5,000

Galen Duane Chamberlain was a successful candidate for the Yolo county Board of Supervisors in the November 2004 general election. Duane Chamberlain for Supervisor was controlled by Chamberlain and he was also the treasurer. Shirley Stover was the committee's assistant treasurer. Respondents failed to deposit contributions made by Chamberlain's sole proprietorship into a single, designated campaign bank account prior to expenditure.

CHAMLIAN, VAL, 1999/0505 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Val Chamlian of Fresno failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

CHAMPS OPERATING GROUP, INC., AND LEO CHU, 1995/0437 (1998)

84204 - 4 counts
\$7,500

Champs Operating Group, Inc., and Leo Chu, the designated responsible officer, made late independent expenditures for and against candidates in the city of Pomona in 1995 and failed to file late independent expenditure reports.

CHAN, ALLEN, 2004/0019 (2006)

84301 - 9 counts
\$36,000

Allen Chan, a licensed chiropractor and the co-owner of a restaurant in San Diego, was the true source of nine campaign contributions, totaling \$2,250, made to the campaign committee of Chula Vista City Council candidate Dan Hom on September 26, 2003. The contributions were made in the names of employees of Respondent Chan, or their relatives.

CHAN, WILMA AND WILMA CHAN FOR ASSEMBLY, 2001/0689 (2002)

84203 - 1 count
\$2,000

Wilma Chan for Assembly of Oakland failed to file a late contribution report in 2000.

CHANDAR POWER SYSTEMS, INC, 2002/0701 (2002)

84203 - 1 count
\$1,500

Chandar Power Systems, Inc. of San Diego failed to file a late contribution report in 2002.

CHANDLER, CLAUDIA, 2010/0806 (2011)

87100 - 2 counts
\$6,000

Claudia Chandler was the Chief Deputy Director of the California Energy Commission (CEC) and impermissibly participated in two governmental decisions in which she had a financial interest. From April 2009 to July 2009 and from August 2010 to September 2010, Respondent participated in the awarding of two contracts from the CEC to Cambria Solutions, a company in which she had a personal financial interest through her community property interest in her husband's business.

CHANG, MICHAEL, 2008/0026 (2008)

87300 - 1 count
\$200

Michael Chang, California Earthquake Authority member, failed to timely file a 2006 annual statement of economic interests.

CHAPMAN, BOB, 2000/0763 (2001)

87200 & 87203 - 1 count
\$300

Bob Chapman, City of Laguna Beach planning commissioner, failed to timely file a 1999 annual statement of economic interests.

CHAPMAN, STEVEN F, 2006/2085 (2006)

84200 - 1 count
\$400

Steven F. Chapman of Santa Monica failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

CHARLES R. BREHMER FOR JUDGE AND JON W. PARNELL, 2010/0591 (2011)

84200 (a) - 1 count
84211(g) & 84301 - 1 count
84300(a) - 1 count
\$5,500

In the 2008 general election, Charles R. Brehmer was a successful candidate for Kern county Superior Court.

Brehmer for Judge was his candidate controlled committee and Jon W. Parnell was the treasurer. Respondents received three cash contributions of \$100 or more, failed to disclose the true source of a loan received, and failed to timely file two semi-annual campaign statements, for the January 1, 2009, through June 30, 2009, and July 1, 2009, through December 31, 2009, reporting periods.

CHARLES, ZORA, 2000/0422 (2000)

84203 - 1 count
\$1,500

Zora Charles of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

CHARTER COMMUNICATIONS, 2006/0286 (2006)

84200 - 2 counts
\$800

Charter Communications of Reno, Nevada, failed to timely file semi-annual campaign statements disclosing contributions totaling \$11,750 in 2004 and \$17,000 in 2005.

CHASTAIN, W. CHARLES, 2003/0489 (2003)

84200 - 1 count
\$800

W. Charles Chastain of Santa Monica failed to file a major donor campaign statement in 2002.

CHATURVEDI, GOPAL, 2001/0741 (2003)

87300 - 1 count
\$600

Gopal Chaturvedi, member of the Department of Consumer Affairs, Respiratory Care Examining Committee, failed to timely file an assuming office statement of economic interests in 2001.

CHATURVEDI, GOPAL, 2009/0564 (2010)

87300 - 1 count
\$200

Gopal Chaturvedi, a former member of the California Respiratory Care Board, failed to file a 2008 annual Statement of Economic Interests by the April 1, 2009, deadline.

CHAVEZ, DANIEL, 2011/0836 (2012)

87300 - 1 count
\$400

Daniel Chavez, Commissioner for the California Strawberry Commission, failed to file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, in a timely manner with the FPPC's Technical Assistance Division.

CHAVEZ, GEORGE, 2007/0806 (2010)

87300 - 1 count
\$2,000

George Chavez, as a designated employee of the California Strawberry Commission, failed to timely file his 2006 Statement of Economic Interests.

CHAVEZ, GEORGE, 2006/0994 (2007)

87302 - 1 count
\$250

George Chavez, alternate commissioner, Strawberry Commission, failed to timely file his 2005 annual statement of economic interests.

CHAVEZ, LORENA, 2011/0837 (2012)

87300 - 1 count
\$400

Lorena Chavez, Commissioner for the California Strawberry Commission, failed to file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, in a timely manner with the FPPC's Technical Assistance Division.

CHEAP, JAMES, 1995/0049 (1998)

87406 - 1 count
\$2,000

James Cheap, a data processing manager with the California State Lottery Commission (CSLC) from 1986 until 1993, then a retired annuitant from January 1993 until March 31, 1994, violated the revolving door provisions when he submitted a bid to contract with the CSLC within one year of leaving his employment with this agency.

CHECKERS DRIVE-IN RESTAURANTS, INC, 2006/0427 (2006)

84200 - 1 count
\$400

Checkers Drive-In Restaurants, Inc. of Tampa, Florida, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

CHEN, CHI MAY, 1993/0075 (1993)

84302 - 19 counts
\$38,000

Chi May Chen acted as an agent or intermediary for another party in the making of contributions without disclosing the actual contributor to the recipient. With the money of another person, Chen reimbursed four individuals for 14 campaign contributions to various Los Angeles city council members and other candidates since 1990. Chen also made an additional five campaign contributions in her own name for which she was illegally reimbursed.

CHENG, CHIN CHIN, 2011/0963 (2011)

87300 - 1 count
\$200

Chin Chin Cheng, Senior Programming Analyst for the county of Santa Clara, failed to file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

CHENG, KEVIN, 2010/0993 (2011)

87300 - 1 count
\$200

Kevin Cheng, a member of the Rate Fairness Board for the City and county of San Francisco, failed to timely file a 2009 annual Statement of Economic Interests.

CHERNIN, MEGAN, 2000/0607 (2002)

87300 - 2 counts
\$800

Megan Chernin, member of the board of trustees of the California State Summer School for the Arts in Beverly Hills, failed to timely file her 1998 and 1999 annual statements of economic interests.

CHERRY, LEE, 2011/0480 (2011)

87300 - 1 count
\$200

City of Oakland employee Lee Cherry failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

CHICO DEMOCRATS 08 AND MICHAEL WORLEY, 2009/0537 (2011)

84101(a), 84200(a) & 84204 - 1 count
84305(a) - 1 count
\$4,000

Chico Democrats 08 was formed in 2008 as a federal political action committee for the Chico Democratic Club, which is a chartered entity of the Butte county Democratic Central Committee. Michael Worley has been the treasurer of Respondent Committee since its inception. Chico Democrats 08, in or about 2008, qualified as a committee in California and failed to file required campaign statements and reports, and sent a mass mailing which failed to display the required sender identification.

CHILDREN'S RIGHTS 2000, AND KINDE DURKEE, 2000/0060 (2002)

84303 - 1 count
\$1,000

Children's Rights 2000, a ballot measure committee in Burbank formed primarily to support qualification of Proposition 223 and the Cigarette Tax, After School-Tutoring initiative, and its treasurer, Kinde Durkee, failed to report subvendor information in 1998.

CHINO VALLEY UNIFIED SCHOOL DISTRICT, 2006/0461 (2006)

89001 - 1 count
\$3,500

Chino Valley Unified School District sent a mass mailing, dated June 1, 2006, at public expense to residents, which was signed by five elected board of education members.

CHINO VALLEY’S B.E.S.T. AND ROLLAND BOCETA, TREASURER, 2000/0116 (2002)

84200 - 1 count
\$1,000

Chino Valley’s B.E.S.T., a county committee primarily formed to support the passage of Bond Measure Y for a school district, and its treasurer, Rolland Boceta, failed to timely file a semi-annual campaign statement in 1999

CHOW, LEO, 2010/0999 (2011)

87300 - 1 count
\$200

Leo Chow, Arts Commission member for the City and county of San Francisco, failed to timely file a 2009 annual Statement of Economic Interests.

CHRISTIANI, LARRY D, 1997/0647 (1998)

84300 & 84301 - 6 counts
\$11,000

Larry D. Christiani, a Los Gatos general building contractor and principal owner of a construction company, laundered six campaign contributions to the Committee to Elect Pete Sinclair, candidate for the Woodside City Council in 1995.

CHRONICLE PUBLISHING COMPANY, 2001/0042 (2001)

84200 - 1 count
\$600

The Chronicle Publishing Company of Oakland failed to file a major donor campaign statement in 2000.

CHUA, JOHN, 2011/0807 (2012)

87206 & 87207 - 3 counts
\$600

John Chua, a member of the City of Walnut Planning Commission until December 31, 2011, failed to report interests in real property and a business, Tempo Graphics & Printing/ Golden Touch USA, as a source of income on his 2008, 2009, and 2010 Statements of Economic Interests for the reporting periods of January 1, 2008 through December 31, 2008, due April 1, 2009, January 1, 2009 through December 31, 2009, due April 1, 2010, and January 1, 2010 through December 31, 2010, due April 1, 2011.

CHUN, DANIEL, 2013/0325 (2013)

84302 - 4 counts
\$18,000

In 2008, Daniel Chun caused \$4,000 in political contributions to be made on behalf of Hai Fu "Joey" Lo to two candidates for mayor of the City of Fremont without disclosing that he was acting as an intermediary for Mr. Lo.

CHURCH OF JESUS CHRIST OF LATTER-DAY SAINTS, 2008/0735 (2010)

84203 - 13 counts
\$5,539

The Church of Jesus Christ of Latter-day Saints failed to timely report making late non-monetary contributions totaling \$36,928 in connection with the November 4, 2008, General Election.

CIM GROUP, INC. AND ITS AFFILIATED ENTITY CIM GROUP, LLC, 2009/0621 (2009)

84200 - 3 counts
\$1,200

CIM Group, Inc. and its affiliated entity CIM Group, LLC., a major donor committee, failed to timely file three major donor statements (Form 461).

CIM GROUP, INC. AND ITS AFFILIATED ENTITY CIM GROUP, LLC, 2009/0621 (2009)

84203 - 2 counts
\$525

CIM Group, Inc. and its affiliated entity CIM Group, LLC., failed to timely disclose two late contributions totaling \$3,500.

CISCO SYSTEMS, 2006/0001 (2006)

84200 - 1 count
\$400

Cisco Systems of Mill Valley failed to timely file a semi-annual campaign statement in 2003.

CITIZENS AGAINST MORE TRAFFIC - NO ON G COMMITTEE AND SUNSET DEVELOPMENT COMPANY, 1989/0221 (1990)

84102 - 1 count
84305 - 4 counts
\$9,000

Citizens Against More Traffic - No on G Committee failed to disclose on its statement of organization and on four mass mailings that it was sponsored by a developer who opposed a “slow-growth” measure in Walnut Creek. The committee raised \$31,000 and sent four mass mailings opposing the measure within the last two weeks before the June 8, 1989, election. Sunset Development Company qualified as the committee’s sponsor by setting fundraising policies and providing all or nearly all of the administrative services for the committee.

CITIZENS FOR A BETTER FAIRFIELD, 1999/0724 (2000)

84305 - 1 count
\$1,200

Citizens for a Better Fairfield, a general purpose city committee, failed to include proper sender identification on a mass mailing sent in 1999.

CITIZENS FOR A BETTER LA PUENTE, AND ALEX ESPINOZA, III, 1997/0137 (2002)

84102 - 1 count
84104 - 5 counts
84211 - 1 count
84305 - 2 counts

\$18,000

Citizens for a Better La Puente, a committee primarily formed to support two candidates for the La Puente City Council, and its treasurer, Alex Espinoza, III, filed a statement of organization with a false address, failed to maintain campaign records, failed to disclose the true address of the committee on mass mailings, and failed to disclose an expenditure in a campaign statement in 1997.

CITIZENS FOR A LIVABLE LOS ANGELES, SEYMOUR LAURETZ, TREASURER, ZEV YAROSLAVSKY, AND MARVIN BRAUDE, 1988/0384 (1990)

84303 - 2 counts
\$3,000

Citizens for a Livable Los Angeles, a city ballot measure committee controlled by Los Angeles Councilmembers Zev Yaroslavsky and Marvin Braude failed to properly report expenditures of \$1.84 million, which amounted to 70% of the total expenditures during two reporting periods, made for broadcast advertising during the November 1988 local election on two competing oil drilling ballot measures. The controlled committee was primarily formed to support passage of Measure O, which opposed oil drilling off the coast of Los Angeles county. The competing Measure P was defeated.

CITIZENS FOR A STRONG CHULA VISTA, AND CHRIS MILLER, TREASURER, 1990/0887 (1992)

84204 - 1 count
\$2,000

Citizens for a Strong Chula Vista, and Chris Miller, its treasurer, failed to timely file a late independent expenditure report disclosing \$3,047 spent to produce a mailer supporting Leonard Moore for reelection to the Chula Vista City Council.

CITIZENS FOR AN EDUCATED AMERICA, NO ON 227, AND DAVID GOULD, 1999/0632 (2002)

84211 - 1 count
84303 - 3 counts
\$8,000

Citizens for an Educated America, No on 227, a ballot measure committee primarily formed in 1997 to oppose Proposition 227 in the 1998 primary election, and David Gould, its treasurer, failed to disclose accrued expenses and failed to report subvendor information on campaign statements in 1998.

CITIZENS FOR BETTER GOVERNMENT AND MICHAEL LEWIS, 2003/0133 (2009)

84203 - 1 count
84305 - 4 counts
\$12,000

Citizens for Better Government was a county general purpose committee and Michael Lewis was its treasurer. Respondents failed to disclose late contributions made to candidate controlled committees in a properly filed late

contribution report, and failed to provide proper sender identification on four separate mass mailers.

CITIZENS FOR CARDROOM REFERENDUM, AND TED FRAZIER, AKA THEO FRAZIER, TREASURER, 1992/0483 (1997)

84200 & 84200.5 - 1 count
89513 - 3 counts
89517 - 2 counts
\$3,000

The Commission issued a Default Decision and Order against Citizens for Cardroom Referendum, and Ted Frazier, its treasurer, who failed to file campaign statements in 1992 and 1995. Frazier also violated the personal use provisions by using campaign funds to pay rent on his and another person's apartment and use of personal and other persons' residential phone services, and purchased a television set for another person.

CITIZENS FOR FOOTHILL-DE ANZA, A COMMITTEE TO SUPPORT MEASURE E, AND ROBERT A. GRIMM, 2004/0595 (2005)

84105 - 5 counts
84211 & 84216 - 3 counts
84211 - 1 count
\$12,000

Citizens for Foothill-De Anza, a Committee to Support Measure E, was a committee primarily formed to support a bond measure placed on the November, 1999 Santa Clara county ballot by the Foothill-De Anza Community College District, and its treasurer, Robert A. Grimm, failed to send major donor notification letters to contributors of \$5,000 or more; failed to disclose the receipt, repayment, and forgiveness of a loan; and failed to disclose non-monetary contributions.

CITIZENS FOR HONEST GOVERNMENT AND CECILIA B. HERNANDEZ, 1996/0703 (1999)

84200.5 & 84200.8 - 2 counts
\$1,500

The Commission issued a Default Decision and Order against Citizens for Honest Government, a Colton city general purpose committee, and its treasurer, Cecilia B. Hernandez, for failure to timely file the first and second pre-election statements in connection with the 1996 general election.

CITIZENS FOR INTEGRITY IN GOVERNMENT AND ARMANDO ARAVLOZO, TREASURER, 2011/0274 (2011)

84200 - 4 counts
\$800

Citizens for Integrity in Government and its treasurer, Armando Aravlozo, failed to timely file semi-annual reports covering the periods January 1, 2006, through December 31, 2006, due July 31, 2006, and January 31, 2007; January 1, 2007, through December 31, 2007, due July 31, 2007, and January 31, 2008; January 1, 2008, through June 30, 2008, due July 31, 2008; and January 1,

2010, through June 30, 2010, due July 31, 2010, with the City of Santa Clarita.

CITIZENS FOR LEGAL IMMIGRATION REFORM/SAVE OUR STATE, RON PRINCE, AND BETTY PRESLEY, 1995/0281 (1997)

84105 - 1 count
\$1,000

Citizens for Legal Immigration Reform/Save Our State, a state ballot measure committee formed to support the passage of Proposition 187, its principal officer, Ron Prince, and its treasurer, Betty Presley, failed to notify a contributor of \$5,000 or more that she may be required to file major donor campaign statements in 1994.

CITIZENS FOR REPRESENTATIVE GOVERNMENT, ALLAN HOFFENBLUM, AND RANDY GOODWIN, 2008/0103 (2009)

84218 & 84605(c) - 4 counts
84220 & 84605(c) - 1 count
\$5,000

Citizens for Representative Government has been registered as a California slate mailer organization since 1995. Allan Hoffenblum was the treasurer and Randy Goodwin was the assistant treasurer for Respondent CRG. Respondents consistently and timely filed all required campaign statements and reports in paper format from 1995 through 2006. However, Respondents repeatedly failed to file campaign statements and reports online or electronically as required by the Act from calendar year 2004 through 2006. Respondents CRG, Hoffenblum and Goodwin failed to file one semi-annual and two pre-election campaign statements online or electronically. Respondents CRG, and Hoffenblum failed to file five semi-annual and four pre-election campaign statements online or electronically, failed to file five late payment reports online or electronically.

CITIZENS FOR RESPONSIBLE LOCAL REPRESENTATION, AND SCOTT C. BEARD, TREASURER, 1992/0700 (1994)

84200 - 2 counts
84204 - 1 count
\$4,000

Citizens for Responsible Local Representation, a non-controlled recipient committee formed to support various candidates for local offices within San Bernardino county, and Scott C. Beard, treasurer, failed to timely file semi-annual statements and late independent expenditure reports for \$4,315 of postage in connection with mailers distributed in 1992.

CITIZENS FOR TRAFFIC RELIEF, AND FRANK MOONEY, 2000/0687 (2005)

84200.5 - 2 counts
84203 - 1 count
\$4,500

Citizens for Traffic Relief, a committee primarily formed for the purpose of supporting the passage of Measure E in

the jurisdiction of the North Lake Tahoe Transportation Authority of Placer county, and its treasurer Frank Mooney, failed to timely file pre-election campaign statements and failed to disclose a \$10,000 late contribution in a properly filed late contribution report in 2000.

CITIZENS IN SUPPORT OF BRET DANIELS, 2009/0255 (2011)

84200 - 1 count
\$200

Citrus Heights City Council Candidate Bret Daniels, Citizens in Support of Bret Daniels, and Bret Daniels as treasurer failed to timely file a semi-annual campaign report, for the reporting period ending December 31, 2008.

CITIZENS TO PROTECT OUR COMMUNITY, AND HAROLD S. TRUBO, 1996/0411 (1998)

84203 - 3 counts
84211 - 1 count
\$4,000

Citizens to Protect Our Community, a recipient committee primarily formed to support Utility Tax Measure "O" in the city of Moreno Valley, and its treasurer, Harold S. Trubo, failed to disclose contributions on campaign statements and failed to file late contribution reports in 1996.

CITRUS MOTORS ONTARIO, INC, 2006/0623 (2006)

84200 - 1 count
\$800

Citrus Motors Ontario, Inc. of Ontario failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

CITY OF BEAUMONT CANDIDATE VICTOR DOMINGUEZ AND VICTOR DOMINGUEZ FOR BEAUMONT CITY COUNCIL 2010, 2011/0197 (2011)

84200 - 1 count
\$200

Victor Dominguez and Victor Dominguez for Beaumont City Council 2010 failed to timely file a semi-annual campaign statement for the period October 17, 2010, through December 31, 2010, due January 31, 2011.

CITY OF BURBANK, BILL WIGGINS, BOB KRAMER, DAVE GOLONSKI, TED MCCONKEY, SUSAN SPANOS, AND STACEY MURPHY, 1997/0194 (2000)

89001 - 3 counts
\$6,000

The Commission adopted an administrative law judge's proposed decision in the matter of City of Burbank, Bill Wiggins, Bob Kramer, Dave Golonski, Ted McConkey, Susan Spanos, and Stacey Murphy, who, acting in their official capacities as members of the Burbank City Council, sent three mass mailings which contained their signatures to residents in Glendale and Pasadena at public expense in 1997.

CITY OF INGLEWOOD, 2001/0112 (2004)

89001 - 10 counts
\$50,000

The City of Inglewood sent mass mailings featuring elected city officials at public expense.

CITY OF ORANGE COVE AND VICTOR LOPEZ, 2006/0617 (2010)

89001 - 1 count
\$3,500

The City of Orange Cove is located in Fresno county, and Victor Lopez, is the elected mayor of Orange Cove. Respondent City of Orange Cove used public funds to send a mass mailing, which was coordinated with and featured Respondent Lopez.

CITY OF YUBA CITY AND RORY RAMIREZ, 2008/0510 (2009)

89001 - 2 counts
\$6,000

Yuba City is located in northern California's Sutter county, and Rory Ramirez, was at the time of the violation, an elected city council member and appointed mayor of Yuba City. The City of Yuba City used public funds to send two mass mailings, which were coordinated with and featured Respondent Ramirez.

CLAEYSSENS, PIERRE P, 2002/0702 (2002)

84203 - 1 count
\$1,500

Pierre P. ClaeysSENS of Santa Barbara failed to file a late contribution report in 2002.

CLARK, THOMAS, 2005/0686 (2006)

87300 - 1 count
\$100

Thomas Clark, a member of the Sausalito-Marín City School District Board, failed to timely file a 2004 annual statement of economic interests.

CLEAN SAFE CREEKS COMMITTEE, SUSAN A. PINO, AND RICK L. CALLENDER, 2000/0793 (2004 CIVIL LAWSUIT)

84103
84200.5
84203
84211
84303
\$24,000

The Committee for Clean Safe Creeks and its co-treasurers, Susan A. Pino and Rick L. Callender, failed to timely file a pre-election campaign statement, failed to timely and properly disclose late contributions, failed to report subvendor information on a pre-election statement filed after the election, and failed to timely file an amended statement of organization.

CLEM, LORI, 2001/0138 (2001)

84200 - 1 count
\$400

Lori Clem of Brea failed to file a major donor campaign statement in 2000.

CLIFT, MARY LOU, FRIENDS OF MARY LOU CLIFT, AND LAURA A. DUNLAP, TREASURER, 1997/1045 (2000 CIVIL LAWSUIT)

84104
84203
84211
84300
84304
\$9,100

Mary Lou Clift, an unsuccessful candidate for Vista City Council in 1996, received and deposited an illegal anonymous cash contribution. Ms. Clift, her committee Friends of Mary Lou Clift, and its treasurer, Laura A. Dunlap, failed to properly report the receipt of this contribution and also failed to maintain other required records.

CLK, INC, 2006/0428 (2006)

84200 - 1 count
\$800

CLK, Inc. of Palm Desert failed to timely file a semi-annual campaign statement disclosing contributions totaling \$5,400 in 2004.

COALITION OF BRIAN GOLDBERG, 2009/0639 (2010)

84200.5(c) & 84200.8(b) - 1 count
\$2,500

Brian Goldberg, a board member for the Beverly Hills Unified School District, failed to timely file a pre-election campaign statement, disclosing \$25,000 in expenditures.

COALITION OF LABOR, AGRICULTURE AND BUSINESS, 2008/0734 (2010)

84200(b) - 1 count
84204(b) - 1 count
\$3,500

Coalition of Labor, Agriculture and Business, an Imperial county based business association, failed to timely file a late independent expenditure report, and failed to timely file a semi-annual campaign statement for the reporting period July 1, 2008 through December 31, 2008.

COALITION OF SUSAN BOYD, 2009/0777 (2011)

84200 - 1 count
\$200

Susan Boyd was a candidate for reelection to the La Cañada Unified School District board. Respondent failed to timely file a second pre-election campaign statement for the reporting period of September 20, 2009, through October 17, 2009.

COALITION TO ACHIEVE RESPONSIBLE ENFORCEMENT AND SARAH SKINNER, TREASURER,, 1995/0196 (1997)

84203 - 3 counts
\$3,000

The Coalition to Achieve Responsible Enforcement, a local ballot measure committee in San Francisco formed to oppose the passage of Proposition G, the Building Inspection Commission charter amendment, and its treasurer, Sarah Skinner, failed to file late contribution reports in 1994.

COBOS, ALFRED, AND COMMITTEE TO ELECT AL COBOS, 1997/0326 (1998)

84200 - 2 counts
84200.5 & 84200.8 - 1 count
85201 - 1 count
\$4,000

Alfred Cobos, member of the Bassett Unified School District Board of Directors in Los Angeles county, and Committee to Elect Al Cobos, failed to timely file a pre-election campaign statement in 1993, failed to file semi-annual campaign statements in 1993 and 1994, and failed to deposit all contributions or loans made to himself or his committee into, and failed to make campaign expenditures from, the campaign bank account.

COCA-COLA COMPANY, 1991/0238 (1991)

84203 - 1 count
\$1,750

The Coca-Cola Company failed to timely file a late contribution report for a \$25,000 contribution made to the “No on 128 - The Hayden Initiative,” a committee opposing the statewide initiative commonly known as “Big Green.”

COCKS, ORRIN, 2001/0183 (2003)

84211 - 1 count
85201 - 2 counts
\$4,000

Orrin Cocks, unsuccessful candidate for San Luis Obispo county Supervisor in 1998, failed to properly disclose campaign expenditures and made two payments of campaign expenses without depositing the funds in the designated campaign bank account.

COFFMAN, JEFF S, 2001/0304 (2003)

87300 - 1 count
\$800

Jeff S. Coffman, member of the Fullerton Redevelopment Design Review Committee, failed to timely file a 2002 annual statement of economic interests.

COHEN MEDICAL CORPORATION, 2000/0450 (2000)

84203 - 3 counts
\$4,425

Cohen Medical Corporation of Long Beach failed to file a late contribution report during the March 7, 2000, primary election.

COHEN MEDICAL GROUP, 2001/0014 (2001)

84200 - 1 count
\$600

Cohen Medical Group of Long Beach failed to file a major donor campaign statement in 2000.

COHN, JEROLD, 1994/0209 (1996)

87206 & 87207 - 5 counts
89503 - 1 count
\$7,000

Jerold Cohn, a workers’ compensation appeals judge with the Department of Industrial Relations, violated the conflict-of-interest provisions of the Act when he accepted a honorarium for a speech given in 1991 from a law firm which appeared before the Workers’ Compensation Appeals Board. Cohn also failed to timely file his annual statements of economic interests for 1991 and 1992, and did not disclose complete information on his statements filed for 1993-1995.

COLE, DAVID, 2006/1148 (2011)

87100 - 16 counts
87207(b)(2) - 3 counts
87207(b) & 87209 - 3 counts
87209 - 1 count
\$111,500

David Cole was a member of the Pinole City Council and also a board member of the Pinole Redevelopment Agency from 2000 to 2007. Respondent Cole owned and operated Pinole Valley Landscape (PVL), which provided services to and received substantial income from The Kivelstadt Group (TKG), a real estate developer and property management company active in the City of Pinole. Respondent Cole, through PVL, earned \$253,353 from TKG from 2003 through 2006. On at least 16 occasions from 2003 - 2007, Respondent Cole made governmental decisions in which he had a material financial interest, by voting on matters before the Pinole Redevelopment Agency and the Pinole City Council involving TKG, which was a source of income to Respondent Cole; failed to disclose his income from and business position with PVL on his annual Statements of Economic Interests for 2003 - 2006, and failed to disclose TKG as a source of income to him through PVL on his annual Statements of Economic Interests for 2003 - 2005.

COLEMAN HOMES, INC, 2003/0388 (2003)

84200 - 1 count
\$638

Coleman Homes, Inc. of Bakersfield failed to file a major donor campaign statement in 2002.

COLEMAN, LEWIS W, 1998/0319 (1998)

84200 - 1 count
84203 - 1 count

\$2,500

Lewis W. Coleman of San Francisco failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

COLICH & SONS, L.P, 2003/0572 (2005)

84200 - 1 count
\$800

Colich & Sons, L.P. of Gardena failed to file a major donor campaign statement in 2002.

COLLINS, STEPHEN P., COLLINS FOR SUPERVISOR, AND F. WARREN WAYLAND, 2006/0797 (2008)

84211 - 2 counts
\$7,000

Stephen P. Collins was an unsuccessful candidate for the Monterey county Board of Supervisors District 5 in the March 2, 2004 primary election. Collins for Supervisor was the controlled committee and F. Warren Wayland was the treasurer. Respondents failed to properly report the true source of two loans totaling \$70,000 received during the campaign.

COLLIS, CONWAY, 1990/0391 (1991)

83116.5 & 84200 - 2 counts
\$2,500

Conway Collis, former member, Board of Equalization, caused Martin Massman, a major donor, to violate campaign reporting requirements. Collis promised to have his controlled committee take full responsibility for the filing of Mr. Massman's two 1989 major donor statements and subsequently failed to file the statements.

COLLIS, CONWAY, CITIZENS FOR COLLIS, ALBERT GERSTEN, JR., THE GERSTEN COMPANIES, AND THOMAS HOUSE, 1982/0024 (1984)

84200 - 3 counts
84211 - 15 counts
84213 - 1 count
\$3,000

Board of Equalization member Conway Collis, Albert Gersten, one of Collis' major campaign contributors, and Thomas House, a campaign accountant, committed multiple campaign reporting violations during the 1982 primary election. The most serious violations involved intentional over reporting of campaign contributions to the Citizens for Collis campaign committee by \$145,000 during the primary period because Collis felt he needed to catch up with his fundraising if he was to have a shot at getting endorsements from Howard Berman and because of his desire to make a strong showing of his ability to raise funds on his own behalf by showing at least \$300,000 on his campaign statement at the end of 1981.

Collis reported cash on hand of \$280,485 on his December 11, 1981, campaign statement - \$145,000 more than the committee had in the bank as of that date. Five checks in that amount to the Collis committee were dishonored, four because payment had been stopped by the contributors and one because of insufficient funds.

Tom House, the accountant for Citizens for Collis committee, Albert Gersten, Jr., and The Gersten Companies, failed to report contributions to Collis. Gersten failed to timely report a \$25,000 contribution made to Citizens for Collis in 1982.

COLLISHAW CONSTRUCTION, INC, 1995/0567 (1996)

84300 & 84301 - 6 counts
\$12,000

Collishaw Construction, Inc., located in San Jose, and engaged in construction and development, laundered six campaign contributions to San Jose City Council candidate Pat Dando in 1995.

COLON, ANGEL, 2011/0132 (2012)

87203 - 1 count
\$200

Angel Colon, a Planning Commissioner for the City of South Gate, failed to file a Statement of Economic Interests in a timely manner for the reporting period January 1, 2009, through December 31, 2009, by the April 1, 2010, due date.

COLORADO COMMONS, 2007/0292 (2007)

84203 - 1 count
\$1,500

Colorado Commons, LLC of Los Angeles failed to timely disclose late contributions totaling \$10,000.

COLTON POLICE OFFICERS ASSOCIATION PAC, 2010/1062 (2012)

84200.5 - 1 count
84204 - 1 count
84211(c) & 84211(f) - 1 count
\$7,500

Colton Police Officers Association PAC failed to timely file a pre-election statement for the October 1, 2010, through October 16, 2010, reporting period, by October 21, 2010; failed to timely report four late independent expenditures made between October 17, 2010, and November 1, 2010; and failed to properly report 60 contributions of \$100 or more received during the July 1, 2010, through December 31, 2010.

COLWELL, RICHARD, 2009/0798 (2010)

87300 & 87302 - 4 counts
\$800

Richard Colwell, Chief Assistant county Executive Officer, county of Placer, failed to disclose various real properties and associated rental income on annual Statements of

Economic Interests for calendar years 2005, 2006, 2007 and 2008.

COMBS, JESSE, 1984/0322 (1987)

87100 - 6 counts
 87206 - 1 count
 87207 - 5 counts
 \$12,000

Former Lake county Supervisor Jesse Combs committed violations by voting on matters that clearly benefited business partners and developers who were sources of income. These same sources of income were not disclosed on his statement of economic interests. The conflicts of interest centered on a development project known as Lakeside Heights, expansion of the Lampson Airport, and contracts with Ruzicka Engineering. In several of the conflicts, Combs provided the requisite third vote. Combs participated in the governmental decisions despite contrary advice from the county counsel and the Fair Political Practices Commission. Combs failed to disclose on his 1983 and 1984 statements of economic interests several sources of income of \$10,000 or more to his construction company and his 50% investment in Lake county property.

COMCAST CORPORATION AND AFFILIATED ENTITIES,, 2005/0187 (2005)

84200 - 2 counts
 \$800

Comcast Corporation and affiliated entities of Philadelphia, Pennsylvania, failed to file major donor campaign statements in 2003.

COMMERCEWEST BANK, 2007/0346 (2007)

84200 - 1 count
 \$400

CommerceWest Bank of Irvine failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2006.

COMMITTEE FOR BREA OLINDA SCHOOLS-YES ON MEASURE E AND TERRY SWINDLE, TREASURER, 2012/0402 (2012)

84200.5 - 1 count
 \$200

Committee for Brea Olinda Schools-Yes on Measure E, and the committee's treasurer, Terry Swindle, failed to file a pre-election campaign statement in a timely manner for the reporting period of January 1, 2012, through May 19, 2012, due May 24, 2012.

COMMITTEE FOR MEASURE G - LOOMIS AND PAUL I. MINEER, 2009/0702 (2012)

84200(a) - 3 counts
 \$1,000

Committee for Measure G - Loomis was a primarily formed ballot measure committee formed to support the

passage of Measure G in the City of Loomis and Paul I. Mineer was the committee's treasurer. They failed to file semi-annual campaign statements in a timely manner for the July 1, 2008, through December 31, 2008, reporting period, due February 2, 2009; the January 1, 2009, through June 30, 2009, reporting period, due July 31, 2009; and the July 1, 2009, through December 31, 2009, reporting period, due February 1, 2010.

COMMITTEE FOR PROPOSITION A, THE RURAL LANDS INITIATIVE, AND JUDITH SAKRISON, 2004/0097 (2008)

84104 - 2 counts
 84211 - 4 counts
 84503 - 2 counts
 \$11,000

Committee for Proposition A, The Rural Lands Initiative, was a ballot measure committee primarily formed to support the passage of Proposition A in San Diego county in the March 2, 2004, primary election. Judith Sakrison was the committee's treasurer. Respondents failed to maintain campaign records, failed to disclose required contributor information, and failed to include a proper disclosure statement in two television advertisements.

COMMITTEE TO ELECT BOB EPPLE, ROBERT EPPLE, TREASURER, 2010/0923 (2011)

84200 - 2 counts
 \$400

Cerritos Community College District candidate and board member Robert "Bob" Epple, and his committee, Committee to Elect Bob Epple, failed to timely file two semiannual campaign statements, for the reporting periods ending December 31, 2009 and June 30, 2010.

COMMITTEE TO ELECT BRYAN BATEY, AND LISA KING, 2010/0053 (2010)

84211(k) & 84303 - 2 counts
 \$5,000

Bryan Batey was a candidate for the Kern High School District Board of Trustees, and Committee to Elect Bryan Batey was his controlled committee. Lisa King was the committee treasurer. For the reporting periods ending September 30, 2006 and October 21, 2006, Respondents failed to report payments to subvendors.

COMMITTEE TO ELECT CINDY FINERTY, AND DEBBI HALL, 2010/0947 (2011)

85501- 1 count
 \$2,500

Cindy Finerty is currently a member of the Palm Desert City Council and has been a member since 2006. The Committee to Elect Cindy Finerty is her controlled committee and at all relevant times, Debbi Hall was its treasurer. Respondents violated the requirements of the Political Reform Act by making an independent expenditure from the Respondent Committee to Elect Cindy Finerty, to support Jean Benson and Jim Ferguson

for Palm Desert City Council in the November 2, 2010, election.

COMMITTEE TO ELECT DAVID GRANT SOUTH ORANGE COUNTY COMMUNITY COLLEGE DISTRICT TRUSTEE 2010, 2010/1087 (2011)

84211 - 1 count
\$200

David Grant, South Orange county Community College District Trustee, and Committee to Elect David Grant Trustee 2010 with David Grant as treasurer, failed to timely disclose expenditures on a pre-election statement for the reporting period ending October 16, 2010.

COMMITTEE TO ELECT ELVIA AGUILAR; ELVIA AGUILAR AND CHRISTINA AGUILAR, TREASURER, 2011/1063 (2012)

84200 - 2 counts
\$400

Committee to Elect Elvia Aguilar; Elvia Aguilar and Christina Aguilar, Treasurer, failed to timely file two semiannual campaign statements for the reporting period of January 1, 2011, through June 30, 2011, due August 1, 2011, and July 1, 2011, through December 31, 2011, due January 31, 2011.

COMMITTEE TO ELECT FIDELES UNGAB, AND GIL UNGAB, 2009/0112 (2010)

84200(a) - 1 count
\$2,000

Fideles Ungab was a candidate for the National City Council during the November 2008 election, The Committee to Elect Fideles Ungab was Fideles Ungab's candidate controlled committee for the election, and Gil Ungab was the treasurer for Respondent Committee. Respondents failed to file a semi-annual campaign statement for the October 19, 2008 through December 31, 2008 reporting period.

COMMITTEE TO ELECT FRANK JEWETT 4 CAMPBELL CITY COUNCIL, 2011/0150 (2011)

84200 & 84200.5 - 3 counts
\$600

Frank Jewett was a candidate for Campbell City Council in the election held November 2, 2010. The Committee to Elect Frank Jewett 4 Campbell City Council was his controlled committee. Respondents failed to timely file two pre-election campaign statements, failing to report \$3,435 in contributions and \$1,576 in expenditures for the reporting periods ending September 30, 2010, and October 16, 2010.

COMMITTEE TO ELECT HOLLY MITCHELL, AND LINDA MCKNIGHT, TREASURER, 2010/0252 (2010)

84200 - 1 count
\$200

Holly Mitchell, a candidate for superior court judge, her committee, Committee to Elect Holly Mitchell, and the committee treasurer, Linda McKnight, failed to timely file a semi-annual campaign statement for the period July 1, 2008, through December 31, 2008.

COMMITTEE TO ELECT JENNIFER RODRIGUEZ, ROGELIO RODRIGUEZ, AND GERARDO RODRIGUEZ, 2005/0158 (2010)

84104 - 2 counts
84200.5 & 84200.8(a) - 1 count
84211(k) - 1 count
\$7,500

Jennifer Rodriguez has been serving on the Bell Gardens City Council since her election in 2003 and is currently mayor pro tem. The Committee to Elect Jennifer Rodriguez was Jennifer Rodriguez's candidate-controlled committee. Rogelio Rodriguez was the treasurer of Respondent Committee during the time of the 2005 local election and Gerardo Rodriguez was the treasurer of Respondent Committee during the time of the 2007 local election. Respondents failed to disclose expenditures made, failed to timely file a first pre-election campaign statement, and failed to maintain required campaign records.

COMMITTEE TO ELECT JENNIFER VILLASENOR, AND STEPHANIE VILLASENOR, TREASURER, 2009/0397 (2011)

84200 - 3 counts
\$600

Jennifer Villaseñor, Committee to Elect Jennifer Villaseñor, and its treasurer Stephanie Villaseñor, failed to timely file three semi-annual campaign statements for the reporting periods of July 1, 2007, through December 31, 2007, January 1, 2008, through June 30, 2008, and July 1, 2008, through December 31, 2008.

COMMITTEE TO ELECT JOE MORENO, JOE MORENO, TREASURER, 2010/0961 (2011)

84200 - 2 counts
\$400

Mountain View School District candidate and officeholder, Joe Moreno, and his committee, Committee to Elect Joe Moreno, failed to timely file two semi-annual campaign statements.

COMMITTEE TO ELECT JOHN DRAGONE AND KATHY DRAGONE, 2008/0702 (2010)

84200(a) - 5 counts
\$10,000

John Dragone was a candidate for El Segundo City Council. Committee to Elect John Dragone was his candidate controlled committee and Kathy A. Dragone was the committee treasurer. Respondents failed to file five semi-annual campaign statements: for the April 9, 2008 through June 30, 2008, reporting period; the July 1, 2008 through December 31, 2008, reporting period; the January 1, 2009 through June 30, 2009, reporting period; the July 1,

2009 through December 31, 2009, reporting period, and the January 1, 2010 through June 30, 2010, reporting period.

COMMITTEE TO ELECT JOHN PEDROZO, AND EDWARD SPINARDI II, TREASURER, 2010/0600 (2011)

84200.7 - 2 counts
\$400

John Pedrozo was a candidate for Merced county Supervisor and the Committee to Elect John Pedrozo was his controlled committee. Edward Spinardi II was the treasurer for Respondent Committee. Respondents failed to timely file two pre-election campaign statements for the periods January 1, 2008 through March 17, 2008, and March 18, 2008 through May 17, 2008.

COMMITTEE TO ELECT JOSEPH MESSINA, JOSEPH MESSINA, BOARD MEMBER, AND R.J. KELLY, TREASURER, 2009/0233 (2011)

84200 - 2 counts
\$400

William S. Hart High School District board member and candidate Joseph Messina, and his committee, Committee to Elect Joseph Messina, and R.J. Kelly, Treasurer, failed to timely file one pre-election and one semiannual campaign statement for the reporting periods ending December 31, 2007 and December 31, 2008.

COMMITTEE TO ELECT KEN ORTEGA, 2006/0841 (2010)

84305(a) - 1 count
\$2,000

Committee to Elect Ken Ortega and Ken Ortega, a candidate for the Rio School District, sent mass mailings opposing the recall of Respondent Ortega and two other candidates, which failed to display required sender identification.

COMMITTEE TO ELECT KENT SWIFT, 2007/0018 (2010)

84200(a) - 1 count
\$2,500

Kent Swift is a former member of the Compton Community College School District Board of Trustees. Committee to Elect Kent Swift was his controlled committee. In this matter, Respondents Kent Swift and Committee to Elect Kent Swift failed to file a required semi- annual campaign statement.

COMMITTEE TO ELECT MARCO A. ROBLES, AND ROSA LIRA, 2008/0329 (2010)

84104 - 1 count
84200(a) - 1 count
84211(f) - 1 count
\$3,000

Marco Robles unsuccessfully campaigned for Pomona City Council on the November 7, 2006, election ballot. Marco Robles, Committee to Elect Marco A. Robles, and Rosa

Lira, Treasurer for the Committee to Elect Marco A. Robles: failed to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements for contributions made and received, failed to disclose required contributor information, and failed to file semi-annual campaign statements.

COMMITTEE TO ELECT NOREEN CONSIDINE, 2008/0667 (2011)

84203 - 1 count
\$1,050

Noreen Considine was a successful candidate for Jurupa School Board in the November 2008 election. Committee to Elect Noreen Considine was Respondent Considine's controlled committee. Respondents made a contribution of \$7,000 to Committee to Elect Tracy McMahon and failed to timely report it.

COMMITTEE TO ELECT SAUNDRA DAVIS, 2006/0372 (2010)

84200(a) - 1 count
\$2,000

Saundra Davis, a candidate for the Culver City Unified School District Governing Board in the November 8, 2005 election, and Committee to Elect Saundra Davis as her controlled committee, failed to file a semi-annual statement.

COMMITTEE TO ELECT TRACY MCMAHON, 2008/0668 (2011)

81004 - 1 count
84300(a) - 1 count
\$2,000

Respondent Tracy McMahon was an unsuccessful candidate for Jurupa School Board in the November 2008 election and the Committee to Elect Tracy McMahon was Respondent's controlled committee. Noreen Considine was the treasurer for Respondent Committee. Respondents, on or about October 3, 2008, received a cash contribution in excess of \$100, and, on or about October 31, 2008, failed to verify and sign under penalty of perjury a campaign statement.

COMMITTEE TO RE ELECT MICHAEL "MIKE" RAMOS SAN BERNARDINO COUNTY DISTRICT ATTORNEY-2010, AND MARVIN REITER, 2010/0269 (2011)

84211(k) - 1 count
\$2,500

Michael Ramos, District Attorney of San Bernardino county and his controlled committee, Committee to Re-Elect Mike Ramos San Bernardino county District Attorney- 2010 and Marvin Reiter, Treasurer, failed to disclose required vendor information for nine credit card expenditures of \$100 or more, totaling approximately \$8,619, on a semi-annual campaign statement for the reporting period of July 1 through December 31, 2009.

COMMITTEE TO RE-ELECT CURREN PRICE AND KINDE DURKEE, 2009/0754 (2010)

84203 - 3 counts
\$4,900

Curren Price, a former Assembly member, The Committee to Re-Elect Curren Price and Kinde Durkee, Treasurer, failed to timely disclose making three late contributions totaling \$36,000.

COMMITTEE TO RE-ELECT JEFF FOX, AND JEFFREY H. FOX, TREASURER, 2010/0599 (2011)

84200 - 1 count
\$200

Jeffrey H. Fox, City of Beaumont Council Candidate, Committee to Re-elect Jeff Fox, and Jeffrey H. Fox, Treasurer, failed to timely file a semiannual report.

COMMITTEE TO RE-ELECT JOE MORENO, 2011/1054 (2012)

84200(b) - 1 count
\$1,500

Joe Moreno was a candidate for re-election to the Mountain View School District Board in 2009, and treasurer for the Committee to Re-elect Joe Moreno. Respondents failed to file a semi-annual campaign statement in a timely manner for the reporting period of January 1, 2011, through June 30, 2011, due July 31, 2011, and a semi-annual campaign statement for the reporting period July 1, 2011, through December 31, 2011, due January 31, 2012.

COMMITTEE TO RE-ELECT SALVADOR FRANCO, 2006/0116 (2010)

84200(a) - 1 count
84200.5 & 84200.8(a) - 2 counts
84203 - 3 counts
\$12,500

Salvador Franco, a former member of the Bell Gardens City Council, was the treasurer of Committee to Re-Elect Salvador Franco. Respondent Franco failed to file two pre-election campaign statements, failed to file three late contribution reports, and failed to file a post-election semi-annual campaign statement.

COMMUNICATION WORKERS OF AMERICA, DISTRICT 9 POLITICAL EDUCATION COMMITTEE, WILLIAM QUIRK AND ANTHONY BIXLER, 1999/0642 (2001)

84200 - 8 counts
84200.5 - 3 counts
84203 - 2 counts
84211 - 1 count
\$26,500

Communication Workers of America, District 9 Political Education Committee, a general purpose committee located in Burlingame, its assistant treasurer, William Quirk, and treasurer, Anthony Bixler, failed to timely file eight semi-annual campaign statements, three pre-election campaign statements, two late contribution reports, and failed to

report a \$25,000 contribution made during the 1997-1998 reporting period.

COMPTON COMMUNITY COLLEGE DISTRICT AND ULIS C. WILLIAMS, SUPERINTENDENT/PRESIDENT, 2001/0729 (2004)

81010 - 42 counts
\$100,000

Compton Community College District and Ulis C. Williams failed to perform their duties as the filing officer for the District's designated employees under the District's conflict-of-interest code.

COMPTON COMMUNITY COLLEGE FEDERATION OF EMPLOYEES LOCAL 3486 C.O.P.E. AND DOVARD ROSS, 2006/0815 (2010)

84101(a) - 1 count
84200(a) - 1 count
\$4,000

Compton Community College Federation of Employees Local 3486 Committee On Political Education, a sponsored state general purpose committee, and Dovard Ross, Treasurer, failed to file a statement of organization within 10 days of qualifying as a recipient committee, and failed to file a semi-annual campaign statement by the January 31, 2007, due date for the reporting period of July 1 to December 31, 2006.

CONCERNED CITIZENS FOR A BETTER WEST COVINA AND DANA SYKES, 2009/0832 (2010)

84107 - 1 count
84204 - 1 count
\$6,000

Concerned Citizens for a Better West Covina and Dana Sykes, Treasurer, failed to properly identify Respondent Committee as a primarily formed committee for Measure D on mass mailings, and failed to file a late independent expenditure report by the October 29, 2009, deadline for a \$2,285.81 expenditure made on October 28, 2009.

CONDIE, JOT, 2010/0542 (2011)

87300 - 1 count
\$200

Jot Condie, as a member of the State of California Travel and Tourism Commission, failed to timely file a 2009 annual Statement of Economic Interests.

CONEXANT SYSTEMS, INC, 2007/0109 (2008)

84203 - 1 count
\$2,250

Conexant Systems, Inc. of Newport Beach failed to timely disclose one late contribution totaling \$15,000.

CONRAD, MELODEE, 2010/0894 (2011)

87300 - 1 count
\$200

Melodee Conrad, Governing Board Member for the Junction Elementary School District, failed to timely file a 2009 annual Statement of Economic Interests.

CONROY, MICKEY, AND MICKEY CONROY FOR ASSEMBLY 1994, 1995/0537 (1998)

89513 - 1 count
\$2,000

Mickey Conroy, member of the assembly, and his committee, Mickey Conroy for Assembly 1994, made an illegal expenditure of campaign funds in 1994 to reimburse a penalty imposed upon his chief of staff for sexual harassment in the legislative office.

CONSTRUCTION CONTROL SERVICES CORPORATION AND DR. RONALD ROHADFOX, 1996/0002 (1997)

84300 & 84301 - 10 counts
\$20,000

Construction Control Services Corporation, a North Carolina corporation with offices in Los Angeles, and Dr. Ronald Rohadfox, its president, laundered contributions made to Los Angeles city and county officials in 1992 through 1994.

CONSUMER ATTORNEYS ASSOCIATION OF LOS ANGELES, 2005/0487 (2006)

84203 - 3 counts
84605 - 1 count
\$20,000

Consumer Attorneys Association of Los Angeles qualified as a major donor committee in the second six months of 2004. Respondent failed to file a semi-annual campaign statement electronically and failed to file three late contribution reports.

CONSUMER ATTORNEYS ISSUES PAC AND JOSEPHINE DE SHIELL, TREASURER, 1998/0737 (2001)

84216 - 1 count
\$1,750

Consumer Attorneys Issues PAC, a committee active in opposing state Propositions 200, 201 and 202 in the 1996 primary election and supporting Proposition 207 in the 1996 general election, and Josephine De Shiell, its treasurer, failed to timely and properly report a \$300,000 non-monetary loan from the Citizens for Retirement Protection and Security.

CONSUMERS AND THEIR ATTORNEYS, YES ON PROPOSITION 30, 2000/0101 (2005)

84211 & 84303 - 5 counts
\$10,000

Consumers and Their Attorneys, Yes on Proposition 30, a state ballot measure committee headquartered in Sacramento, failed to report subvendor information on campaign statements filed in 2000.

CONTRA COSTA COMMUNITY COLLEGE DISTRICT, 1996/0520 (1998)

84105 - 1 count
84203 - 3 counts
84211 - 3 counts
84211 & 84203.5 - 1 count
\$16,000

Contra Costa Community College District, comprising three colleges, placed Measure D, a \$145 million bond measure to finance reconstruction, repairs, and a rehabilitation program for district institutions, on the ballot in the November 1996 election. Prior to the election, the District failed to disclose the receipt of its contributions and failed to fully disclose certain expenditures.

CONTRA COSTA COUNTY COMMITTEE ON POLITICAL EDUCATION, AFL-CIO; PAMELA AGUILAR, TREASURER, 2010/0250 (2010)

84200 - 6 counts
\$2,400

Contra Costa county Committee on Political Education with Pamela Aguilar as treasurer, failed to file six semi-annual campaign statements.

CONWAY, CHRISTOPHER J, 2003/0389 (2003)

84200 - 1 count
\$400

Christopher J. Conway of Dove Canyon failed to file a major donor campaign statement in 2002.

CONWOOD COMPANY, LLC, 2007/0180 (2009)

84203 - 1 count
\$4,000

Conwood Company, LLC qualified as a major donor committee under the Political Reform Act in October 2005. On or about October 27, 2006, Respondent made a \$500,000 late contribution to No on Proposition 86, Californians Against Unaccountable Taxes, a committee formed primarily to oppose a measure on the November 7, 2007, state ballot. Respondent was required to file a late contribution report disclosing the late contribution by October 28, 2006, but failed to do so until January 29, 2007, more than 10 weeks after the election.

COOK, JAMES, 2007/0106 (2010)

87100 - 2 counts
\$6,000

James Cook, a member of the Siskiyou county Board of Supervisors, made a governmental decision in which he had a financial interest.

COOK, PAUL, 2009/0860 (2010)

87207 - 1 count
\$200

Assemblymember Paul Cook failed to disclose on his 2008 Statement of Economic Interests a gift of a ticket to a

concert from the Pechanga Band of Luiseño Indians valued at \$56.25.

COOK, SCOTT, 2001/0235 (2003)

84200 - 2 counts
84605 - 2 counts
\$12,000

Scott Cook of Woodside failed to file major donor campaign statements in paper and electronic format in 2000.

COOK, SCOTT, 2005/0298 (2006)

84200 - 5 counts
\$20,000

Scott Cook of Mountain View failed to timely file five major donor campaign statements during reporting periods from January 1, 2001 through June 30, 2004.

COOMBS, INC, 1996/0149 (1998 CIVIL LAWSUIT)

84200
\$2,000

Coombs, Inc. made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

COONAN, DONNA, 2001/0332 (2002)

87300 - 2 counts
\$800

Donna Coonan, an Assistant Finance Director for the City of Daly City, failed to timely file a 2000 annual and a leaving office statement of economic interests.

COPELANDS' PROPERTIES, LLC, CP 962 MONTEREY, LLC, RESPONSIBLE COUNTY DEVELOPMENT, LLC, DAVID BOOKER, JAMES COPELAND AND THOMAS COPELAND, 2007/0467 (2010)

84200(a) - 1 count
84203 - 1 count
84301 - 8 counts
84302 - 6 counts
\$80,000

Respondents made contributions to county Coalition for Local Control, No on Measure J-06 committee in a name other than their legal names; failed to disclose the true source of contributions made to the county Coalition for Local Control, No on Measure J-06 committee, and failed to file a required semi-annual and late contribution report.

COPPOLA, MICHELLE, 2002/1024 (2003)

84203 - 1 count
\$3,500

Michelle Coppola of Irvington, New York, failed to file a late contribution report in 2002.

CORBALEY, CAREY, 1994/0305 (1997)

87100 - 2 counts
\$3,600

Carey Corbaley, a member of the Benecia City Council, and past vice mayor, violated the conflict-of-interest provisions in 1994 when she participated in a governmental decision and used her official position in an attempt to influence a decision in which she had a financial interest.

CORCORAN, THOMAS, 1982/0009 (1984)

87100 - 2 counts
87200, 87203, & 87206 - 1 count
87300 - 5 counts
\$15,000

Thomas J. Corcoran, as mayor of Richmond, participated in and voted on a decision allowing Standard Oil to proceed with construction of a \$600 million lube oil plant in his city. It was one of the largest such projects ever undertaken by the oil company. The decision also had a material financial effect on Pacific Gas & Electric Co., which was required to provide substantial amounts of new electrical power to the lube oil plant. Corcoran held approximately \$40,000 in PG&E stock at the time and should have disqualified himself for that reason alone. Additionally, he held an investment interest in Standard Oil, his former employer, worth more than \$100,000. The second conflict-of-interest violation occurred in December 1980, when Corcoran participated in a Richmond city council decision allowing Standard Oil to convert its 560-acre Hilltop Tank Farm property, adjacent to the Richmond Golf and country Club, into an office building complex. In addition to the conflict-of-interest votes, Corcoran failed to properly disclose all of his financial interests required by law in his role as an elected Richmond city official and as an appointed member of the Association of Bay Area Governments (ABAG) and the Bay Area Air Quality Management District (BAAQMD). Corcoran failed to report in a timely fashion investments, which for each of three years, 1980 through 1982, averaged 30,081 shares with a value of approximately \$337,960.

CORDOVA, RAYMOND, 1999/0293 (2000)

87302 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Raymond Cordova, field representative, California State Senate Rules Committee, who failed to timely file both a 1997 annual and a 1999 leaving office statement of economic interests.

CORE PARTNERS, 2000/0451 (2002)

84203 - 1 count
\$1,500

Core Partners of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

CORL, CECELIA, AKA CECELIA CORL-UBER, 1991/0258 (1994)

87200 - 1 count

\$2,000

The Commission issued a Default Decision and Order against Cecelia Corl-Uber, City of Fillmore Planning Commissioner, who failed to timely file her 1990 annual statement of economic interests, filing it over 14 months late. Even after having been interviewed by an enforcement staff investigator, Corl-Uber took almost four additional months before filing.

CORLETT, GARY, 2011/0095 (2011)

87207 - 1 count
\$200

Gary Corlett, Associate Director of Operations, California State University, Channel Islands, failed to disclose gifts of a round of golf and multiple lunches from Ameresco, Inc. valued at approximately \$125 on his 2007 annual Statement of Economic Interests.

CORNELIUS, ANN, 1999/0435 (1999)

87300 - 1 count
\$500

Ann Cornelius, Provost of Eleanor Roosevelt College at the University of California in San Diego, failed to timely file her 1998 annual statement of economic interests.

CORR, SARAH, 2011/0377 (2011)

87207 - 8 counts
\$1,600

Sarah Corr, Senior Portfolio Manager with CalPERS, failed to timely disclose gifts on her 2006 annual Statement of Economic Interests, her 2007 annual Statement of Economic Interests, and her 2008 annual Statement of Economic Interests. The gifts received were: gifts of a softball game and meals from LP Capital Advisors totaling \$97.00 in 2006; gifts of entertainment from TPG Capital totaling \$60.00 in 2006; gifts of bowling and a meal from LP Capital Advisors totaling \$60.00 in 2007; a gift of entertainment from TPG Capital totaling \$60.00 in 2007; a gift of a meal from Carlyle Group totaling \$50.00 in 2008; gifts of a softball game, billiards, and meals from LP Capital Advisors totaling \$72.00 in 2008; gifts of meals and a movie from Oak Hill Investment Managers totaling \$83.00 in 2008; gifts of meals and a gift card from Probitas Partners totaling \$68.00 in 2008.

CORRECTIONAL PEACE OFFICERS ASSOCIATION OF SANTA CLARA, 2001/0556 (2002)

86117 - 2 counts
\$2,250

Correctional Peace Officers Association of Santa Clara, a lobbyist employer located in Milpitas, failed to timely file two lobbyist employer reports in 2000.

CORSAUT, JAMES, 2009/0599 (2010)

87203 - 2 counts
87300 & 87302(b) - 1 count
\$6,000

James Corsaut, Councilmember for the City of Isleton and a former board member of the Sacramento Area Council of Governments/Capitol Valley SAFE, failed to file a 2007 and 2008 annual Statement of Economic Interests for his Isleton city council position by the April 1, 2008, and April 1, 2009, deadline, respectively, and failed to timely file a leaving office Statement of Economic Interests for his SACOG/SAFE position.

CORTES, MARCO POLO, AND CORTES 2000, 2000/0109 (2001)

84200.5 - 1 count
\$750

Marco Polo Cortes, an unsuccessful candidate for Chula Vista City Council, and Cortes 2000, his controlled committee, failed to timely file a second pre-election campaign statement in 2000.

COTO, JOE, 2009/0862 (2010)

87207 - 1 count
\$200

Assemblymember Joe Coto failed to disclose on his 2008 Statement of Economic Interests a gift from the Pechanga Band of Luiseño Mission Indians in the amount of \$94.46 for a dinner.

COULTER, JAMIE B, 2007/0287 (2007)

84203 - 1 count
\$2,250

Jamie B. Coulter of Dallas, Texas, failed to timely disclose late contributions totaling \$15,000.

COUNTY ALLIANCE FOR A RESPONSIBLE EDUCATION SYSTEM, AND KATHY FRASCA, 1999/0352 (2001)

84204 - 1 count
\$1,500

county Alliance for a Responsible Education System, a state general purpose committee, and its treasurer, Kathy Frasca, failed to file a late independent expenditure report in 1996.

COUNTY EMPLOYEE MANAGEMENT ASSOCIATION AND MARK FINK, 2013/0577 (2013)

84200 - 1 count
\$200

county Employee Management Association and Mark Fink, the committee's Treasurer, failed to timely file the campaign statement covering the period July 1, 2012, through December 31, 2012, due on January 31, 2013, with the county of Santa Clara Registrar of Voters.

COURTRIGHT, DONNA, DONNA COURTRIGHT FOR STATE ASSEMBLY #24, AND MICHAEL ERICKSON,, 1998/0202 (2000)

84200 - 1 count
84200.5 & 84200.7 - 1 count
84203 - 4 counts

\$9,000

Donna Courtright, an unsuccessful candidate for the assembly in 1998, her controlled committee, Donna Courtright for State Assembly #24, and its treasurer, Michael Erickson, failed to timely file both a pre-election and a semi-annual campaign statement and failed to file late contribution reports.

COVINGTON, DALLAS, 1997/0283 (1998)

87100 - 1 count
\$2,000

Dallas Covington, a Commissioner on the Redondo Beach Harbor Commission and a self-employed general contractor, violated the conflict-of-interest provisions when he gave a presentation requesting a conditional use permit on behalf of his client during a meeting of the commission in 1997.

COX COMMUNICATIONS BAKERSFIELD, INC, 1995/0538 (1995)

84300 & 84301 - 7 counts
\$11,000

Cox Communications Bakersfield, Inc., a subsidiary company providing cable television service, laundered contributions in the names of two executive employees to a Bakersfield city council person, two Kern county Board of Supervisor candidates, and two state candidates between 1993 and 1995.

COX COMMUNICATIONS SAN DIEGO, INC, 1993/0151 (1995)

84300 & 84301 - 24 counts
\$42,000

Cox Communications San Diego, Inc., a subsidiary company providing cable television service, laundered contributions in the names of seven executive employees and the spouse of one employee to city council persons in San Diego and other statewide candidates between 1991 and 1992.

COX, DAVE, 2009/0863 (2010)

87207 - 2 counts
\$400

Senator Dave Cox failed to disclose on his 2008 Statement of Economic Interests gifts of food and beverages paid by the California Professional Firefighters and valued at \$101.17, and a Holiday Bowl event including ticket and beverages paid by the Pacific Life Insurance Company and valued at \$390.00.

COX, PHILLIP, COMMITTEE TO ELECT PHIL COX, AND RALPH ANDERSON, 2004/0508 (2008)

84200 - 1 count
84200.5 & 84200.7 - 1 count
\$6,000

Phillip Cox was elected to the Tulare county Board of Supervisors in November 2004. During the 2004 election campaign, Cox, his controlled committee, Committee to

Elect Phil Cox, and committee treasurer, Ralph Anderson, failed to timely file a semi-annual campaign statement and failed to timely file a pre-election campaign statement.

COYNE DEVELOPMENT CO, 2003/0461 (2003)

84200 - 1 count
\$693

Coyne Development Co. of San Francisco failed to file a major donor campaign statement in 2000.

CRABTREE, KARLEN AND ARZOO AND SANDRA EVERINGHAM, 1986/0576 (1988)

84203, 84211
\$4,500

The Riverside accounting firm of Crabtree, Karlen and Arzoo and its employee, Sandra Everingham, failed to accurately report developer Louis Laramore as the source of more than \$7,000 in contributions to Mr. Digati's unsuccessful 1984 campaign and for erroneously reporting campaign refunds of \$8,500 in the final weeks of the November runoff election.

CRAIG, GLENDON B, 1997/0368 (1998)

84104 - 1 count
84200 - 1 count
84211 - 1 count
\$5,000

Glendon B. Craig, sheriff of Sacramento county, failed to report occupation and employer information for contributions received, failed to maintain detailed accounts, records, bills, and receipts, and failed to file semi-annual campaign statements from 1990 - 1994.

CRAIN, ROBERT, 2007/0602 (2007)

87203 - 1 count
87300 - 1 count
\$400

Robert Crain failed to timely file a 2006 annual statement of economic interests as mayor of the City of Blythe and also failed to file a 2006 annual statement of economic interests as a member of the Mojave Desert Air Quality Management District.

CRAMER, WILLIAM, 2005/0867 (2006)

84200 - 1 count
\$400

William Cramer of Anaheim failed to timely file a semi-annual campaign statement in 2001.

CRANDALL, J. TAYLOR, 2005/0456 (2006)

84200 - 1 count
84203 - 2 counts
\$15,000

J. Taylor Crandall of Woodside qualified as a major donor committee in the first six months of 2004. Respondent failed to file a major donor campaign disclosure statement; failed to file a late contribution report; and failed to

properly disclose the making of contributions in a subsequently filed major donor statement.

CRANE, PATRICK, 1987/0444 (1989)

84200 - 1 count
84203 - 1 count
\$3,500

Patrick Crane failed to file a major donor campaign report and late contribution report. Mr. Crane qualified as a major donor by making four contributions in 1986 totaling \$66,750. He also failed to file a late contribution report for a \$50,000 contribution made during the final two weeks of the election.

CRANN, CYNTHIA, 2002/0370 (2003)

87300 - 1 count
\$200

Cynthia Crann, Director of the Westport county Water Board, county of Mendocino, failed to timely file a 2001 annual statement of economic interests.

CRAWFORD LAW FIRM, 2002/0703 (2003)

84203 - 1 count
\$1,500

Crawford Law Firm, located in Des Moines, Iowa, failed to file a late contribution report disclosing a \$10,000 contribution made to the Governor Gray Davis Committee in 2002.

CRESLEIGH HOMES CORP, 2003/0390 (2003)

84200 - 1 count
\$400

Cresleigh Homes Corp. of San Francisco failed to file a major donor campaign statement in 2002.

CRESSEL, FRED, AND COMMITTEE TO ELECT FRED CRESSEL, 1995/0286 (1998)

84200 - 1 count
84200.5 & 84200.8 - 5 counts
\$12,000

Fred Cressel, successful candidate in the 1995 election for Compton City Council and unsuccessful candidate for mayor in 1997, and his committee, Committee to Elect Fred Cressel, failed to timely file campaign statements in 1995 and 1997.

CROMARTIE, TIM, 1999/0756 (2000)

87300 - 1 count
\$300

Tim Cromartie, Legislative Assistant, California State Assembly, failed to timely file his assuming office statement of economic interests.

CRONK, WILLIAM F, 1998/0493 (1999)

84200 - 1 count
\$1,250

William F. Cronk of Lafayette failed to file a semi-annual campaign statement in 1998 for a contribution made to the Governor Pete Wilson Committee.

CROSBY, MEAD, BENTON & ASSOCIATES, 1998/0635 (2000)

84300 & 84301 - 16 counts
\$29,000

Crosby, Mead, Benton & Associates, an engineering firm that does work on subdivisions primarily for developers, and headquartered in Santa Ana, laundered campaign contributions to two Santee City Council candidates in 1998.

CROSS, MIKE, 2001/0381 (2002)

87300 - 2 counts
\$600

Mike Cross, a member of the governing board of the Siskiyou county Lakeview Cemetery District, failed to timely file his 1999 and 2000 annual statements of economic interests.

CROSSROADS, LLC, 2002/1025 (2003)

84203 - 1 count
\$1,500

Crossroads, LLC of Irvine failed to file a late contribution report in 2002.

CROW, HARLAN R, 2003/0574 (2003)

84200 - 1 count
\$400

Harlan R. Crow of Dallas, Texas, failed to file a major donor campaign statement in 2002.

CRUZ, EMILIO, 2011/0869 (2011)

87300 - 1 count
\$400

Emilio Cruz, Transbay Joint Powers Authority Consultant, failed to timely file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, with the Technical Assistance Division.

CRUZ, ROBERTO, 2010/1051 (2011)

84206 - 1 count
\$200

county of Los Angeles El Monte School District Member Roberto Cruz failed to timely file a Form 470 campaign statement covering the period January 1, 2010, through December 31, 2010, due on August 2, 2010, with the county of Los Angeles.

CRUZ, ROBERTO, 2012/0650 (2012)

84200 - 1 count
\$400

Roberto Cruz, officeholder with the El Monte City School District, failed to timely file Form 470 Officeholder

Campaign Statement - Short Form, for the period January 1, 2012 through December 31, 2012, due July 31, 2012.

CRUZ-GONZALEZ, XILONIN, 2008/0281 (2010)

84206 - 1 count
\$400

Xilonin Cruz-Gonzalez, a board member for the Azusa Unified School District, failed to timely file a semi-annual campaign statement for the reporting period of January 1, 2008, through June 30, 2008.

CRUZ-GONZALEZ, XILONIN, 2013/0368 (2013)

87207 - 1 count
\$200

Xilonin Cruz-Gonzalez, as a Member of the Azusa Unified School District Board of Education, failed to timely disclose gift(s) of: five meals totaling \$341.86 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

CUMPSTON, DALE, 2001/0339 (2003)

87300 - 1 count
\$600

Dale Cumpston, an appointed member of the Building Inspection Board of Appeals for the county of Tehama, failed to file a 2000 annual statement of economic interests.

CUNEO GILBERT & LADUCA, LLP, 2005/0812 (2006)

84203 - 1 count
\$3,500

Cuneo Gilbert & Laduca, LLP of Washington, DC, failed to timely disclose a late contribution totaling \$25,000.

CUNEO GILBERT & LADUCA, LLP, 2005/0811 (2006)

84200 - 1 count
\$400

Cuneo Gilbert & Laduca, LLP of Washington, DC, failed to timely file a semi-annual campaign statement in 2004.

CUNNINGHAM, KIRK, 1999/0704 (2001)

87300 - 2 counts
\$800

Kirk Cunningham, a member of the Del Mar Finance Committee, failed to timely file assuming office and 1998 annual statements of economic interests.

CUNNINGHAM, SUZANNE, 2001/0767 (2002)

87300 - 1 count
\$300

Suzanne Cunningham, a real estate investment consultant for CalPERS, failed to timely file a 2000 annual statement of economic interests.

CUNNINGHAM, WILLIAM, 1992/0323 (1996)

87100 - 2 counts
\$3,000

William Cunningham, a member of the Redlands City Council, violated the conflict-of-interest provisions by voting on two separate matters which affected property within 300 feet of his property.

CURB, MIKE, MIKE CURB COMMITTEE, AND CHARLES M. CURB, TREASURER, 1989/0370 (1990)

84203 - 1 count
\$2,000

Mike Curb, candidate for lieutenant governor in 1986, his campaign committee, and its treasurer failed to disclose three late contributions. The contributions were received on the same day and comprised 33 percent of the late contributions received by the committee.

CURLEY, GEORGE, 1998/0740 (2000)

87300 - 1 count
\$600

George Curley, an Associate Civil Engineer with the Waste Management Division of the county of Sacramento, failed to timely file his 1997 annual statement of economic interests.

CUTHBERT, PETER, 2010/1000 (2011)

84305(a) - 1 count
\$2,500

Peter Cuthbert qualified as an independent expenditure committee in October of 2010. Prior to the November 2, 2010, La Mesa City Council election, Respondent paid for and caused to be sent a mass mailer which endorsed two city council candidates and a mayoral candidate on the ballot in La Mesa, which failed to identify Respondent as the sender of the mailer.

CUZA, FERMIN, 2002/0985 (2002)

84302 - 56 counts
\$88,000

Fermin Cuza, a former senior executive with Mattel, Inc., a toy manufacturer headquartered in El Segundo, made contributions to various candidates on behalf of Mattel without disclosing that Mattel was the true source of the contributions between 1997 and 2000.

CYNTHIA "CINDY" LASHBROOK FOR MERCED COLLEGE TRUSTEE 2010, 2010/1085 (2012)

84200.5 & 84200.7 - 1 count
\$2,500

Cynthia "Cindy" Lashbrook was a successful candidate for Merced Community College Trustee for Area 1 in the November 2, 2010, election. Respondent Cindy Lashbrook For Merced College Trustee 2010 was Lashbrook's candidate controlled committee. Respondents failed to file a pre-election campaign statement for the reporting period of October 1 - 16, 2010, due by the October 21, 2010, in a timely manner.

D. H. SMITH, INC, 1999/0324 (2000)

84200 - 1 count
\$600

D. H. Smith, Inc., located in San Jose, failed to timely file a major donor campaign statement for a contribution made to Californians for Gray Davis Committee in 1998.

D.R. HORTON MANAGEMENT CO., LTD, 2001/0135 (2001)

84200 - 1 count
\$400

D.R. Horton Management Co., Ltd, of Carlsbad failed to file a major donor campaign statement during 2000.

D’ORAZIO, TIMOTHY, 2007/0759 (2008)

87300 - 1 count
\$200

Timothy D’Orazio, Professional Engineers & Land Surveyors Board member, failed to timely file his 2006 annual statement of economic interests.

DACLISON, RHODA ANN, 1999/0795 (2000)

87200 - 1 count
\$250

Rhoda Ann Daclison, a field representative for Assemblywoman Elaine Alquist, failed to timely file an assuming office statement of economic interests in 1999.

DAD, JULIE LOPEZ, 2001/0474 (2003)

87203 - 1 count
\$1,000

Julie Lopez Dad, member of the Santa Monica Planning Commission, failed to timely file a 2000 annual statement of economic interests.

DAD, JULIE LOPEZ, 2005/0406 (2007)

87203 - 2 counts
\$5,000

Julie Lopez Dad, Planning Commissioner for the City of Santa Monica, failed to timely file a 2004 and a 2005 annual statement of economic interests.

DADDOW, JUSTIN, 2010/1105 (2011)

87300 - 1 count
\$400

Justin Daddow, a member of the California Rice Commission, failed to file a 2009 annual Statement of Economic Interests.

DAHLBACKA, GLEN, 2001/0412 (2003)

87300 - 1 count
\$1,500

Glen Dahlbacka, Senior Program Manager for the Lawrence Berkeley National Laboratory, failed to timely file a 2001 annual statement of economic interests.

DAIGLE, GLEN, 2010/0474 (2010)

87100 - 1 count
87200 & 87203 - 1 count
\$3,000

Glen Daigle, Murrieta City Planning Commissioner, failed to timely report all required information regarding investments, income, and assets of/from business entities on his annual Statement of Economic Interests for calendar year 2009, and attempted to use his official position to influence a governmental decision in which he had a financial interest.

DAILY, SUSAN, 1986/0314 (1984)

87500 - 2 counts
\$500

Susan Daily, the elected city clerk of Maricopa, repeatedly delayed transmittals to the Commission of financial disclosure statements filed by city officials.

*The Commission waived this portion of the fine on condition that Daily not violate the Act for two years and that she attend a Commission seminar for filing officials within six months of the stipulation. During 1991 we determined that Susan Daily had not complied with the Commission’s Decision and Order dated September 15, 1987. As a result, the Commission issued an order imposing the waived portion of the fine.

DAILY, SUSAN, 1989/0422 (1991)

87500 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Susan Daily, Maricopa City Clerk, regarding her failure to forward to the Commission two statements of economic interests received by the April 3, 1989, deadline. Although required to be forwarded within five days of the filing deadline, the financial disclosure statements were received by the Commission in October 1989. The statements were received after two city council members were asked to refile their statements with the Commission. The city clerk ignored repeated notices concerning her duties under the Act.

DAIRY PRODUCERS ENVIRONMENTAL FOUNDATION, 2001/0015 (2001)

84200 - 1 count
\$400

Dairy Producers Environmental Foundation of Ontario failed to file a major donor campaign statement in 2000.

DAIRYMEN’S COOPERATIVE CREAMERY ASSOCIATION AND SUSAN BROWN, TREASURER, 1997/0370 (1998)

84211 - 5 counts
\$8,000

Dairymen’s Cooperative Creamery Association consisting of dairy farmers who market their milk through the cooperative located in Tulare, and Susan Brown, its

treasurer, failed to disclose the true names, addresses, occupations, and employers of contributors to the committee in 1994.

DAL PORTO, DAVID, 1998/0774 (2000)

87300 - 1 count
\$250

David Dal Porto, an elected Trustee on the Contra Costa county Reclamation District No. 799 Board, failed to timely file a 1997 annual statement of economic interests.

DALLAS, WILLIAM, 1990/0813 (1993 CIVIL LAWSUIT)

84200
84300
84301
\$772,000

Lucas Dallas, Inc., a real estate development company and William Dallas, a partner and president of Lucas Dallas, Inc., laundered campaign contributions in 1990 totaling \$132,500 to candidates for Oakland City Council and failed to file major donor campaign statements to disclose the contributions.

DAMON, GARY, 1987/0353 (1988)

84200 - 2 counts
\$2,250

Gary Damon, a Sacramento lawyer, failed to file two required major donor campaign statements for client Bill Dutra Leasing. The company in 1986 made 22 contributions totaling \$18,552.

DAN HAMBURG, DAN HAMBURG FOR SUPERVISOR 2010, AND GEOFFREY BAUGHER, 2010/0751 & 2010/0981 (2013)

84211(e) - 1 count
84211(a), (b), (f), & (k) - 1 count
85201(c) & (e) - 1 count
84300(c) - 1 count
\$9,500

Dan Hamburg ("Hamburg"), was elected to the Mendocino county Board of Supervisors in the November 2, 2010 General Election. Committee, Dan Hamburg for Supervisor 2010, was, at all times relevant, Hamburg's candidate controlled recipient committee. In this matter, Hamburg and his Committee understated and overstated the balance of cash on campaign statements; failed to disclose information regarding contributions received and expenditures made of \$100 or more on campaign statements; and failed to deposit contributions into a single, designated campaign bank account prior to expenditure. In addition, Hamburg made two contributions totaling \$1,500 to the committee by money order on or about November 8, 2010.

DANIELSEN, LARRY, 2000/0273 (2002)

87300 - 4 counts
\$5,500

The Commission adopted an administrative law judge's proposed decision in the matter of Larry Danielsen, a Civil Engineering Associate for the Department of Boating and Waterways located in Sacramento, who failed to file an assuming office and annual statement of economic interests beginning in 1998.

DANMER, INC, 2006/0524 (2006)

84200 - 1 count
\$800

Danmer, Inc. of Chatsworth failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

DAVIDSON, JOAN, BARRY HILDEBRAND, "JOAN DAVIDSON FOR SCHOOL BOARD" COMMITTEE AND HILDEBRAND FOR SCHOOL BOARD COMMITTEE, 1995/0590 (1997)

84305 - 3 counts
\$3,000

Joan Davidson and Barry Hildebrand, incumbent candidates for the 1995 campaign for trustees of the Palos Verdes Peninsula Unified School District and their controlled committees, failed to include proper sender identification on three mass mailers sent out as a joint project.

DAVIS AND GRAEBER INSURANCE SERVICES, 2008/0053 (2008)

84200 - 1 count
\$400

Davis and Graeber Insurance Services of Redlands failed to timely file a semi-annual campaign statement disclosing contributions totaling \$13,100.

DAVIS DEMOCRATIC CLUB AND ELIZABETH R. WEIR, 2008/0390 (2012)

84104 - 1 count
84200.5(d) & 84200.7 - 1 count
84211 (a), (b), (e), (f), & (k) - 2 counts
\$9,500

Davis Democratic Club, a county general purpose committee, and Elizabeth R. Weir, Treasurer failed to file a first preelection campaign statement for the reporting period July 1, 2008, through September 30, 2008, due October 6, 2008, and a second preelection campaign statement for the reporting period October 1, 2008, through October 18, 2008, by the October 23, 2008 due date; both understated and overstated the balance of cash on the semiannual reporting periods for calendar years 2007, 2008 and 2009, on the semiannual campaign statement for the reporting period ending June 30, 2010, by up to approximately \$11,449 (understated) and \$9,495 (overstated); failed to disclose information regarding contributions received and expenditures made of \$100 or more on the semiannual reporting periods for calendar years 2007, 2008 and 2009 and 2010; and failed to maintain proper records to support the campaign statements

filed for the reporting periods of calendar years 2007, 2008, 2009, and 2010.

DAVIS, ALAN S, 2007/0548 (2007)

84203 - 1 count
\$1,950

Alan S. Davis of San Francisco failed to timely disclose a late contribution totaling \$13,000.

DAVIS, BRETT, 2008/0089 (2010)

87300 & 87302 - 1 count
\$400

Brett Davis is a member of both the Mobilehome Rent Review Commission and the Resource Conservation Commission for the City of Chula Vista. Respondent failed to timely file a 2006 annual Statement of Economic Interests.

DAVIS, JOHN, 1998/0028 (2000)

84211 - 4 counts
84300 - 1 count
\$6,000

John Davis, member of the Encinitas City Council between 1990 and 1998, accepted cash contributions of \$100 or more and failed to properly disclose information regarding campaign contributors during 1994 and 1995.

DAVIS, JOSEPH GRAHAM, JR., CALIFORNIANS AGAINST THE COSTLY RECALL OF THE GOVERNOR, ET AL., J. ARI SWILLER, AND STEPHEN J. KAUFMAN, 2005/0366 (2008)

84104 & 84105 - 1 count
84203 - 1 count
84211 - 1 count
\$4,950

Californians Against the Costly Recall of the Governor, et al. was a primarily formed committee opposing the recall of then Governor Joseph Graham Davis, Jr. in the October 7, 2003, statewide special election. The committee, its controlling candidate, Joseph Graham Davis, Jr., the committee's treasurer, J. Ari Swiller, and assistant treasurer, Stephen J. Kaufman, violated the Political Reform Act by failing to properly disclose accrued expenses, by failing to timely disclose a late contribution made, and by failing to maintain required records of some notices to contributors of \$5,000 or more.

DAVIS, JOSEPH GRAY, CALIFORNIANS FOR GRAY DAVIS, AND STEVEN GOURLEY, TREASURER, 2000/0056 (2001)

84105 - 1 count
84203 - 17 counts
84211 - 3 counts
84211 & 84303 - 4 counts
\$50,000

Joseph Gray Davis, successful 1998 candidate for governor, his controlled committee, Californians for Gray Davis, and its treasurer, Steven Gourley, failed to maintain

records of major donor letters, failed to timely file late contribution reports, failed to itemize all contributions of \$100 or more, and failed to disclose subvendor information for expenditures in campaign statements.

DAVIS, LINDA, AND LINDA DAVIS FOR STATE ASSEMBLY, 2000/0163 (2005)

84104 - 1 count
\$1,000

Linda Davis, an unsuccessful candidate for the 5th Assembly District in the November 3, 1998 general election, and her controlled committee, Linda Davis for State Assembly, failed to maintain detailed accounts, records, bills, and receipts that were necessary to prepare a pre-election campaign statement.

DAVIS, MARVIN, 1987/0445 (1988)

84200 - 1 count
84203 - 1 count
\$2,000

Marvin Davis of Los Angeles failed to file a required major donor statement for contributions of \$12,400 to four campaign committees, which included a late contribution of \$5,000 to assembly candidate Tom Hayden during the final 16 days before the 1986 election.

DAVIS, NORMAN C., 2008/0296 (2010)

86100(a)(b) - 1 count
86113 & 86114 - 1 count
\$3,500

Norman Davis, private healthcare attorney, qualified as a lobbyist and a lobbying firm while engaging in legislative activities in 2008. Respondent failed to file the required lobbyist and lobbying firm registration documents, and failed to file periodic statements disclosing legislative activity as a lobbyist, and as a lobbying firm.

DAVIS, SCOTT, 2008/0718 (2009)

87300 - 1 count
\$200

Scott Davis, Registered Nursing Board member, failed to timely file his 2007 annual Statement of Economic Interests.

DAVIS, WILLIAM L, 2003/0332 (2003)

84200 - 1 count
\$2,003

William L. Davis of Encino failed to file a major donor campaign statement in 2002.

DAVISELEN ADVERTISING, INC, 2005/0118 (2005)

84203 - 1 count
\$1,500

Davisele Advertising, Inc. of Los Angeles failed to file a late contribution report in 2003.

DAY, ROBERT A, 1992/0121 (1993)

84200 - 3 counts
 84203 - 1 count
 \$4,500

Robert Day, a New York resident, failed to timely file three major donor reports covering reporting periods in 1990 and 1991. During those reporting periods, Day made contributions to California campaigns totaling \$76,250. Day also failed to file a late contribution report disclosing that he made a \$2,000 contribution to the Tom Hayes for Treasurer Committee in 1990.

DAYSOG, ANTHONY, 2003/0738 (2004)

87203 - 1 count
 \$100

Anthony Daysog failed to timely file a 2002 annual statement of economic interests.

DE ANZA COLLEGE, 2002/0363 (2005)

84200 - 1 count
 84301 - 1 count
 \$4,000

De Anza College, a community college located in Cupertino, laundered a campaign contribution to a local ballot measure committee in 1999, and failed to file a major donor campaign statement, disclosing its campaign activity in 1999.

DE FRANCESCO & SONS, 2002/0784 (2002)

84203 - 2 counts
 \$2,250

De Francesco & Sons of Firebaugh failed to file late contribution reports in 2002.

DE LA FUENTE, IGNACIO, 2006/0144 (2008)

89503 - 1 count
 \$1,500

Ignacio De La Fuente, a member of the Oakland City Council, accepted gifts in the form of parking privileges at the San Francisco International Airport during 2004 and 2005, which exceeded the annual gift limits.

DE LA FUENTE, ROQUE, 1984/0040 (1985 CIVIL LAWSUIT)

84300
 84301
 \$9,000

During 1982 and 1983, Mr. De La Fuente made 13 contributions totaling \$3,000 in the names of other individuals to the campaign of former San Diego Mayor Roger Hedgecock, without disclosing himself as the true contributor.

DE LA TORRE, HECTOR, 2009/0865 (2010)

87207 - 1 count
 \$200

Assemblymember Hector De La Torre failed to disclose on his 2008 Statement of Economic Interests a gift of dinner

from the Pechanga Band of Luiseño Mission Indians in the amount of \$94.46.

DE LA TORRE, HECTOR/DELATOREE FOR INSURANCE COMMISSIONER 2010/JANE LEIDERMAN, 2012/0211 (2013)

84211(k) & 84303 - 1 count
 \$2,500

Hector De La Torre was an unsuccessful candidate for Insurance Commissioner in the Democratic primary election held on June 8, 2010. Respondent, DeLaTorre for Insurance Commissioner 2010, was his candidate controlled committee, and Respondent, Jane Leiderman, was the committee Treasurer. In this matter, Respondents failed to report subvendor information for payments totaling approximately \$614,185 on campaign statements filed for the reporting periods ending May 22 and June 30, 2010.

DE LA TORRE, MARIBEL, FAMILIES FOR MARIBEL DE LA TORRE, AND STEVEN VERES AND OSCAR E. JIMENEZ, 2002/0408 (2007)

84200 - 3 counts
 84211 - 1 count
 \$6,000

Maribel De La Torre was a successful candidate for the San Fernando City Council in the March 6, 2001, election. She was reelected on March 8, 2005. Families for Maribel De La Torre was De La Torre's controlled committee. Steven Veres and Oscar E. Jimenez served as the committee's treasurers. In this matter, De La Torre, Families for De La Torre and Steven Veres failed to timely file semi-annual campaign statements, and failed to disclose required contributor information in a semi-annual campaign statement. De La Torre, Families for Maribel De La Torre and Oscar E. Jimenez failed to timely file a semi-annual campaign statement.

DE LOS SANTOS, MARCIE, AND JOSEPH DE LOS SANTOS, 1997/0454 (1999)

84302 - 1 count
 \$2,000

The Commission issued a Default Decision and Order against Marcie De Los Santos and Joseph De Los Santos, who served as the intermediaries for a campaign contribution to a Fresno county supervisorial candidate in 1996.

DEALY, LINDA, 2006/0169 (2006)

84200 - 1 count
 \$400

Linda Dealy of Del Mar failed to timely file a semi-annual campaign statement in 2004.

DEASY, MACKKEY, 2007/0756 (2008)

87300 - 1 count
 \$200

Mackey Deasy, Professional Qualifications Committee Member, Architects Board, Department of Consumer Affairs, failed to timely file his 2006 annual statement of economic interests.

DECKER FOR ASSEMBLY AND BRENT A. DECKER, 1996/0284 (1997)

84200 - 1 count
\$1,500

Brent A. Decker, candidate for assembly in a special runoff election in 1995, and his committee, Decker for Assembly, failed to file a pre-election campaign statement until after the election.

DEFORGE, BRIAN, 2011/0336 (2012)

87203 & 87207 - 1 count
\$1,000

Brian DeForge was a member of the Beaumont City Council since 1999, and the sole owner of Brian E. DeForge Construction. As a city council member, he was required to file Statements of Economic Interests ("SEI"). He failed to disclose sources of income to his business, Brian E. DeForge Construction, where the gross receipts from a single person were equal or greater than \$10,000, on his 2007 SEI, for the reporting period January 1, 2007, through December 31, 2007, due April 1, 2008.

DEL PIERO, RICHARD, 2003/0839 (2004)

84203 - 3 counts
\$2,160

Richard Del Piero failed to file late contribution reports in 2002.

DELANEY, MARY QUINN,, 2004/0140 (2004)

84203 - 1 count
\$3,500

Mary Quinn Delaney failed to file a late contribution report in 2003.

DELGADO, HECTOR AND FRIENDS OF HECTOR DELGADO, 2003/0133 (2008)

84104 - 1 count
84211 - 1 count
\$2,500

Hector Delgado was elected to the South El Monte City Council on March 4, 2003. Friends of Hector Delgado was his controlled committee. Respondents failed to disclose the source of a contribution of \$100 or more in a semi-annual campaign statement and failed to maintain the detailed accounts, records, bills and receipts necessary to prepare a post-election semi-annual campaign statement, to establish that the campaign statement was properly filed, and to comply with the campaign reporting provisions of the Act.

DELGADO-MATICH, PAULETTE, 2007/0196 (2007)

84203 - 1 count
\$3,345

Paulette Delgado-Matich of Yucaipa failed to timely disclose a late contribution totaling \$22,300.

DELGADO-MATICH, PAULETTE, 2007/0417 (2007)

84200 - 1 count
\$400

Paulette Delgado-Matich of Yucaipa failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,700.

DELGLEIZE, BARBARA, 2011/0186 (2012)

84308(c) - 1 count
\$2,000

Barbara Delgleize was an appointed member of the Huntington Beach Planning Commission. She was also an unsuccessful candidate for Huntington Beach City Council in the November 2, 2010, election. On or about October 30, 2010, Barbara Delgleize received a contribution in the amount \$520 from Sares-Regis Group, a developer and provider of comprehensive commercial and residential real estate services throughout the western United States, which is based in Irvine, CA. During the February 8, 2011, Huntington Beach Planning Commission meeting, Barbara Delgleize voted to approve Agenda Item No. B-2: Site Plan Review No. 10-004 (Boardwalk Mixed Use Project). This was the Huntington Beach Planning Commission's initial approval for Sares-Regis Group's Application No. 2010-184 to develop a mixed use project consisting of apartments, commercial/retail space, office space, recreation buildings and a park. Barbara Delgleize failed to disclose on the record that she received a contribution from Sares-Regis Group, and failed to recuse herself from the proceeding.

DELLUMS, RON, 2009/0779 (2010)

87207 - 1 count
\$200

Oakland Mayor Ron Dellums, failed to disclose on his 2008 Statement of Economic Interests a gift of travel from the Government of South Africa, valued at \$28,570.

DELOITTE CONSULTING, LLP, 2010/0506 (2011)

86115 - 8 counts
\$8,000

Deloitte Consulting, LLP, a California lobbyist employer, failed to timely file eight lobbyist employer reports from January 1, 2008 through December 31, 2009, resulting in a failure to report total payments made for lobbying services in the amount of \$122,500.

DEMANN, FREDERICK, 2003/0313 (2003)

84200 - 1 count
\$400

Frederick DeMann of Los Angeles failed to file a major donor campaign statement in 2002.

DEMARCO, RALPH, 2006/0607 (2007)

87300 - 1 count

\$100

Ralph DeMarco, City of Del Mar Finance Committee member, failed to timely file his 2005 annual statement of economic interests.

DEMOCRAT JEROME HORTON FOR BOARD OF EQUALIZATION, CITIZENS TO ELECT HONEST OFFICIALS, AND KINDE DURKEE, 2008/0286 (2011)

84305(c) - 3 counts
85318 - 1 count
\$13,000

Democrat Jerome Horton for Board of Equalization was Jerome Horton's candidate controlled committee (formed for his 2006 candidacy). In approximately March 2009, Citizens to Elect Honest Officials became Jerome Horton's candidate controlled committee (primarily formed to support Alena Cindy Giardina for the Inglewood Unified School Board in 2009). Kinde Durkee was the treasurer of both committees. Respondents Jerome Horton, Democrat Jerome Horton for Board of Equalization, and Kinde Durkee failed to refund contributions to general election contributors, and Respondents Jerome Horton, Citizens to Elect Honest Officials, and Kinde Durkee failed to properly identify the sender of three mass mailings.

DEMOCRATIC CLUB OF VENTURA AND SANFORD BOTHMAN, 2012/0507 (2012)

84305.5(a)(2), (4) - 1 count
\$1,250

Democratic Club of Ventura is a general purpose committee. Sanford Bothman was at all times relevant in this matter the committee treasurer. Democratic Club of Ventura sent out a slate mailer in October of 2009 that did not contain the "Notice to Voters" language required by Section 84305.5, subdivision (a)(2) and did not include asterisks to indicate those candidates and committees that paid to appear on the slate mailer.

DEMOCRATIC CONGRESSIONAL CAMPAIGN COMMITTEE AND JANICA KYRIACOPOULOS, 2002/0422 (2003 CIVIL LAWSUIT)

84200
84203
84605
\$80,000

Democratic Congressional Campaign Committee, a state general purpose recipient committee that supports Democratic candidates in California elections headquartered in Washington, D.C. and its treasurer, Janica Kyriacopoulos, failed to disclose a late contribution to the Assembly Democratic Leadership 2000 Committee, failed to timely file a semi-annual campaign statement, failed to electronically disclose a late contribution, and failed to electronically file a semi-annual campaign statement in 2000.

DEMOCRATIC NATIONAL COMMITTEE NON-FEDERAL - CORPORATE, ANDREW TOBIAS, AND

BRAD MARSHALL, 2003/0366 (2006 CIVIL LAWSUIT)

84200.5 - 2 counts
\$60,000

Democratic National Committee Non-Federal - Corporate, a campaign committee sponsored by the National Democratic Party, Andrew Tobias, its treasurer, and Brad Marshall, its assistant treasurer, failed to file two pre-election campaign statements in 2000.

DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE AND TREASURER PAUL JOHNSON, 2001/0398 (2002)

84203 - 1 count
\$2,000

The Democratic Senatorial Campaign Committee located in Washington, D.C., and its treasurer, Paul Johnson, failed to file a late contribution report in 1998.

DEMOCRATIC STATE CENTRAL COMMITTEE OF CALIFORNIA, 1998/0040 (1998)

84203 - 3 counts
84203.5 - 2 counts
84211 - 9 counts
\$24,000

Democratic State Central Committee of California, a recipient committee, failed to disclose in-kind contributions to Kathleen Brown, a candidate for governor, failed to file reports disclosing late contributions and independent expenditures, failed to properly disclose occupation and employer information, and failed to fully disclose subvendor and accrued expenditure information on campaign statements between 1993 and 1994.

DEMOCRATIC STATE CENTRAL COMMITTEE OF CALIFORNIA, AND KATHERINE MORET, 2001/0495 (2001)

84105 - 1 count
84211 & 84303 - 10 counts
\$22,000

Democratic State Central Committee of California, a state general purpose campaign committee, and its treasurer, Katherine Moret, failed to disclose subvendor information for expenditures made in 1996 and 1998 totaling \$4,011,271 and failed to send required notifications to eight major contributors.

DEMOCRATIC STATE CENTRAL COMMITTEE, SOUTHERN SECTION, AND JOHN MEANS, TREASURER, 1987/0213 (1990)

84200 - 1 count
84200.5 - 1 count
84211 - 1 count
\$5,000

The Democratic State Central Committee, Southern Section, and John Means, treasurer, failed to timely file semi-annual campaign statements and pre-election campaign statements in 1985 and 1986.

Additional violations involved the failure of the committee to list the occupation and employer for all 29 contributors of \$100 or more reported on the committee's first 1987 semi-annual report.

DEMOCRATIC STATE CENTRAL COMMITTEE-NORTHERN DIVISION AND BETTY SMITH, 1980/0075 (1981)

81004 - 1 count
84200 - 1 count
84211 - 2 counts
\$7,000

Democratic State Central Committee-Northern Division failed to report \$92,867 in in-kind contributions received from the Operating Engineers Union during the 1980 general election. The union properly reported making the contributions. The committee also failed to file a pre-election statement for the 1980 primary.

The committee treasurer, Betty Smith, signed several of the campaign statements before they were prepared.

*During 1985 we determined that the Democratic State Central Committee had not complied with the Commission's Decision and Order dated December 17, 1981. As a result, the waived portion of the fine was assessed and collected.

DENGLER, DENDRA, 2009/0438 (2011)

87100 - 1 count
\$4,000

Dendra Dengler, in her capacity as a member of the Manila Community Services District Board of Directors, made a governmental decision in which she had a financial interest.

DENNIS, LENA, 1989/0391 (1990)

87203 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Lena Dennis, Lynwood Planning Commissioner, who failed to request a hearing regarding her failure to file her 1988 and 1989 financial disclosure statements despite repeated notices from the Commission.

DEROSE, LESLIE, 2013/0630 (2013)

87207 - 1 count
\$200

Leslie DeRose, as a Trustee of the Pajaro Valley Unified School District Board, failed to timely disclose gift(s) of: one meal valued at \$139.27 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

DESERPA, KIM AND FRIENDS OF KIM DESERPA FOR PVUSD SCHOOL BOARD 2010, 2012/0977 (2013)

84200 - 1 count
\$200

Kim DeSerpa, as a candidate for, and officeholder of, Santa Cruz county's Pajaro Valley Unified School District Board failed to timely file the semi-annual campaign statement covering the period January 1, 2012, through June 30, 2012, due July 31, 2012, with the Santa Cruz county Clerk/Elections.

DESERT VALLEY HOSPITAL, INC, 2007/0572 (2007)

84203 - 1 count
\$1,500

Desert Valley Hospital, Inc. of Victorville failed to timely disclose a late contribution totaling \$10,000.

DESERT VALLEY MEDICAL GROUP, 2004/0776 (2005)

84203 - 2 counts
\$4,050

Desert Valley Medical Group of Victorville failed to file late contribution reports in 2002.

DESERT VALLEY MEDICAL GROUP, INC., AND MADAN REDDY, 2007/0482 (2010)

84203(b) - 2 counts
\$5,000

Desert Valley Medical Group, Inc., a major donor committee, and Madan Reddy, Treasurer, failed to timely disclose making two late contributions totaling \$11,000.

DESTRA, FRANK, 2008/0509 (2008)

87300 - 1 count
\$400

Frank Destra, Parks, Recreation, and Cultural Arts Commissioner for the City of Los Alamitos, failed to timely file a 2007 annual statement of economic interests.

DEVCON CONSTRUCTION, INC, 1996/0432 (1996)

84300 & 84301 - 39 counts
\$68,500

Devon Construction, Inc., a construction and development corporation based in Milpitas, laundered campaign contributions made to various campaigns, mostly mayoral and city council candidates in San Jose between 1990 and 1995.

DEVINE, BRIAN K, 2003/0840 (2004)

84203 - 1 count
\$1,500

Brian K. Devine failed to file a late contribution report in 2002.

DEVITO FAMILY TRUST, 2004/0812 (2005)

84200 - 1 count
\$400

Devito Family Trust of Los Angeles failed to file a major donor campaign statement in 2003.

DHILLON, DAVID, DAVID DHILLON CAMPAIGN, DHILLON FOR SUPERVISOR, AND DHILLON FOR ASSEMBLY, 2000/0658 (2005)

84200 - 1 count
84200.5 - 3 counts
84211 - 4 counts
85200 - 1 count
\$19,000

David Dhillon was an unsuccessful candidate for the Imperial county Board of Supervisors in the 2000 general election and was an unsuccessful candidate for reelection to the El Centro City Council in the 2001 general election. David Dhillon and David Dhillon Campaign failed to file a pre-election campaign statement. David Dhillon and Dhillon for Supervisor failed to file a statement of intention to become a candidate; failed to disclose contributions; and failed to disclose an expenditure. David Dhillon and Dhillon for Assembly failed to file a pre-election campaign statement and failed to file a semi-annual campaign statement.

DHL AIRWAYS, INC, 1998/0323 (1998)

84200 - 1 count
\$1,250

DHL Airways, Inc. of Redwood City failed to file a semi-annual campaign statement for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

DI LORIO, CHRISTINE, 2013/0118 (2013)

87207 - 1 count
\$200

Christine di lorio, as a Community Development Director for the City of Marina, failed to timely disclose gift(s) of: two meals totaling \$124.31 on her 2008 Statement of Economic Interests. All gifts were from Shea Properties.

DIABLO VALLEY COLLEGE FOUNDATION, 1997/0493 (1998)

84200 - 1 count
84203 - 1 count
\$2,500

Diablo Valley College Foundation, a non-profit foundation formed to support the Diablo Valley College located in Contra Costa county, failed to file a major donor campaign statement and late contribution report in 1996 in connection with a local bond measure campaign.

DIAS, ROBERT, 2011/0583 (2011)

87300 - 1 count
\$200

Robert Dias, Project Manager, City of Oakland, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

DICKERSON, RICHARD L., DICKERSON FOR ASSEMBLY, AND MARY J. MEISNER, TREASURER, 1998/0590 (2001)

84211 & 84303 - 5 counts
\$6,000

Richard L. Dickerson, successful Redding candidate for assembly in 1998, his controlled committee, Dickerson for Assembly, and Mary J. Meisner, its treasurer, failed to disclose subvendor information for expenditures in 1998.

DICKINSON, HEATHER, 1999/0678 (2000)

87300 - 1 count
\$300

Heather Dickinson, field representative for the California Assembly Rules Committee, failed to timely file her 1998 annual statement of economic interests.

DIETZ, ELIZABETH, 2007/0752 (2008)

87300 - 1 count
\$200

Elizabeth Dietz, Registered Nursing Board member, failed to timely file her 2006 annual statement of economic interests.

DIGATI, SAM AND SAM DIGATI FOR SUPERVISOR COMMITTEE, 1986/0576 (1988)

84203 & 84211
\$15,500

This money laundering case involved Riverside county developer Louis Laramore and the unsuccessful 1986 campaign of Riverside Councilmember Sam Digati. Mr. Laramore made \$12,000 in laundered contributions to the Digati campaign during the highly contested race and failed to file required late contribution reports for \$7,920 made immediately before the November 4, 1986, election.

The laundered contributions were made through associates who obtained money orders in the names of others for payment to the candidate because the developer wanted to keep his support hidden. Mr. Digati's penalties involved various campaign reporting violations, including the failure to disclose Mr. Laramore as the true source of \$12,000 in contributions.

Mr. Digati also failed to disclose Mr. Laramore's late contribution of \$7,920 and another \$10,000 contribution from the developer, who paid Digati's campaign manager to work on the campaign.

DIGITAL CAMPAIGNS, INC, 2003/0575 (2003)

84200 - 1 count
\$1,530

Digital Campaigns, Inc. of Palo Alto failed to file a major donor campaign statement in 2002.

DILLABOUGH, GARY, 2004/0770 (2005)

84203 - 1 count
\$1,500

Gary Dillabough of Los Altos failed to file a late contribution report in 2003.

DIMAS, JAMES B. AND COMMITTEE TO ELECT JAMES B. DIMAS, SR., PROVEN LEADERSHIP & HONESTY, 1989/0181 (1990)

84104 - 1 count
\$1,000

James Dimas, unsuccessful candidate for state assembly and Los Angeles City Council member for 16 years, failed to maintain adequate records on contributions received. The violations stemmed from a fundraising event held by the candidate for Assembly District 56. While reporting the total amount of contributions received at the April 1987 event, the committee failed to maintain required records for approximately \$10,000 in cash contributions of \$25 or more and \$1,000 in checks of less than \$100 each. The candidate acknowledged that some supporters bought as many as four tickets at \$25 each, but the sales were treated as if each attendee in the group personally paid for a ticket.

DMB LADERA, LLC, 2005/0129 (2005)

84203 - 1 count
\$1,500

DMB Ladera, LLC of San Juan Capistrano failed to file a late contribution report in 2004.

DMJMH+N, INC, 2007/0045 (2007)

84203 - 1 count
\$3,500

DMJMH+N, Inc. of Los Angeles failed to timely disclose a late contribution totaling \$25,000.

DO, TIM AKA THINH THEIN DO, INDIVIDUALLY, AND DBA CATERING FOOD SUPPLY, 2010/0740 (2013)

84301 - 1 count
\$5,000

Tim Do AKA Thinh Thien Do, individually, and DBA Catering Food Supply made a contribution on or about December 31, 2009 in the amount of \$5,000 to the committee known as McCarty for Assmebly 2010, but the true source of the contribution was concealed. This was accomplished by making the contribution in the names of intermediaries, thereby creating the false appearance that Mr. Do and DBA Catering Food Supply was not the true source of funds - when in fact, Mr. Do and DBA Catering and Food Supply were the true source of funds.

DOAN, GLENDA KRAFT, 1994/0710 (1996)

87200 & 87207 - 3 counts
\$6,000

Glenda Kraft Doan, a judge of the Justice Court for the Corcoran Judicial District, county of Kings, from 1983 to 1992, and a judge of the Kings county Municipal Court District from 1992 to 1995, failed to disclose informal loans received from friends on her annual statement of economic interests.

DOBBE, CEES, 2000/0761 (2001)

87300 & 87302 - 1 count
\$200

Cees Dobbe, California Cut Flower Commissioner, failed to timely file a 1999 annual statement of economic interests.

DOCTORS' COMPANY POLITICAL ACTION COMMITTEE, AND JAMES CATHCART, 1999/0727 (2003)

84211 - 1 count
\$2,000

The Doctors' Company Political Action Committee, a state general purpose recipient committee located in Napa sponsored by The Doctors' Company, a medical malpractice insurance carrier, and its treasurer, James Cathcart, failed to properly disclose a contribution received in 1998.

DOFFONEY, NED, 2013/0245 (2013)

87207 - 2 counts
\$400

Ned Doffoney, as Chancellor for the North Orange county Community College District, failed to timely disclose gift(s) of: meals totaling \$153.86 on his 2010 Statement of Economic Interests; and meals totaling \$180.00 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

DOLAN FOSTER ENTERPRISES, LLC, 2006/0287 (2006)

84200 - 1 count
\$400

Dolan Foster Enterprises, LLC of Pleasanton failed to timely file a semi-annual campaign statement disclosing contributions totaling \$16,750 in 2004.

DOMIC, VILKO, 2013/0583 (2013)

87207 - 1 count
\$200

Vilko Domic, as the Director of Finance for the City of Commerce, failed to timely disclose gift(s) of: golf and meals totaling \$178.07 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

DOMINGUEZ, THOMAS, 2007/0248 (2009)

87300 - 3 counts
\$6,000

Thomas Dominguez, Citizens Compensation Commission member for the State of California, failed to file a Statement of Economic Interests for calendar years 2005, 2006 and 2007.

DONAGHY SALES, LLC, 2004/0716 (2005)

84203 - 1 count
\$1,500

Donaghy Sales, LLC of Fresno failed to file a late contribution report in 2004.

DONOHUE, KEVIN F, 2006/0877 (2009)

87100 - 1 count
\$3,000

In 2005, Kevin Donohue was Deputy Director with the California Department of Managed Health Care. From on or about August 2005 to on or about December 2005, Respondent participated in a governmental decision, the merger of United Health Group, a company listed on the New York Stock Exchange, and PacifiCare of California while an employee of the California Department of Managed Health Care. During this period, Respondent owned stock in United Health Group valued at more than \$2,000, but less than \$25,000.

DOOLITTLE, JOHN, FRIENDS OF JOHN DOOLITTLE, JACK HORNSBY, FRIENDS OF JACK HORNSBY, AND JOHN FELIZ SEE ALSO BRUCE ASHWILL, SI-84/43 (1985), 1984/0043 (1987)

84206 - 2 counts
84211 - 2 counts
\$6,000

An administrative law judge found that state Senator John Doolittle, Doolittle's aide John Feliz, and 1984 state senate candidate Jack Hornsby violated campaign reporting laws in connection with the November 1984 general election. Shortly before the election, Doolittle campaign consultant John Feliz coordinated the production of a mass mailer sent on behalf of Doolittle's Democratic opponent, Jack Hornsby. The consulting services of Feliz and a Doolittle fundraiser, Jim Grubbs, along with 60,000 mailing labels, were contributed by the Friends of John Doolittle Committee to the Friends of Jack Hornsby Committee. The mailer was sent to Democratic households as a tactic to bring Democratic candidate Hornsby into the three-way race with Doolittle and former state Senator Ray Johnson, thus pulling votes away from Johnson to Hornsby for the benefit of Doolittle. Doolittle was negligent prior to the election for not making further inquiry into the matter once Feliz informed him of the mailer's existence.

DORAN, SHELLEY, 1996/0541 (1997)

84308 - 1 count
\$1,500

Shelley Doran, Chairman of the Los Altos Hills Planning Commission and candidate for Los Altos Hills City Council in 1996, violated the Act when she accepted a \$1,000 contribution from a contributor only 24 days after she voted on the contributor's project as a planning commissioner.

DORE, BARBARA, AND DORE FOR WATER BOARD, 2009/0192 (2009)

84101(a) & 84103(a) - 1 count
84200(a) - 7 counts
84200.5(c) & 84200.8 - 2 counts
89512 - 1 count

\$30,500

Respondents failed to amend the Committee's Statement of Organization after it qualified as a recipient committee; filed a late pre-election campaign statement for the reporting period Jan 1 - Sept 24, 2005; failed to file the pre-election campaign statement for the reporting period Sept 25-Oct 22, 2005 by the due date; filed three semi-annual campaign statements late; failed to file four semi-annual campaign statements, and used campaign funds for personal purposes.

DOREY, WILLIAM G, 1996/0149 (1998)

84200 - 1 count
\$2,000

William G. Dorey, senior vice president of Granite Construction Company located in Riverside county, failed to timely file a major donor campaign statement in 1996 to disclose a loan he made that was used to gather signatures for a statewide gaming initiative.

DOSANJH, TEJINDER, 2009/0527 (2009)

87202 - 1 count
\$200

Tejinder Dosanjh, Sutter county Planning Commissioner, failed to timely file an assuming office Statement of Economic Interests.

DOSHAY, GLENN, 2003/0392 (2003)

84200 - 1 count
\$1,300

Glenn Doshay of Rancho Santa Fe failed to file a major donor campaign statement in 2002.

DOUGLAS, MICHAEL, 2001/0016 (2001)

84200 - 1 count
\$600

Michael Douglas of Los Angeles failed to file a major donor campaign statement in 2000.

DOUGLAS, ROBERT, 2012/0457 (2012)

87300 - 1 count
\$200

Robert Douglas, a board member of the Butte Valley Fire Protection District, failed to timely file a 2011 Annual Statement of Economic Interests covering the period of January 1, 2011, through December 31, 2011, due April 2, 2012.

DOUGLAS, SCOTT, 2010/0569 (2010)

84200 - 2 counts
\$800

Scott Douglas, who qualified as a major donor committee in the first half of 2009, failed to timely disclose three contributions, totaling \$37,200.

DOWDS, SCOTT, 1999/0461 (1999)

87300 - 1 count

\$250

Scott Dowds, an appointed member of the Project Area Committee #2 in the City of Fullerton, failed to timely file his 1998 annual statement of economic interests.

DOWNEY REGIONAL MEDICAL CENTER, 2011/0092 (2011)

86115 - 1 count
\$200

Downey Regional Medical Center, a registered California lobbyist employer, failed to timely file a quarterly lobbyist employer report for the period of July 1, 2010 through September 30, 2010, resulting in a failure to report total payments of \$5,000.

DOWNING , SAM, 2012/0158 (2013)

87100 - 1 count
87300 & 87302(b) - 1 count
\$5,000

Sam Downing was named President/Chief Executive Officer ("CEO") of Salinas Valley Memorial Hospital ("SVMH") in 1985 and held that position until his retirement on or about April 30, 2011. As the CEO of SVMH, Mr. Downing was a public official, as defined in the Political Reform Act, and was a designated employee in the Conflict of Interest Code for SVMH. Mr. Downing violated the conflict of interest provisions of the Act when he executed a contract to waive the security amount to the Federal Deposit Insurance Corporation limits of \$100,000, for the benefit of First Capital Bank, in which he knew, or had reason to know, he had a financial interest. He also violated the financial disclosure requirements of the Act by failing to disclose his \$50,000 interest in First Capital Bank on his 2007 Annual Statement of Economic Interests.

DOWNS, MICHAEL, 1998/0256 (2000)

87300 - 2 counts
\$900

Michael Downs, an architect at the University of California at San Diego, failed to timely file his assuming office and 1998 annual statements of economic interests.

DOWSE, ROD, 2010/0986 (2011)

87300 - 1 count
\$200

Rod Dowse, a Grenada Irrigation District board member for the county of Siskiyou, failed to timely file his 2009 annual Statement of Economic Interests covering the period January 1, 2009, through December 31, 2009.

DOYLE, D. BARTON, 2000/0045 (2003)

87100 - 1 count
\$1,500

D. Barton Doyle, Sierra Madre City Councilmember, made and participated in a governmental decision in which he had a financial interest in 2000.

DOYLE, KATHLEEN E., KATHLEEN DOYLE FOR SUPERVISOR, AND ERIN DOYLE, 2001/0403 (2004)

84104 - 3 counts
\$4,000

Kathleen E. Doyle, unsuccessful candidate for the Sonoma county Board of Supervisors, her controlled committee, Kathleen Doyle for Supervisor, and its treasurer, Erin Doyle, failed to maintain detailed records and accounts necessary to prepare campaign statements in 1998.

DRAGONE, JOHN, JR, 1996/0202 (1998)

84200 - 3 counts
\$6,000

The Commission issued a Default Decision and Order against John Dragone, Jr., an elected member of the governing board of the Centinela Valley Union High School District in Los Angeles county, who failed to file semi-annual campaign statements in 1995 and 1996.

DRAKE, CHARLES, 2005/0407 (2006)

87203 - 1 count
\$100

Charles Drake, a member of the City of Marina Planning Commission, failed to timely file a 2004 annual statement of economic interests.

DRAPER, WILLIAM H., III & PHYLLIS C. DRAPER TRUST, 2002/0785 (2002)

84203 - 1 count
\$1,500

William H. Draper, III & Phyllis C. Draper Trust of Atherton failed to file a late contribution report in 2002.

DRESS, PAUL, 2009/0868 (2010)

87207 - 1 count
\$200

Paul Dress, Chief of Staff for Assemblymember Jim Silva, failed to disclose on his 2008 Statement of Economic Interests a gift of tickets and food to the Sacramento River Cats from the Northern California Power Agency valued at \$95.88.

DREXEL, BURNHAM, LAMBERT, INC, 1987/0358 (1988)

84200 & 84203 - 8 counts
\$8,000

Drexel Burnham Lambert, Inc. of New York City, failed to timely file three major donor campaign statements and five late contribution reports. The company contributed a total of \$100,226 in 1986 and 1987.

DRINKHOUSE, EUGENE "JIM", 2006/0391 (2010)

87207 - 2 counts
\$400

Eugene "Jim" Drinkhouse, a former city manager for the City of Sanger, failed to report his community property share of his spouse's income from Cihon/Bethel Estates in

excess of \$10,000 on his 2004 and 2005 Statements of Economic Interests.

DRIVE-DEMOCRATIC, REPUBLICAN, INDEPENDENT VOTER EDUCATION-THE PAC OF THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS, AND DAVID A. SWEENEY, TREASURER, 1993/0403 (1995)

84200 - 1 count
 84200.5 & 84200.7 - 6 counts
 84202.5 - 1 count
 84202.7 - 3 counts
 84203 - 5 counts
 \$24,000

DRIVE, a general purpose recipient committee, failed to timely file campaign statements from 1989 to 1991 which included a semi-annual statement, six pre-election statements, two odd-numbered year statements, and a supplemental pre-election statement. In addition, DRIVE failed to file five late contribution reports.

DRY CREEK RANCHERIA, 2006/0611 (2006)

84200 - 1 count
 \$1,800

Dry Creek Rancheria of Geyserville failed to timely file a semi-annual campaign statement disclosing contributions totaling \$100,000 in 2004.

DRYSDALE, GEORGE M, 2003/0686 (2004)

84200 - 1 count
 \$2,500

George M. Drysdale failed to file a major donor campaign statement in 2002.

DUBLIN INSURANCE, 2006/0288 (2006)

84200 - 1 count
 \$400

Dublin Insurance of Dublin failed to timely file a semi-annual campaign statement in 2004.

DUCA, SUZANNE, 2007/0046 (2007)

84203 - 1 count
 \$1,500

Suzanne Duca of Santa Barbara failed to timely disclose a late contribution totaling \$10,000.

DUDEK & ASSOCIATES, 2000/0198 (2000)

84300 & 84301 - 2 counts
 \$3,600

Dudek & Associates, a California corporation that does land use planning and full environmental impact reports specializing in environmental assessments, hazardous waste assessments and removal, and waste water management issues headquartered in Encinitas, laundered campaign contributions to a candidate for the Santee City Council in 1998.

DUFFY, RICHARD, 2011/0385 (2011)

87207 - 2 counts
 \$400

Richard Duffy, as an investment officer with CalPERS, failed to disclose a gift of Rose Bowl tickets, valued at \$199.80, received from Western Assets on his 2006 annual Statement of Economic Interests, as well as gifts of lunch and dinner, valued at \$103.50, received from Turner Investment Partners on his 2007 annual Statement of Economic Interests.

DUFORT, ROBERT, 2008/0644 2008/0426 (2010)

87300 - 3 counts
 \$2,700

Robert DuFort, a member of the California Veterinary Medical Board, Drug and Alcohol Diversion Evaluation Committee, failed to timely file annual Statements of Economic Interests for years 2006, 2007, and 2008.

DUNIGAN, KEVIN, 2004/0110 (2005)

87203 - 1 count
 \$3,000

Kevin Dunigan, a member of the Inglewood Planning Commission, failed to timely file a 2003 annual statement of economic interests.

DUNLAP, JUDITH L./DUNLAPM FOR MAYOR 2010/DUNLAP 2009/FRIENDS TO ELECT JUDY DUNLAP, 2010/0208 (2013)

84200(a), 84200.5(b) & (c), 84200.7(a), & 84200.8 - 4 counts
 84104 - 1 count
 \$9,500

Judith L. Dunlap was an Inglewood City Councilmember since 1993. She ran for Inglewood City Council in the April 7, 2009 election and in a run-off election August 31, 2010, and won both times. She also ran for Mayor of Inglewood in the June 8, 2010 and the November 2, 2010 elections and was defeated both times. Dunlap for Mayor 2010, Dunlap 2009, and Friends to Elect Judy Dunlap were the controlled committees of Judith L. Dunlap for these elections. Dunlap served as Treasurer of these committees. She failed to file preelection and semi-annual campaign statements, and failed to maintain records to support the information required to be disclosed on campaign statements.

DUNN, DAMON J., DAMON J. DUNN FOR SECRETARY OF STATE 2010, 2012/0558 (2013)

84211(k) & 84303 -1 count
 \$2,250

Damon J. Dunn ("Dunn"), was an unsuccessful candidate for Secretary of State in the November 2, 2010 General Election. Damon J. Dunn for Secretary of State 2010 ("the Committee"), was his candidate-controlled recipient committee. Kelly Lawler ("Lawler"), served as the committee Treasurer. In this matter, Dunn, the Committee, and Lawler failed to timely report subvendor information

for payments totaling approximately \$231,804, on a semi-annual campaign statement filed for the reporting period ending December 31, 2010.

DUQUE, HENRY M, 2000/0593 (2005)

87100 - 9 counts
\$18,000

Henry M. Duque, an appointed member of the California Public Utilities Commission, made governmental decisions in which he had a financial interest in 1999 and 2000.

DURAN, BEN, 2013/0250 (2013)

87207 - 2 counts
\$400

Ben Duran, as Superintendent for Merced College, failed to timely disclose gift(s) of: meals totaling \$147.16 on his 2010 Statement of Economic Interests; and meals totaling \$217.64 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

DURARD, MCKENNA & BORG, 2005/0736 (2006)

84200 - 3 counts
\$1,200

DuRard, McKenna & Borg of San Mateo failed to timely file semi-annual campaign statements in 2002 and 2003.

DURHAM, THOMAS, 2007/0499 (2008)

87202 - 1 count
\$200

Thomas Durham, Yuba county Planning Commission member, failed to timely file his 2006 annual statement of economic interests.

DURO, HENRY, 2008/0330 (2009)

87302.6 - 1 count
\$200

Henry Duro, San Bernardino county Indian Gaming Local Community Benefit Committee member, failed to timely file his 2007 annual Statement of Economic Interests.

DURO, VINCE, 2008/0331 (2009)

87302.6 - 1 count
\$200

Vince Duro, San Bernardino county Indian Gaming Local Community Benefit Committee member, failed to timely file his 2007 annual Statement of Economic Interests.

DUTTON, BOB, 2009/0869 (2010)

87207 - 1 count
\$200

Senator Bob Dutton failed to disclose on his 2008 Statement of Economic Interests a gift of food and drink from California Professional Firefighters valued at \$101.17.

DUTTON, MICHAEL, 2011/0386 (2011)

87207 - 11 counts

\$2,200

Michael Dutton, Portfolio Manager with CalPERS, failed to disclose two gifts on his 2006 annual Statement of Economic Interests: wine valued at \$50.00, received from Credit Suisse and a softball event and lunch valued at \$97.00 from LP Capital Advisors. Respondent failed to disclose seven gifts on his 2007 annual Statement of Economic Interests: a back pack and dinner from Blackstone totaling \$80.00, golf and a bag from Hamilton Lane totaling \$150.00, basketball game tickets from UBS totaling \$100, dinner from PCG totaling \$75.00, meals from Lexington totaling \$55.00, bowling and lunch from LP Capital Advisors totaling \$99.00, and meals from Audax totaling \$95.00. Respondent also failed to disclose two gifts on his 2008 annual Statement of Economic Interests: a holiday party from LP Capital Advisors totaling \$75.00 and meals from Credit Suisse totaling \$55.00.

DYER, G. PATRICK, 1999/0616 (2000)

87203 - 1 count
\$200

G. Patrick Dyer, member of the Nevada City Council, failed to timely file his 1998 annual statement of economic interests.

DYMALLY, MERVYN, FRIENDS OF DYMALLY, AND IDA E. YARBROUGH, 2002/0829 (2006)

85400 - 1 count
\$3,800

Mervyn Dymally, a member of the State Assembly, and his controlled committee, Friends of Dymally, and its treasurer, Ida E. Yarbrough, made campaign expenditures in excess of the voluntary expenditure limits.

E & B NATURAL RESOURCES, 2007/0238 (2007)

84200 - 1 count
\$1,686

E & B Natural Resources of Bakersfield failed to timely file a semi-annual campaign statement disclosing contributions totaling \$128,625 in 2006.

E.I. INDUSTRIES, INC, 1995/0567 (1996)

84300 & 84301 - 4 counts
\$8,000

E.I. Industries, Inc., located in San Jose and engaged in the business of selling construction supplies and materials, laundered four campaign contributions to San Jose City Council candidate Pat Dando in 1995.

EADS, GEORGE AND COMMITTEE TO ELECT GEORGE EADS MARINA COAST WATER DISTRICT NOVEMBER 2, 2010, 2010/0930 (2013)

84305(a) -1 count
85201 - 1 count
84104 & 18401 - 1 count
\$5,500

George Eads ran for a director seat on the Marina Coast Water District in 2010. Committee to Elect George Eads

Marina Coast Water District November 2, 2010 was his campaign committee. During the course of the campaign, the Committee and George Eads sent out three mass mailers that did not list the street address and city of the Committee and George Eads. The Committee and George Eads also failed to establish a campaign bank account and Eads deposited campaign contributions and made campaign expenditures from his personal bank account. Additionally, the Committee and George Eads failed to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements and to establish campaign statements were properly filed.

EARNEST GOVERNMENTAL RELATIONS CONSULTING AND CURTIS J. EARNEST, 2000/0734 (2002)

86117 - 8 counts
\$8,000

Earnest Governmental Relations Consulting (EGRC), a registered lobbying firm in Sacramento, and Curtis J. Earnest, a registered lobbyist and owner of EGRC, failed to file quarterly lobbying reports for reporting periods in 1997 through 1999.

EAVES, GERALD, 1987/0596 (1989)

87207 - 1 count
\$1,500

State Assemblyman Gerald Eaves failed to provide timely disclosure of income received from his campaign consulting business, Creative Campaigns. The business was a partnership he formed which received a \$10,000 payment from Friends of Gerry Eaves, a campaign committee controlled by the state lawmaker. The payment was for purchases and fees and a retainer for services. The income and ownership of Creative Campaigns was not disclosed until after news reports and the Commission began investigating the matter.

EAVES, GERALD R, 1995/0557 (1999)

84308 - 10 counts
\$12,500

Gerald Eaves, member of the San Bernardino county Board of Supervisors and appointed to the Inland Valley Development Agency (IVDA), failed to publicly disclose contributions and disqualify himself from participating and voting on matters concerning contracts of his contributors which were before the IVDA board in 1994 and 1995.

EBERHARDT, ROBERT, 2011/0387 (2011)

87207 - 2 counts
\$400

Robert Eberhardt, Portfolio Manager for CalPERS, failed to timely disclose gifts on his 2008 annual Statement of Economic Interests, and his 2009 annual Statement of Economic Interests. The gifts received were: meals, CD, tie, and scarf from Hines totaling \$375.00 in 2008; sweatshirt, tote, and perfume from Starwood totaling \$245.00 in 2009.

EBF & ASSOCIATES, L.P, 2006/0170 (2006)

84200 - 1 count
\$400

EBF & Associates, L.P. of Minnetonka, Minnesota, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

ECHEZONA, CHIKE, 2006/0593 (2008)

87300 - 1 count
\$100

Chike Echezona, Workers' Compensation Compliance Officer for the Department of Industrial Relations, failed to timely file his 2005 annual statement of economic interests.

ECKARD, KEVIN P., KEVIN ECKARD FOR ASSESSOR, AND CAROLE ONORATO, TREASURER, 1992/0115 (1995)

84104 - 1 count
84211 & 84216 - 4 counts
84213 & 81004 - 1 count
84300 - 1 count
85201 - 1 count
87302 - 1 count
89513 - 1 count
\$10,000

Kevin Eckard, unsuccessful candidate for Placer county Assessor in 1990, his controlled committee, and the committee treasurer failed to disclose the true source of loans received, failed to report loan repayments, failed to make campaign expenditures solely from the campaign bank account, failed to maintain adequate records, accepted an illegal cash contribution, used campaign funds to make impermissible loans, and verified the campaign statements though they were incomplete. In addition, Mr. Eckard, in his capacity as a member of the Placer county Fish and Game Commission, failed to disclose reportable sources of income on his 1990-1992 statements of economic interests.

ECKENRODE, NORMAN, 2004/0258 (2006)

84308 - 2 counts
\$6,500

City of Placentia Councilmember Norman Eckenrode, while serving as an appointed member of the Orange county Sanitation District, accepted a contribution of more than \$250 from a party to proceedings involving the award of contracts within three months following the date final decisions were rendered in those proceedings.

EDGECOMB, CHRIS E, 1998/0494 (1999)

84200 - 1 count
\$2,000

Chris E. Edgecomb of Santa Barbara failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

EDGEWOOD LANE DEVELOPERS, LP, 2001/0322 (2001)

84200 - 1 count

\$600

Edgewood Lane Developers, LP, failed to file a major donor committee campaign report in 2000.

EDISON INTERNATIONAL AND AFFILIATED ENTITIES, 2005/0561 (2006)

84203 - 1 count
\$3,500

Edison International and affiliated entities of Rosemead failed to timely disclose a late contribution totaling \$100,000.

EDMISTON, JOSEPH, 2006/0990 (2007)

87302 - 1 count
\$100

Joseph Edmiston, a member of the Santa Monica Mountains Conservancy, et al., failed to timely file his 2005 annual statement of economic interests.

EDMONDS, HAROLD, 2013/0754 (2013)

87207 - 1 count
\$200

Harold Edmonds, as a Trustee for the Oxnard Union High School District, failed to timely disclose gift(s) of: one meal valued at \$139.27 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

EDMONDSON, KATHAY, 2009/0692 (2010)

87206 & 87207 - 2 counts
\$400

Kathay Edmondson, a former City of Dunsmuir council member, failed to disclose an interest in real property and failed to disclose income on her candidate and assuming office Statements of Economic Interests.

EDWARDS, JOHNNY, 2004/0018 (2004)

87300 - 1 count
\$100

Johnny Edwards failed to timely file an assuming office statement of economic interests.

EDWARDS, NOLICE, 2009/0871 (2010)

87207 - 1 count
\$200

Nolice Edwards, Chief of Staff to Assemblymember Karen Bass, failed to disclose on her 2008 Statement of Economic Interests, a gift of food and beverage paid by the Farmers Group, Inc., and valued at \$121.92.

EDWARDS, W. JAMES, III, 2006/0429 (2006)

84200 - 2 counts
\$1,200

W. James Edwards, III of Newport Beach failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,000 in 2004 and \$25,000 in 2005.

EDWARDS, WILLIS, 1979/0049 (1980)

84203 - 3 counts
84206 - 1 count
84209 - 1 count
84210 - 1 count

84212 - 1 count
84214 - 4 counts
\$1,000

Willis Edwards, an unsuccessful Democratic candidate for the 49th Assembly District, failed to file three candidate campaign statements and committed various reporting violations, including the failure to properly report four late contributions totaling \$12,000.

EGAN, ROBERT, 2002/0012 (2006)

84200 - 1 count
\$1,200

Robert Egan is the Chairman of the board of commissioners for the Saratoga Fire Protection District and a real estate broker in the City of Saratoga. In 2000, during the first semi-annual reporting period of January 1, 2000 through June 30, 2000, Mr. Egan made \$27,801 in political contributions, in the form of loans, to support the passage of a local ballot measure, and thereby qualified as a "major donor committee." Mr. Egan violated the Political Reform Act by failing to timely file a semi-annual campaign statement.

EGER, JOSEPH., 2004/0780 (2005)

84203 - 2 counts
\$2,573

Joseph Eger of Stockton failed to file late contribution reports in 2003.

EHRENKRANZ, JORDAN, 2009/0043 (2012)

87100 - 2 counts
\$6,000

As a member of the city council for the City of Canyon Lake, Respondent Jordan Ehrenkranz violated the Political Reform Act by failing to disqualify himself from three governmental decisions relating to a proposed moratorium on hillside development in which he had a financial interest.

EICHENBERG, ROBERT, 2006/0273 (2006)

84200 - 1 count
\$1,257

Robert Eichenberg of Newport Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$85,700 in 2004.

EICHMAN, J. RICHARD, 1992/0407 (1994)

84203.5 - 1 count
84211 - 1 count
\$1,000

J. Richard Eichman, treasurer of Western Mobilehome Association Political Action Committee, failed to timely file two independent expenditure reports and also failed to adequately disclose candidates on whose behalf four independent expenditures were made on the semi-annual campaign statement of the Western Mobilehome Association PAC for the period from July 1, 1991, through December 31, 1991.

EJE, INC., DBA ESCONDIDO JEEP EAGLE, AND NORTH COUNTY FORD, INC, 1997/0145 (1999)

84300 & 84301 - 4 counts
\$8,000

EJE, Inc., dba Escondido Jeep Eagle, an automobile dealership located in Escondido, and North county Ford, Inc., an automobile dealership located in Vista, laundered four campaign contributions to a Vista city council candidate in 1996.

ELDRED, KENNETH A., 2007/0520 (2010)

84203 - 1 count
\$3,500

Kenneth A. Eldred qualified as a major donor committee under the Political Reform Act in June 2006. On or about June 2, 2006, Respondent Eldred made an \$11,000 late contribution to Steve Poizner for Insurance Commissioner, a committee formed primarily to support Steve Poizner on the June 6, 2006, state ballot. Respondent Eldred was required to file a late contribution report disclosing the late contribution by June 3, 2006, but failed to do so until July 27, 2006, more than 50 days after the election.

ELDRED, KENNETH A. AND ROBERTA E, 2004/0141 (2004)

84203 - 1 count
\$3,000

Kenneth A. and Roberta E. Eldred failed to file a late contribution report in 2003.

ELECTION DIGEST- A PROJECT OF THE COALITION FOR LITERACY/POMERANCE-POIRIER, TRACEY, 2013/0107 (2013)

84305.5(a)(2) - 1 count
\$3,000

Election Digest-A Project of The Coalition for Literacy, is a slate mailer organization. Respondent, Tracey Pomerance-Poirier, formed Respondent Election Digest and directed its activity. Respondents violated the Political Reform Act by failing to print the required wording of the "Notice to Voters" in a color or print which contrasts with the background so as to be easily legible, on slate mailers sent in connection with the November 6, 2012, election.

ELECTION EDUCATION GUIDE AND TRACEY POMERANCE-POIRIER, 2010/0980 (2012)

84219(h)(5) - 1 count
\$2,000

Election Education Guide is a slate mailer organization. Respondent Tracey Pomerance-Poirier is the organization's treasurer. In this matter, for the reporting periods ending June 30, 2010, and December 31, 2010, Respondents failed to report in a timely manner, subvendor information for payments totaling approximately \$652,549.

ELECTION SYSTEMS & SOFTWARE, 2002/0704 (2002)

84203 & 84605 - 2 counts
\$7,000

Election Systems & Software of Omaha, Nebraska, failed to file late contribution reports in paper and electronic formats in 2002.

ELECTRIC CITY OF SOUTHERN CALIFORNIA, 2003/0393 (2003)

84200 - 1 count
\$400

Electric City of Southern California, located in Redondo Beach, failed to file a major donor campaign statement in 2002.

ELEGANCE CORPORATION, 2003/0841 (2004)

84203 - 1 count
\$1,500

Elegance Corporation failed to file a late contribution report in 2002.

ELIAS, BENJAMIN S., BEN S. ELIAS FOR ASSEMBLY, AND ARMEN ELIAS, 2000/0062 (2003)

84200 - 1 count
\$1,000

Benjamin S. Elias, an unsuccessful candidate for assembly, 18th district, his controlled committee, Ben S. Elias for Assembly, and its treasurer, Armen Elias, failed to file a semi-annual campaign statement in 1998.

ELIOT, LEONARD, 1990/0302 (1992)

87100 - 4 counts
87300 - 5 counts
\$18,000

Leonard Eliot was the Assistant City Manager and Controller of the City of West Covina. He was also Assistant Executive Director of the West Covina Redevelopment Agency. Mr. Eliot violated the conflict-of-interest disclosure and disqualification provisions by failing to disclose his interests in an Orange Julius franchise located in a shopping mall known as the West Covina Fashion Plaza and by participating in decisions relating to a major expansion and restoration of the WCFP.

ELLIOTT BROIDY AND AFFILIATED ENTITIES, 2005/0378 (2005)

84203 - 1 count
\$1,500

Elliott Broidy and affiliated entities of Los Angeles failed to file a late contribution report in 2004.

ELLISON, LAWRENCE J, 2003/0333 (2003)

84200 - 1 count
\$400

Lawrence J. Ellison of Redwood Shores failed to file a major donor campaign statement in 2002.

ELSBERG ACCOUNTANCY CORPORATION AND MARK ELSBERG, 1996/0187 (1996)

84300 & 84301 - 4 counts
\$8,000

Elsberg Accountancy Corporation, an accounting firm located in Walnut Creek, and Mark Elsberg, its sole shareholder, laundered contributions made to a candidate for the Contra Costa county Board of Supervisors.

ELWOOD, KENNY, 2009/0521 (2009)

87300 - 1 count
\$400

Kenny Elwood, Butte Valley Irrigation District member, failed to timely file an annual Statement of Economic Interests.

EMAD, BEHZAD, 2006/0525 (2006)

84200 - 1 count
\$400

Behzad Emad of Sherman Oaks failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

EMAMI, ROBERT, 2000/0401 (2000)

84203 - 1 count
\$1,500

Robert Emami of Los Gatos failed to file a late contribution report during the March 7, 2000, primary election.

EMERGENCY GROUPS' OFFICE, 2006/0430 (2006)

84200 - 2 counts
\$1,200

Emergency Groups' Office of Arcadia failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,000 in 2003 and \$15,250 in 2004.

EMERGENCY MEDICINE MANAGEMENT SERVICES OF CALIFORNIA, INC, 2006/0526 (2006)

84200 - 4 counts
\$2,400

Emergency Medicine Management Services of California, Inc. located in Marina Del Rey, failed to timely file semi-annual campaign statements disclosing contributions totaling \$37,494 in 2004 and \$29,162 in 2005.

EMERGENCY PHYSICIANS MEDICAL GROUP, 2006/0431 (2006)

84200 - 1 count
\$1,030

Emergency Physicians Medical Group of Roseville failed to timely file a semi-annual campaign statement disclosing contributions totaling \$63,000 in 2004.

EMMERSON, BILL, 2009/0872 (2010)

87207 - 1 count
\$200

Assemblymember Bill Emmerson failed to disclose on his 2008 Statement of Economic Interests a gift of a reception paid by several lumber and forestry associations and valued in total at \$63.73.

ENDICOTT, MICHAEL, 2011/0639 (2013)

86114 & 86118 - 7 counts
\$24,500

Michael Endicott, a lobbyist and the responsible officer for the lobbying firm that bears his name, failed to file a quarterly lobbying firm report for each of the seven reporting periods covering October 1, 2010 through June 30, 2012, with the Secretary of State.

ENG, MIKE, 2009/0873 (2010)

87207 - 1 count
\$200

Assemblymember Mike Eng failed to disclose on his 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luise?o Mission Indians valued at \$94.46.

ENGASSER, GEORGE, 2002/0090 (2003)

87300 - 1 count
\$1,200

The Commission issued a Default Decision and Order against George Engasser, member of the Parks Commission for the City of Oroville, who failed to timely file a 2000 annual statement of economic interests.

ENGELMAN, LINDA, 2001/0076 (2001)

87203 - 1 count
\$200

Linda Engelman, an appointed member of the Vallejo Planning Commission, failed to file a 1999 annual statement of economic interests

ENGINEERING & UTILITY CONTRACTORS ASSOCIATION PAC AND MICHAEL ROCCO, TREASURER, 1999/0815 (2001)

84104 - 2 counts
84211 - 4 counts
\$8,000

Engineering & Utility Contractors Association PAC, a general purpose committee in Pleasanton, and Michael Rocco, its treasurer, failed to maintain adequate records and failed to timely report contributions received in 1995 and 1996.

ENTERPRISE RENT-A-CAR, 2003/0394 (2003)

84200 - 1 count
\$400

Enterprise Rent-A-Car of Gardena failed to file a major donor campaign statement in 2002.

ENXCO SERVICE CORP, 2003/0491 (2003)

84200 - 1 count
\$400

Enxco Service Corp. of N. Palm Springs failed to file a major donor campaign statement in 2002.

EPHRIAM, D. ANTHONY, 2000/0524 (2001)

87300 & 87302 - 2 counts
\$500

D. Anthony Ephriam, member of the Social Services Commission for the City of Santa Monica, failed to timely file his 1998 and 1999 annual statements of economic interests.

EPSTEN GRINNELL & HOWELL, APC, 2006/0289 (2006)

84200 - 1 count
\$400

Epsten Grinnell & Howell, APC of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$8,000 in 2004.

EQUALITY CALIFORNIA ISSUES PAC AND TIMOTHY HOHMEIER, 2011/0446 (2012)

84200.5(d) & 84200.7 - 1 count
84203 & 84605(a) - 4 counts
85309(b) - 6 counts
84211(f) - 1 count
\$31,500

Equality California Issues PAC and Respondent Committee's treasurer, Timothy Hohmeier, failed to file preelection campaign statements for the reporting periods ending March 17, 2008 and May 17, 2008, failed to timely file late contribution reports, failed to timely file \$1,000 online election cycle reports, and failed to disclose certain information regarding persons who contributed \$100 or more.

ERICKSON, MICHAEL, 1998/0202 (2000)

84200 - 1 count
84203 - 5 counts
\$3,000

Michael Erickson, treasurer for the Donna Courtright for State Assembly #24 Committee, failed to timely file late contribution reports and a semi-annual campaign statement as a major donor committee in 1998.

ERICKSON-HALL CONSTRUCTION CO, 2007/0704 (2008)

84200 - 2 counts

\$1,600

Erickson-Hall Construction Co. of Escondido failed to timely file two semi-annual campaign statements disclosing contributions totaling \$93,750.

ERICKSON-HALL CONSTRUCTION CO, 2007/0749 (2008)

84203 - 1 count
\$1,500

Erickson-Hall Construction Co. of Escondido failed to timely disclose a late contribution totaling \$10,000.

ESCONDIDO SECONDARY TEACHERS ASSOCIATION AND LIZ GRANATOWSKI, 2012/0836 (2013)

84200 - 1 count
\$200

Escondido Secondary Teachers Association and its Treasurer, Liz Granatowski, failed to timely file the semi-annual campaign statement covering the period January 1, 2012, through June 30, 2012, due July 31, 2012, with the San Diego county Registrar of Voters.

ESCUTIA, MARTHA M, 2004/0407 (2008)

87203 & 87207 - 2 counts
\$2,000

Martha Escutia, while a member of the State Senate, failed to disclose sources of income of \$20,000 or more to her spouse's solely-owned business entity on her initially-filed 2002 and 2003 annual statements of economic interests.

ETHICS IN GOVERNMENT, A COMMITTEE OF CITIZENS FOR THE REPUBLIC, AND CAROLYN ROBERTSON, TREASURER, 1991/0574 (1992)

84204 - 1 count
84211 - 1 count
\$3,500

This general purpose committee supported Proposition 119 in the June 1990 election and Proposition 140 in the November 1990 election. The committee failed to file a late independent expenditure report to report payments of \$20,974 made in support of Proposition 119. They also failed to itemize 29 contributions of \$100 each received from contributors.

EU, MARCH FONG, AND FRIENDS OF MARCH FONG EU COMMITTEE, 1989/0139 (1990)

84203 - 5 counts
84213 - 1 count
84303 - 1 count
\$8,000

Secretary of State March Fong Eu committed campaign reporting violations during her 1986 election. The violations involved the failure of Eu to file late contribution reports totaling \$10,450 during the primary and general election, failure to properly report subvendors for \$151,278 in expenditures, and failure to exercise reasonable diligence in the preparation of the campaign statements. The

candidate delegated all reporting responsibilities and supervision to other members of her staff, which prevented her from taking any steps to correct or cause to be checked any portion of the statements.

EU, MARCH FONG, BAY AREA ASIANS TO REELECT MARCH FONG EU COMMITTEE, AND CATHERINE LEE, 1989/0310 (1990)

84102 - 1 count
 84104 - 2 counts
 84200 - 5 counts
 84213 - 2 counts
 \$20,000

A state audit found that one of March Fong Eu’s controlled committees in San Francisco, and its treasurer, failed to timely file five campaign statements disclosing receipts of \$40,400 and expenditures of \$37,247. The committee also failed to maintain adequate campaign records of contributions and expenditures and the candidate and treasurer failed to use reasonable diligence in preparation of the campaign disclosure reports. The Commission reached a stipulated settlement on 10 violations of the Act prior to the filing of a civil action.

EVANS, ROBERT J., 2010/0661 (2011)

84200.5 & 84206 - 1 count
 \$800

Robert J. Evans was an unsuccessful candidate for Attorney General in the November 2, 2010, general election, who raised and spent less than \$1,000 for his campaign. Respondent failed to file a short form campaign statement for calendar year 2010 by the March 22, 2010, due date.

EVERGREEN AMERICA CORPORATION, 1993/0045 (1993)

84200 - 12 counts
 84203 - 3 counts
 \$447,500

Evergreen America Corporation, the general agent for Evergreen Lines, a containership operator based in Taiwan, violated the law by laundering 236 contributions to statewide candidates and Los Angeles City Council candidates between 1989 and 1991. The campaign contributions amounted to \$172,000 and were made at a time when an Evergreen-related company was planning a land development project in downtown Los Angeles. Evergreen also failed to file four major donor statements and failed to report contributions and late contributions during 1989 and 1990. This was a joint investigation conducted by the Los Angeles City Ethics Commission and the Fair Political Practices Commission.

EXCELLENCE IN EDUCATION COMMITTEE, PHILIP ARNOLD, JIM HUTCHERSON, AND TAL COWAN, 1995/0189 (1997)

84200.5 & 84200.7 - 5 counts
 84203 - 1 count
 \$6,000

Philip Arnold, Jim Hutcherson, and Tal Cowan, candidates for the board of trustees for the Fallbrook Union High School District, and the Committee for Excellence in Education, their controlled committee, failed to file pre-election statements until after the election in 1994 and failed to file a late contribution report.

EXCELLENCE IN STUDENT ACHIEVEMENT PAC, 1998/0265 (2001)

84102 - 1 count
 84106 - 1 count
 84211 - 2 counts
 \$4,000

Excellence in Student Achievement PAC in San Diego failed to include the name of its sponsor, the Greater San Diego Chamber of Commerce, on a Statement of Organization, failed to include the name of its sponsoring organization on several campaign statements, and failed to disclose the true source and true guarantor of loans on campaign statements.

EXPERTON, BETTINA, 2006/0606 (2007)

87300 - 1 count
 \$100

Bettina Experton, a member of the City of Del Mar’s Finance Committee, failed to timely file a 2005 annual statement of economic interests.

EXPRESSTOUCH, INC, 2002/0325 (2002)

84203 - 1 count
 \$2,000

Expresstouch, Inc. of Hayward failed to file a late contribution report in 2000.

F.E.A. LOGISTICS, 1988/0364 (1990)

84301 - 10 counts
 \$20,000

F.E.A. Logistics, a Gardena company, laundered campaign contributions through employees to Los Angeles City Council candidate, Kenneth Orduna. The violations involved 10 contributions of \$500 each made by the company through employees to the candidate. The contributions were laundered through F.E.A. Logistics employees to avoid the local ordinance restricting campaign contributions to \$500 from a single source.

FABELLA, MARIA, 2008/0310 (2008)

87300 - 1 count
 \$200

Maria Fabella, Hazardous Substance Scientist with the Department of Toxic Substances Control, failed to timely file a 2007 annual statement of economic interests.

FAIR ELECTIONS GROUP AND NIKOLA MIKULICICH, JR, 1998/0148 (2003)

84200 - 2 counts
 \$4,000

The Commission adopted an administrative law judge’s proposed decision in the matter of Fair Elections Group, a state general purpose committee of Torrance, and its treasurer, Nikola Mikulicich, Jr., who failed to file two semi-annual campaign statements in 1999.

FAIR LAWYERS FEES COMMITTEE, SPONSORED BY THE INSURANCE INDUSTRY, 1988/0247 (1990)

84106 & 84305 - 1 count
\$2,000

The Committee for Fair Lawyers Fees, Sponsored by the Insurance Industry, failed to provide proper sender identification on its mass mailing sent while trying to qualify what became unsuccessful Proposition 106 on the November 1988 statewide ballot. The mass mailing was designed to look like an official government publication but nowhere on the outside envelope or on any of the enclosures of the committee mailing was the sponsorship of the committee identified.

FAIR, REGINALD, AND R. FAIR & ASSOCIATES, 2000/0735 (2002)

86110 - 1 count
86117 - 11 counts
\$14,000

Reginald Fair, a registered lobbyist, and R. Fair & Associates, a registered lobbying firm, failed to timely file eleven quarterly lobbying reports for the years 1998 through 2000 and failed to maintain detailed records from 1997 through 1998.

FAJARDO, AGAPITO, FRIENDS OF PETE FAJARDO, AND NATIVIDAD ODAL, 1997/0046 (2003)

84211 - 12 counts
84300 - 3 counts
84303 - 1 count
85201 - 2 counts
\$36,000

The Commission issued a Default Decision and Order against Agapito Fajardo, successful candidate for mayor of the City of Carson, his controlled committee, Friends of Pete Fajardo, and its treasurer, Natividad Odal, who accepted cash loans, failed to report required contributor and campaign expenditure information, made cash expenditures, and made campaign expenditures from a bank account other than the campaign bank account in 1997 and 1998.

FALASCHI, JAMES D, 2002/1027 (2003)

84203 - 1 count
\$1,500

James D. Falaschi of Oakland failed to file a late contribution report in 2002.

FAMILY FARMERS WORKING FOR A BETTER CALIFORNIA/WITH MAJOR SUPPORT BY WESTERN GROWERS ASSOCIATION AND WARD KENNEDY, 2013/0660 (2013)

84203.5 & 84605 - 2 counts
\$400

Family Farmers Working for a Better California, with Major Support by Western Growers Association, and Ward Kennedy, as Treasurer, failed to timely file the Supplemental Independent Expenditure (Form 465) covering the periods October 1, 2012, through October 20, 2012, due October 25, 2012, and October 21, 2012, through December 31, 2012, due January 31, 2013, with the Secretary of State in both paper and electronic format.

FANG, JAMES, 1994/0658 (1995)

84302 - 4 counts
\$8,000

James Fang, a director of the San Francisco Bay Area Rapid Transit District, acted as an agent or intermediary for another party in the making of contributions without disclosing the actual contributor to the recipient, when he caused four contributions to be made to the campaign of San Francisco mayoral candidate Frank Jordan in 1991.

FAR WEST SAVINGS AND LOAN ASSOCIATION, 1990/0385 (1991)

84200 - 2 counts
\$3,000

Far West Savings and Loan Association, an affiliated entity of Far West Financial Corporation, failed to timely file major donor campaign disclosure statements. During 1988, Far West Savings made contributions totaling \$39,500 to political candidates and committees and failed to report these contributions on major donor statements.

FARGO, HEATHER, 2004/0071 (2007)

87207 - 1 count
\$2,000

Heather Fargo, mayor of Sacramento, failed to timely disclose a source of income on her candidate and 2003 annual statements of economic interests filed on or about December 5, 2003, and April 1, 2004.

FARMERS GROUP, INC. AND AFFILIATED ENTITIES, 2004/0673 (2005)

84203 - 12 counts
\$2,910

Farmers Group, Inc. and affiliated entities of Los Angeles failed to file late contribution reports in 2004.

FARRELL, ROGER, 2004/0254 (2004)

87300 - 1 count
\$100

Roger Farrell failed to timely file an assuming office statement of economic interests.

FAVILA, RUDY G., RUDY FAVILA FOR ONTARIO, RUDY FAVILA FOR COUNTY SUPERVISOR, AND JANICE E. PHILLIPS, TREASURER, 1996/0366 (1999)

84104 - 1 count
 84200 - 1 count
 84200.5 & 84200.7 - 2 counts
 84203 - 1 count
 84211 - 2 counts
 84211 & 84303 - 1 count
 84300 - 1 count
 \$8,000

Rudy G. Favila, former Ontario City Councilman from 1992 to 1996 and unsuccessful candidate for both San Bernardino county Supervisor in 1994 and the Ontario City Council in 1996, his controlled committees, Rudy Favila for Ontario, and Rudy Favila for county Supervisor, and his treasurer, Janice E. Phillips, failed to timely file several required campaign statements, failed to provide itemized information about numerous contributions and expenditures, and failed to maintain required records for the time period between 1993 and 1996.

FEAVER, RON, 2013/0381 (2013)

87207 - 1 count
 \$200

Ron Feaver, as a Member of the State Center Community College District Board of Trustees, failed to timely disclose gift(s) of: two meals totaling \$113.33 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

FECKNER, ROB, 2011/0388 (2011)

87207 - 2 counts
 \$400

Rob Feckner, as elected President of the Board of CalPERS, failed to disclose 4 gifts of meals and drinks received from AEW, valued at \$176.63 in total, on his 2007 annual Statement of Economic Interests, as well as a gift of dinner valued at \$100.76 received from Goldman Sachs on his 2008 annual Statement of Economic Interests.

FEINBERG, LARRY N, 2003/0395 (2003)

84200 - 1 count
 \$400

Larry N. Feinberg of Greenwich, Connecticut, failed to file a major donor campaign statement in 2002.

FEINSTEIN, DIANNE, FEINSTEIN FOR GOVERNOR, AND HENRY BERMAN, TREASURER, 1990/0819 (1992 CIVIL LAWSUIT)

84105
 84203
 84211
 84303
 \$190,000

Dianne Feinstein, an unsuccessful candidate for governor in 1990, her committee, and the committee treasurer failed to properly report campaign contributions and expenditures. The campaign statements did not disclose expenditures of \$3.5 million, accrued expenses of \$380,000, and subvender payments of \$3.4 million. The guarantor of loans totaling \$2.9 million, Feinstein’s husband, Richard Blum, was not

disclosed. Monetary and non-monetary contributions totaling \$815,000 were not reported on campaign statements and late contributions of \$90,000 were not reported. Notices were not sent to 166 major contributors who made contributions of \$5,000 or more advising them of possible filing requirements.

FELIM O'REILLY AND AFFILIATED ENTITIES, 2003/0455 (2003)

84200 & 84605 - 1 count
 \$923

Felim O'Reilly and affiliated entities of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

FENTON, ELIZABETH, 2009/0910 (2010)

87207 - 1 count
 \$200

Elizabeth Fenton, Chief of Staff to Senator Fran Pavley, failed to disclose on her 2008 Statement of Economic Interests a gift of tickets to an event, a parking pass, and refreshments from AT&T valued at \$130.52.

FENWICK, RICHARD, 1989/0134 (1990)

87100 - 2 counts
 \$4,000

Richard Fenwick, former Dorris City Councilmember, committed conflict-of-interest violations in 1987 and 1988. The violations involved the councilmember’s votes to obtain a \$491,520 state grant to extend a water and sewer line to an area of the city where he owned real property and operated the Star Inn and restaurant. The funding produced significant financial gains for Mr. Fenwick. He spent approximately \$14,000 for improvements to his sewer facilities, but saved \$58,000 in sewer hookup costs. Additionally, as a result of the hookup, he could operate a restaurant on the premises, raise rental fees, and list the previously unmarketable property for sale.

FERGUSON, ASSEMBLYMAN GIL, FRIENDS OF GIL FERGUSON POLITICAL ACTION COMMITTEE, AND FREE MARKET POLITICAL ACTION COMMITTEE, 1987/0084 (1990)

84203 - 2 counts
 84211 - 1 count
 \$4,000

Assemblymember Gil Ferguson and two of his controlled committees committed campaign reporting violations. The Friends of Gil Ferguson Committee received three late contributions totaling \$8,500 during the 1986 primary election, but failed to properly disclose them within 24 hours of receipt. Ferguson’s second controlled committee, the Free Market PAC, failed to report four contributions totaling \$9,890.

FERGUSON, GIL, FERGUSON FOR SENATE 1990, AND DOROTHY HUGHES, TREASURER, 1991/0368 (1993)

84200.5 & 84200.7 - 1 count
 84203 - 2 counts
 84211 - 2 counts
 \$6,000

State Assemblyman Gil Ferguson, his committee, and its treasurer failed to properly identify the true source of campaign loans on campaign disclosure statements, failed to file a campaign statement on time, and failed to file two late contribution reports on time in 1990 for two personal loans Ferguson made to the campaign totaling \$22,000.

FERNANDEZ, ALFONSO, 2011/0389 (2011)

87207 - 2 counts
 \$400

Alfonso Fernandez, Senior Portfolio Manager for CalPERS, failed to timely disclose on his 2006 annual Statement of Economic Interests a gift of two bottles of wine totaling \$123.50 from Black Rock; and, on his 2007 annual Statement of Economic Interests, he failed to disclose a gift of a meal at Spataro's valued at \$60.00.

FERNANDO VASQUEZ FOR DOWNEY COUNCIL 2010, AND JANE LEIDERMAN, TREASURER, 2011/0057 (2012)

84211(a)(c)(e)(f) - 2 counts
 \$4,000

Fernando Vasquez, his candidate controlled committee Vasquez for Downey Council 2010, and the committee's treasurer Jane Leiderman, failed to report in a timely manner eight monetary contributions of \$100 or more, totaling approximately \$1,600, received between July 1, 2010, and September 30, 2010, due by October 5, 2010, and failed to report nine monetary contributions in a timely manner of \$100 or more, totaling approximately \$6,096, received between October 1, 2010, and October 16, 2010, due by October 21, 2010.

FERRARELLI, DOUGLAS, 1998/0615 (2002)

87406 - 3 counts
 \$3,500

Douglas Ferrarelli, former Senior Structural Engineer for the Office of Statewide Planning and Development, accepted employment with an employer for whom he signed permit applications that were submitted to his former agency within one year of leaving his state position.

FERREIRA, MANUAL, 2012/0065 (2012)

87300 - 1 count
 \$200

Manual Ferreira, Orange Cove Fire Protection District Member, failed to timely file an assuming office Statement of Economic Interests covering the period August 12, 2010, through August 12, 2011, due September 12, 2011, with the Technical Assistance Division.

FERREIRA, RICHARD, 2002/0805 (2002)

87100 - 1 count
 \$5,000

Richard Ferreira, an energy consultant to the California Department of Water Resources, violated the conflict-of-interest provisions when he made governmental decisions in which he had a financial interest in 2001.

FICKAS, PAUL AND DECLINE TO STATE VOTER GUIDE, 2010/0959 (2011)

84305.5(a)(2), (4) - 1 count
 \$1,500

Decline to State Voter Guide is a slate mailer organization. Paul Fickas formed Decline to State Voter Guide and directed its activity. Respondents failed to include required wording in the slate mailer disclaimer, "Notice to Voters" and to place asterisks following the names of the candidates that paid to appear in slate mailers sent in connection with the November 2, 2010, election.

FIDELITY NATIONAL TITLE INSURANCE COMPANY, 1998/0761 (2002)

84300 & 84301 - 9 counts
 \$16,000

Fidelity National Title Insurance Company, a firm that provides title insurance and other real estate-related products and services headquartered in Irvine, laundered campaign contributions made to an assemblywoman in 1998.

FIELDSTONE COMPANY, 1990/0259 (1991)

84203 - 4 counts
 \$4,800

The Fieldstone Company, a Newport Beach real estate development company, which qualified as a major donor committee, failed to file late contribution reports on four separate occasions in 1988 and 1989 for contributions made to committees for ballot measures primarily in San Diego and Costa Mesa.

FILER, BOB AND BOB FILNER FOR CONGRESS, 2007/0261 (2007)

84200 - 1 count
 \$400

Bob Filner For Congress of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2005.

FILNER, BOB AND BOB FILNER FOR CONGRESS, 2007/0269 (2007)

84203 - 1 count
 \$3,500

Bob Filner For Congress of San Diego failed to timely disclose late contributions totaling \$25,000.

FILTER RECYCLING SERVICES, INC, 2004/0819 (2005)

84200 - 1 count
 \$400

Filter Recycling Services, Inc. of Colton failed to file a major donor campaign statement in 2003.

FINIGAN, DAVID, 2006/1032 (2009)

89503 - 1 count
\$610

David Finigan, while serving as a member of the county of Del Norte Board of Supervisors and a member of the local transportation committee, North Coast Emergency Medical Services, Regional Council of Rural counties and the Children and Families Commission, accepted a gift from a single source in the amount of \$600, exceeding the \$340 gift limit for 2004.

FIREBAUGH, MARCO, COMMITTEE TO ELECT, 2002/0093 (2002)

84203 - 1 count
\$1,800

Committee to Elect Marco Firebaugh of Burbank failed to timely file a late contribution report in 2000.

FIRED UP, INC, 2005/0470 (2005)

84200 - 1 count
\$400

Fired Up, Inc. of Austin, Texas, failed to file a major donor campaign statement in 2004.

FIRST AMERICAN CORPORATION, 2005/0374 (2005)

84203 - 1 count
\$3,500

The First American Corporation of Santa Ana failed to file a late contribution report in 2004.

FIRST CITY DEVELOPMENTS CORPORATION, 1992/0283 (1993)

84301 - 30 counts
\$50,000

First City Developments Corporation, doing business in the City of Fremont, laundered 30 contributions made in support of Fremont city and county candidates William Ball, Alane Loisel, and Gary Mello in 1989.

FIRST MANAGEMENT GROUP INVESTMENTS, INC, 2003/0348 (2003)

84200 & 84605 - 1 count
\$400

First Management Group Investments, Inc. of Washington, D.C., failed to file a major donor campaign statement in paper and electronic format in 2002.

FISCAL FUNDING CO., INC., AND CALVIN B. GRIGSBY, 1994/0117 (1996)

84200 - 2 counts
84300 & 84301 - 1 count
\$5,000

Fiscal Funding, Inc., an equipment leasing company in San Francisco, and Calvin B. Grigsby, a 50 percent owner and controlling partner, failed to timely file major donor campaign statements in 1993. They also loaned money to make campaign contributions in the name of another person.

FITZMORRIS, ANDREW, GARY BERGERON AND CHERYL BERGERON, 1983/0014 (1986)

81004 - 1 count
84211 - 2 counts
84301 - 3 counts
\$7,000

Dr. Andrew Fitzmorris was a candidate for the Desert Hospital District Board of Directors. Cheryl Bergeron laundered three \$999 contributions to Dr. Fitzmorris' campaign. Dr. Gary Bergeron, who was co-owner with Cheryl Bergeron of the checking account from which the funds for the contributions derived, negligently violated the section of the Act requiring true sources of contributions to be disclosed to the recipient of the contributions. Fitzmorris knew a substantial amount of money had come in late in the campaign. The three \$999 contributions were unusual amounts received on the same day from individuals whom he did not know. Under these circumstances, Fitzmorris had a duty to inquire about the contributions to ensure that reasonable diligence was used in the preparation of his campaign statements.

FLAHERTY, COLIN, 1999/0783 (2003)

84200 - 2 counts
84300 & 84301 - 36 counts
\$76,000

The Commission issued a Default Decision and Order against Colin Flaherty, owner of Flaherty Communications located in Encinitas, who made contributions in other than his legal name ("laundering") between 1997 and 1998 and failed to file campaign statements.

FLEXTRONICS INTERNATIONAL USA, INC, 2007/0240 (2007)

84203 & 84605 - 1 count
\$3,500

Flextronics International USA, Inc. of San Jose failed to timely disclose late contributions totaling \$25,000.

FLEXTRONICS INTERNATIONAL USA, INC, 2007/0241 (2007)

84200 & 84605 - 2 counts
\$800

Flextronics International USA, Inc. of San Jose failed to timely file semi-annual campaign statements disclosing contributions totaling \$50,000 in 2005.

FLORES, MARK, AND LYNWOOD CITIZENS FOR MARK FLORES, 2006/0352 (2009)

84104 - 1 count
84200.5(c) & 84200.8 - 1 count

84211 - 2 counts
\$8,500

Lynwood Citizens for Mark Flores was the candidate-controlled recipient committee of Mark Flores. Respondents failed to file a first pre-election campaign statement; failed to disclose the total amount of contributions received and required contribution information; failed to disclose the total amount of expenditures made and required expenditure information, and failed to maintain proper records.

FLORES-MCCLINTOCK, LUPE, COMMITTEE TO ELECT LUPE FLORES-MCCLINTOCK, AND DAVID MCCLINTOCK, 1995/0238 (1997)

84200 - 1 count
\$1,500

Lupe Flores-McClintock, an unsuccessful incumbent Los Angeles county school board trustee, failed to timely file campaign statements covering reporting periods from 1993-1996.

FLOREZ, DEAN, 2009/0911 (2010)

87207 - 1 count
\$200

Senator Florez failed to disclose on his 2008 Statement of Economic Interests a gift of dinner from Pechanga Band of Luiseño Mission Indians valued at \$128.01.

FLOREZ, DEAN; DEAN FLOREZ FOR LT. GOVERNOR 2010; DEAN FLOREZ FOR STATE CONTROLLER 2014, 2012/0213 (2013)

89512 & 89513 - 2 counts
85318 - 1 count
89512 & 89513 - 9 counts
\$60,000

Dean Florez was a candidate for Lt. Governor in 2010, and candidate of State Controller in 2014. He previously served as an assembly member and a senator. Mr. Florez and his candidate controlled committee, Dean Florez for Lt. Governor 2010, made 3 expenditures, totaling \$6,434.42, that were not related to a political, legislative or governmental purpose, and failed to refund approximately \$247,000 in general election contributions. Mr. Florez and his candidate controlled committee, Dean Florez for State Controller 2014, made 166 expenditures, totaling \$20,107.47, that were unrelated to a political, legislative or governmental purpose.

FOGG, JOSEPH G., III, 1998/0320 (1998)

84200 - 1 count
\$1,250

Joseph G. Fogg, III of Syosset, New York, failed to file a semi-annual campaign statement for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

FOGLIANI, CARL, 2009/0442 (2009)

87300 - 1 count
\$200

Carl Fogliani, Measure W Oversight Committee member for the City of Stockton, failed to timely file an assuming office Statement of Economic Interests.

FOLENDORF, TAD, 1996/0019 (1998)

87100 - 10 counts
\$15,000

Tad Folendorf, mayor of the City of Angels Camp, violated the conflict-of-interest provisions when he participated and voted on several governmental decisions which had a financial effect on his interests in real property and on his sources of income between 1994 and 1996.

FOLEY, TIM, 2010/0117 (2010)

84301 & 84302 - 1 count
\$4,500

Respondent, acting as an agent or intermediary, made a contribution on behalf of another person, such that the identity of the donor was not reported.

FONG, ROBERT, 2012/0558 (2012)

82015(b)(2)(B)(iii) - 2 counts
\$3,000

Robert Fong, City Council Member for the City of Sacramento, failed to timely report two payments of \$5,000 or more made at his behest by the Plumber and Pipefitters Local 447 to Faith and Homeless Families, a non-profit organization founded by Mr. Fong, within 30 days of the date of the respective payment.

FOOTHILL-DE ANZA COMMUNITY COLLEGES FOUNDATION, 2004/0593 (2005)

84200 - 1 count
84203 - 1 count
\$4,000

Foothill-De Anza Community Colleges Foundation, a tax-exempt public benefit corporation, failed to disclose a late contribution in a properly filed late contribution report, and failed to file a semi-annual campaign statement.

FORCIER, ARTHUR AND SHIRLEY WECHSLER, 1981/0002 (1982)

84305 - 1 count
\$2,000

Political campaign consultants Arthur Forcier and Shirley Wechsler created and paid for a mailing on behalf of Arline Mathews, a Democratic candidate for the 37th Assembly District in 1980. The mailing was a classic deceptive mailing in two ways. First, Forcier and Wechsler pirated the name of a Howard Jarvis committee, listing the sender as the "Spirit of 13" committee. Second, along with Mathews, the mailing endorsed a group of Republican candidates including President Reagan. No party designations were listed so that someone receiving the mailing would naturally conclude that Mathews was part of the Republican ticket.

FORD, THOMAS C., 2011/0461 (2011)

84200 - 2 counts
\$800

Thomas C. Ford failed to timely file major donor semiannual reports covering the periods January 1, 2010, through June 30, 2010, due July 31, 2010, and July 1, 2010, through December 31, 2010, due January 31, 2011, with the Secretary of State.

FORMUZIS, PETER, 2001/0229 (2001)

84200 - 1 count
\$400

Peter Formuzis of Santa Ana failed to file a major donor campaign statement in 2000.

FORTANASCE, VINCENT M, 2006/0012 (2006)

84200 - 1 count
\$400

Vincent M. Fortanasce, MD of Arcadia failed to timely file a semi-annual campaign statement in 2004.

FORTLAND, INC., AND AFFILIATED ENTITIES, 2009/0775 (2010)

84200 - 7 counts
\$4,521

Fortland, Inc., and Affiliated Entities of Riverside, a major donor committee, failed to timely file seven semi-annual campaign statements disclosing contributions totaling \$46,365 in 2005; \$60,665 in 2006; \$50,160 in 2007 and \$13,850 in the first half of 2008.

FOUNDATION FOR REED SCHOOLS, 2008/0139 (2009)

84200-- 1 count
\$400

The Foundation for Reed Schools of Tiburon, failed to timely file a campaign statement disclosing a contribution totaling \$25,000 due January 31, 2008.

FOUNTAIN VALLEY KIDS 2012, ET AL., 2012/0885 (2013)

84200.5(b) - 2 counts
\$5,000

Fountain Valley Kids 2012, Yes on Measure N, was a primarily formed ballot measure committee that supported the passage of Measure N, a Fountain Valley School District bond measure on the ballot in the November 6, 2012 election. Paul Rincon was the committee's treasurer for the committee at all times relevant. They failed to timely file a first pre-election Form 460 Campaign Statement covering the period January 1, 2012, through September 30, 2012, due October 5, 2012, and a second pre-election Form 460 Campaign Statement covering the period October 1, 2012, through October 20, 2012, due October 25, 2012.

FOX, GREGORY, 2010/0798 (2011)

87100 - 3 counts
87300 & 87302 - 4 counts
\$13,000

As a member of the Saratoga Cemetery District Board of Trustees, Gregory Fox failed to disclose his ownership interest in two undeveloped properties on his annual Statements of Economic Interests ("SEI") for the years 2006, 2007, 2008, and failed to timely disclose his ownership interest in these properties on his 2009 SEI. Further, Respondent Fox participated in and made governmental decisions on three occasions to develop an undeveloped parcel, referred to as the Sister's parcel, owned by the Saratoga Cemetery District, which is located within 500 feet of one of Respondent Fox's undeveloped properties.

FRAN PAVLEY FOR ASSEMBLY, 2001/0696 (2002)

84203 - 1 count
\$2,000

Fran Pavley for Assembly of Agoura Hills failed to file a late contribution report in 2000.

FRANCO, YVONNE, 2012/0409 (2012)

87202 - 1 count
\$200

Yvonne Franco, a Planning Commissioner for the City of Coachella, failed to file in a timely manner, an assuming office Statement of Economic Interests for the reporting period April 2, 2010, through April 2, 2011, by the May 2, 2011, due date.

FRANK, ALAN; ALAN FRANK FOR CITY COUNCIL 2012; AND JEFF BUCHANAN, 2012/0890 (2013)

84305(a) - 1 count
\$2,500

Alan Frank was an unsuccessful candidate for Placentia City Council in the November 6, 2012 election. Alan Frank for City Council 2012 was Mr. Frank's candidate controlled committee, and Jeff Buchanan was the treasurer of the committee. Prior to the election, they paid for and caused to be sent two mass mailers which failed to identify them as the senders of the mailers.

FRANK, ALLEN/ALLEN FRANK FOR CITY COUNCIL 2012/JEFF BUCHANAN, 2012/0890 (2013)

84305(a) - 1 count
\$2,500

Alan Frank, was an unsuccessful candidate for Placentia City Council in the November 6, 2012 election. Alan Frank for City Council 2012 was Respondent Frank's candidate controlled committee, and Respondent Jeff Buchanan was the Treasurer of the committee. Prior to the election, Respondents paid for and caused to be sent two mass mailers which failed to identify Respondents as the senders of the mailers.

FRANK, DICK, AND RE-ELECT DICK FRANK ASSESSOR, 2002/1076 (2005)

84200 - 1 count
\$5,000

Dick Frank, a successful incumbent candidate for assessor of San Luis Obispo county, and his controlled committee, Re-Elect Dick Frank Assessor, failed to timely file a 2002 semi-annual campaign statement.

FRANK, DICK, RE-ELECT DICK FRANK COUNTY ASSESSOR, AND DONNA FRANK, 2001/0404 (2002)

84200.7 - 1 count
84203 - 3 counts
\$3,000

Dick Frank, successful candidate for San Luis Obispo county Assessor in 1998, his controlled committee, Re-Elect Dick Frank county Assessor, and its treasurer, Donna Frank, failed to file a second pre-election campaign statement and failed to file late contribution reports in 1998.

FRANK, HAROLD R. AND DIANA, 2003/0396 (2003)

84200 - 1 count
\$400

Harold R. and Diana Frank of Goleta failed to file a major donor campaign statement in 2002.

FRANK, SIDNEY, 2004/0001 (2005)

84301 - 10 counts
\$40,000

Sidney E. Frank, chairman and founder of Sidney Frank Importing Co., Inc., a corporation based in the State of New York, made 10 contributions to San Diego county Sheriff-Coroner William B. Kolender in the names of other people prior to the March 5, 2002 primary election.

FRANKLIN, KRISTIN, 2008/0024 (2010)

87300 - 2 counts
\$4,000

Kristin Franklin, a former member of the California Community Colleges Board of Governors, failed to file a 2006 annual Statement of Economic Interests by the April 2, 2007, due date, and failed to file a 2007 annual Statement of Economic Interests by the April 1, 2008, due date.

FRANKLIN, RALPH L., COMMITTEE TO ELECT, 2004/0466 (2005)

84203 - 10 counts
\$5,400

The Committee to Elect Ralph L. Franklin, an Inglewood committee, failed to file late contribution reports in 2003.

FRANZELLA, LAWRENCE, 2004/0004 (2008)

87100 - 1 count
87200 & 87207 - 3 counts
\$9,000

Lawrence Franzella is the mayor of the City of San Bruno and is also a licensed realtor, with ownership interest in Trotter-Vogel Realty, Inc. On August 13, 2002, Franzella, as mayor of the City of San Bruno, made a governmental decision which had a material financial effect on his real property. Additionally, Franzella failed to disclose a source of income of \$500 or more from the sale of property on his 2003 annual statement of economic interests, and failed to disclose income from clients to his business in which his pro rata share was \$10,000 or more on his 2005 and 2006 annual statements of economic interests.

FRED, DANNY, 2013/0806 (2013)

87300 - 1 count
\$200

Danny Fred, as a Contractor for the San Bernardino county housing Authority, failed to timely file his 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

FREEDOM AND FREE ENTERPRISE PAC AND THOMAS P. KEMP, TREASURER, 1999/0415 (2001)

84200 - 1 count
84203 - 2 counts
\$3,000

Freedom and Free Enterprise PAC based in Washington, D.C., and Thomas P. Kemp, its treasurer, failed to file a major donor committee campaign statement and two late contribution reports for contributions made to the Lungren Committee for Common Sense Conservatism and Tim Leslie for Lieutenant Governor Committee in 1998.

FRESNO COUNTY REPUBLICAN CENTRAL COMMITTEE, 2004/0391 (2006)

84203 - 2 counts
\$6,750

Fresno county Republican Central Committee failed to timely disclose late contributions totaling \$45,000 in 2002.

FRESNO COUNTY REPUBLICAN CENTRAL COMMITTEE, 2009/0759 (2009)

84211 - 2 counts
85301 - 6 counts
\$29,000

Fresno county Republican Central Committee is a political party committee. In this matter, Respondent Committee made campaign contributions on behalf of other contributors to Assembly Member Joel Anderson in excess of the contribution limits, failed to report the receipt of a contribution from Assembly Member Joel Anderson and failed to report the making of an expenditure to Assembly Member Joel Anderson.

FRESNO COUNTY REPUBLICAN CENTRAL COMMITTEE AND ORAN MCNEIL, 1995/0032 (1996)

84200 - 2 counts
\$4,000

Fresno county Republican Central Committee, and its treasurer, Oran McNeil, failed to timely file campaign statements disclosing contributions received and expenditures made in 1994.

FRESNO FIREFIGHTERS LEGISLATIVE ACTION GROUP AND JACK COLEMAN, TREASURER, 1997/0244 (2000)

84200 - 7 counts
\$10,500

Fresno Firefighters Legislative Action Group, a general purpose recipient committee, and its treasurer, Jack Coleman, failed to timely file seven semi-annual campaign statements from 1996 to 1999.

FRIENDS COMMITTEE ON LEGISLATION OF CALIFORNIA, AND EMMA CHILDERS AND DEL O. POWELL, 1992/0349 (1994)

83113, 86116.5 & 86117 - 7 counts
\$6,000

Friends Committee on Legislation of California (FCL), a non-profit Quaker-sponsored legislative action group, Emma Childers, lobbyist for FCL, and Del O. Powell, a former employee of FCL, failed to timely file seven of the required quarterly lobbying reports during calendar years 1990 and 1991.

FRIENDS OF BARBARA MESSINA COMMITTEE, AND MICHAEL MESSINA, 2006/1110 (2010)

84211(b)(k) - 1 count
84305(a) - 3 counts
85201(c)(e) - 1 count
\$14,000

Barbara Messina, a candidate for Alhambra City Council in the November 7, 2006, election, and Michael Messina caused to be sent, just weeks prior to the election, mailers opposing another candidate, Dan Arguello, which failed to identify Respondents as the senders of the mailers. Respondents also failed to deposit contributions into their campaign bank account, and failed to disclose the total amount of expenditures made and required expenditure information.

FRIENDS OF BAREC AND KATHERINE MATHEWSON, 2010/0170 (2010)

84102, 84106 & 84107 - 1 count
\$2,000

Friends of BAREC was a campaign committee formed to oppose city ballot Measures A and B in the City of Santa Clara September 2007 election. Katherine Mathewson was the treasurer of the Respondent committee. Respondents failed to identify Friends of BAREC as a sponsored, primarily formed ballot measure committee.

FRIENDS OF CRYSTAL STRAIT AND EDDIE KIRBY, TREASURER, 2010/0342 (2011)

84200 - 1 count
\$200

Friends of Crystal Strait and its treasurer, Eddie Kirby, failed to timely file a semi-annual report, for the reporting period ending December 31, 2009.

FRIENDS OF CUESTA COLLEGE COMMITTEE AND EDRALIN MADULI, 2006/0465 2006/0848 (2010)

84503 - 3 counts
\$6,000

Friends of Cuesta College Committee was a ballot measure committee primarily formed to support the passage of Measure G in San Luis Obispo and Monterey counties in the June 6, 2006, election. Edralin Maduli was the committee's treasurer. Respondents failed to include a proper disclosure statement in two newspaper advertisements and a direct mailing advertisement.

FRIENDS OF DESLEY BROOKS, AND DARLEEN BROOKS, TREASURER, 2012/0209 (2012)

84200 - 2 counts
\$400

Desley Brooks, Friends of Desley Brooks and Darleen Brooks, Treasurer, failed to file two semi-annual campaign statements in a timely manner for the reporting periods of January 1, 2011, through June 30, 2011, due August 1, 2011, and July 1, 2011, through December 31, 2011, due January 31, 2012.

FRIENDS OF JOHN FUTCH, AND FERMIN RAMIREZ, 2008/0728 (2010)

84200.5(b) & 84200.7(b) - 2 counts
\$6,000

John Futch, a member of the San Bernardino Community College Board of Trustees, his controlled committee, Friends of John Futch and Fermin Ramirez, Treasurer failed to timely file two pre-election campaign statements.

FRIENDS OF JOHN GUARDINO, JOHN GUARDINO, AND LINELL HARDY, 2009/0739 (2010)

84300 - 1 count
84301 & 84302 - 1 count
\$9,000

John Guardino was a successful candidate for Cotati City Council in the November 7, 2006, election. Friends of John Guardino was John Guardino's controlled committee. At all relevant times, Linell Hardy was the committee treasurer. Respondents received a cash contribution of \$100 or more, and Respondents improperly reported that a contribution from George Barich was from three individuals, Adrienne Lauby, Tim Foley, and Robin Birdfeather, such that the identity of the true donor was not disclosed.

FRIENDS OF KEVIN MURRAY AND KEVIN MURRAY, 1996/0132 (1998)

84200 - 2 counts
84200.5 & 84200.7 - 2 counts
84203 - 10 counts
87207 - 1 count
\$22,250

The Commission rejected the proposed decision of an administrative law judge and issued its decision and order against the Friends of Kevin Murray and Kevin Murray, successful candidate for state assembly in 1994, who failed to timely file semi-annual campaign statements, failed to timely file pre-election campaign statements, and failed to timely file late contribution reports. In addition, Kevin Murray failed to report a source of income on his statement of economic interests.

FRIENDS OF RANCHO SANTA FE SCHOOLS AND RICHARD BURDGE, 2006/0455 (2009)

84101(a) - 1 count
 84200(a) - 2 counts
 84200.5(b) & 84200.7(a) - 1 count
 84203 - 4 counts
 84211(f) - 1 count
 \$19,000

Friends of Rancho Santa Fe Schools was a primarily formed ballot measure committee that supported Proposition H, a bond measure for the Rancho Santa Fe School District, in the June 6, 2006, primary election in San Diego county. Richard Burdge was the treasurer of Respondent Friends of RSFS, and an elected member of the board of trustees for the Rancho Santa Fe School District. Respondents failed to timely file a statement of organization; failed to timely file a semi-annual campaign statement; failed to timely file a pre-election campaign statement; failed to properly report information regarding contributors on a campaign statement; and failed to timely file late contribution reports.

FRIENDS OF RONALD "RON" C. SMITH, 2010/1050 (2011)

84200 - 1 count
 \$200

Ronald C. Smith, a candidate for West Basin Municipal Water District, Friends of Ron Smith, and Ronald C. Smith as his committee's treasurer, failed to timely file one semiannual report covering the period January 1, 2010, through June 30, 2010, with the county of Los Angeles.

FRIENDS OF ROSALINDA AVITIA FOR TULARE LOCAL HEALTHCARE DISTRICT AREA 2 DIRECTOR/AVITIA,ROSALINDA/MONTION,ROBERT, 20112/0965 (2013)

84211(a), (c), (e), & (f) - 2 counts
 \$3,500

Rosalinda Avitia, Friends of Rosalinda Avitia for Tulare Local Healthcare District Area 2 Director, and Robert Montion, as Treasurer, failed to report nine contributions of \$100 or more, totaling \$2,200, received between July 1, 2012, and September 30, 2012, by the October 5, 2012, deadline and failed to report three contributions of \$100 or more, totaling \$400, received between October 1, 2012, and October 20, 2012, by the October 25, 2012, deadline.

FRIENDS OF TERRI VALLADOLID FOR SOUTHWESTERN COLLEGE BOARD 2010 AND TERRI VALLADOLID, 2011/0341 (2012)

84200 - 2 counts
 \$800

Friends of Terri Valladolid for Southwestern College Board 2010, and Terri Valladolid, failed to file two semi-annual campaign statements in a timely manner for the reporting periods of October 17, 2010, through December 31, 2010, due January 31, 2011, and January 1, 2011, through June 30, 2011, due August 2, 2011.

FRIENDS OF TERRI VALLADOLID; TERRI VALLADOLID; ELENA L. PASCUAL, AND EVITA VALLADOLID, 2010/0048 (2010)

84200 - 6 counts
 \$1,200

Terri Valladolid, Governing Board Member of the Southwestern Community College Board, her committee Friends of Terri Valladolid and treasurers Elena L. Pascual and Evita Valladolid, located in Chula Vista, failed to timely file six semi-annual campaign statements.

FRIENDS OF THE ALBANY WATERFRONT, COMMITTEE OPPOSED TO MEASURE F SPONSORED BY THE OAKS CARD CLUB, AND JERRI HOLAN AND HELEN MILLER, 1996/0262 (1998)

84102 - 1 count
 84211, 84106, & 84102 - 2 counts
 84305, 84106, & 84102 - 4 counts
 \$14,000

Friends of the Albany Waterfront, Committee Opposed to Measure F Sponsored by the Oaks Card Club, was formed to oppose a local ballot measure which would have allowed the development of a card room on the waterfront in the City of Albany, Jerri Holan, a responsible officer, and Helen Miller, its treasurer, failed to correctly identify its sponsor and to include the name of its sponsor in the name of the committee on its statement of organization and failed to include the name of its sponsor in its name on its pre-election and semi-annual campaign statements in 1994. It also failed to use proper sender identification on its campaign mailers.

FRIENDS OF TINA BACA DEL RIO, 2008/0423 (2011)

84200(a) - 3 counts
 84200.5(b) & 84200.7(b) - 2 counts
 84200.5(c) & 84200.8(a) - 2 counts
 \$26,000

Tina Baca Del Rio was a member of the Commerce City Council from 2005 until November 4, 2008 and was re-elected to the Commerce City Council on March 3, 2009. Respondent Friends of Tina Baca Del Rio was her candidate controlled campaign committee. Respondents violated the Political Reform Act by: 1) failing to timely file three semi-annual campaign statements, for the reporting periods from July 1, 2007 through June 30, 2008

and from October 19, 2008 through December 31, 2008, 2009; 2) failing to timely file two pre-election campaign statements, for the reporting periods of July 1, 2008 through October 18, 2008, and 3) failing to timely file two pre-election campaign statements, for the reporting periods from January 1, 2009 through February 14, 2009.

FRIENDS TO ELECT GERRI GUZMAN, 2012/0742 (2013)

84200 - 1 count
\$200

Friends to Elect Gerri Guzman, Geraldine Guzman, an incumbent Montebello Unified School District Governing Board Member, and Charlotte Truillo, the committee's treasurer, failed to timely file a Form 460 Recipient Committee Campaign Statement covering the period January 1, 2012, through June 30, 2012, due July 31, 2012.

FROMMER, DARIO AND DARIO FROMMER FOR ASSEMBLY, 2001/0704 (2002)

84203 - 3 counts
\$6,000

Dario Frommer and Dario Frommer for Assembly of Sacramento failed to file late contribution reports in 2000.

FROMMER, DARIO, DARIO FROMMER FOR ASSEMBLY AND STEPHEN KAUFMAN, 2000/0345 (2001)

84203 - 1 count
\$2,000

Dario Frommer, successful Los Angeles candidate for assembly in 2000, his controlled committee, Dario Frommer for Assembly, and Stephen Kaufman, its treasurer, failed to timely file a late contribution report in 2000.

FUENTES, CHUCK, 2013/0554 (2013)

87207 - 1 count
\$200

Chuck Fuentes, as the City Manager of Pico Rivera, failed to timely disclose gift(s) of: two meals and drinks totaling \$173.97 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

FUENTES, FELIPE, 2009/0874 (2010)

87207 - 1 count
\$200

Assemblymember Felipe Fuentes failed to disclose on his 2008 Statement of Economic Interests a gift from the Pechanga Band of Luiseño Mission Indians in the amount of \$94.46 for a dinner.

FULLER, JEAN, 2009/0875 (2010)

87207 - 2 counts
\$400

Assemblymember Jean Fuller, failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from

Bank of America valued at \$110.95 and a gift of dinner from U.S. Borax valued at \$100.00.

FULLMORE, EVELYN, 2010/0239 (2010)

87207- 1 count
\$200

Evelyn Fullmore, City of Commerce Director of Library Services, failed to disclose on her 2009 annual Statement of Economic Interests a gift of travel from the Embassy of Spain valued at \$1,641.

FURMAN, YURY, 2006/0527 (2006)

84200 - 1 count
\$800

Yury Furman of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$18,750 in 2004.

FURTAK, JOHN, 1990/0080 (1992)

83116.5 - 2 counts
84306 - 2 counts
\$0

John Furtak, a Bakersfield newspaperman and campaign manager for the Thomas Watson for Supervisor race in Riverside county in 1986, was accused of helping to hide the source of \$38,000 in laundered campaign contributions from developer Louis Laramore to Watson. The Commission adopted the recommendation of an administrative law judge to dismiss all charges due to insufficient evidence.

FURUTANI, WARREN T., 2009/0876 (2010)

87207 - 1 count
\$200

Assemblymember Warren T. Furutani failed to disclose on his 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46.

G. H. PALMER ASSOCIATES, 1989/0033 (1992)

84300 & 84301 - 15 counts
\$30,000

G. H. Palmer Associates, a real estate development firm located in Los Angeles, laundered seven \$500 campaign contributions to the Committee to Re-Elect Councilwoman Joy Picus in December 1987. In addition, the respondent laundered seven \$950 campaign contributions and one \$350 contribution to the Southern California Caucus, a political action committee which actively opposed the incorporation of the City of Santa Clarita in November 1987. Respondent used company employees to transmit the contributions.

GABRIELIAN FOR GLENDALE COLLEGE, ANITA GABRIELIAN, 2011/0325 (2011)

84200 - 1 count
\$200

Glendale Community College District Board of Trustees member and candidate Anita Gabrielian, and her committee, Gabrielian for Glendale College, failed to timely file one semi-annual campaign statement, for the reporting period of July 1, 2010, through December 31, 2010.

GAGLIARDI, JOSEPH MELVIN, AND J & J SPORTING GOODS, 1998/0083 (1999)

84200 - 2 counts
 84300 & 84301 - 48 counts
 \$96,000

Joseph Melvin Gagliardi, president and sole owner of J & J Sporting Goods, a sole proprietorship located in San Jose, laundered 48 campaign contributions to two candidates for San Jose mayor during 1998 and failed to file two campaign statements.

GALLAGHER, MICHAEL T., 1992/0567 (1994)

84300 & 84301 - 2 counts
 \$4,000

Michael T. Gallagher, an unsuccessful candidate for San Bernardino county Supervisor in 1992, laundered two checks totaling \$10,758.25 to a campaign committee that issued a hit piece mass mailing against his opponent in the race for supervisor. This stipulation is part of an overall settlement agreement between Michael Gallagher, the Commission, and the San Bernardino county District Attorney's Office. As part of this overall agreement, Gallagher has pleaded guilty to two criminal misdemeanors for violating the Political Reform Act. As part of that plea agreement, Gallagher will pay both a criminal fine of approximately \$32,000 and a court penalty assessment of over \$20,000.

GALLAGHER, MICHAEL, OAK VALLEY MANAGEMENT, INC., GALLAGHER MANAGEMENT COMPANY, AND GMC OAK HILLS 66, 2009/0030 (2009)

84200(b) - 5 counts
 84200(b) & 84211(k)(5) - 1 count
 84200(b) & 84605(a) - 1 count
 84203 - 2 counts
 \$20,000

Michael Gallagher, Oak Valley Management, Inc., Gallagher Management Company, and GMC Oak Hills 66, LP jointly qualified as a single "major donor committee" under the Act for calendar years 2004, 2005, 2006 and 2007. Respondent Committee failed to timely file, in paper format, six semi-annual campaign statements; in paper and electronic formats, one semi-annual campaign statement; failed to timely file two late contribution reports and failed to disclose a \$50,000 contribution in a semi-annual statement.

GALLEGOS, PAUL V., GALLEGOS FOR DISTRICT ATTORNEY, AND STEPHEN P. ARNOT, 2003/0107 (2005)

84200 - 1 count

84203 - 1 count
 84211 - 2 counts
 85700 - 2 counts
 \$10,000

Paul V. Gallegos was a first-time successful candidate for district attorney of Humboldt county in a local election held on March 5, 2002. Paul V. Gallegos for District Attorney Committee was the controlled committee of Paul V. Gallegos, and Stephen P. Arnot was its treasurer. Respondents failed to disclose a late contribution in a properly filed late contribution report; failed to disclose occupation and employer information for contributions of \$100 or more; failed to return contributions within 60 days of receipt for which they did not have occupation and employer information; and failed to timely file a post-election semi-annual campaign statement.

GALT ECONOMIC DEVELOPMENT TASK FORCE AND REX ALBRIGHT, 2004/0103 (2008)

84203 - 5 counts
 \$23,000

Galt Economic Development Task Force was a general purpose committee and Rex Albright served as the committee treasurer. Respondents failed to disclose receiving three late contributions totaling \$15,000, and failed to disclose making two late contributions totaling \$6,969.

GALVAN, GORDON A., AND THE COMMITTEE TO ELECT GORDON GALVAN,, 2000/0670 (2003)

84104 - 3 counts
 84203 - 2 counts
 84211 - 5 counts
 \$11,250

Gordon A. Galvan, member, San Leandro City Council, and his controlled committee, Committee to Elect Gordon Galvan, failed to disclose contributions during a semi-annual reporting period, failed to disclose expenditures for two pre-election reporting periods and two semi-annual reporting periods, failed to disclose two late contributions, and failed to keep required campaign records in 1997 and 1998.

GALVAN, ISAAC; GALVAN FOR COMPTON CITY COUNCIL 2013; ISAAC GALVAN, TREASURER, 2013/0748 (2013)

84200 & 84200.5 & 85200 & 87201 - 5 counts
 \$1,000

Issac Galvan, Galvan for Compton City Council 2013, and Isaac Galvan, the committee's Treasurer, failed to timely file the Candidate Intention Statement (Form 501), the Candidate Statement of Economic Interests (Form 700), two pre-election campaign statements covering the period January 1, 2013, through May 18, 2013, due on April 25, 2013 and May 23, 2013, as well as the semi-annual campaign statement covering the period May 19, 2013, through June 30, 2013, due on July 31, 2013, with the City of Compton.

GAMEL, DANNY LYNN, DANNY GAMEL, INC., AND RUDY MICHAEL OLMOS, 1999/0193 (2001)

84300 & 84301 - 3 counts
84302 - 1 count
\$8,000

The Commission adopted an administrative law judge’s proposed decision in the matter of Danny Lynn Gamel, a Fresno businessman and Danny Gamel, Inc., a company that owns several recreational vehicle dealerships, who laundered contributions made to a candidate for Fresno City Council in 1996, and its employee, Rudy Michael Olmos, who served as an intermediary for one of the illegal contributions.

GANONG & WYATT, 2005/0558 (2005)

84203 - 1 count
\$1,500

Ganong & Wyatt, LLP of Bakersfield failed to file a late contribution report in 2004.

GARCIA, CARLOS, 2013/0741 (2013)

87207 - 1 count
\$200

Carlos Garcia, as the Superintendent of the San Francisco Unified School District, failed to timely disclose gift(s) of: two meals totaling \$140.39 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

GARCIA, MIGUEL, 2003/0441 (2004)

87203 - 1 count
\$100

Miguel Garcia failed to timely file a 2002 annual statement of economic interests.

GARCIA, PAM, 1999/0540 (2002)

87300 - 2 counts
\$2,000

The Commission issued a Default Decision and Order against Pam Garcia, a Recreation and Parks Commissioner for the City of Pasadena, who failed to timely file a 1998 annual statement of economic interests and a leaving office statement of economic interests in 1999.

GARCIA, RICHARD A, 1991/0166 (1993)

87100 - 2 counts
\$3,000

Richard Garcia, Banning City Councilman, committed conflict-of-interest violations when he participated in two decisions which affected a source of income received. On November 6, 1990, Garcia sold his partnership interest in a Banning office building. In March 1991, sitting in his capacity as a member of the Banning Community Redevelopment Agency, Garcia made decisions benefiting the remaining partners in the joint venture.

GARCIA, RODOLFO, 1993/0258 (1994)

87100 - 1 count
\$1,500

Bell Gardens City Councilman Rodolfo “Rudy” Garcia violated the conflict-of-interest provisions of the Act on March 12, 1993, when he voted to terminate the city manager’s employment contract. The city manager had been a source of income within 12 months prior to the decision.

GARCIA, STACEY, 2012/0089 (2012)

87203 - 2 counts
\$800

Stacey Garcia was elected as City Treasurer of the City of Coachella in November 2008. As the City Treasurer, Ms. Garcia was required to file annual statements of economic interests for calendar years 2009 and 2010. Ms. Garcia failed to timely file a 2009 annual statement of economic interests by the April 1, 2010 deadline, and failed to file a 2010 annual statement of economic interests by the April 1, 2011, due date.

GARCIA, WILLIAM P.V, 1984/0267 (1986)

87300 - 4 counts
\$250

The Commission adopted the proposed decision and order of an administrative law judge in the matter of William P.V. Garcia, manager of the Farm and Home Purchase Division of the Department of Veterans Affairs, who failed to disclose his deed of trust interests in real property on four statements of economic interests filed over a period of three years.

Garcia managed a division that approved or disapproved applications by California veterans for farm and home loans. These decisions could have affected the value of Garcia’s deed of trust interests.

GARDEN GROVE FIREFIGHTERS ASSOCIATION, LOCAL 2005, 2001/0166 (2004)

84211 - 3 counts
\$4,500

The Garden Grove Firefighters Association, Local 2005 failed to itemize required information regarding cumulative contributions of \$100 or more from 88 of its members during three reporting periods.

GARDINER, JAMES M., COMMITTEE TO ELECT JIM GARDINER SHERIFF, AND ROBERT E. WACKER, 2000/0826 (2002)

84303 - 1 count
\$500

James M. Gardiner, an unsuccessful candidate for San Luis Obispo county Sheriff-Coroner in 1998, and his controlled committee, Committee to Elect Jim Gardiner Sheriff, and its treasurer, Robert E. Wacker, failed to report subvendor information on campaign statements in 1998.

GAROFALO, DAVID P., AND COMMITTEE TO ELECT DAVE GAROFALO, 1998/0384 (2002)

84104 - 1 count
84211 - 2 counts
84211 & 84303 - 1 count
87207 - 17 counts
89503 - 3 counts
\$47,000

David P. Garofalo, a member of the Huntington Beach City Council and former mayor, failed to disclose sources of income to himself and his business on his assuming office statement of economic interests and his 1995, 1996, 1997, 1998, 1999 and 2000 annual statements of economic interests, failed to disclose gifts on his 1997 and 1998 annual statements of economic interests, accepted gifts in excess of the gift limit of \$290 during 1997 and 1998, and failed to disclose income from the sale of property on his 1997 annual statement of economic interests.

In addition, Garofalo and Committee to Elect Dave Garofalo failed to maintain required campaign records, failed to itemize certain expenditures, failed to disclose a miscellaneous receipt, and failed to disclose subvendor information in 1998.

GARRICK, GEORGE, 2004/0142 (2004)

84203 - 1 count
\$3,180

George Garrick failed to properly disclose a late contribution in 2003.

GARRICK, MARTIN, 2009/0877 (2010)

87207 - 3 counts
\$600

Assemblymember Martin Garrick failed to disclose on his 2008 Statement of Economic Interests a gift of a buffet luncheon from Oak Tree Racing Association valued at \$105.00; a gift of dinner from The Boeing Company valued at \$124.49; and a gift of a ticket to a concert from the Sempra Energy & its Affiliates valued at \$146.00.

GARTNER, GARY, 1999/0463 (2000)

87300 - 2 counts
\$1,250

Gary Gartner, legislative assistant with the Assembly, failed to timely file his 1997 and 1998 annual statements of economic interests.

GATEWOOD, ROBERT, 1986/0072 (1988)

87100 & 87103 - 4 counts
87300 - 1 count
\$9,000

Robert Gatewood, a former San Ramon Valley Planning Commissioner, violated conflict-of-interest laws and failed to disclose financial interests. The violations resulted when Mr. Gatewood voted in 1984 and 1985 to approve four projects in the Bishop Ranch Business Park that significantly affected a client of his company.

Mr. Gatewood failed to file a financial disclosure statement within 30 days after leaving office in September 1986. That statement would have disclosed the client, whose projects

were the subject of decisions before the planning commission.

GATICA, ELEANOR SANCHEZ AND THE COMMITTEE TO ELECT ELEANOR S. GATICA, 1999/0086 (1999)

84200 - 1 count
\$500

Eleanor Sanchez Gatica, an unsuccessful candidate for Maywood City Council in 1997, and her controlled committee, Committee to Elect Eleanor S. Gatica, failed to timely file a semi-annual campaign statement.

GATLIN DEVELOPMENT COMPANY AND FRANK GATLIN,, 1994/0189 (1996)

84300 & 84301 - 107 counts
\$192,000

Gatlin Development Company, a real estate development firm located in San Diego which primarily builds shopping center complexes that contain Wal-Mart stores, and Frank Gatlin, its owner and principal officer, laundered contributions made to candidates and members of the San Diego City Council, a candidate for the San Diego county Board of Supervisors, and a campaign committee located in Lake Elsinore.

GATLIN, BILLY, 2009/0878 (2010)

87207 - 1 count
\$200

Billy Gatlin, Chief of Staff for Assemblymember Anthony Adams, failed to disclose on his 2008 Statement of Economic Interests a gift of a ticket to the Sacramento Kings from the Pechanga Band of Luise?o Mission Indians valued at \$89.50.

GAYTAN, KARL E., COMMITTEE TO RE-ELECT KARL E. GAYTAN FOR MAYOR, AND FRANK A. IBARRA, 1999/0198 (1999)

84200 - 2 counts
84200.5 & 84200.7 - 1 count
\$1,000

Karl E. Gaytan, successful candidate for mayor of Colton, his controlled committee, the Committee to Re-Elect Karl E. Gaytan for Mayor, and its treasurer, Frank A. Ibarra, failed to file one pre-election and two semi-annual campaign statements in 1998 and 1999.

GEARHART, BILL, 2004/0616 (2009)

87100 - 1 count
\$3,000

Bill Gearhart, Bethel Island Municipal Improvement District board member, participated in a governmental decision in which he had a material financial interest.

GEICO CORPORATION, 1998/0298 (1998)

84203 - 1 count
\$1,250

GEICO Corporation of Washington, D.C., failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

GEISMAR, GERALD, 1999/0188 (2001)

87100 & 87103 - 7 counts
\$8,750

Gerald Geismar, Executive Director of the Employment Training Panel in Sacramento, violated the conflict-of-interest provisions when he made and participated in decisions from 1996 through 1998 which directly affected companies in which he held investment interests.

GELFAND, HERBERT M, 2004/0793 (2005)

84200 - 1 count
\$400

Herbert M. Gelfand of Beverly Hills failed to file a major donor campaign statement in 2003.

GEMINI ADVISORS, INC, 2002/1029 (2003)

84203 - 1 count
\$3,500

Gemini Advisors, Inc. of San Francisco failed to file a late contribution report in 2002.

GENCORP, INC, 2004/0723 (2005)

84203 - 3 counts
\$2,100

GenCorp, Inc. of Sacramento failed to file late contribution reports in 2004.

GEORGE, ANTHONY R., 2009/0556 (2009)

87300 - 1 count
\$200

Anthony R. George, Vice Chair of the South Pasadena Design Review Board, failed to timely file a 2008 annual Statement of Economic Interests with the City of South Pasadena.

GEORGES MARCIANO FOR GOVERNOR 2010, 2010/0353 (2011)

84200 - 6 counts
\$1,200

Georges Marciano, Georges Marciano for Governor 2010, and Georges Marciano as his committee's treasurer, failed to timely file two semiannual reports covering the periods July 1, 2009, through December 31, 2009, and January 1, 2010, through June 30, 2010, with either the Secretary of State's office, both the paper and the electronic version, or the county of Los Angeles.

GERRED, DAVID AND DAVID GERRED FOR CITY COUNCIL, 1999/0635 (2000)

84200 - 2 counts
87300 & 87302 - 2 counts

\$1,500

David Gerred, member of the City of Burbank's Transportation Commission in 1997 and 1998, failed to timely file his 1998 annual and his leaving office statements of economic interests. Mr. Gerred and his controlled committee, David Gerred for City Council, formed for his unsuccessful campaign in 1995, failed to timely file semi-annual campaign statements in 1999.

GETTY, CAROLINE AND WILD ROSE, 2002/0365 (2004 CIVIL LAWSUIT)

84200
84301
84302
\$135,000

Caroline Getty made contributions to the Nature Conservancy Action Fund of California to support two California ballot measures in other than her legal name in 2000 and 2002, and her wholly owned company, Wild Rose LLC, served as an intermediary without disclosing that Ms. Getty was the actual contributor. Ms. Getty also failed to file major donor campaign statements to report the contributions.

GEWEKE AUTOMOTIVE GROUP, INC, 2006/0820 (2006)

84200 - 1 count
\$400

Geweke Automotive Group, Inc. of Lodi failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,800 in 2003.

GIAFFOGLIONE, TONI AND THE COMMITTEE TO ELECT TONI GIAFFOGLIONE, 1995/0080 (1998)

84200 - 2 counts
84200.5 & 84200.8 - 1 count
84213 - 3 counts
85201 - 1 count
\$8,100

The Commission adopted an administrative law judge's proposed decision in the matter of Toni Giaffaglione, candidate for, and an elected member of, the board of trustees of the Bassett Unified School District in La Puente, and the Committee to Elect Toni Giaffaglione, who failed to timely file campaign statements, misreported campaign activity and falsely verified the accuracy of the campaign statements, and failed to use a campaign bank account to deposit all contributions or loans made between 1993 and 1995.

GIANT GROUP, LTD, 1999/0414 (2000)

84200 - 1 count
\$600

Giant Group, Ltd., located in Beverly Hills, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

GIBSON, DUNN & CRUTCHER, 2005/0852 (2006)

84200 - 3 counts
\$1,535

Gibson, Dunn & Crutcher, LLP of Los Angeles failed to timely file semi-annual campaign statements in 2002, 2003 and 2004.

GIBSON, JOSEPH, AND GIBSON FOR SUPERVISOR,, 2006/0089 (2009)

84305(a)(c) - 1 count
\$2,500

Joseph Gibson and Gibson for Supervisor sent a mass mailing, which did not display the proper name, address, and city of the candidate and committee that sent the mass mailing.

GILBERT, GLENN T, 1999/0796 (2000)

87300 - 1 count
\$500

Glenn T. Gilbert, a principal consultant at the Assembly Rules Committee for Assemblyman John Longville, failed to timely file his 1998 annual statement of economic interests.

GILLETTE COMPANY, 2003/0576 (2003)

84200 - 1 count
\$1,500

Gillette Company of Boston, Massachusetts, failed to file a major donor campaign statement in 2002.

GILLIAM, DIERDRE, 2010/0477 (2010)

87300 - 1 count
\$200

Dierdre Gilliam, Yolo county Director for Public Health Nursing, failed to timely file her 2009 annual Statement of Economic Interests.

GILLIES, DUGALD, 1979/0060 (1980)

86107 & 86110 - 12 counts
\$2,500

Dugald Gillies, lobbyist for the California Association of Realtors, failed to file 12 financial disclosure reports timely between February 1, 1978, and August 31, 1979.

GILLIN, JACOBSON, ELLIS & LARSEN, 2005/0560 (2005)

84203 - 1 count
\$2,250

Gillin, Jacobson, Ellis & Larsen of Orinda failed to file a late contribution report in 2004.

GINGLES, JACK, 2013/0055 (2013)

87206 & 87207 - 1 count
\$200

Jack Gingles, as Mayor for the City of Calistoga and Member of the Association of Bay Area Governments, failed to timely disclose economic interests consisting of an

investment in, and income from, a business he owned as well as his ownership interest in the real property housing the business on his 2009 Annual Statement of Economic Interests covering the period January 1, 2009, through December 31, 2009, due April 1, 2010.

GIRARDI & KEESE, 2002/0022 (2002)

84203 - 2 counts
\$2,250

Girardi & Keese of Los Angeles failed to file late contribution reports in 2000.

GIRARDI, KEESE AND CRANE, 1990/0387 (1991)

84200 - 1 count
\$2,000

Girardi, Keese and Crane, a law firm, failed to timely file a campaign disclosure statement. During 1988, this law firm made campaign contributions totaling \$148,500 to candidates and committees and failed to report these contributions on a major donor statement.

GIUMARRA, CYNTHIA, 2013/0541 (2013)

84200 - 3 counts
84203 - 1 count
\$3,799

Cynthia Giumarra, and affiliated entities, failed to timely file Form 461 Major Donor Committee Campaign Statements for 2010 through 2012. Respondents also failed to timely file Form 497 Late Contribution Reports covering the periods October 19, 2010, due October 20, 2010, February 29, 2011, due March 1, 2011, and May 23, 2012, due May 24, 2012, with the Secretary of State.

GIUMARRA, SALVADORE, 2013/0540 (2013)

84200 - 1 count
\$400

Salvadore Giumarra, and affiliated entities, failed to timely file Form 461 Major Donor Committee Campaign Statements for 2010.

GLAAB, PAUL, 2002/0545 (2006)

87300 - 2 counts
\$800

Paul Glaab, member of the California Earthquake Authority Board of Directors, failed to file both a 2001 annual and a leaving office statement of economic interests.

GLASSER, HARVEY WM, 2005/0854 (2006)

84200 - 1 count
\$400

Harvey Wm. Glasser of San Francisco failed to timely file a semi-annual campaign statement in 2002.

GLEASON, PATRICK, 2009/0557 (2010)

87300 & 87302 - 1 count
\$500

Patrick Gleason, a governing board member for the Gazelle Elementary School District, failed to file an annual Statement of Economic Interests for 2008, which was due by April 1, 2009.

GLENN, PAUL F, 2002/0705 (2003)

84203 - 1 count
\$3,500

Paul F. Glenn of Santa Barbara failed to file a late contribution report in 2002.

GLOBAL CROSSING, 2001/0017 (2001)

84200 - 1 count
\$400

Global Crossing of Santa Monica failed to file a major donor campaign statement in 2000.

GLOBAL MARKETING & TECHNICAL SERVICES, 2004/0814 (2005)

84200 - 1 count
\$400

Global Marketing & Technical Services of Fresno failed to file a major donor campaign statement in 2003.

GNW-EVERGREEN INSURANCE SERVICES, 2008/0343 (2008)

84200 - 1 count
\$400

GNW-Evergreen Insurance Services, LLC, of Encino failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 that was due in 2005.

GO LORRIE'S AIRPORT SHUTTLE, 2011/0920 (2012)

84301 & 84300(c) - 11 counts
\$49,500

GO Lorrie's Airport Shuttle is a door-to-door transportation company operating in San Francisco. Respondent's employees and relatives of Respondent's employees made political contributions to San Francisco's then-interim Mayor, Ed Lee, in the amount of \$500 - the limit under San Francisco's local elections rules; Respondent reimbursed all contributions in cash.

GOGGIN, TERRENCE, 1981/0011 (1984)

87206 - 5 counts
87207 - 9 counts
\$13,500

Assemblyman Terry Goggin committed state financial disclosure law violations with respect to financial statements filed by him reporting personal and business investments and interests in calendar years 1977 through 1982 which contained errors and omissions.

GOINES, MARK, 2009/0683 (2010)

87100 - 1 count
\$3,000

Mark Goines, Los Altos School District board member, used his official position to influence a governmental decision in which he had a material financial interest.

GOLD, DAVID, 2006/0259 (2006)

84200 - 1 count
84605 - 1 count
\$2,500

David Gold qualified as a major donor committee in the first six months of 2004 and failed to file a semi-annual campaign statement.

GOLDBECK, SUSAN M., COMMITTEE TO ELECT SUSAN GOLDBECK, AND GAYLE G. HALL, 2006/0799 (2009)

84211(a)(c)(d)(f) - 1 count
\$2,500

Susan M. Goldbeck, Committee to Elect Susan Goldbeck, and Gayle G. Hall failed to disclose contributions received.

GOLDEN 1 CREDIT UNION, 2005/0248 (2005)

84200 - 3 counts
\$1,396

Golden 1 Credit Union of Sacramento failed to file major donor campaign statements in 2001, 2002 and 2004.

GOLDEN CORRAL CORPORATION,, 2005/0491 (2005)

84200 - 1 count
\$400

Golden Corral Corporation of Raleigh, North Carolina, failed to file a major donor campaign statement in 2004.

GOLDMAN, JOHN AND MARCIA, 2000/0484 (2000)

84203 - 1 count
\$2,000

John and Marcia Goldman of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

GOLDMAN, RONALD, 2011/1085 (2012)

87300 - 1 count
\$200

Ronald Goldman, a member of the county Service Area #16 in the county of Marin, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

GOLDMAN, SCOTT, 2006/0290 (2006)

84200 - 2 counts
\$800

Scott Goldman of Glendora failed to timely file semi-annual campaign statements in 2004.

GOLDMAN, STEVEN J, 2003/0397 (2003)

84200 - 1 count

\$400

Steven J. Goldman of Malibu failed to file a major donor campaign statement in 2002.

GOLDSMITH, MARVIN, 1995/0173 (1996)

87100 - 3 counts
\$4,500

Marvin Goldsmith, former Senior Assistant Attorney General of the Tort and Condemnation Section of the Civil Law Division, Department of Justice, violated the Act when, in his capacity as section chief, he made recommendations to employ as outside counsel, and to pay the costs of legal services of, a law firm which had been a donor of a gift to him within 12 months prior to the time the decisions were made.

GOLDSTEIN, GURVITZ, MARLOWE & MILLER, 2006/0291 (2006)

84200 - 1 count
\$400

Goldstein, Gurvitz, Marlowe & Miller, LLP of Encino failed to timely file a semi-annual campaign statement in 2004.

GOLETA PAC AND JAMES J. KNIGHT, TREASURER, 2010/0341 (2011)

84200 - 1 count
\$200

Goleta PAC and its treasurer, James J. Knight, failed to timely file a semiannual report.

GONSALVES, JOE, 1981/0013 (1982)

86203 - 1 count
\$2,000

In mid-November 1980, lobbyist Joe Gonsalves wrote a number of legislators inviting them to attend a dinner being sponsored by a group of California cities represented by Gonsalves. The dinner was to be held at the Firehouse restaurant in Sacramento on January 28, 1981, and the legislators were requested to RSVP to Gonsalves at his office. A second series of letters were sent out by Gonsalves on December 2, 1980, informing the same legislators that the date had been changed. In addition to handling the invitations and acceptances for the dinner, Gonsalves and/or his staff made all the necessary arrangements for the dinner, including selection of the place, menu, and wine. A sign proclaiming the "GONSALVES DINNER" was posted in the Firehouse restaurant the night of the dinner. The total cost of the dinner was \$4,573.60 with each of Gonsalves' eight client cities paying \$571.70 of the total. The cost per person came to \$43.56 - well above the \$10 limit. One hundred and five people attended the dinner, including 32 state legislators, the State Treasurer, and one legislative aide.

GONZALES, ELIZABETH, 2012/0759 (2013)

87300 - 1 count
\$200

Elizabeth Gonzales failed to timely report on her Leaving Office Statement of Economic Interests income she received from Dean Florez for Lt. Governor 2010, Dean Florez for State Controller 2014, and the 20 Million Mind Foundation while serving as a legislative aide to a senator.

GONZALES, LEONARD, 1986/0383 (1987)

87300 - 2 counts
\$4,000

Leonard Gonzales failed to file required financial disclosure statements in 1984 and 1985 while a member of the California Veterans Board. The violations were part of a pattern which began with respondent's late submission of his assuming office statement of economic interests in 1983.

GONZALES, LEONARD, JR, 1994/0495 (1996)

87203 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Leonard Gonzales, Jr., a planning commissioner for the City of San Bernardino, who failed to file his annual statements of economic interests for 1993 and 1994.

GONZALEZ, ANTONIO, 2013/0397 (2013)

86115, 86116, 86117 & 84605 - 1 count
\$200

William C. Velasquez Institute, a California lobbyist employer, and Antonio Gonzalez, William C. Velasquez Institute's President and Responsible Officer, failed to timely file form 635 Lobbyist Employer Report covering the period April 1, 2012, through June 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$6,000.

GONZALEZ, DANIEL RICARDO AND FRIENDS OF DANIEL R. GONZALEZ, 2002/0375 (2006)

84200 - 3 counts
\$10,000

Daniel Ricardo Gonzalez was an unsuccessful senate candidate for the 19th district in the November 7, 2000 general election. Friends of Daniel R. Gonzalez was the controlled committee of Daniel Ricardo Gonzalez. Respondents failed to timely file three semi-annual campaign statements after the November 2000 general election.

GONZALEZ, DAVID, DAVID GONZALEZ FOR OTAY WATER DISTRICT 2010, AND DAVID GONZALEZ, 2012/0232 (2012)

84200 - 1 count
\$200

David Gonzalez, David Gonzalez for Otay Water District 2010, and David Gonzalez, Treasurer, failed to timely file the Form 460 campaign statement covering the period July 1, 2011 through December 31, 2011, due January 31, 2012, with the Registrar of Voters of San Diego county.

GONZALEZ, JIM, 2010/0503 (2010)

84200 - 2 counts
\$1,926

Jim Gonzalez, who qualified as a major donor committee in the first half of 2008, failed to timely disclose eleven contributions, totaling \$76,303.65, to Yes on Proposition 7 - Californians for Solar and Clean Energy, a Coalition of Climate Scientists, Consumer, Environmental and Community Leaders in 2008, and a \$2,000 contribution in 2009.

GONZALEZ, JIM, SUPERVISOR JIM GONZALEZ CAMPAIGN COMMITTEE, AND MARGARET KITAMURA, TREASURER, 1991/0170 (1992)

84211 - 1 count
\$500

Jim Gonzalez, San Francisco City and county Supervisor, his committee, and its treasurer, failed to disclose 33 contributions received totaling \$14,150 on a semi-annual statement covering January 1 through June 30, 1988. The contributions were omitted accidentally when the campaign statement was filed on time on July 31, 1988.

GONZALVES FOR MERCED IRRIGATION DISTRICT; KEVIN GONZALVES AND JENNIFER BOHL, 2012/0871 (2013)

84200.5 - 1 count
\$200

Gonzalves for Merced Irrigation District, a committee controlled by candidate Kevin Gonzalves and its Treasurer Jennifer Bohl, failed to file the Form 460 as a pre-election campaign statement covering the period October 1, 2012 through October 20, 2012, due October 25, 2012, in connection with the November 6, 2012 election with the county of Merced.

GOOD DRIVER INITIATIVE, SPONSORED BY A CONSUMER, LEGAL, FINANCIAL & HEALTH COALITION/YES ON PROPOSITION 100, AND LANCE OLSON, TREASURER, 1990/0620 (1992)

84211 - 3 counts
84211 & 84303 - 5 counts
\$16,000

The Good Driver Initiative Committee failed to properly report campaign expenditures in 1988. This committee, which was sponsored by the California Bankers Association, the California Chiropractic Association, the California Trial Lawyers Association, and the Insurance Consumer Action Network, was formed to support Proposition 100 on the November 1988 ballot.

The Committee made over \$16 million worth of expenditures on behalf of Proposition 100 (dealing with automobile insurance), but failed to report \$245,000 in accrued expenditures and a \$20,000 contribution to the No on 106 Committee. Subvendor information was either omitted or understated for payments totaling over \$1 million.

GOOD, ROBERT, 2005/0743 (2006)

87100 - 2 counts
\$5,000

As a council member for the City of Albany, Robert Good violated the Political Reform Act by making two governmental decisions in which he had a financial interest on November 1, 2004 and October 17, 2005.

GORDON HOLDINGS, 2000/0469 (2000)

84203 - 1 count
\$1,500

Gordon Holdings LP of Corona failed to file a late contribution report during the March 7, 2000, primary election.

GORDON, R.J., 2000/0398 (2000)

84203 - 1 count
\$1,500

R.J. Gordon of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

GORENBERG, MARK, 2006/0292 (2007)

84200 - 1 count
\$400

Mark Gorenberg of San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$31,100 in 2004.

GORES, ERIC, 2006/0993 (2007)

87302 - 1 count
\$100

Eric Gores, Developmental Disabilities Councilmember, failed to timely file his assuming office statement of economic interests.

GORES, TOM AND HOLLY, 2003/0319 (2003)

84200 - 1 count
\$400

Tom and Holly Gores of Santa Rosa failed to file a major donor campaign statement in 2002.

GORLIN, STEVE, 2003/0398 (2003)

84200 - 1 count
\$400

Steve Gorlin of Destin, Florida, failed to file a major donor campaign statement in 2002.

GORMAN, MICHAEL, 2007/0451 (2008)

87300 - 1 count
\$200

Michael Gorman, West Sacramento Business Loan Fund Committee member, failed to timely file his 2006 annual statement of economic interests.

GORMAN, STEPHEN B., COMMITTEE TO ELECT STEPHEN GORMAN JUDGE DISTRICT #3 AND JOHN F. WARDEN, JR, 1999/0082 (2000)

84203 - 3 counts
\$2,250

Stephen B. Gorman, unsuccessful candidate for El Dorado county Superior Court, his controlled committee, the Committee to Elect Stephen Gorman Judge District #3, and its treasurer, John F. Warden, Jr., failed to file three late contribution reports disclosing the receipt of \$38,000 in loans in 1996.

GOVERNMENTAL IMPACT AND JIM DANTONA, 2000/0733 (2001)

86117 - 2 counts
\$2,000

Governmental Impact, a lobbying firm in Simi Valley, and its owner and registered lobbyist, Jim Dantona, failed to timely file two quarterly lobbying reports in 1999.

GOWAN, SHARON, 2001/0264 (2001)

87300 - 1 count
\$300

Sharon Gowan, a trustee for the board of education of the Anderson Valley Unified School District in Mendocino county, failed to timely file a 2000 annual statement of economic interests.

GRAHAM, JAMES M, 1983/0402 (1987)

86110 - 3 counts
\$3,000

James M. Graham, an attorney and part time lobbyist, failed to file quarterly lobbyist disclosure statements during 1983 and 1984. These failures were aggravated by Graham's repeated refusals to file the statements and by his refusal to cooperate with a Franchise Tax Board audit of the statements.

GRAN, ROBERT, 2006/0478 (2007)

87203 - 1 count
\$100

Robert Gran, a member of the City of Madera Planning Commission, failed to timely file a 2005 annual statement of economic interests.

GRAND SUNRISE, INC, 1994/0534 (1995)

84300 & 84301 - 22 counts
\$41,000

Grand Sunrise, Inc., a California corporation with headquarters in Taipei, Taiwan, and in the business of real estate investment, laundered contributions totaling \$10,500 in the names of various individuals to three Los Angeles City Council candidates in 1991. Grand Sunrise was developing property in Los Angeles at the time.

GRAND, STEPHEN, 2011/1044 (2012)

84203 - 1 count

\$1,500

Stephen Grand made a contribution of \$10,000 to the Coalition for Civil Sidewalks, Yes on L ballot measure committee during the late contribution reporting period in connection with the November 2, 2010, election and failed to report it in a timely manner.

GRANITE CONSTRUCTION COMPANY, 1996/0149 (1998 CIVIL LAWSUIT)

84200 & 84211
\$2,000

Granite Construction Company, doing business in California, builds highways, freeways, and grades, and paves roads and performs other construction services, failed to disclose loans made for the purpose of signature gathering for the Gaming Control Act of 1996 on a major donor campaign statement filed in 1996.

GRANITEROCK, 2002/0706 (2002)

84203 - 1 count
\$1,500

Graniterock of Watsonville failed to file a late contribution report in 2002.

GRAVES, DAVID, 2001/0414 (2003)

87203 - 1 count
\$200

David Graves, member of the Napa county Planning Commission, failed to timely file a 2000 annual statement of economic interests.

GRAY, ADAM, 2009/0881 (2010)

87207 - 2 counts
\$400

Adam Gray, Legislative Director for California State Senator Ron Calderon, failed to report two gifts, one from the Irvine Company in the amount of \$75.53 for a dinner and the other from the Northern California Power Agency in the amount of \$95.88 for tickets to a minor league baseball game and food on his 2008 Statement of Economic Interests.

GRAY, GLORIA, AND FRIENDS OF GLORIA GRAY, 1995/0219 (1996)

84200 & 84200.8 - 2 counts
\$6,000

The Commission issued a Default Decision and Order against Gloria Gray, a candidate for Inglewood School Board, and her committee, who failed to timely file campaign statements in 1995.

GRAY, JONI, 2012/0286 (2013)

87100 - 1 count
\$3,000

On April 1, 2008, Joni Gray, in her capacity as a member of the Santa Barbara county Board of Supervisors, made a governmental decision in which she knew, or had reason to

know, she had a financial interest, by voting to authorize the Chair of the Santa Barbara county Board of Supervisors to execute a forgivable loan in the amount of \$50,000 to the Lompoc Housing and Community Development Corporation.

GREAT WESTERN LIFE ASSURANCE CO, 2001/0043 (2001)

84200 - 1 count
\$400

The Great Western Life Assurance Co. of Englewood, Colorado, failed to file a major donor campaign statement during 2000.

GREATER SAN DIEGO CHAMBER OF COMMERCE FOUNDATION, 1998/0265 (2001)

84200 - 1 count
\$1,000

The Greater San Diego Chamber of Commerce Foundation failed to timely file a major donor campaign statement in 1996.

GREEN VALLEY CORPORATION, 1995/0568 (1996)

84300 & 84301 - 12 counts
\$20,000

Green Valley Corporation, a construction and development company located in San Jose, laundered campaign contributions made to Pat Dando, a candidate for the San Jose City Council, in 1995.

GREEN, PETER AND COMMITTEE TO RE-ELECT PETER GREEN, 2001/0245 (2001)

84203 - 1 count
\$2,000

Peter Green, candidate for Huntington Beach City Council, and his controlled committee, Committee to Re-elect Peter Green, failed to file a late contribution report in 1998.

GREENE, BILL, BILL GREENE FOR SENATE, AND JAMES SHELTON, 1978/0072 (1980)

84210 - 28 counts
87203 & 87207 - 1 count
\$36,000

Senator Bill Greene, the Bill Greene for Senate Committee, and treasurer James L. Shelton failed to report 77 transactions involving expenditures of \$13,737 and improperly reported an additional 12 expenditures of \$5,648. They also failed to report 20 contributions totaling \$8,215 and improperly reported an additional 22 contributions totaling \$12,800.

Greene also personally failed to disclose on his annual statement of economic interests gifts received from his campaign committee amounting to \$7,618, of which \$5,208 was used to pay his income taxes.

The other violations included recordkeeping violations and failure to use due diligence to ensure accurate campaign reporting.

GREEN-GETER, CYNTHIA, FRIENDS OF CYNTHIA GREEN-GETER, AND COMMITTEE TO ELECT CYNTHIA GREEN-GETER FOR STATE ASSEMBLY, 1996/0068 (1998)

84200 - 5 counts
84200.5 & 84200.8 - 1 count
\$12,000

The Commission issued a Default Decision and Order against Cynthia Green-Geter, successful incumbent candidate for the Lynwood Unified School District in 1995 and candidate for state assembly in 1996, and her committees Friends of Cynthia Green-Geter, and Committee to Elect Cynthia Green-Geter for State Assembly, 52nd District, who failed to timely file campaign statements in 1995 and 1996.

GREENWOOD, SHAUN "JOHN", 2011/0390 (2011)

87207 - 18 counts
\$3,600

Shaun "John" Greenwood, Portfolio Manager for CalPERS, failed to disclose gifts as detailed below on his 2006 annual Statement of Economic Interests, his 2007 annual Statement of Economic Interests, his 2008 annual Statement of Economic Interests, and his 2009 annual Statement of Economic Interests. The gifts received were: gifts of a coat and bag and musical performance from Carlyle Group totaling \$175.00 in 2006; gifts of meals from Credit Suisse totaling \$125.00 in 2006; gifts of softball, lunch, and drinks from LP Capital Advisors totaling \$104.20 in 2006; a gift of a musical performance from Rhone totaling \$275.00 in 2006; a gift of a shirt, bag, and lunch from Thomas Lee Partners totaling \$125.00 in 2007; gifts of musical performances from Rhone totaling \$70.00 in 2007; gift of tickets to Cirque show from Carlyle Group totaling \$100.00 in 2007; gifts of meals and wine from Credit Suisse totaling \$155.00 in 2007; gifts of meals from Carlyle Group totaling \$75.00 in 2008; gifts of meals from Environmental totaling \$100.00 in 2008; gifts of meals, a shirt, a jacket, whiskey, and a musical performance from SL Capital totaling \$345.00 in 2008; gifts of meals, a headset, book, and bottle from Khosla totaling \$160.00 in 2008; gifts of meals from Credit Suisse totaling \$185.00 in 2009; gifts of meals from SL Capital totaling \$95.00 in 2009; gifts of meals from CVC Asia totaling \$150.00 in 2009; gifts of various items from Carlyle Group totaling \$195.00 in 2009; gifts of various items from Carlyle Riverstone totaling \$186.00 in 2009, and gifts of meals from Pacific Corp totaling \$80.00 in 2009.

GREER, PHIL, 1984/0114 (1985)

84305 - 1 count
\$1,250

Former Long Beach City Council candidate Phil Greer violated the campaign mailing identification requirements in the April 1984 city election by failing to properly identify a hit piece mailed to Long Beach voters.

A mailing sent by Greer to 1,000 4th district residents was in the form of a personal letter that appeared to be from a concerned citizen of Long Beach, Kelly Green. Green, an

employee of the print shop where the mailing was produced, lent her name to the mailing at Greer's request, and was the person identified as the sender on the outside of the mailing.

GREG PAULO FOR SCHOOL BOARD COMMITTEE AND AL HOOKER, 2006/0923 (2010)

84211(f) - 1 count
\$3,000

Greg Paulo was elected to the San Juan School District Board in the November 7, 2006 election. Greg Paulo for School Board Committee was Respondent Paulo's candidate-controlled campaign committee and Al Hooker was the treasurer. Respondents failed to disclose nonmonetary contributions received.

GRESHAM, VARNER, SAVAGE, NOLAN & TILDEN, MARK OSTOICH, PATRICE DALMAN, AND JAMES ROBERTSON, 1995/0455 (1996)

84300 & 84301 - 75 counts
84300 & 84302 - 46 counts
\$228,000

Gresham, Varner, Savage, Nolan & Tilden, a business and real estate law firm located in San Bernardino, and Mark Ostoich, a partner, Patrice Dalman, a staff member, and James Robertson, a business associate and friend of Mark Ostoich, laundered contributions made to candidates and members of the San Diego City Council or San Diego county Board of Supervisors.

GRIER, ANITA, 2005/0469 (2006)

87300 - 1 count
\$100

Anita Grier, a member of the San Francisco Community College Board, failed to timely file a 2004 annual statement of economic interests.

GRIFFIN HOMES, 1988/0528 (1989)

84211 - 2 counts
84300 - 22 counts
\$47,000

Griffin Homes, a southern California developer, laundered more than two dozen contributions through employees in 1985, 1987, and 1988. A majority of the contributions involved amounts which would avoid having the employees listed by name, occupation, and employer. Griffin Homes also failed to itemize \$4,820 in contributions made in 1986 and to properly disclose \$3,768 in contributions made in 1987 on major donor campaign statements.

GRIFFIN, ELAINE, 2003/0257 (2004)

87100 - 2 counts
\$5,000

Elaine Griffin made governmental decisions in which she had a financial interest in 2001.

GRIFFIN, RICHARD, COMMITTEE TO RE-ELECT RICHARD GRIFFIN, AND BETTYE C. GRIFFIN, 2003/0524 (2006)

84104 - 3 counts
84203 - 1 count
84211 - 8 counts
84300 - 1 count
89511.5 - 1 count
\$24,000

Richard Griffin was a successful candidate for Richmond City Council in a local election held on November 6, 2001, having previously served on the Richmond City Council since 1985. The Committee to Re-Elect Richard Griffin was Richard Griffin's controlled committee and Bettye C. Griffin served as its treasurer. In this matter, Respondents failed to maintain required campaign records; failed to disclose required contributor information for 41 contributions of \$100 or more; failed to disclose required information for 60 expenditures of \$100 or more; failed to disclose two late contributions in properly filed late contribution reports; improperly reimbursed Richard Griffin \$3,000 for personal funds he used to pay a law firm more than 90 days after the expenditure was made, and failed to report the expenditure as a non-monetary contribution from Richard Griffin; and made twelve cash expenditures of \$100 or more.

GRIFFITH COMPANY, 1999/0416 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Griffith Company, located in Santa Fe Springs, failed to timely file a major donor campaign statement for contributions made to Richard Katz Senate Committee; Yes on Proposition 2 Committee; and Lungren Committee for Common Sense Conservatism in 1998. In addition, it failed to file a late contribution report for a contribution made to Lungren Committee for Common Sense Conservatism.

GRIFFITH, HAROLD, 2012/0192 (2012)

87100 - 1 count
\$3,000

Harold Griffith, President of the Rancho Adobe Fire Protection District, attempted to use his official position to influence a governmental decision in which he had a material financial interest, by testifying before the City of Cotati Design Review Committee regarding the proposed roadway modification component of the Old Redwood Highway rehabilitation project.

GRIFFITH, ROBERT L. AND COMMITTEE TO ELECT ROBERT GRIFFITH, 2012/0344 (2013)

84200(a) - 8 counts
84200.5(c) - 2 counts
87203 - 1 count
\$55,000

Robert L. Griffith is an elected governing board member of the Mountain View School District, who has served since 1989 and is currently running for re-election for his seventh

four-year term. Committee To Elect Robert Griffith, is Mr. Griffith's controlled committee. Mr. Griffith failed to file eight semi-annual campaign statements, and failed to file two pre-election campaign statements. Additionally, Mr. Griffith failed to file his 2011 Annual Statement of Economic Interests. Mr. Griffith ignored numerous notifications from filing officers and the Enforcement Division in this regard and has an extensive history of the same violations of the Act. Additionally, Mr. Griffith was still in office and running for re-election to his seventh four-year term.

GRIFFITH, ROBERT L., AND COMMITTEE TO ELECT ROBERT GRIFFITH, 2005/0848 (2009)

84200.5 & 84200.8(a) - 2 counts
84200(a) - 5 counts
\$22,000

Robert L. Griffith was the treasurer of his controlled committee, Committee to Elect Robert Griffith. Respondents failed to file two pre-election campaign statements; and Respondents failed to file five semi-annual campaign statements.

GRIMES, ROY, 2013/0432 (2013)

87207 - 1 count
\$200

Roy Grimes, as a Member of the Sacramento City School Board, failed to timely disclose gift(s) of: four meals totaling \$162.25 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

GRIMM, BARBARA, 1999/0366 (2000)

84200 - 1 count
\$1,500

Barbara Grimm of Bakersfield failed to timely file a major donor campaign statement for monetary and in-kind contributions made in 1998 to gubernatorial candidate Dan Lungren, Citizens for Julie Alban Committee, Friends of Marilyn Brewer Committee, Tim Leslie for Governor Committee, and Quackenbush for Senate 1998 Committee.

GRISET, DANIEL, GRISET CAMPAIGN COMMITTEE, AND THE SANTA ANA PROGRESS COMMITTEE, 1988/0442 (1991)

84305 - 5 counts
\$10,000

The Commission accepted an administrative law judge's findings that Daniel Griset, Santa Ana City Councilmember, and his controlled committees, sent five mass mailings without proper sender identification during his successful 1988 reelection campaign.

Four mailings, identified as coming from the "Santa Ana Progress Committee," and one mailer, purportedly from the "Washington Square Neighborhood Association," attacked Griset's opponent in the November 1988 city council election. None of the mass mailings identified Griset as the controlling candidate on the outside of each piece of mail. In addition, one mailing did not contain the name of the committee that was the sender.

GROVE, SHANNON, SHANNON GROVE FOR ASSEMBLY 2010, AND KAREN CAIN, 2010/1098 (2012)

84211(k) & 84303 - 1 count
\$2,250

In 2010, Shannon Grove was a successful candidate for the California State Assembly, 32nd District. Shannon Grove for Assembly 2010 was her candidate controlled committee. Karen Cain was the committee's treasurer. They failed to timely report subvendor information for payments totaling approximately \$229,374 on campaign statements filed for the reporting periods ending May 22 and June 30, 2010.

GROVES, BARRY, 2013/0383 (2013)

87207 - 3 counts
\$600

Barry Groves, as the Superintendent of the Mountain View-Los Altos Union High School District, failed to timely disclose gift(s) of: two meals totaling \$236.71 on his 2009 Statement of Economic Interests; two meals totaling \$237.53 on his 2010 Statement of Economic Interests; and two meals totaling \$147.93 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

GROWTH CAPITAL ASSOCIATES, INC, 2006/0293 (2006)

84200 - 1 count
\$400

Growth Capital Associates, Inc. of Santa Monica failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,500 in 2004.

GRUBB & ELLIS/BRE COMMERCIAL, 2006/1143 (2007)

84203 - 1 count
\$3,500

Grubb & Ellis/BRE Commercial of San Diego failed to timely disclose a late contribution totaling \$50,000 in 2004.

GRUBB & ELLIS/BRE COMMERCIAL, 2006/0561 (2007)

84200 - 1 count
\$930

Grubb & Ellis/BRE Commercial of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$53,000.

GRUESKIN, RONALD J, 2006/0432 (2006)

84200 - 1 count
\$800

Ronald J. Grueskin of Palm Desert failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

GRUZDOWICH, GREGORY J., 2013/0230 (2013)

87201 - 1 count
\$200

Gregory J. Gruzdowich, an elected Member of the Board of Directors for the San Diego county Santa Fe Irrigation District, a position classified in Government Code Section 87200 as that of a "public investment manager," failed to timely disclose his economic interests in investments in several stocks on the Candidate Statement of Economic Interests he filed for his successful election.

GUERRA, REY, 1996/0144 (1997)

87100 - 1 count
\$2,000

Rey Guerra, a member of the Corcoran District Hospital Board of Directors, violated the conflict-of-interest provisions by participating in discussions on issues related to a contract for pharmaceutical services by a competitor of a drug company in which he had financial interest.

GUINN CONSTRUCTION, 2006/0528 (2006)

84200 - 2 counts
\$1,600

Guinn Construction of Bakersfield failed to timely file semi-annual campaign statements disclosing contributions totaling \$32,499 in 2004.

GUITAR CENTER, INC, 2006/0171 (2006)

84200 - 1 count
\$400

Guitar Center, Inc. of Westlake Village failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

GUND, AGNES, 2006/0751 (2009)

84200(b) & 84605(a) - 1 count
\$3,500

Agnes Gund qualified as a "major donor committee" under the Act for the first half of calendar year 2006. Respondent failed to file, in paper and electronic formats, a semi-annual campaign statement disclosing two \$50,000 contributions.

GUND, LOUISE, 2003/0639 (2003)

84200 - 1 count
\$800

Louise Gund of Berkeley failed to file a major donor campaign statement in 2002.

GUND, LOUISE, 2007/0047 (2008)

84200 - 1 count
84203 - 1 count
84605 - 1 count
\$8,500

In November 2005, Louise Gund, a resident of Berkeley, failed to timely disclose a \$75,000 late contribution in a late contribution report in paper format and in electronic

format. Gund also failed to timely file a semi-annual campaign statement in paper format for the reporting period January 1, 2005, through December 31, 2005.

GUNN, DAVID E. AND FRIENDS OF DAVID GUNN, 2002/0630 (2005)

84104 - 1 count
84211 - 1 count
\$2,000

David E. Gunn, an unsuccessful candidate for Superior Court Judge in the Butte county 2000 general election, and his controlled committee, Friends of David Gunn, failed to maintain records of employer and occupational information for contributors and failed to disclose employer and occupation information on campaign statements.

GUSTAFSON, HOWARD, 2011/0872 (2013)

84305(a) - 1 count
\$1,000

Howard Gustafson unsuccessfully ran for a seat on the Marina Coast Water District in 2010. During the campaign, Respondent and two other candidates jointly produced and sent three sets of mass mailers. The mass mailers did not contain required identifying information for Respondent, specifically the street address and city of his campaign committee

GUZMAN, FRANK C., 2011 COMMITTEE TO ELECT FRANK GUZMAN PUSD GOVERNING BOARD MEMBER, AND FRANK C. GUZMAN, 2012/0345 (2012)

84200 - 1 count
\$200

Frank C. Guzman, as a candidate for member of a Los Angeles county School Board failed to timely file the Form 460 campaign statement covering the period October 23, 2011, through December 31, 2011, due January 31, 2012, with the Registrar-Recorder of Los Angeles county.

GUZMAN, ROB AND ROB GUZMAN FOR STATE SENATE, 2000/0620 (2001)

84200 - 1 count
84200.5 & 84200.8 - 2 counts
\$3,750

Rob Guzman, unsuccessful candidate for senate in district 32, San Bernardino, in the special election held in 2000, and his committee, Rob Guzman for State Senate, failed to timely file two pre-election campaign statements and failed to timely file one semi-annual campaign statement in 2000.

HA, CHONG, 1997/0336 (2002)

87300 - 2 counts
\$3,500

Chong Ha, Director of the Stephen P. Teale Data Center, an agency within the Business, Transportation and Housing Agency failed to disclose two reportable gifts on his 1996 annual statement of economic interests.

HABBAS, OMAR, 2001/0018 (2001)

84200 - 1 count
\$400

Omar Habbas of San Jose failed to file a major donor campaign statement in 2000.

HACH COMPANY, 2003/0334 (2003)

84200 - 1 count
\$400

HACH Company of Loveland, Colorado, failed to file a major donor campaign statement in 2002.

HAGESTAD INVESTMENT, 1998/0495 (1999)

84200 - 1 count
\$1,250

Hagestad Investment of Irvine failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

HAINES, JEFF, 2003/0399 (2003)

84200 - 1 count
\$400

Jeff Haines of Camarillo failed to file a major donor campaign statement in 2002.

HALL, DIANA R. AND COMMITTEE TO RE-ELECT JUDGE DIANA R. HALL, 2004/0220 (2006)

84105 - 1 count
84211 - 2 counts
84307 - 1 count
85201 - 1 count
\$15,000

Diana R. Hall was a successful judicial candidate for the Santa Barbara county Superior Court in the March 5, 2002 primary election. The Committee to Re-Elect Judge Diana R. Hall was the controlled committee of Diana R. Hall. Respondents failed to inform a contributor of \$5,000 or more that the contributor may be required to file campaign reports and failed to report information regarding a contribution. Diana R. Hall commingled a political contribution with her personal funds and failed to deposit a contribution in the campaign committee bank account.

HALL, JAN, FRIENDS OF JAN HALL COMMITTEE, AND ROBERT GOUTY, 1988/0095 (1992)

84305 - 4 counts
\$6,000

Jan Hall, candidate for mayor of the City of Long Beach, her committee, and Robert Gouty, a paid political consultant failed to identify the committee as the sender of four mass mailings.

HALL, LARRY AND SAGWA DEVELOPMENT CORPORATION, 1991/0418 (1993)

84300 & 84301 - 3 counts
\$4,500

The Commission issued a Default Decision and Order against Larry Hall and Sagwa Development Corporation, which laundered contributions in 1989 totaling \$747 to Fremont City Council candidates Alane Loisel and Bill Ball.

HALL, MARK, 2013/0266 (2013)

84200.5(g)(1) & 84200.7(b) - 2 counts
\$1,600

Mark Hall. In 2008, Mark Hall was a major donor who made contributions in excess of \$27,000 to Amy Bublak for City Council and Friends of Kurt Vander Weide in connection with the election for Turlock City Council. Mr. Hall failed to file the required Major Donor Committee Campaign Statements (Form 461) for the reporting periods ending September 30, 2008, due October 6, 2008 and October 18, 2008, due October 23, 2008.

HALLORAN, WILLIAM J, 1999/0173 (1999)

84301 - 15 counts
\$26,000

William J. Halloran, president and major owner of an asphalt crushing and recycling plant located in Vista, laundered campaign contributions to two candidates for Vista City Council in 1996.

HALTENHOFF, RICK, 1990/0299 (1993)

87100 - 8 counts
\$15,000

Rick Haltenhoff, former Santa Clara county geologist, committed conflict-of-interest violations in 1990 by recommending that additional geological work be done on projects which he knew would probably be awarded to his firm, Associated Terra Consultants (ATC). The projects were necessitated because of damage caused by the Loma Prieta earthquake in late 1989. In each instance, the project was approved and ATC received the contract.

HAMMETT, BENJAMIN C, 2007/0232 (2007)

84203 - 1 count
\$1,500

Benjamin C. Hammett of Palo Alto failed to timely disclose a late contribution.

HAMMOND, CHARLES, 1989/0360 (1991)

87100 - 1 count
87207 - 1 count
\$4,000

Charles Hammond, Fairfield City Councilman, committed a financial disclosure violation in 1988 based on a source of income in the form of a loan, which he failed to report on his annual statement of economic interests. Mr. Hammond participated in a decision benefiting this source of income by voting to approve and adopt an ordinance allowing the outdoor display of retail merchandise in the Fairfield business district.

HAMMOND, CHARLES E, 1995/0432 (1996)

87200 & 87206 - 1 count
87200 & 87207 - 1 count
\$4,000

Charles E. Hammond, mayor of the City of Fairfield, failed to disclose receipt of a loan and failed to disclose a reportable leasehold interest in real property on his 1992 through 1994 annual statements of economic interests.

HAMMONS, DARCY, 2009/0798 (2010)

87300 - 1 count
\$200

Darcy Hammons, Marin county Child Care Commission member, failed to timely file her Statement of Economic Interests for calendar year 2008.

HAMPTON, BOB, 2001/0132 (2001)

84200 - 1 count
\$400

Bob Hampton of Bakersfield failed to file a major donor campaign statement in 2000.

HANKEL, THERESE, 2005/0433 (2005)

87203 - 1 count
\$100

Therese Hankel, a member of the City of Mammoth Lakes Planning Commission, failed to timely file a 2004 annual statement of economic interests.

HANNA, BROPHY, MACLEAN, MCLEER & JENSEN, LLP, 2005/0289 (2005)

84200 - 5 counts
\$2,275

Hanna, Brophy, MacLean, McLeer & Jensen, LLP of Oakland failed to file major donor campaign statements in 2000, 2002 and 2003.

HANNEMAN, JUDY, 2013/0385 (2013)

87207 - 2 counts
\$400

Judy Hanneman, as a Member of the Mountain View-Los Altos Union High School Governing Board, failed to timely disclose gift(s) of: one meal valued at \$187.15 on her 2010 Statement of Economic Interests; and one meal valued at \$133.41 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HANSBERGER, DENNIS, 2003/0663 (2006)

84308 - 2 counts
\$6,000

county of San Bernardino Supervisor Dennis Hansberger, while serving as an appointed member of the Inland Valley Development Agency and the San Bernardino International Airport Authority, accepted a contribution of more than \$250 from a party to proceedings involving the award of contracts within three months following the date final decisions were rendered in those proceedings.

HANSON AGGREGATES MID-PACIFIC, 2002/0220 (2002)

84203 - 4 counts
\$8,000

Hanson Aggregates Mid-Pacific of San Ramon failed to file late contribution reports in 2000.

HANSON, CANDICE, 2001/0019 (2001)

84200 - 1 count
\$600

Candice Hanson of Beverly Hills failed to file a major donor campaign statement during 2000.

HANYECZ, RICKI, MICHAEL HANYECZ, AND SIMA KATZIR, 1996/0117 (1998)

84300 & 84301 - 47 counts
\$94,000

Ricki and Michael Hanyecz, owners and corporate officers of Rimi Security Systems, Inc., which did most of their business in Orange county, Los Angeles county, and various cities in Northern California, and Sima Katzir, Ricki Hanyecz's sister, reimbursed persons for making 47 campaign contributions from 1992 to 1994 to various candidates for state and local elected office in California.

HAPPE-BRAND, WILJA, 2000/0801 (2001)

87300 & 87302 - 1 count
\$200

Wilja Happe-Brand, California Cut Flower Alternate Commissioner, failed to timely file a 1999 annual statement of economic interests.

HARBOR TRUCKING ASSOCIATION, 2012/0833 (2013)

86116 - 2 counts
\$400

Harbor Trucking Association, failed to timely file the Lobbyist Employer Report Form 635 covering the periods October 1, 2011 through December 31, 2011, due January 31, 2012, and January 1, 2012 through March 31, 2012, due April 30, 2012, with the Secretary of State.

HARD ROCK CAFE AMERICA, L.P., AND THE MELROSE CORPORATION, 1995/0028 (1995)

84300 & 84301 - 8 counts
\$13,600

Hard Rock Cafe America and the Melrose Corporation laundered \$12,750 in contributions in the names of the company president and his wife during 1990 and 1992 to eight state and local candidates.

HARD WORK TOO, INC, 2006/0529 (2006)

84200 - 1 count
\$800

Hard Work Too, Inc. of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HARDASH, PETER, 2013/0559 (2013)

87207 - 1 count
\$200

Peter Hardash, as the Vice Chancellor of the Rancho Santiago Community College District, failed to timely disclose gift(s) of: golf and meals totaling \$145.22 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HARDING, SUSAN, 2006/0346 (2006)

84200 - 1 count
\$400

Susan Harding of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

HARMAN, JANE, JANE HARMAN FOR GOVERNOR, AND ROBERT B. BURKE, 2000/0057 (2001 CIVIL LAWSUIT)

84203
84211
84303
\$60,000

Jane Harman, unsuccessful candidate for governor in 1998, Jane Harman for Governor, a recipient committee, and its treasurer, Robert B. Burke, failed to timely file late contribution reports and failed to timely itemize subvendor expenditures on campaign statements.

HARNESS HORSEMEN'S POLITICAL ACTION COMMITTEE AND IVAN AXELROD, TREASURER, 1992/0405 (1993)

84200 - 4 counts
84200.6 & 84200.7 - 2 counts
\$6,000

Harness Horsemen's Political Action Committee and Ivan Axelrod, treasurer, failed to timely file four semi-annual campaign statements and failed to file two pre-election campaign statements between July 1989 and June 1991.

HARO, RON, 1999/0276 (1999)

87302 - 1 count
\$300

Ron Haro, a governing board member of the Minarets Joint Union High School District in Madera county, failed to timely file his 1998 annual statement of economic interests.

HARRIS & ASSOCIATES, 2001/0137 (2001)

84200 - 1 count
\$400

Harris & Associates of Concord failed to file a major donor campaign statement during 2000.

HARRIS & ASSOCIATES, 2002/0016 (2002)

84203 - 1 count
\$1,500

Harris & Associates of Concord failed to file a late contribution report in 2000.

HARRIS, ELIHU, 1998/0271 (2003)

87100 - 1 count
\$2,000

Elihu Harris, mayor of Oakland made a governmental decision in which he had a financial interest in 1998.

HARRIS, ELIHU, OAKLAND CITIZENS FOR ACCOUNTABLE GOVERNMENT, AND FRIENDS OF ELIHU HARRIS, 1996/0708 (2001)

84103 - 1 count
84203 - 3 counts
84305 - 1 count
\$10,000

Elihu Harris, mayor of Oakland, and his controlled committee, Oakland Citizens for Accountable Government, failed to amend their statement of organization in 1996 to include the name of the controlling candidate, failed to use proper sender identification on a campaign mailer sent in 1996, and failed to file late contribution reports in 1996. In addition, Mayor Harris and his controlled committee, Friends of Elihu Harris, failed to file a late contribution report in 1996.

HARRIS, GEORGE, 2013/0672 (2013)

87207 - 1 count
\$200

George Harris, as the Interim Finance Director and Deputy City Manager for the City of Adelanto, failed to timely disclose gift(s) of: six meals totaling \$144.99 on his 2009 Statement of Economic Interests; and one meal valued at \$140.39 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HARRIS, JOHN A, 2006/0294 (2006)

84200 - 1 count
\$400

John A. Harris, IV of Berwyn, Pennsylvania, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HART, STEPHEN, 2007/0366 (2008)

87300 - 1 count
\$400

Stephen Hart, Butteville Union Elementary School Board member, failed to timely file his 2006 annual statement of economic interests.

HART, WILSON AND FRIENDS OF WILSON HART COMMITTEE, 1989/0095 (1990)

84305 - 2 counts
\$4,000

The Commission accepted an administrative law judge's findings that Wilson Hart failed to provide required sender identification on two campaign mailers sent to

approximately 20,600 voters during his unsuccessful campaign to return to the Santa Ana City Council. The mailing did not identify on its outside the name or address of the Friends of Wilson Hart Committee or Respondent as the sender of the mailing, as required.

HARTLEY CYLKE PACIFIC INSURANCE SERVICES, INC, 2008/0227 (2008)

84200 - 1 count
\$400

Hartley Cylke Pacific Insurance Services, Inc. of San Diego failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 that was due in 2006.

HARTSELL, JULIE, 2004/0754 (2005)

87300 - 3 counts
\$300

Julie Hartsell, a commissioner for the San Mateo county Civil Service Commission, failed to timely file 2000, 2001, 2002, and 2003 assuming office and annual statements of economic interests.

HARTSHORN, TERRY, 2002/1031 (2003)

84203 - 1 count
\$1,500

Terry Hartshorn of Newport Coast failed to file a late contribution report in 2002.

HARVEST MANAGEMENT LLC, 2006/0295 (2006)

84200 - 1 count
\$400

Harvest Management LLC of Somerton, Arizona, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HARVEY, TRICE AND COMMITTEE TO ELECT TRICE HARVEY, 1988/0225 (1989)

84203 - 3 counts
\$5,000

Assemblyman Trice Harvey and his campaign committee failed to properly disclose 16 late contributions during the 1986 general election totaling \$88,000. A state campaign audit found that the 16 late contributions received were either not disclosed or were reported two to seven days late during the final two weeks of the election.

HARVEY, TRICE, COMMITTEE TO ELECT TRICE HARVEY, AND MIKE ABERNATHY, 1986/0512 (1987)

84305 - 1 count
\$1,000

The Committee to Elect Trice Harvey was not identified on a mass mailer which appeared to be an official letter from Governor Deukmejian's office, sent in an envelope bearing a facsimile of the Great Seal of California, and the

Governor's return address. Inside the envelope was a letter of endorsement for Trice Harvey on what appeared to be Governor Deukmejian's official stationery and signed by the Governor. Also enclosed was a pre-printed return envelope for contributions addressed to the committee, which paid for the cost of the mailer.

HAT PAC, 2002/0023 (2002)

84203 - 1 count
\$1,800

HAT PAC of Sacramento failed to file a late contribution report in 2000.

HATCH, BRIAN, 1984/0224 (1985)

86107 - 1 count
86110 - 1 count
86203 - 1 count
\$7,000

Brian L. Hatch, the Director of Governmental Affairs for the Federated Fire Fighters of California and a registered lobbyist since 1974, violated the gift limitations prohibition and reporting and recordkeeping requirements for California lobbyists.

Hatch failed to file disclosure statements for the second and third quarters of 1983 until the day of a Franchise Tax Board audit in January 1985. His fourth quarter statement for 1983 was not filed until September 1984.

An audit showed that Hatch failed to timely report more than 80 gifts made to public officials during 1983 and 23 gifts made to public officials during 1982.

Hatch also violated the gift limitations prohibition for lobbyists by providing gifts to officials in excess of \$10 on 13 occasions in 1982 and on 15 occasions in 1983.

HATHAWAY, T.R, 2008/0308 (2009)

87300- 1 count
\$400

T.R. Hathaway, Staff Toxicologist with the Department of Toxic Substances Control, failed to timely file a 2007 annual Statement of Economic Interests.

HATLEY, CAROL, 2011/0868 (2011)

87300 - 1 count
\$200

Carol Hatley, a State of California Housing Workers' Compensation Authority board member, failed to timely file a Statement of Economic Interests covering the reporting period January 1, 2010, through December 31, 2010, by the April 1, 2011, due date.

HATTOY, RALPH, 2004/0423 (2004)

87300 - 1 count
\$100

Ralph Hattoy failed to timely file a 2003 annual statement of economic interests.

HAUSEY, WILLIE E, 1988/0066 (1988)

86116 - 1 count
 86205 - 1 count
 \$3,000

Sacramento lobbyist Willie Hausey made a personal loan to the chairperson of the Senate Health and Welfare Committee, Senator Diane Watson. Mr. Hausey failed to provide timely disclosure of the \$5,000 loan and placed the senator under personal obligation to him.

The loan was first disclosed on March 14, 1988, in Sacramento county Superior Court where a suit involving Senator Watson was pending. Mr. Hausey did not disclose the activity expense of the loan on a required lobbyist statement until two days after the disclosure in court.

HAWTHORNE, JAMES T, 1989/0018 (1991 CIVIL LAWSUIT)

87100
 87207
 \$165,000

Mr. Hawthorne, as an appointed member of the California Transportation Commission, participated in 14 decisions from 1987-1989 to approve the allocation of funds for specific construction projects in San Diego county. Since Mr. Hawthorne owned substantial interests in three business entities that sell, rent, or repair construction equipment in San Diego county, it was reasonably foreseeable that the decisions would have a material financial effect on Mr. Hawthorne's investment interests. On his annual statements of economic interests covering 1986 through 1989, Mr. Hawthorne failed to disclose 3,270 sources of over \$10,000 of income to his business entities. The case was aggravated by the fact that Mr. Hawthorne received a written warning in 1986 about his conflict-of-interest obligations.

HAYASHI, MARY, 2009/0883 (2010)

87207 - 1 count
 \$200

Assemblymember Mary Hayashi failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from Pechanga Band of Luiseño Mission Indians valued at \$94.46.

HAYDEN, TOM, 2000/0417 (2000)

84203 - 1 count
 \$1,500

Tom Hayden of Burbank failed to file a late contribution report during the March 7, 2000, primary election.

HAYES, THOMAS, TOM HAYES FOR TREASURER IN 1990, AND THOMAS HILTACHK, TREASURER, 1993/0090 (1994)

84211 - 1 count
 \$2,000

Thomas Hayes, unsuccessful candidate for State Treasurer in 1990, his controlled committee Tom Hayes for Treasurer in 1990, and Thomas Hiltachk, treasurer, failed to properly

itemize expenditures totaling \$285,000 for the reporting period from May 20, 1990, through June 30, 1990

HAYNES JR., RAYMOND N., RAYMOND P. HORSPOOL JR., AND HAYNES FOR ASSEMBLY 2004, 2009/0258 (2011)

84200(a) - 2 counts
 84214 - 1 count
 85316(b) - 1 count
 \$7,000

Haynes was a member of the California State Assembly from 2002 through 2006. Haynes for Assembly 2004 was Respondent Haynes' candidate controlled campaign committee, and Raymond Horspool was the treasurer of Respondent Haynes for Assembly 2004. Respondents failed to timely file two semi-annual campaign statements, failed to timely file a statement of termination, and made an impermissible contribution of \$2,000 to a state committee,

HAYTON, GEOFFREY, 1993/0090 (1994)

84200 - 1 count
 \$400

Geoffrey Hayton of Victorville failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HEAFEY, EDWIN, 2001/0020 (2001)

84200 - 1 count
 \$400

Edwin Heafey of Oakland failed to file a major donor campaign statement in 2000.

HEALTHMARKETS, INC., 2011/0093 (2012)

86115 - 1 count
 \$400

Healthmarkets, Inc., a registered California lobbyist employer, and David A. Thompson, Deputy General Counsel and responsible filing officer, failed to timely file Lobbyist Employer Reports for the 7th quarter of 2009/2010 (7/1/10-9/30/10), a period in which they made \$12,000 in lobbying payments.

HEBERT, JANAN, 2011/1174 (2013)

84200 & 87206 - 1 count
 \$400

Janan Hebert, as a Chowchilla City Council Member and former Mayor, failed to timely file Short Form 470 Officeholder Campaign Statement for the 2011 calendar year.

HELLMAN, F. WARREN, 2000/0463 (2000)

84203 - 1 count
 \$1,500

F. Warren Hellman of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

HELMS, VERNON DONALD, 1993/0248 (1995)

87100 - 2 counts
87207 - 3 counts
\$9,000

Vernon Donald Helms, Superintendent of the Corona-Norco Unified School District in Riverside county, violated the conflict-of-interest disqualification provisions when he used his official position to influence decisions regarding mitigation tax fees which affected real property in which he had a financial interest in 1992. In addition, Helms failed to disclose a source of income on his statements of economic interests from 1990 to 1992.

HENDERSON, DEXTER, 1996/0367 (1998)

84200 - 1 count
84200 & 84206 - 2 counts
84200.8 - 2 counts
87203 & 87300 - 1 count
87204 & 87300 - 1 count
\$7,000

Dexter Henderson, a candidate for the Inglewood School Board in 1993 and 1997, failed to timely file campaign statements and failed to timely file statements of economic interests from 1993 through 1997.

HENDERSON, KEN, 2013/0637 (2013)

87207 - 1 count
\$200

Ken Henderson, as a Member of the Whittier City School District Governing Board, failed to timely disclose gift(s) of: one meal valued at \$112.50 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HENKE-DOBROTH, LELA AND FRIENDS TO ELECT LELA HENKE-DOBROTH FOR JUDGE, 2012/0418 (2013)

84203(a) - 1 count
84300(a) - 1 count
\$3,500

Lela Henke-Dobroth, ran for Superior Court Judge in the county of Ventura in 2010. Friends to Elect Lela Henke-Dobroth for Judge 2010, was her campaign committee. During the campaign, Friends to Elect Lela Henke-Dobroth for Judge 2010 received three contributions of \$1,000 or more before the date of the election but after the closing date of the last campaign statement period for which they failed to file late contribution reports. Friends to Elect Lela Henke-Dobroth for Judge 2010 also received two campaign contributions of \$100 or more in cash.

HENLEY, JEFFREY O, 2006/0631 (2006)

84200 - 1 count
\$800

Jeffrey O. Henley of Santa Barbara failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HENMI, DENIS, 2007/0758 (2009)

87300 - 2 counts
\$1,600

Denis Henmi, a member of the California Architects Board, failed to file a 2006 and a 2007 annual Statement of Economic Interests

HENRY, MIKE, 2006/1085 (2009)

87100 - 1 count
\$2,000

In 2005, Respondent Henry, as a member and board president of the Lockeford Community Services District, voted on a Conditional Will Serve Letter for a project located within 500 feet of real property in which he had an economic interest.

HENSEL, GEORGE ROBERT, 1992/0246 (1993)

84300 & 84301 - 2 counts
84305 - 1 count
\$5,000

George Hensel, candidate for Hacienda Heights City Council, violated mass mailing provisions by failing to properly identify himself as the sender of a mailer sent out during his 1992 campaign. In addition, in 1989 and 1990, Hensel laundered two contributions made in support of Montebello and Monterey Park city council candidates.

HENSON, MICHAEL, 2003/0400 (2003)

84200 - 1 count
\$400

Michael Henson of Coto de Laza failed to file a major donor campaign statement in 2002.

HERMOSA BEACH POLICE OFFICER'S ASSOCIATION POLITICAL ACTION COMMITTEE AND J.R. SMITH, 2009/0721 (2010)

84200 - 1 count
84203.5 - 2 counts
84211(k) - 2 counts
\$1,000

J.R. Smith was the treasurer of Hermosa Beach Police Officer's Association Political Action Committee. Respondents failed to timely file two supplemental independent expenditure reports for the period ending October 17, 2009, failed to timely itemize expenditures on a pre-election campaign statement and a semi-annual campaign statement for the periods ending October 17, 2009, and December 31, 2009, respectively, and failed to timely file a semi-annual campaign statement for the period ending December 31, 2009.

HERNANDEZ, EDWARD P., 2009/0835 (2010)

87207 - 1 count
\$200

Assemblymember Edward P. Hernandez failed to disclose on his 2008 Statement of Economic Interests a gift of

dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46.

HERNANDEZ, ENRIQUE AND MEGAN, 2003/0312 (2003)

84200 - 1 count
\$400

Enrique and Megan Hernandez of Pasadena failed to file a major donor campaign statement in 2002.

HERNANDEZ, LUIS, HUNTINGTON PARK CITIZENS TO ELECT LUIS HERNANDEZ, AND HUNTINGTON PARK CITIZENS FOR RESPONSIBLE GOVERNMENT, 1989/0404 (1993)

84101 - 1 count
84104 - 1 count
84200 - 1 count
84200.5 & 84200.8 - 2 counts
84203 - 2 counts
84204 - 1 count
84211 - 1 count
84300 - 2 counts
\$20,000

The Commission issued a Default Decision and Order against Luis Hernandez, Huntington Park City Councilman, and his two committees for violations of the campaign disclosure provisions. During his 1990 campaign, Hernandez and his committee, Huntington Park Citizens to Elect Luis Hernandez, failed to file any campaign statements or late contribution reports whatsoever until well after the election was over. They also failed to comply with provisions restricting the size of cash contributions and expenditures and did not properly itemize certain contributions.

Also, Hernandez and his committee, Huntington Park Citizens for Responsible Government, failed to timely file a statement of organization and a semi-annual statement. The committee also failed to timely file a late independent expenditure report and failed to maintain adequate campaign records.

HERNANDEZ, ROSA, 2008/0148 (2010)

87300 & 87302(b) - 3 counts
\$2,400

Rosa Hernandez, a designated employee of the California State Assembly, failed to timely file a leaving office Statement of Economic Interests in 2006 and 2007, and an assuming office Statement of Economic Interests.

HERNANDEZ, SOFIA, 2006/0933 (2007)

84200 - 1 count
\$800

Sofia Hernandez of New York, New York, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000.

HEROLD, MATTHEW AND HEROLD FOR ASSEMBLY 2012, 2012/0981 (2013)

84200.5 - 4 counts
\$800

Matthew Herold, as a candidate for California State Assembly in 2012 failed to timely file the Form 460 campaign statement covering the periods July 31, 2012 through September 30, 2012, due October 5, 2012, and October 1, 2012 through October 20, 2012, due October 25, 2012, with both the Secretary of State and the San Diego county Registrar of Voters.

HERRERA, ANDRES, 2012/0026 (2012)

87203 - 3 counts
89503(a) - 3 counts
87100 - 1 count
\$12,500

Andres Herrera, a City Councilmember for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in 2007, 2008, and 2009, and failed to report these gifts on his annual SEIs for those years. In addition, Mr. Herrera received gifts exceeding the applicable gift limit in 2007, 2008, and 2009. Mr. Herrera impermissibly made, participated in the making, or influenced a governmental decision when he voted to approve an ordinance to authorize the location of a theatre in a Specific Plan and voted to grant authority to the Mayor to approve a Memorandum of Understanding with J.F. Shea Co., & Affiliated Companies, who was the source of a gift that exceeded the annual gift limit applicable.

HERRERA, ESAU RUIZ, 2013/0249 (2013)

87207 - 2 counts
\$400

Esau Ruiz Herrera, as a Member of the Alum Rock Union Elementary District Board, failed to timely disclose gift(s) of: three meals totaling \$166.63 on his 2010 Statement of Economic Interests; and two meals totaling \$140.71 on his 2011 Statements of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HERRERA, FRANK, 2013/0364 (2013)

87207 - 2 counts
\$400

Frank Herrera, as Clerk to the Board of Trustees for the Delano Union School District, failed to timely disclose gift(s) of: meals totaling \$205.69 on his 2009 Statement of Economic Interests; and meals totaling \$135.87 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HERRERA, LUIS, 2013/0255 (2013)

87300 - 3 counts
\$600

Luis Herrera, while serving as City Librarian for the San Francisco Public Library, failed to report gifts received from the Friends of the San Francisco Public Library on Annual Statements of Economic Interests (Form 700) for calendar years 2009, 2010, and 2011.

HERRERA, ROBERT, 2007/0260 (2007)

87203 - 1 count
\$200

Robert Herrera, Imperial county Planning Commissioner, failed to timely file his 2006 annual statement of economic interests.

HERRERA, ROBERT, 2008/0444 (2010)

87203 - 2 counts
\$1,500

Robert Herrera, Planning Commissioner for the county of Imperial, failed to timely file a 2007 Statement of Economic Interests and a 2008 Statement of Economic Interests.

HERRICK, MICHAEL, 2007/0588 (2008)

84211 & 84213 - 1 count
84305 - 1 count
\$400

Michael Herrick, City of Colusa Planning Commissioner, failed to timely file his 2006 annual statement of economic interests.

HERYFORD, JAMES, 2007/0234 (2010)

87300 & 87302(b) - 4 counts
\$1,600

James Heryford was a member of the Murphy’s Sanitary District Board in Calaveras county. Respondent failed to timely file annual Statements of Economic Interests for years 2004, 2005, 2006, and a leaving office Statement of Economic Interests.

HEWITT, JAN AND COMMITTEE TO REELECT JAN HEWITT, 1983/0018 (1984)

84211 & 84213 - 1 count
84305 - 1 count
\$2,000

Solano county Supervisor Jan Hewitt and her campaign committee failed to identify the true sender of a campaign mailer attacking a political opponent and failed to acknowledge control of a local campaign committee. Hewitt and her committee mailed 8,862 pieces of negative literature to Solano county voters a few days before the June 8, 1982, election. The mailers attacked her opponent, Carl Carlson, and were alleged to have been sent by a committee named “Citizens for Fair Play.”

HEWLETT PACKARD, 2000/0473 (2000)

84203 - 4 counts
\$8,000

Hewlett Packard of Sacramento failed to file a late contribution report during the March 7, 2000, primary election.

HG PIZZA RESTAURANTS, INC, 2006/0433 (2006)

84200 - 1 count
\$400

HG Pizza Restaurants, Inc. of Livermore failed to timely file a semi-annual campaign statement disclosing contributions totaling \$16,000 in 2004.

HIBER, HARVEY, 1997/0322 (1999)

84301 - 1 count
\$2,000

Harvey Hiber, a San Diego county Municipal Court Judge, laundered a campaign contribution of \$250 through his court clerk to the Paul Pflingst for District Attorney campaign in 1994.

HIDALGO, ROBERT, 2013/0647 (2013)

87207 - 2 counts
\$400

Robert Hidalgo, as a Member of the Rowland Unified School District Governing Board, failed to timely disclose gift(s) of: one meal valued at \$115.45 on his 2009 Statement of Economic Interests; and two meals totaling \$206.83 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

HILDEBRAND, ROBERT J, 2007/0549 (2007)

84203 - 1 count
\$1,680

Robert J. Hildebrand of Beverly Hills failed to timely disclose a late contribution totaling \$11,200.

HILL, GREGORY C., GREG HILL FOR ASSEMBLY ‘05, AND BETTY PRESLEY, 2006/1163 (2008)

84211 - 1 count
85301 - 2 counts
\$8,500

Gregory C. Hill was a candidate for the State Assembly in the September 13, 2005 special primary election for the 53rd assembly district. Greg Hill for Assembly ‘05 was Hill’s controlled committee, and Betty Presley was the treasurer. Respondents accepted a loan in excess of the \$3,300 contribution limits in July 2005, and failed to properly report the source of a \$20,000 loan on a first pre-election campaign statement.

HILLIARD, CARL AND HILLIARD FOR SUPERVISOR 2012, 2012/0108 (2012)

84200(a) - 1 count
\$1,500

Carl Hilliard, a candidate for county Supervisor for San Diego’s Supervisorial District 3 in the June 5, 2012, primary election, and his candidate controlled committee Hilliard for Supervisor 2012, failed to timely file a semiannual statement for the reporting period ending December 31, 2011, by January 31, 2012.

HILMAR CHEESE COMPANY, INC, 1999/0368 (2000)

84200 - 1 count
\$600

Hilmar Cheese Company, Inc., located in Hilmar, failed to timely file a major donor campaign statement for contributions made to Bill Jones for Secretary of State, Lungren Committee for Common Sense Conservatism, Friends of Senator Dick Monteith, Friends of Dennis Cardoza, and Friends of Gray Davis Committee in 1998.

HISPANIC DEMOCRATIC ORGANIZATION, 2004/0764 (2005)

84203 - 1 count
\$2,430

Hispanic Democratic Organization of Chicago, Illinois, failed to file a late contribution report in 2003.

HIURA, JERROLD A, 2003/0315 (2003)

84200 - 2 counts
\$800

Jerrold A. Hiura of Los Gatos failed to file major donor campaign statements in 2002.

HNR FRAMING SYSTEMS, INC, 2003/0335 (2003)

84200 - 1 count
\$400

HNR Framing Systems, Inc. of Poway failed to file a major donor campaign statement in 2002.

HOA VAN TRAN FOR SUPERVISOR, AND PHU DO NGUYEN, 2008/0392 (2010)

84211(b)(i)(k) - 1 count
\$2,000

Hoa Van Tran was a candidate for Orange county Supervisor in the June 1, 2008 election. Hoa Van Tran for Supervisor is a candidate controlled committee. Phu Do Nguyen was the assistant treasurer responsible for campaign documents filed during the relevant period. Respondents failed to disclose expenditures on a campaign statement filed March 24, 2008, for the period covering January 1, 2008 through March 17, 2008.

HOBBS, ROGER C, 2004/0143 (2004)

84203 - 1 count
\$1,575

Roger C. Hobbs failed to file a late contribution report in 2003.

HODGSON, JOHN AND CALIFORNIA HOSPITAL ASSOCIATION,, 1986/0240 (1987)

84206 - 1 count
\$6,500

John Hodgson, treasurer of Californians Against Proposition 41, and the California Hospital Association failed to disclose \$278,000 in late contributions received to oppose a November 1984 welfare reform initiative. The contributions consisted of a \$130,000 loan from the California Hospital Association and a \$148,000 contribution from the association's political arm, California Hospital Association Initiative Fund.

HOF'S HUT RESTAURANTS, INC, 2006/0297 (2006)

84200 - 1 count
\$400

Hof's Hut Restaurants, Inc. of Signal Hill failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HOFER RANCH, 2006/0296 (2006)

84200 - 2 counts
\$800

Hofer Ranch of Ontario failed to timely file semi-annual campaign statements disclosing contributions totaling \$10,600 in 2004.

HOFFMAN, DAVID, 2001/0021 (2001)

84200 - 1 count
\$600

David Hoffman of Los Angeles failed to file a major donor campaign statement in 2000.

HOGGE, WILLIAME E, 1995/0351 (1997)

87102.5 - 1 count
\$1,700

William E. Hoge, a member of the assembly, violated the conflict-of-interest provisions when he initiated a pay raise to a staff member in 1996 to whom he owed a \$10,000 loan.

HOHENSTEIN, HENRY, 2005/0489 (2009)

87100 - 6 counts
\$18,000

Henry Hohenstein was a member of the Desert Hot Springs City Council from December 2003 through December 2007. Respondent violated the conflict of interest provisions of the Political Reform Act in December 2004 and February 2005, by making and participating in making six governmental decisions which had a reasonably foreseeable material financial effect on his interest in real property.

HOLDEN, HARVEY, 1987/0519 (1988)

87207 - 1 count
\$2,000

Former Mayor Harvey Holden of Walnut failed to disclose on his statement of economic interests gifts of land grading and fill dirt received in 1987 from a developer whose project he had supported.

HOLDEN, THOMAS, DR., 2012/0026 (2012)

87203 - 3 counts
89503(a) - 2 counts
87100 - 1 count
\$10,500

Dr. Thomas Holden, Mayor of the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in 2007, 2008, and 2009, and failed to report these gifts on his

annual Statements of Economic Interests for the reporting periods of January 1, 2007, through December 31, 2007, due April 1, 2008; January 1, 2008, through December 31, 2008, due April 1, 2009; and January 1, 2009, through December 31, 2009, due April 1, 2010. Additionally, he received gifts exceeding the applicable gift limit in 2007 and 2009. He impermissibly made, participated in the making, or influenced a governmental decision when he voted to approve an ordinance to authorize the location of a theatre in a Specific Plan and voted to grant authority to himself to approve a Memorandum of Understanding with J.F. Shea Co., & Affiliated Companies, which was the source of a gift that exceeded the annual gift limit.

HOLLINGSWORTH,, 2009/0836 (2010)

87207 - 1 count
\$200

State Senator Dennis Hollingsworth failed to disclose on his 2008 Statement of Economic Interests a gift from the City of Los Angeles in the amount of \$110.00 for airport parking and shuttle service.

HOLLOWAY, STANLEY, 1999/0617 (2000)

87203 - 1 count
\$300

Stanley Holloway, member of the Richmond Planning Commission, failed to timely file his 1998 annual statement of economic interests.

HOLMAN, JAMES E, 2000/0480 (2000)

84203 - 2 counts
\$1,500

James E. Holman of Coronado failed to file a late contribution report during the March 7, 2000, primary election.

HOLMAN, WILLIAM, 1995/0541 (1997)

87100 - 1 count
\$2,000

William Holman, a member of the Tuolumne county Board of Supervisors, violated the conflict-of-interest provisions when he voted to authorize county staff to structure a proposal to implement the South Shore project, an entity from which he had received gifts of travel, lodging, food and recreation in 1995.

HOLMES, RON, 2000/0373 (2003)

87300 - 2 counts
\$4,000

Ron Holmes, a battalion chief with the City of Modesto Fire Department, failed to disclose interests in real property and sources of income on his 1997 and 1998 annual statements of economic interests.

HOLMES, SHERA, 1999/0294 (2000)

87300 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Shera Holmes, a staff member for the state assembly, who failed to file her 1997 and 1998 annual statements of economic interests.

HOLMES, STEVEN, 2009/0033 (2009)

87300 - 1 count
\$200

Steven Holmes, a board member of the Bay-Delta Authority, failed to timely file a 2007 annual Statement of Economic Interests.

HOLT OF CALIFORNIA, 2002/1032 (2003)

84203 - 1 count
\$1,500

Holt of California, located in Sacramento, failed to file a late contribution report in 2002.

HOME CLOSEB, INC, 2003/0834 (2004)

84203 - 1 count
\$1,500

Home Closeb, Inc. failed to file a late contribution report in 2002.

HOME DEPOT USA, INC, 2001/0179 (2001)

84200 - 2 counts
\$2,000

Home Depot USA, Inc., a Georgia-based corporation, failed to timely file major donor campaign statements disclosing contributions made to political committees in 2000.

HOOPER, ANDREA L., COMMITTEE TO ELECT ANDREA L. HOOPER, AND ETHEL PACHECO, 2002/0187 (2003)

84200 - 3 counts
84200.8 - 4 counts
84203 - 1 count
84300 - 1 count
\$14,500

Andrea L. Hooper, City Clerk of the City of Lynwood and candidate for Lynwood City Council, her controlled committee, Committee to Elect Andrea L. Hooper, and its treasurer, Ethel Pacheco, during the period 1997 through 1999 failed to file four pre-election campaign statements, failed to file three semi-annual campaign statements, received a cash contribution, and failed to disclose a late contribution received.

HOOPER, JACK, 2009/0736 (2010)

84101, 84200, 84203, & 84206 - 5 counts
\$1,000

Jack Hooper, as a member and candidate for Merced Irrigation District Board, and his committee, Taxpayers to Re-Elect Jack Hooper, with Jack Hooper as Treasurer, failed to file a Statement of Organization (Form 410),

Supplemental Campaign Disclosure Statements (Forms 470S), and a Late Contribution Report (Form 497).

HOOPES, DOUGLAS, 2002/0690 (2004)

87203 - 1 count
\$200

Douglas Hoopes, Imperial county Planning Commissioner, failed to timely file a 2001 annual statement of economic interests.

HOPKINS, JANE, 2009/0812 (2010)

87100 - 1 count
\$3,000

Jane Hopkins, as a member of the Saratoga Cemetery District Board of Trustees, made a governmental decision when she voted not to build a chapel on the southwest side of Madronia Cemetery, which had a foreseeable material financial effect on real property she owned.

HORCHER, PAUL, AND FRIENDS OF ASSEMBLYMAN PAUL HORCHER, 1992/0071 (1998)

84102 - 1 count
84200 - 1 count
84203 - 1 count
84204 - 1 count
\$6,000

Paul Horcher, member of the assembly, 52nd Assembly District in Los Angeles county, failed to properly disclose information about himself as the controlling candidate and the purpose of the Southern California Taxpayers Committee (“SCTC”) on the statement of organization. In addition, Mr. Horcher as controlling candidate for SCTC, a committee whose only activity was to produce a negative political mailer, failed to timely and properly file a late independent expenditure report disclosing a late independent expenditure made for the mailer in opposition to a candidate in the 1991 Diamond Bar City Council election, and failed to file a semi-annual campaign statement. Finally, the Friends of Assemblyman Paul Horcher Committee failed to report a late contribution to SCTC for additional costs to produce the “hit piece” mailer.

HORN, KHRISTINA, 2006/1092 (2007)

87300 - 1 count
\$100

Khristina Horn, Commissioner, Commission for the Prevention of Violence Against Women, failed to timely file her assuming office statement of economic interests.

HORN, WILLIAM G., FRIENDS OF BILL HORN, AND RALPH JENSEN, 2005/0212 (2007)

84211 - 2 counts
85201 & 84300 - 1 count
87203 & 87207 - 2 counts
89512 - 1 count
\$12,851

William G. Horn, his controlled committee, Friends of Bill Horn, and its treasurer, Ralph Jensen, failed to deposit

\$1,500 of personal funds into the Friends of Bill Horn campaign bank account, thereby making cash expenditures of \$100 or more. Respondents also made an expenditure totaling \$851 not directly related to a political, legislative, or governmental purpose, and failed to disclose five campaign expenditures of \$100 or more totaling \$11,299. In addition, Horn failed to timely report sources of income on his 2004 and 2005 annual statements of economic interests.

HORNE, NINA, 2011/0548 (2011)

87300 - 1 count
\$200

Nina Horne, also known as Leona Horne, a City of Oakland Fund for Children and Youth Commissioner, failed to file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

HORSEMEN’S BENEVOLENT AND PROTECTIVE ASSOCIATION, INC, 1987/0024 (1988)

84200 - 1 count
84203 - 1 count
\$1,250

Horsemen’s Benevolent and Protective Association, Inc., contributed \$100,000 to the No on 37 Committee on October 26, 1984, but failed to timely file a major donor campaign statement and a late contribution report.

HORSPPOOL, J. DAVID, COMMITTEE TO ELECT J. DAVID HORSPPOOL, AND CITIZENS FOR J. DAVID HORSPPOOL, 1988/0148 (1989)

84211 - 4 counts
87100 & 87103 - 1 count
\$10,000

Moreno Valley City Councilmember J. David Horspool committed conflict-of-interest and campaign reporting violations.

The four campaign reporting violations involved the failure of the Committee to Elect J. David Horspool and Citizens for J. David Horspool to cumulate and itemize at least a dozen contributions received in 1985 and 1986. The failure to properly cumulate the contributions, which individually totaled \$99 or less, resulted in the contributions never being identified by name or employer.

The conflict-of-interest violation involved a March 1985 decision to approve a 48-lot subdivision by Sykes Enterprises. Councilmember Horspool had a financial interest in the decision requiring disqualification because of income received from Albert Sykes, sole owner of the company developing the subdivision. The developer, within 12 months of the decision, had paid the councilmember’s law firm approximately \$1,800 in legal fees, resulting in pro rata income to the official of \$600.

HORTON BARBARO & REILLY, 2000/0475 (2000)

84203 - 1 count
\$2,000

Horton Barbaro & Reilly located in Santa Ana, failed to file a late contribution report during the March 7, 2000, primary election.

HORTON, JAN, 2009/0671 (2011)

87100 - 1 count
\$3,000

As a member of the Yorba Linda City Council, Respondent Jan Horton impermissibly made a governmental decision in which she had a material financial interest, by voting to send the 2008-2014 Draft Housing Element back to staff for refinement on the housing densities of specific parcels, including the reduction of the housing densities proposed at the Lakeview/Strawberry Field parcel and the Lakeview/Altrudy parcel, located within 500 feet of her real property.

HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES UNION, LOCAL 11 POLITICAL ACTION COMMITTEE AND MARIA ELENA DURAZO, 2001/0186 (2003)

84101 - 1 count
84200.7 - 1 count
84204 - 2 counts
84211 - 2 counts
\$9,250

Hotel Employees and Restaurant Employees Union, Local 11 Political Action Committee, a state general purpose committee in Los Angeles, and its treasurer, Maria Elena Durazo, failed to file a statement of organization, failed to file a pre-election campaign statement, and failed to accurately disclose independent expenditures on late independent expenditure reports and campaign statements in 1998.

HOUGHTON MIFFLIN COMPANY, 2006/0822 (2006)

84200 - 5 counts
\$2,000

Houghton Mifflin Company of Boston, Massachusetts, failed to timely file semi-annual campaign statements disclosing contributions totaling \$55,000 in 2002, \$30,000 in 2003, \$30,000 in 2004 and \$30,000 in 2006.

HOVDEN, RICHARD, 2013/0239 (2013)

87300 & 87302 - 1 count
89503(c) - 1 count
\$3,000

Richard Hovden served as the Park Planning and Development Manager for the Recreation and Parks Department of the City of Santa Rosa from 2007 through February 2013. From 2008 through 2011, he received gifts of over \$50 from Bennett Valley Golf Course that he did not report on his Annual Statements of Economic Interests. Additionally, in 2009 through 2012, he accepted gifts from Bennett Valley Golf Course in excess of the annual gift limits.

HOWARD, EDWARD, 1981/0003 (1982)

87203 - 1 count

87206, 87207, 87300, & 87100 - 2 counts
\$6,000

Edward L. Howard, Planning Director of Glenn county, violated the conflict-of-interest laws when he participated in decisions in which he had reportable financial interests. Mr. Howard also failed to properly disclose those financial interests on his statement of economic interests.

HOWELL, JAY, 2003/0057 (2005)

87300 - 2 counts
\$500

Jay Howell, a member of the Sonoma county Community Development Commission, Project Area Committee, failed to timely file 2001 and 2003 annual statements of economic interests.

HOY-SUN NING BENEVOLENT ASSOCIATION AND NING YUNG POLITICAL ACTION COMMITTEE (HOY-SUN NING YUNG BENEVOLENT ASSOCIATION),, 1994/0167 (1994)

84200 - 4 counts
84300 & 84301 - 24 counts
\$42,800

The Hoy-Sun Ning Yung Benevolent Association and Ning Yung Political Action Committee laundered 24 contributions totaling \$10,950 primarily to Bay Area candidates and failed to timely file semi-annual campaign statements for the years 1990-1993.

HSIEH, TOM, SUPERVISOR TOM HSIEH 92 (RE-ELECT), TOM HSIEH FOR MAYOR, AND ROSALYN KOO, TREASURER, 1994/0655 (1995)

84211 - 2 counts
84213 - 2 counts
\$7,000

Tom Hsieh, a candidate in 1992 for reelection to the San Francisco Board of Supervisors and a San Francisco mayoral candidate in 1991, his committees, Supervisor Tom Hsieh 92 (Re-elect), Tom Hsieh for Mayor, and Rosalyn Koo, treasurer, failed to learn the true identity of the contributor on two consecutive cashier's checks, and in two other instances, failed to secure adequate documentation from persons whose contributions were received in a single check.

HUBERT "HUB" WALSH FOR SUPERVISOR, AND MARCIA B. HALL, 2010/0711 (2011)

84300(a) - 1 count
\$1,500

Hubert Walsh, a candidate for Merced county Board of Supervisors, his candidate controlled committee Hub Walsh for Supervisor and campaign committee treasurer Marcia B. Hall in 2008 received seven cash contributions of \$100 or more.

HUBERT H. HUMPHREY DEMOCRATIC CLUB AND CHRISTOPHER DUVALI, 2012/0239 (2013)

84200(a) - 1 count

84200(a) & 84605(a) - 1 count
\$400

Hubert H. Humphrey Democratic Club, a state general purpose committee, and its Treasurer Christopher Duvali, failed to file, in paper format, a semi-annual campaign statement covering the period July 1, 2011 through December 31, 2011, due January 31, 2012 and failed to file, in electronic format, a semi-annual campaign statement for the period July 1, 2011 through December 31, 2011, due January 31, 2012.

HUDSON, THOMAS, 2010/0741 (2013)

87300 - 1 count
\$200

Thomas Hudson, a Tax Counsel III (Specialist) for the California State Board of Equalization ("BOE"), failed to timely disclose a business interest (which was required to be disclosed by the BOE Conflict of Interest Code) on his 2010 Annual Statement of Economic Interests.

HUDSON, THOMAS N./CALIFORNIA TAXPAYER PROTECTION COMMITTEE, 2012/0106 (2013)

84211(k) & 84303 - 1 count
84204(c) - 1 count
\$5,500

California Taxpayer Protection Committee and its Treasurer, Thomas N. Hudson, failed to timely report subvendor information for payments, totaling \$252,653.63, made during the reporting periods ending June 30, September 30, and December 31, 2008. Respondents also failed to report twelve late independent expenditures, totaling \$85,194.85, made to oppose or support local candidates and measures in the June 3 and November 4, 2008 elections, to the local filing officer within 24 hours.

HUETER & ASSOCIATES, INC, 2006/0298 (2006)

84200 - 1 count
\$400

Hueter & Associates, Inc. of Emeryville failed to timely file a semi-annual campaign statement disclosing contributions totaling \$35,000 in 2004.

HUFF, BOB, 2009/0838 (2010)

87207 - 1 count
\$200

Senator Bob Huff failed to disclose on his 2008 Statement of Economic Interests a gift of airport parking and shuttle service at the Ontario Airport paid by the City of Los Angeles and valued at \$170.00.

HUFF, ROBERT, TAXPAYERS FOR BOB HUFF, AND MEI MEI HUFF, 2004/0023 (2007)

85200 - 1 count
85309 - 3 counts
\$7,000

Robert Huff, a member of the state assembly representing the 60th District in Los Angeles county, failed to electronically file reports disclosing the receipt of loans to

the committee totaling \$184,000, and failed to timely file a statement of intention to be a candidate.

HUGGINS, BILL AND THE COMMITTEE TO ELECT BILL HUGGINS, 1989/0190 (1990)

84211 & 84216 - 1 count
84213 - 1 count
\$4,000

Bill Huggins, unsuccessful 1986 candidate for the Suisun City Council, loaned his committee \$15,000 for the November election and repaid \$14,000 on the loan three days later, but failed to report the committee expenditure on the pre-election campaign statement. The repayment was not reported until after the November election when Huggins filed his second semi-annual statement in January 1987. Huggins also violated the law by failing to correct any inaccuracies and omissions on the statement of which he was aware.

HUGHES, WALTER, 1999/0547 (2000)

87300 - 1 count
\$500

Walter Hughes, legislative aide for the State Assembly, failed to timely file his 1998 annual statement of economic interests.

HUMAN RIGHTS CAMPAIGN CALIFORNIA MARRIAGE PAC - NO ON PROP 8 COMMITTEE AND JAMES RINEFIERD, 2012/0214 (2012)

84211(a), (c), (d), & (f) - 3 counts
\$6,000

Human Rights Campaign California Marriage PAC-No On Prop 8 Committee and the Committee's treasurer James Rinefierd, failed to timely disclose information regarding contributions received, including those of \$100 or more, on campaign statements for the reporting periods ending June 30, 2008, September 30, 2008 and October 18, 2003.

HUME, PATRICK, 2010/0758 (2012)

87203 - 1 count
\$1,000

Patrick Hume, City Councilmember for the City of Elk Grove, received gifts exceeding the reporting threshold of \$50 in the year 2009, and failed to report these gifts on his annual Statements of Economic Interests for reporting period, January 1, 2009, through December 31, 2009, due April 1, 2010.

HUNTER, TRICIA, THE COMMITTEE TO ELECT TRICIA (PATRICIA) HUNTER, AND MYRNA R. ALLEN, TREASURER, 1991/0332 (1991)

84203 - 6 counts
\$7,000

Tricia Hunter, successful candidate in the 76th Assembly District special election held on August 8, 1989, and the special runoff election on October 3, 1989, the Committee to Elect Tricia (Patricia) Hunter, and Myrna R. Allen,

committee treasurer, failed to timely file six late contribution reports for contributions totaling \$36,963.

HUNTINGTON MEMORIAL HOSPITAL, 2006/0434 (2006)

84200 - 1 count
\$800

Huntington Memorial Hospital of Pasadena failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

HURT, WILLIAM H, 2009/0392 (2009)

84200 - 2 counts
\$800

William H. Hurt of Los Angeles failed to timely file two semi-annual campaign statements disclosing contributions totaling \$50,000 in 2005.

HUSAR, SHIRLEY, 2007/0750 (2008)

87300 - 1 count
\$250

Shirley Husar, a designated employee pursuant to the conflict-of-interest code of the Department of Consumer Affairs as a member of the Geologists and Geophysicists Board, failed to timely file her assuming office statement of economic interests.

HUTT, DAVID, 2011/0252 (2011)

87300 - 4 counts
\$800

David Hutt, Superintendent of the San Bruno Park School District, failed to report his wife's source of income, Champions, on his 2007, 2008, 2009, and 2010 annual Statements of Economic Interests.

HYATT SAINTE CLAIRE, 1995/0539 (1996)

84300 & 84301 - 4 counts
\$6,800

The Hyatt Sainte Claire, a hotel located in San Jose, laundered three campaign contributions to San Jose City Council candidate Pat Dando and one to Meri Maben in 1995.

HYDE, JERALD "JERRY", 2012/0927 (2013)

84305(a) - 1 count
\$2,000

Jerald "Jerry" Hyde qualified as an independent expenditure committee in October 2012. In this matter, Respondent violated the mass mailing sender identification provisions of the Political Reform Act when he paid for and caused to be sent a mass mailing expressly advocating the defeat of a local school bond measure in the November 6, 2012, election, which failed to display required sender identification,

HYSEN, CARL L., CARL L. HYSEN FOR SUPERVISOR COMMITTEE, AND RICHARD ULSH, TREASURER, 1991/0010 (1992)

84104 - 1 count
84300 - 4 counts
\$8,000

Carl Hysen, unsuccessful candidate for San Luis Obispo county Supervisor in 1988, his committee, and its treasurer failed to accurately maintain campaign records for cash contributions totaling approximately \$13,000 and received four illegal cash contributions totaling \$500.

IANACONE, DAVID, 2001/0732 (2002)

87300 - 2 counts
\$1,000

David Ianacone of Huntington Beach, a member of the Medical Board of California, Diversion Evaluation Committee for the California Department of Consumer Affairs, failed to timely file an assuming office statement of economic interests. In addition, once the statement was filed, Mr. Ianacone failed to disclose reportable investment interests.

IANNELLI, RALPH, 2010/0491 (2010)

84200(a) - 1 count
\$400

Ralph Iannelli of Santa Barbara, qualified as a major donor committee but failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,900 in 2009.

IBARRA, ANA MARIA GONZALEZ, 2011/0802 (2012)

84301 - 2 counts
\$10,000

Ana Maria Gonzalez Ibarra, an individual who works at the Macy's Department Store as a salesperson in the City of Chula Vista, made two campaign contributions through her friends, by reimbursing them with a personal check, for the campaign contributions they made. The two contributions, totaling \$600, were made to Steve Castaneda in connection with the June 8, 2010 election in the City of Chula Vista.

IBM CORPORATION, 2002/0025 (2002)

84203 - 1 count
\$2,000

IBM Corporation of San Jose failed to file a late contribution report in 2000.

ICN PHARMACEUTICALS, INC, 2002/0707 (2003)

84203 - 2 counts
\$4,350

ICN Pharmaceuticals, Inc. of Costa Mesa failed to disclose late contributions made in 2002.

IGLESIAS, RODRIGO, 2006/0766 (2007)

84200 - 2 counts
\$1,600

Rodrigo Iglesias of Los Angeles failed to timely file semi-annual campaign statements disclosing contributions totaling \$13,250.

IKEJIRI, RONALD, 2009/0226 (2010)

87200 & 87207 - 2 counts
\$400

Ronald Ikejiri, Gardena City Councilman, failed to timely disclose a personal loan as a source of income on annual Statements of Economic Interests for the reporting periods of January 1, 2007, through December 31, 2007, and January 1, 2008 through December 31, 2008.

INDEPENDENT CITIES LEASE FINANCE AUTHORITY AND DEBORAH J. SMITH, 2010/0369 (2012)

86115 - 5 counts
\$2,000

Independent Cities Lease Finance Authority, a registered California lobbyist employer, and Respondent Deborah J. Smith, Secretary/Program Administrator and responsible filing officer, failed to file Lobbyist Employer Reports in a timely manner for reporting periods ending December 31, 2009, March 31, 2010, June 30, 2010, September 30, 2010, and December 31, 2010.

INDEPENDENT COALITION OF EDUCATORS SPONSORED BY THE CALIFORNIA ASSOCIATION OF PRIVATE POSTSECONDARY SCHOOLS AND ROBERT JOHNSON, 2012/0559 (2012)

84200.5 - 1 count
84200.5 - 1 count
85303(a) - 1 count
\$6,500

This matter originated as a Franchise Tax Board audit. Independent Coalition of Educators Sponsored by California Association of Private Postsecondary Schools, a state general purpose committee, and its treasurer Robert Johnson failed to timely file a preelection statement for the January 1, 2010, through March 17, 2010, reporting period, by March 22, 2010, the March 18, 2010, through May 22, 2010, reporting period, by May 27, 2010, and the July 1, 2010, through September 30, 2010, reporting period, by October 5, 2010; failed to timely file a preelection statement for the January 1, 2012, through March 17, 2012, reporting period, by March 22, 2012, and the March 18, 2012, through May 19, 2012, reporting period, by May 24, 2012; and on August 31, 2009, impermissibly accepted a contribution in excess of the \$6,500 contribution limit from a single person for the purpose of making contributions to candidates for elective state office.

INDEPENDENT INSURANCE AGENTS AND BROKERS ASSOCIATION OF LOS ANGELES, 2008/0226 (2008)

84200 - 1 count
\$400

Independent Insurance Agents and Brokers Association of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 that was due in 2004.

INDEPENDENT PAC LOCAL 188 INTERNATIONAL ASSOCIATION OF FIREFIGHTERS AND RICHARD KALAYJIAN, 1997/0533 (2000)

84211 - 8 counts
84216 - 1 count
\$17,000

Independent PAC Local 188 International Association of Firefighters located in Richmond and its treasurer, Richard Kalayjian, improperly reported contributions by “retired firefighters” on its 1997 campaign statement and incorrectly reported that a loan was outstanding.

INDIAN HILL MANAGEMENT, INC., 2006/0647 (2006)

84200 - 1 count
\$400

Indian Hill Management, Inc. of Upland failed to timely file a semi-annual campaign statement disclosing contributions totaling \$17,500 in 2004.

INDUSTRY MANUFACTURERS COUNCIL, 1980/0055 (1981)

84104 - 1 count
84201 - 2 counts
84206 - 2 counts
\$2,000

Industry Manufacturers Council, a non-profit corporation that contracts with the City of Industry to promote industrial development, failed to file proper campaign statements on four separate occasions, disclosing contributions to the Friends of Van de Kamp, a committee formed to support the reelection of Los Angeles county District Attorney John Van de Kamp.

INGLEWOOD GENERAL HOSPITAL, 1987/0361 (1988)

84200 - 1 count
\$1,900

Inglewood General Hospital of Los Angeles failed to timely file a major donor campaign statement for contributions totaling \$19,550 in 1986.

INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS COMMITTEE ON POLITICAL EDUCATION, SPONSORED BY INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS, 1999/0347 (2000)

84200.5, 84200.7 & 84200.8 - 4 counts
84203 - 3 counts
\$10,000

International Brotherhood of Electrical Workers Committee on Political Education, a state general purpose committee and sponsored by International Brotherhood of Electrical

Workers, failed to timely file four pre-election campaign statements in 1996 and failed to timely file three late contribution reports in 1995 and 1996.

INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS COMMITTEE ON POLITICAL EDUCATION, SPONSORED BY INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS, AND EDWIN D. HILL, 2002/0165 (2004)

84200 - 1 count
 84200.7 - 3 counts
 84200.8 - 1 count
 84203 - 26 counts
 \$18,475

The International Brotherhood of Electrical Workers Committee on Political Education, Sponsored by International Brotherhood of Electrical Workers, and its treasurer, Edwin D. Hill, failed to file a semi-annual campaign statement, failed to file pre-election campaign statements, and failed to disclose 26 late contributions in properly filed late contribution reports.

INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS COMMITTEE ON POLITICAL EDUCATION, SPONSORED BY INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS, AND EDWIN D. HILL AND JEREMIAH J. O'CONNOR, 2005/0773 (2006)

84200 - 1 count
 84200.5 & 84200.8 - 2 counts
 84200.5, 84200.7 & 84605 - 1 count
 84203 - 5 counts
 84211 - 4 counts
 \$33,800

International Brotherhood of Electrical Workers Committee on Political Education, sponsored by International Brotherhood of Electrical Workers, is a state general purpose committee located in Washington, D.C. Edwin D. Hill was the committee's treasurer from December 9, 1997, to approximately August 22, 2002, and Jeremiah J. O'Connor was the committee's treasurer from August 23, 2002, to approximately March 21, 2005. In this matter, the committee and Edwin D. Hill failed to disclose the total amount of contributions of less than \$100 received; failed to timely file a semi-annual campaign statement; failed to timely file pre-election campaign statements; and failed to disclose late contributions in properly filed late contribution reports. The committee and Jeremiah J. O'Connor failed to disclose the total amount of contributions of less than \$100 received; failed to timely file a pre-election campaign statement; and failed to disclose late contributions in properly filed late contribution reports.

INTERNATIONAL BROTHERHOOD OF PAINTERS AND ALLIED TRADES POLITICAL ACTION TOGETHER LEGISLATIVE AND EDUCATIONAL COMMITTEE-CONTRIBUTION ACCOUNT AND JAMES WILLIAMS, 2004/0115 (2004)

84203 - 11 counts
 \$7,000

The International Brotherhood of Painters and Allied Trades Political Action Together Legislative and Educational Committee-Contribution Account and its treasurer, James Williams, failed to disclose 11 late contributions in properly filed late contribution reports.

INTERNATIONAL CREATIVE MANAGEMENT, INC, 2003/0401 (2003)

84200 - 1 count
 \$400

International Creative Management, Inc. of Beverly Hills failed to file a major donor campaign statement in 2002.

INTERNATIONAL FORUM ON GLOBALIZATION, 2007/0333 (2007)

84203 - 8 counts
 \$2,556

International Forum on Globalization of San Francisco failed to timely disclose late contributions totaling \$17,037.

INTUIT COMPANY, 2004/0773 (2005)

84203 - 1 count
 \$1,500

Intuit Company of San Diego failed to file a late contribution report in 2003.

INVESTMENT BUILDING GROUP, 1991/0120 (1991)

84300 & 84301 - 6 counts
 \$12,000

The money laundering case involved Investment Building Group (IBG), a real estate development and investment company owning several properties in Fremont and throughout Alameda county, and the 1989 campaigns of Fremont City Councilman Gary Mello and Alameda county Supervisor Charles Santana. In 1989, IBG made five contributions totaling \$1,245 to the reelection campaign of Gary Mello, and an additional contribution of \$150 to Charles Santana in the names of other people. The laundered contributions were made through the names of IBG employees.

INYO COUNTY REPUBLICAN CENTRAL COMMITTEE, WILLIAM SNYDER, TREASURER, 2009/0729 (2010)

84200 - 3 counts
 \$600

The campaign committee Inyo county Republican Central Committee, William Snyder, Treasurer, located in Bishop, failed to timely file semi-annual campaign statements.

IRELLA PEREZ FOR SCHOOL BOARD AND IRELLA PEREZ, 2012/0746 (2013)

84200 - 1 count
 \$200

Irella Perez for School Board, a committee controlled by candidate Irella Perez, failed to file the semi-annual as a semi-annual campaign statement covering the period January 1, 2012 through June 30, 2012, due July 31, 2012.

IRISH, SETH HENRY, III, 1994/0481 (1998)

87100 - 3 counts
87207 - 4 counts
\$8,000

Seth Henry Irish, III, a member of the Hollister City Council from 1990 to 1997, and also mayor of Hollister from 1992 to 1993, failed to disclose sources of income to his sole proprietorship business and from the sale of his residence on statements of economic interests between 1993 and 1996 and participated in or attempted to influence governmental decisions that involved a source of income to his business in 1993 and 1994.

IRVINE POLICE ASSOCIATION POLITICAL ACTION COMMITTEE, AKA IRVINE POLICE ASSOCIATION POLITICAL EDUCATION COMMITTEE, 1993/0115 (1996)

84101 - 1 count
84200 - 3 counts
84200, 84200.5 & 84200.7 - 1 count
84203.5 & 84204 - 2 counts
84204 - 2 counts
84214 - 1 count
89512.5 - 1 count
\$10,000

The Irvine Police Association Political Action Committee, aka Irvine Police Association Political Education Committee, failed to file numerous campaign statements and late independent expenditure reports, failed to file in a timely manner a statement of organization, improperly filed a termination statement, and filed inaccurate campaign reports. In addition, the political action committee improperly used campaign funds to make expenditures to the general fund account of the committee's sponsor.

IRVINE, KENNETH, 2000/0384 (2000)

87300 & 87302 - 1 count
\$200

Kenneth Irvine, a board member of the City of Coronado Visitors Bureau, failed to timely file an 1999 annual statement of economic interests.

ISRAEL-CURLEY, MARCIA, 2003/0402 (2003)

84200 - 2 counts
\$800

Marcia Israel-Curley of Los Angeles failed to file major donor campaign statements in 2002.

ISSA, DARRELL, 2001/0022 (2001)

84200 - 1 count
\$400

Darrell Issa of Vista failed to file a major donor campaign statement in 2000.

ITALIAN CENTER, INC, 2003/0516 (2006)

84200 - 1 count
\$2,000

Italian Center, Inc., located in San Gabriel, failed to file a major donor campaign statement by January 31, 2003.

ITU VENTURES, LLC,, 2006/0435 (2006)

84200 - 2 counts
\$1,600

ITU Ventures, LLC of Los Angeles failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,000 in 2004.

J. G. ORBIS CORPORATION AND ISAO NAKAGAWA, CORPORATE SECRETARY, 1994/0451 (1995)

84300 & 84301 - 7 counts
\$11,900

J. G. Orbis Corporation and Isao Nakagawa, corporate secretary, laundered seven contributions of \$750 each in the form of personal money orders from employees of the corporation to the Agnos for Mayor campaign committee.

JACINTO, EDWIN, 2010/0225 (2011)

84200.5(c) & 84200.8(a)(b) - 2 counts
\$6,000

Edwin Jacinto, as a candidate for a seat on the Lynwood City Council in the November 3, 2009, general election, failed to file pre-election campaign statements for the July 1, 2009, through September 19, 2009, reporting period, by the September 24, 2009, deadline and the September 20, 2009, through October 17, 2009, reporting period, by the October 22, 2009, deadline.

JACINTO, EDWIN, 2012/0197 (2012)

84200.5(c) & 84200.8(b) - 1 count
84200(a) - 1 count
\$5,000

Edwin Jacinto, as a candidate for a seat on the Lynwood City Council in the November 8, 2011 general election, and his candidate controlled committee, the Committee to Elect Edwin Jacinto, failed to file a pre-election campaign statement for the September 25, 2011 through October 22, 2011 reporting period, by the October 27, 2011 deadline, and failed to file a semi-annual campaign statement for the reporting period October 23, 2011 through December 31, 2011, by the January 31, 2012 deadline.

JACK DELIDDO FOR RIPON SCHOOL BOARD, JACK DELIDDO, AND JAYME CURTISS, 2011/0330 (2013)

84200 - 4 counts
\$800

Jack DeLiddo ("DeLiddo"), was elected to a four-year term on the Ripon Unified Board in 2008. Jack DeLiddo for Ripon School Board (the "Committee"), was his campaign

committee and Jayme Curtiss ("Curtiss"), was the treasurer for the campaign committee. DeLiddo, the Committee and Curtiss failed to timely file semi-annual campaign statements covering the periods January 1, 2009 through June 30, 2009, due July 31, 2009, July 1, 2009 through December 31, 2009, due February 1, 2010, January 1, 2010 through June 30, 2010, due August 2, 2010, and July 1, 2010 through December 31, 2010, due January 31, 2011.

JACKMAN, MICHAEL, 2002/1083 (2004)

87300 - 3 counts
\$2,100

Michael Jackman failed to timely file a 2001, 2002, and 2003 annual statement of economic interests.

JACKSON, CLAYTON, 1980/0061 (1982)

86107 - 3 counts
\$6,000

Lobbyist Clayton R. Jackson failed to report \$10,000 in payments he received for securing passage of an 11th hour amendment which would substantially benefit his undisclosed client. Mr. Jackson entered into a formal agreement to provide lobbying services to Specialized Systems, Inc. (SSI), a supplier of telecommunications equipment designed for use by deaf and hearing impaired persons. This firm was interested in overturning a PUC ruling requiring deaf persons to pay approximately one half of the monthly costs for the use of this equipment on their telephones, and SSI wanted the telephone company to finance all of the costs. Mr. Jackson agreed to attempt to obtain an amendment to then pending legislation.

JACKSON, THEODORE WARREN, 1999/0606 (2000)

87300 - 2 counts
\$3,000

Theodore Warren Jackson, Commissioner with the Fair Employment and Housing Commission, failed to timely file both a 1997 and a 1998 annual statement of economic interests.

JACOBS, JAMES W, 2003/0493 (2004)

84200 - 1 count
\$2,500

James W. Jacobs failed to file a major donor campaign statement in 2002.

JACOBS, JENNIFER, 2000/0760 (2001)

87300 & 87302 - 1 count
\$400

Jennifer Jacobs, employed by the Assembly Rules Committee, and during the relevant time was the Chief of Staff for Assemblyman House, failed to timely file a 1999 annual statement of economic interests.

JACOBSON, CRAIG, 2010/1047 (2011)

84200 - 1 count
\$400

Craig Jacobson, a major donor committee, failed to timely file a major donor statement, Form 461.

JAFFE, ROSS A, 2007/0547 (2007)

84203 - 3 counts
\$4,740

Ross A. Jaffe of Atherton failed to timely disclose late contributions totaling \$31,600.

JAIMES, NOEL A., 2010/0658 (2011)

84206 - 1 count
\$400

State Senate Candidate Noel A. Jaimes failed to timely file a Form 470 campaign statement covering the period January 1, 2010, through December 31, 2010, due on March 22, 2010, with the Secretary of State.

JAMES, STACEY, 2007/0351 (2010)

87203 - 1 count
\$2,000

Stacey James, a member of the county of Alpine Planning Commission, failed to file a Statement of Economic Interests for the calendar year 2006.

JAMISON, RISHA, 2011/0487 (2011)

87300 - 1 count
\$200

A City of Oakland employee failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

JANOVITCH, KIMBERLY, PHD, 2006/0173 (2006)

84200 - 1 count
\$400

Kimberly Janovitch, PhD of Van Nuys failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

JANZEN, PHILIP, COMMITTEE TO ELECT PHILIP JANZEN, 2009/0802 (2011)

84200 - 1 count
\$400

Philip Janzen was a candidate for the Madera Unified School District Board of Trustees. Committee to Elect Philip Janzen was the controlled committee. Respondents failed to timely file one semi-annual campaign statement for the period October 22, 2006, through December 31, 2006.

JANZEN, THOMAS, 2002/0457 (2003)

87203 - 1 count
\$1,500

Thomas Janzen, member, Sierra Madre Planning Commission, failed to timely file a 2001 annual statement of economic interests.

JAROTH, INC, 2002/1055 (2003)

84203 - 1 count
\$1,500

Jaroth, Inc. of San Leandro failed to file a late contribution report in 2002.

JASSO, JORGE, 2004/0442 (2004)

87203 - 1 count
\$100

Jorge Jasso failed to timely file a 2003 annual statement of economic interests.

JEANPIERRE, L. ANDREW, 1997/0203 (1997)

84300 - 2 counts
\$3,000

L. Andrew Jeanpierre of San Francisco made two cash contributions in the amount of \$250 each to the Committee to Re-Elect Frank Jordan Mayor in 1995.

JEFF STONE FOR STATE SENATE 2010, AND JOSEPH KUEBLER, 2010/0552 (2011)

85309(a) - 8 counts
\$16,000

Jeff Stone was an unsuccessful candidate for the California State Senate in the June 8, 2010, primary election, representing the 36th District. The Jeff Stone for State Senate 2010 committee was the candidate controlled committee and Joseph Kuebler was the treasurer for Respondent Committee. Respondents failed to file online campaign reports disclosing contributions received of \$1,000 or more totaling \$84,052 during the 90 day period before an election.

JEFFERY, GLORIA, 2011/0529 (2011)

87300 - 1 count
\$200

Gloria Jeffery, a City of Oakland Central City East Project Area Committee member, failed to file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

JEFIMOFF, BARBARA, 1997/0204 (1997)

84300 - 3 counts
\$4,500

Barbara Jefimoff of San Francisco received three cash contributions in the amount of \$250 each for the Committee to Re-Elect Frank Jordan Mayor in 1995.

JELINCIC, JOSEPH J., J.J JELINCIC FOR CALPERS-2009, AND FRANK LUNAJELINCIC, JOSEPH J., J.J JELINCIC FOR CALPERS-2009, AND FRANK LUNA, 2010/0620 (2012)

85316(a) - 1 count
\$2,000

Joseph J. Jelincic was elected to the California Public Employees' Retirement System ("CalPERS") Board of Administration in a run-off election held on December 4,

2009. J.J. Jelincic for CalPERS - 2009 ("Committee") was the J.J. Jelincic's campaign committee for the 2009 election. Frank Luna served as treasurer of the Committee. On December 16, 2009, the Committee accepted a campaign contribution from the Committee to Re-Elect Chuck Valdes in the form of a check in the amount of \$3,600. This contribution, received by the Committee after the election, exceeded the net debts outstanding from the election.

JELINEK, DON, JELINEK FOR MAYOR COMMITTEE, AND WILLIAM SAMSEL, 1996/0525 (1997)

81004 - 8 counts
\$15,000

Don Jelinek, candidate for mayor of Berkeley in 1994, and his committee, Jelinek for Mayor Committee, and its treasurer, William Samsel, failed to exercise reasonable diligence in disclosure of contributors on campaign reports. These violations were uncovered through a Franchise Tax Board audit of the committee.

JENNINGS, JACQUELYNNE M, 2006/0357 (2006)

84200 - 1 count
\$400

Jacquelynne M. Jennings of Walnut Creek failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,700 in 2004.

JENSEN, WILLIAM, 2012/0945 (2013)

87203 - 1 count
\$200

William Jensen, as a member of the City of Hesperia Planning Commission, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011, through December 31, 2011, due April 2, 2012.

JIMENEZ, TRINI, AND TRINI JIMENEZ FOR CITY COUNCIL, 2004/0551 (2007)

84203 - 1 count
\$750

Trini Jimenez for City Council of Inglewood failed to timely disclose a late contribution totaling \$5,000 in 2003.

JIN, MARY, 2012/0551 (2012)

87300 - 1 count
\$200

Mary Jin, Orange county Health Care Agency Environmental Health Specialist, failed to timely file a 2011 Annual Statement of Economic Interests for the reporting period January 1, 2011, through December 31, 2011, by the April 2, 2012, due date.

JLD STAFFING, INC, 2003/0403 (2003)

84200 - 1 count
\$400

JLD Staffing, Inc. of Thousand Oaks failed to file a major donor campaign statement in 2002.

JOCHIM, DARLENE, 2007/0363 (2009)

87300- 1 count
\$400

Darlene Jochim, a designated employee of the Hornbrook Elementary School District as a member of the Hornbrook Elementary School Board of Trustees, failed to timely file a 2006 annual Statement of Economic Interests.

JOE IMBELLONI AND AFFILIATED ENTITIES, 2003/0462 (2003)

84200 & 84605 - 1 count
\$948

Joe Imbelloni and affiliated entities of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

JOFFE, ENID, 2001/0433 (2001)

87203 - 1 count
\$300

Enid Joffe, a Sierra Madre City Planning Commissioner, failed to timely file her 2000 annual statement of economic interests.

JOHANNESSEN, K. MAURICE, 1994/0610 (1995)

87102.5 - 2 counts
\$4,000

K. Maurice Johannessen, a member of the senate, voted on legislation in June and August 1994 directly affecting Pacific Bell, a source of income to him.

JOHN L. GINGER MASONRY, INC, 2006/0436 (2006)

84200 - 2 counts
\$800

John L. Ginger Masonry, Inc. of Riverside failed to timely file semi-annual campaign statements disclosing contributions totaling \$24,425 in 2004.

JOHN MOURIER CONSTRUCTION, INC, 2005/0132 (2005)

84203 - 1 count
\$3,500

John Mourier Construction, Inc. of Roseville failed to file a late contribution report in 2004.

JOHNS, KATHERINE HART, 2009/0783 (2010)

87300 - 3 counts
\$600

Katherine Hart Johns, a member of the Central Valley Regional Water Quality Control Board, failed to report her husband's separate property interest in his firm, California Resource Strategies, Inc., on her 2006, 2007, and 2008 annual Statements of Economic Interests.

JOHNSON CANYON HOLDINGS, LLC, 2005/0858 (2006)

84200 - 1 count
\$400

Johnson Canyon Holdings, LLC of Beverly Hills failed to timely file a semi-annual campaign statement in 2002.

JOHNSON HARRIS ,HOLLY, 2013/0834 (2013)

87300 - 1 count
\$200

Holly Johnson Harris, as a Trustee for the Fine Arts Museum for the City and County of San Francisco, failed to timely file the 2012 Annual Statement of Economic Interests (Form 700) covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

JOHNSON, DENISE, 2002/0061 (2003)

87300 - 1 count
\$300

Denise Johnson, staff counsel for the Department of Motor Vehicles, failed to file a leaving office statement of economic interests in 2001.

JOHNSON, EPPAMINONDAS, 1988/0258 (1989)

84203 - 1 count
84211 - 1 count
84300 & 84301 - 6 counts
\$16,000

Eppaminondas Johnson, a Sacramento restaurateur, laundered more than \$5,000 in contributions through intermediaries to local and state candidates from 1981 to 1986. The intermediaries were repaid by the businessman for their contributions. Mr. Johnson failed to disclose himself as the true source of the contributions. Additionally, Mr. Johnson failed to file a late contribution report within 24 hours as required by law for one of the laundered contributions.

JOHNSON, JENNIFER, 2009/0520 (2010)

87300 - 1 count
\$400

Jennifer Johnson, a board member for Seiad Elementary School District, failed to timely file a 2008 Statement of Economic Interests with the county of Siskiyou.

JOHNSON, KEVIN, 2012/0555 (2012)

82015(b)(2)(B)(iii) - 25 counts
\$37,500

Kevin Johnson is the Mayor of Sacramento. In 2009, 2010, 2011, and 2012, various donors made payments of \$5,000 or more, for a total of over \$3.5 million in payments, to certain charities at the behest of Mayor Johnson, but he failed to file the required behested payment reports within 30 days of the dates that the payments were made.

JOHNSON, VERNON, COMMITTEE TO ELECT VERNON JOHNSON, AND TONI GIAFFOGLIONE, 1995/0428 (1998)

84200 - 2 counts
 84200.5 & 84200.7 - 2 counts
 \$2,000

The Commission adopted an administrative law judge’s proposed decision in the matter of Vernon Johnson, unsuccessful candidate for the board of trustees of the Bassett Unified School District in La Puente and the Committee to Elect Vernon Johnson, and Toni Giaffoglione, treasurer, who failed to timely file required pre-election and semi-annual campaign statements in 1994 and 1995.

JOHNSON-MORTON, VALERIE C., AND COMMITTEE TO ELECT VALERIE JOHNSON-MORTON, 1994/0220 (1996)

84200 - 1 count
 89513 - 1 count
 \$3,000

Valerie C. Johnson-Morton, an unsuccessful candidate for mayor of Monrovia in 1994, used campaign funds during her campaign to purchase clothing. Johnson-Morton and her committee also failed to file a semi-annual campaign statement in 1994.

JOHNSTON, ARTHUR J., III, 2004/0178 (2004)

84302 - 6 counts
 \$6,000

Arthur J. Johnston, III made contributions to a campaign without disclosing the true source of the contributions.

JOHNSTON, KARRIE SCULLY, 2012/0304 (2012)

87300 - 2 counts
 \$400

Karrie Scully Johnston, Board Member for the county of San Diego San Miguel Consolidated Fire Protection District, failed to disclose her financial interest in American Medical Response as a source of income on the Statements of Economic Interests for the reporting periods January 1, 2010 - December 31, 2010, due April 1, 2011, and January 1, 2011 - December 31, 2011, due April 2, 2012.

JOHNSTON, PATRICK, PAT JOHNSTON FOR SENATE, AND DANIEL NUTLEY, 1996/0114 (1997)

84204 - 1 count
 84211 - 1 count
 \$3,000

Patrick Johnston, state Senator in 1996, and Pat Johnston for Senate, and Daniel Nutley, its treasurer, failed to timely file late independent expenditure reports and failed to properly disclose independent expenditures in 1996.

JOINT INDUSTRY COUNCIL, ELBERT W. COOK, EDWARD H. OCHINERO, AND BARRY WEISSWASSER, 1986/0252 (1987)

84101 - 1 count

84203 - 3 counts
 \$3,000

Joint Industry Council, a political group, failed to timely file campaign disclosure reports in connection with the April 1986 Redding City Council elections. The group was a city general purpose committee, existing to oppose more than one candidate running in the Redding City Council election. The committee did not timely file statement of organization from which Redding voters could determine who or what the Joint Industry Council was. The Joint Industry Council also failed to file late contribution reports for three contributions of \$1,000 or more which were received during the period of March 23 through April 7, 1986.

JONAS, ALLAN K, 2005/0857 (2006)

84200 - 6 counts
 \$3,060

Allan K. Jonas of Los Angeles failed to file semi-annual campaign statements in 2002, 2003 and 2004.

JONATHAN GREY & ASSOCIATES, 1999/0369 (2000)

84200 - 1 count
 \$400

Jonathan Grey & Associates, located in San Clemente, failed to timely file a major donor campaign statement for contributions made to Friends of Steve Apodaca committee, Tillotson for Assembly ‘98 committee, and the Lungren for Governor committee in 1998.

JONES, CLIFTON S, 1988/0056 (1988)

84301 - 6 counts
 \$12,000

Clifton Jones, Jr., a Cerritos-based developer, laundered \$10,890 in campaign contributions in 1985 and 1986. Jones made the contributions using employees, relatives, and friends, who were reimbursed by the developer. The contributions, ranging from a total of \$396 to \$3,762, were made in \$99 increments to six pro-development candidates for the Moreno Valley City Council.

JONES, DALE L., AND COMMITTEE TO ELECT DALE L. JONES, 2001/0592 (2004)

84104 - 1 count
 84200 - 1 count
 84200.8 - 1 count
 84211 - 1 count
 \$4,000

Dale L. Jones, unsuccessful candidate for Lynwood City Council, and his controlled committee, Committee to Elect Dale L. Jones, failed to file campaign statements, failed to properly report non-monetary contributions received, and failed to maintain detailed records and accounts in 1997.

JONES, G. BRADFORD, 2002/1033 (2003)

84203 - 1 count
 \$3,500

G. Bradford Jones of Los Angeles failed to file a late contribution report in 2002.

JONES, GEOFFREY C, 2003/0637 (2004)

84200 - 1 count
\$2,000

Geoffrey C. Jones of New York City failed to file a major donor campaign statement in 2002.

JONES, M.W. “MICK”, 1984/0331 (1987)

87100 - 4 counts
87206 & 87207 - 6 counts
\$13,500

Modoc county Supervisor Mick Jones voted on matters that affected a source of income involving local development projects owned or operated by Leisure Industries, Inc. During the 12 months preceding his votes on the projects identified as California Pines Lake Unit No. 5 and Hill Unit No. 6, Jones received income from Leisure Industries which exceeded \$250. Jones received the income through his company Mobile Home Services. Jones failed to properly disclose income and loans to Mobile Home Services in 1983, 1984, and 1985.

JONES, RAYMOND, 2008/0405 (2010)

87300- 1 count
\$2,000

Raymond Jones, California Board of Registered Nurses committee member, failed to file an assuming office Statement of Economic Interests by the November 2, 2006, due date.

JORDAN, FRANK M., COMMITTEE TO ELECT FRANK M. JORDAN MAYOR, AND ROY E. HAHN, TREASURER, 1994/0654 (1996)

84104 - 2 counts
84203 - 7 counts
84211 - 7 counts
84211 & 84303 - 6 counts
\$35,000

Frank M. Jordan, a successful candidate for San Francisco mayor in 1991, and his controlled committee and its treasurer, Roy E. Hahn, failed to timely disclose subvendor payments, accrued expenditures, and receipt of contributions, and failed to maintain required records for expenditures and contributions. In addition, they also failed to provide occupation and employer contributor information on campaign statements filed during 1991.

JORDAN, THOMAS J., II, 2000/0416 (2000)

84203 - 1 count
\$1,500

Thomas J. Jordan, II of Santa Rosa failed to file a late contribution report during the March 7, 2000, primary election.

JOSEPHS, WILLIAM, PHD, 2006/0299 (2006)

84200 - 1 count
\$400

William Josephs, PhD of Sherman Oaks failed to timely file a semi-annual campaign statement in 2004.

K. C. METAL PRODUCTS, INC, 1997/0208 (1997)

84300 & 84301 - 12 counts
\$21,600

K. C. Metal Products, Inc., a business which manufactures metal joint hangers for commercial and residential buildings located in San Jose, laundered contributions made to San Francisco mayoral candidate Willie Brown in 1995.

K. HOVNIANIAN COMPANIES OF CA, INC, 1999/0384 (2000)

84200 - 1 count
\$400

K. Hovnianian Companies of CA, located in Irvine, failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

K. HOVNIANIAN FORECAST HOMES, 2003/0792 (2005)

84200 - 3 counts
84203 - 4 counts
84605 - 4 counts
\$26,000

K. Hovnianian Forecast Homes of Ontario failed to disclose late contributions in 2002, failed to timely file semi-annual campaign statements in 2002 and 2003, and failed to electronically file semi-annual campaign statements in 2002 and 2003.

KAGLE, ROBERT C, 2004/0144 (2005)

84203 - 1 count
\$4,240

Robert C. Kagle of San Mateo county failed to disclose a late contribution on a properly filed late contribution report.

KAISER, CHRISTIE, 2008/0584 (2010)

87300 & 87302 - 2 counts
\$400

Christie Kaiser, Supervisor, Forms Management Unit, Department of Social Services, Administrative Division, State of California, failed to disclose on Statements of Economic Interests for the calendar years 2005 and 2006, her husband’s employer/source of income.

KAMBISH, JOHN, 2006/0476 (2007)

87300 - 1 count
\$100

John Kambish, a member of the Santa Clara Valley Transportation Authority Deferred Compensation Committee, failed to timely file his 2005 annual statement of economic interests.

KAMBOJ, NARESH, 2000/0800 (2001)

87300 - 1 count
\$500

Naresh Kamboj, a member of the California Commission on Aging, failed to timely file an assuming office statement of economic interests in 2000.

KAMBOJ, NARESH, 2003/0547 (2004)

87300 - 2 counts
\$3,500

Naresh Kamboj failed to timely file a 2001 annual and leaving office statement of economic interests.

KAMILOS, KAREN, 2007/0530 (2007)

84200 - 1 count
\$400

Karen Kamilos of Fair Oaks failed to timely file a semi-annual campaign statement disclosing contributions totaling \$22,300 in 2006.

KAMINSKY, JAMES, 2010/0268 (2010)

87207 - 3 counts
89503(c) - 1 count
\$1,000

James Kaminsky, Captain, Newport Beach Police Department, failed to report gifts of hotel accommodations, Chicago Cubs tickets, and dinner at the Ritz Restaurant on his assuming office, 2007 and 2008 annual Statements of Economic Interests. Respondent also accepted a gift from a single source in 2007, which exceeded the annual gift limit of \$390.

KAMMERER AND COMPANY AND LORI KAMMERER, 2011/1168 (2012)

86205(d) - 1 count
\$2,000

Kammerer and Company is a registered lobbying firm located in Sacramento and Lori Kammerer is a registered lobbyist and the owner of the firm. On or about August 26, 2011, they caused a communication to be sent on behalf of a fictitious coalition named the Coalition of Small and Disabled Veteran Businesses to the California Legislature.

KANE, SUE, 2011/0396 (2011)

87207 - 1 count
\$200

Sue Kane, as principal advisor to the Board President of CalPERS, failed to disclose a gift of dinner, valued at \$100.76 received from Goldman Sachs in 2008, on her 2008 annual Statement of Economic Interests.

KAPLAN, FOX & KILSHEIMER, LLP, 2006/0530 (2006)

84200 - 2 counts
\$1,200

Kaplan, Fox & Kilsheimer, LLP of New York, New York, failed to timely file semi-annual campaign statements

disclosing contributions totaling \$12,500 in 2004 and \$11,000 in 2005.

KAPOR, MITCHELL D, 2005/0567 (2005)

84203 - 1 count
\$1,500

Mitchell D. Kapor of San Francisco failed to file a late contribution report in 2004.

KAPOR, MITCHELL D, 2004/0791 (2005)

84200 - 1 count
\$400

Mitchell D. Kapor of San Francisco failed to file a major donor campaign statement in 2003.

KARIPINENI, SHAKIRA, 1991/0375 (1993)

84300 - 1 count
84301 - 12 counts
\$26,000

The Commission rejected an administrative law judge's proposed decision that recommended a \$10,000 fine be assessed against Dr. Shakira Karipineni of Fremont for laundering campaign contributions made to two Fremont city councilmen and a mayoral candidate. After reviewing a transcript of a hearing of the Karipineni case, the Commission fined Karipineni \$26,000.

KARLSHOEJ, AXEL AND INGE, 2005/0004 (2005)

84200 - 1 count
\$400

Axel and Inge Karlshoej of Yuba City failed to file a major donor campaign statement in 2004.

KASAINI, KATANO, 2013/0370 (2013)

87207 - 2 counts
\$400

Katano Kasaine, as Treasury Manager for the City of Oakland, failed to timely disclose gift(s) of: four meals totaling \$160.07 on her 2010 Statement of Economic Interests; and four meals totaling \$155.42 on her 2011 Statements of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

KASEY COTULLA FOR SACRAMENTO CITY COUNCIL 2010, 2012/0107 (2012)

84200 - 4 counts
\$800

Kasey Cotulla for Sacramento City Council 2010 and Kasey Cotulla, Treasurer, failed to file four semi-annual campaign statements in a timely manner for the reporting periods of March 18, 2010, through June 30, 2010, due August 2, 2010; July 1, 2010, through December 31, 2010, due January 31, 2011; January 1, 2011, through June 30, 2011, due August 1, 2011; and July 1, 2011, through December 31, 2011, due January 31, 2012.

KASHANI, MIRSAIED, 2004/0762 (2005)

84203 - 1 count
\$1,500

MirSaied Kashani of Los Angeles failed to file a late contribution report in 2003.

KASLER, MICHAEL, 2013/0851 (2013)

87207 - 1 count
\$200

Michael Kasler, as the President of the North Orange county Community College District, failed to timely disclose gift(s) of: one meal valued at \$180.00 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

KASOLAS, GEORGE, AND COMMITTEE TO ELECT GEORGE KASOLAS, 1988/0530 (1990)

84305 - 1 count
\$1,500

George Kasolas, unsuccessful candidate for Campbell City Council, and his campaign committee failed to provide proper sender identification on a 1988 campaign mailer. The mailing in question involved more than 6,000 letters which purported to be from “Dan McCorquodale, State Senator.” The letter of endorsement on the Senator’s stationery had been prepared and sent by the candidate and his committee.

KATZ, RICHARD AND RICHARD KATZ FOR MAYOR COMMITTEE, 1996/0285 (1997)

84101 - 1 count
84211 - 1 count
\$4,000

The Richard Katz for Mayor Committee, and Richard Katz, candidate for mayor of the City of Los Angeles in 1993, failed to maintain source documentation for expenditures and did not disclose subvendor information for expenditures on campaign statements.

KAUFMAN AND BROAD HOME CORPORATION, 1998/0701 (1999)

84203 - 5 counts
\$5,000

Kaufman and Broad Home Corporation, located in Los Angeles, failed to timely file late contribution reports in 1998 for contributions totaling \$20,500.

KAUPP, MICHAEL AND DONALD PRIME, 2006/0107 (2010)

87206 & 87207 - 2 counts
\$400

Michael Kaupp and Donald Prime, as members of the San Clemente Planning Commission, each failed to disclose the sale of real property and the buyer of that real property on their 2005 Statements of Economic Interests.

KAWAR, FAKHRY F, 1994/0022 (1996)

84300 & 84301 - 11 counts

\$22,000

Fakhry F. Kavar, who manages and represents numerous business interests and properties within Contra Costa county, laundered contributions made to candidates for the Contra Costa Board of Supervisors in 1992.

KAWCZYNSKI, STAN, 1991/0031 (1992)

84305 - 1 count
\$2,000

Stan Kawczynski was a successful candidate for Sunnyvale City Council in a January 1991 special election. Shortly before the election, he produced and sent a mass mailing allegedly from a supporter, without including himself as the sender on either the outside or inside.

KAY, MARVIN, 2000/0434 (2001)

84200 - 1 count
84203 - 1 count
\$2,500

Marvin Kay of Los Angeles failed to file a late contribution report and a major donor campaign statement in 2000.

KEARNY, CARMEN, 2013/0095 (2013)

87300 - 2 counts
\$400

Carmen Kearny, while serving as a Director on the Western Hills Water District Board of Directors, failed to timely disclose income received from World International, Inc. on her Assuming Office Statement of Economic Interests and 2009 Annual Statement of Economic Interests.

KEARNY, DOUGLAS, 2013/0096 (2013)

87300 - 2 counts
\$400

Douglas, Kearny, while serving as a Director on the Western Hills Water District Board of Directors failed to timely disclose income received from World International, Inc. on his Assuming Office Statement of Economic Interests and 2009 Annual Statement of Economic Interests.

KEEGAN, TODD, 1996/0662 (2000)

87100 - 1 count
87203 & 87207 - 2 counts
\$4,000

Todd Keegan, member of the El Cajon City Council, made governmental decisions which had a reasonably foreseeable material financial effect on sources of income to him in 1996 and also failed to disclose a source of income to him on his 1997 and 1998 annual statements of economic interests.

KELLEY, DAVID AND MICHELLE, 2000/0436 (2000)

84203 - 1 count
\$1,500

David and Michelle Kelley of Manhattan Beach failed to file a late contribution report during the March 7, 2000, primary election.

KELLEY, DAVID G., KELLEY ASSEMBLY CAMPAIGN COMMITTEE 2002, AND JAMES W. TRIMBLE, TREASURER, 2002/0082 (2002)

84303 - 1 count
\$1,500

David G. Kelley, a member of the Assembly 80th District, his controlled committee, Kelley Assembly Campaign Committee 2002, and James W. Trimble, its treasurer, failed to report required information on campaign statements covering reporting periods in 2000 regarding payments made to subvendors.

KELLOGG, PETER R, 2003/0404 (2003)

84200 - 1 count
\$400

Peter R. Kellogg of New York, New York, failed to file a major donor campaign statement in 2002.

KELLOGG, STEPHEN, 2003/0229 (2004)

87300 - 3 counts
\$300

Stephen Kellogg failed to timely file an assuming office, 2001 annual, and 2002 annual statement of economic interests.

KELLY, CHRISTOPHER, ET AL., 2012/0105 (2013)

84211(k) & 84303 - 1 count
\$2,500

Christopher Kelly, Kelly for Attorney General 2010, and Jane Leiderman, Treasurer. Chris Kelly ran for Attorney General in 2010 but lost in the Primary Election. Kelly for Attorney General 2010 was his campaign committee and Jane Leiderman served as the committee's treasurer. They failed to timely report required subvendor information on a preelection campaign statement covering the period March 18, 2010, through May 22, 2010, due May 27, 2010, for expenditures totaling \$7,729,352, and failed to timely report required subvendor information on a semi-annual campaign statement covering the period May 23, 2010, through June 30, 2010, due August 2, 2010, for expenditures totaling \$2,192,168.

KELLY, JAMES M. AND JIM KELLY FOR GROSSMONT UNION HIGH SCHOOL BOARD FOR 2010, 2012/0825 (2013)

84200 - 1 count
\$200

James M. Kelly, as a candidate and officeholder for member of San Diego county's Grossmont Union High School Board failed to timely file the semi-annual campaign statement covering the period January 1, 2012 through June 30, 2012, due July 31, 2012, with the San Diego county Registrar of Voters.

KELLY, JOHN A., JR, 1987/0224 (1988)

87206 - 1 count
87207 - 1 count
\$4,000

John Kelly, Huntington Beach Councilmember, failed to disclose his interest in real property on his 1986 and 1987 statements of economic interests. The real property is located in an area frequently discussed by the city council.

KELLY, MICHAEL R, 2004/0145 (2005)

84203 - 1 count
\$2,430

Michael R. Kelly of San Diego failed to file a late contribution report in 2003.

KELLY, THOMAS F, 2003/0331 (2003)

84200 - 1 count
\$400

Thomas F. Kelly of Boston, Massachusetts, failed to file a major donor campaign statement in 2002.

KENDREW DEVELOPMENT COMPANY, LLC, 2009/0320 (2009)

84200 - 1 count
\$658

Kendrew Development Company, LLC, a major donor committee, failed to timely file a major donor statement, Form 461.

KENNEDY WILSON, INC, 2003/0577 (2004)

84200 - 1 count
\$2,000

Kennedy Wilson, Inc. failed to file a major donor campaign statement in 2002.

KENNEDY, DRAKE, 1997/0097 (2001)

84200 - 1 count
84200.8 - 1 count
84204 - 4 counts
84305 - 5 counts
\$18,000

Drake Kennedy, co-owner of a billboard company in the City of Monterey Park, failed to properly disclose the true sender on five mass mailings opposed to a candidate for city council. In addition, Kennedy failed to timely file a pre-election statement, late independent expenditure reports, and a semi-annual statement in 1997 to report expenditures in connection with these mailers.

KENNEDY, JAMES, 1998/0047 (2000)

87100 - 2 counts
\$250

James Kennedy, a Clearlake City Council member, violated the conflict-of-interest provisions when he voted in 1996 and 1997 on the abatement of a public nuisance on properties within 300 feet of his residence.

KENYON, GRANT, 1986/0480 (1988)

84309 - 10 counts
\$10,000

Sacramento lobbyist Grant Kenyon caused a state employed legislative messenger to personally deliver campaign contributions to 10 legislators in the State Capitol. The contributions were personally delivered to legislators on August 29, 1986, because the lobbyist wanted to be sure the legislators received them before they left town at the end of the legislative session.

KERN COUNTY REPUBLICAN CENTRAL COMMITTEE AND MATT BRADY, 2003/0475 (2004)

85303 - 2 counts
\$10,000

Kern county Republican Central Committee, a political party committee, and its treasurer, Matt Brady, accepted and improperly used contributions in excess of the legal limits in 2002.

KERN COUNTY YOUNG REPUBLICANS VOTING GUIDE, KERN COUNTY YOUNG REPUBLICANS PAC, AND BRYAN WILLIAMS, 2010/1095 (2013)

84219(h)(5) - 1 count
84204 - 1 count
84211(k)(5) - 1 count
84219(e) - 1 count
84204 - 1 count
\$11,000

Kern county Young Republicans Voting Guide ("KCYRVG"), is a slate mailer organization. Kern county Young Republicans PAC ("KCYRPAC"), is a state general purpose recipient committee sponsored by the Kern county Young Republicans. Bryan Williams was the Treasurer for both entities. For the reporting period ending May 22, 2010, KCYRVG and Williams failed to timely report subvendor information for disbursements totaling approximately \$27,020. Also, on or about June 3, 2010, KCYRPAC made an independent expenditure in the approximate amount of \$5,978, but KCYRPAC and Williams failed to report the expenditure by filing a late independent expenditure report within 24 hours. Additionally, KCYRPAC and Williams were required to disclose the candidate who received the benefit of the foregoing independent expenditure on a semi-annual campaign statement that was filed for the period ending June 30, 2010, but failed to do so. Also, during the reporting period ending June 30, 2010, KCYRVG produced and sent a slate mailer supporting various candidates, but KCYRVG and Williams failed to report required information about several of the candidates who did not pay for the support of the slate mailer. Additionally, on or about October 29, 2010, KCYRPAC made an independent expenditure in the approximate amount of \$13,604, but KCYRPAC and Williams failed to report the expenditure by filing a late independent expenditure report within 24 hours.

KERN, JOSEPH P. D, 1990/0888 (1992)

87203 - 2 counts
\$4,000

Joseph Kern, City Attorney for the City of Fillmore, failed to timely file his 1989 and 1990 statements of economic interests.

KERSHNER, LORRI, 2009/0379 (2009)

87300 - 1 count
\$200

Lorri Kershner, Commissioner for the Santa Cruz Arts Commission for the City of Santa Cruz, failed to timely file an assuming office Statement of Economic Interests.

KEYANI, BARBARA, 2003/0032 (2003)

87300 - 2 counts
\$3,000

Barbara Keyani, coordinator of Special Projects and Communications for the Santa Barbara School District, failed to properly disclose income on her 2001 and 2002 annual statements of economic interests.

KHASHOGGI, ESSAM, 1999/0370 (2000)

84200 - 2 counts
\$800

Essam Khashoggi of Santa Barbara failed to timely file major donor campaign statements disclosing contributions made to Chris Mitchum for Assembly Committee and Lungren for Governor Committee in 1998.

KICK LAW FIRM, APC, 2005/0552 (2005)

84200 - 1 count
\$900

The Kick Law Firm of Los Angeles failed to file a major donor campaign statement in 2004.

KIDSPART, 2001/0241 (2004)

84200 - 1 count
\$1,200

Kidspart failed to file a major donor campaign statement in 2000.

KIERNAN, PETER D, 2003/0405 (2003)

84200 - 1 count
\$400

Peter D. Kiernan of Greenwich, Connecticut, failed to file a major donor campaign statement in 2002.

KIESCHNICK, MICHAEL HALL, 2004/0796 (2005)

84200 - 1 count
\$400

Michael Hall Kieschnick of Palo Alto failed to file a major donor campaign statement in 2003.

KIEU, QUYNH, 2004/0538 (2006)

87203 - 1 count
\$250

Quynh Kieu, a member of the Orange county Families and Children Commission's Technical Advisory Committee, failed to timely file a 2003 annual statement of economic interests.

KILEY, ELIZABETH, 2006/0040 (2006)

87300 - 1 count
\$100

Elizabeth Kiley, a consultant to the City of Westminster, failed to timely file an assuming office statement of economic interests.

KILLEA, LUCY AND M. LARRY LAWRENCE, 1983/0016 (1984)

84300 - 1 count
\$1,000

San Diego Assemblywoman Lucy Killea accepted an illegal \$5,000 cash contribution from San Diego businessman M. Larry Lawrence in August 1983.

On or about September 13, 1983, Ms. Killea drafted a check for cash on her campaign account and returned the \$5,000. She also paid Lawrence interest on the \$5,000 contribution.

KILLEA, LUCY, LUCY KILLEA FOR SENATE, FRIENDS OF LUCY KILLEA, AND JOHN F. KILLEA, TREASURER, 1991/0203 (1992)

84200.5 - 4 counts
84203 - 4 counts
\$9,000

State Senator Lucy Killea failed, during a 1989 special election, to timely file pre-election reports for one of her controlled committees and failed to timely file four late contribution reports for a second committee. Killea also failed to timely file two additional pre-election reports for 1990, a year when she was not up for election.

KIM, DAVID, 2010/0774 (2011)

87300 - 1 count
\$400

David Kim, Integrated Waste Management Task Force Commissioner (county of Los Angeles), failed to timely file an annual Statement of Economic Interests for the reporting period of January 1 through December 31, 2009.

KIM, DOUGLAS, 1983/0010 (1984)

81008 - 2 counts
\$0

Douglas Kim, Deputy Administrative Officer for the Assembly Rules Committee, on two separate occasions in 1982, required identifying information from an individual as a condition for inspecting and copying statements of economic interests filed with the Rules Committee.

KINDRED HEALTHCARE OPERATIONS, INC, 2007/0041 (2007)

84200 - 3 counts

\$1,600

Kindred Healthcare Operations, Inc. of Louisville, Kentucky, failed to timely file semi-annual campaign statements disclosing contributions totaling \$10,600 in 2004, \$11,200 in 2005 and \$10,750 in 2006.

KINETICS GROUP, INC, 2003/0406 (2003)

84200 - 1 count
\$400

Kinetics Group, Inc. of Santa Clara failed to file a major donor campaign statement in 2002.

KING, ANITA, 1994/0409 (1996)

87302 - 3 counts
\$4,500

Anita King, a member of the Colorado River Board of California, failed to file her annual statement of economic interests for three consecutive years, from 1992-1994.

KING, COBY, 2002/0433 (2003)

87300 - 1 count
\$400

Coby King of Los Angeles, member of the California Council on Criminal Justice, failed to file an assuming office statement of economic interests in 2002.

KING, JEANETTE A, 2006/0165 (2007)

84203 - 1 count
\$2,850

Jeanette A. King of Livermore failed to timely disclose late contributions totaling \$19,000.

KING, KENNETH, 2013/0018 (2013)

87300 - 1 count
\$200

Kenneth King, a member of the Marin county Service Area Advisory Board, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

KING'S COUNTY TRUCK LINES, 2003/0042 (2003)

84203 - 1 count
\$1,500

King's county Truck Lines of Tulare failed to file a late contribution report in 2002.

KINGMAN, WOODWARD, 1999/0812 (2000)

87300 & 87302 - 1 count
\$600

Woodward Kingman, an Alternate Commissioner for the San Francisco Conservation and Development Commission, failed to timely file his 1998 annual statement of economic interests.

KINGS ARCO ARENA LIMITED PARTNERSHIP, 2004/0165 (2005)

84203 - 1 count
84605 - 1 count
\$10,000

Kings Arco Arena Limited Partnership, headquartered in Sacramento, failed to timely disclose a late contribution in a late contribution report, and failed to disclose a late contribution in an electronically filed late contribution report.

KINGS COUNTY DEMOCRATIC CENTRAL COMMITTEE, WALTER JOHNSON, TREASURER, 2011/0597 (2012)

84200 - 1 count
\$200

Kings county Democratic Central Committee and its treasurer at the time of the violations, Walter Johnson, failed to file semi-annual campaign statements in a timely manner for the reporting periods of January 1, 2010, through June 30, 2010, due by August 2, 2010; July 1, 2010, through December 31, 2010, due by January 31, 2011; and January 1, 2011, through June 30, 2011, due by August 1, 2011, with the Secretary of State.

KIRALY, VIRGINIA, 2011/0845 (2011)

87300 - 1 count
\$200

Virginia Kiraly, Commissioner for the State of California Economic Development Commission, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

KIRKPATRICK & BOSWELL, 2001/0023 (2001)

84200 - 1 count
\$400

Kirkpatrick & Boswell of San Luis Obispo failed to file a major donor campaign statement in 2000.

KIRKPATRICK, GREGORY, 2006/0484 (2006)

87203 - 1 count
\$100

Gregory Kirkpatrick, the vice-mayor of the City of Visalia, failed to timely file a 2005 annual statement of economic interests.

KIRSCHENMAN ENTERPRISES, INC, 2006/0174 (2006)

84200 - 1 count
\$400

Kirschenman Enterprises, Inc. of Edison failed to timely file a semi-annual campaign statement disclosing contributions totaling \$1,000 in 2004.

KIRWAN, ROGER, 1999/0372 (2000)

84200 - 1 count

\$400

Roger Kirwan of Corona del Mar failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

KIRWAN, ROGER, 2002/0708 (2003)

84203 - 1 count
\$2,500

Roger Kirwan of Newport Beach failed to file a late contribution report in 2002.

KLEE, OSCAR AND BETTY FRANKLIN, 1979/0058 (1980)

81004 - 1 count
84201 - 2 counts
84209 - 1 count
84210 - 2 counts
\$2,100

Oscar Klee, candidate for state assembly, and the Oscar Klee for Assembly Committee, committed campaign disclosure violations when they failed to file a campaign statement. Betty Franklin, treasurer of the committee, failed to use all reasonable diligence in the preparation of the statement.

KLORESE, ROGER B, 2000/0403 (2001)

84200 - 1 count
84203 - 1 count
\$3,500

Roger B. Klorese of San Francisco failed to file a major donor campaign statement and a late contribution report in 2000.

KNABE, DON, AND THE DON KNABE FOR STATE SENATE COMMITTEE, 1988/0564 (1991)

84305 - 1 count
\$500

The Commission rejected an administrative law judge's recommended findings and considered the case after reviewing the entire record. A decision was made by the Commission that Don Knabe, an unsuccessful candidate for the state senate in 1988, and his campaign committee, had violated mass mailing provisions when they sent 1,900 letters to disabled veterans critical of his opponent, freshman Senator Cecil Green, which did not identify Knabe or his committee on the outside of the envelope.

KNAPP, LARRY, 2001/0024 (2001)

84200 - 1 count
\$400

Larry Knapp of Turlock failed to file a major donor campaign statement in 2000.

KNEDEL, HAROLD, 1988/0125 (1989)

87100 - 1 count
\$1,500

Harold Knedel, former director of the Division of Law Enforcement of the state Department of Justice, violated conflict-of-interest provisions by his participation in contract negotiations between the Department of Justice and UNISYS Corporation for the purchase of a computer system. Mrs. Knedel received computer equipment valued at between \$2,000 and \$2,800 as payment for consulting services from UNISYS, resulting in community property income to him of more than \$250. It was noted that the income from the computer firm was disclosed on the official's statement of economic interests.

KNIGHT & ASSOCIATES, 2000/0467 (2000)

84203 - 3 counts
\$4,875

Knigh t & Associates of Chico failed to file a late contribution report during the March 7, 2000, primary election.

KNOWLES, DAVID, DAVID KNOWLES FOR ASSEMBLY AND GLENN L. ENGLISH, TREASURER, 1992/0382 (1993)

84203 - 5 counts
\$6,000

State Assemblyman David Knowles, his committee, and its treasurer, Glenn L. English, committed campaign disclosure violations when they failed to file late contribution reports in the 1990 primary and general elections. The contributions received during those two periods amounted to \$10,700.

KNOX, JOHN AND NOSSAMAN, KRUEGER AND KNOX, 1982/0020 (1984)

86105 - 1 count
86109 - 4 counts
86110 - 2 counts
\$3,500

Lobbyist John T. Knox and the law firm of Nossaman, Krueger and Knox failed to disclose accurately and in a timely manner payments received from clients totaling \$142,683 and failed to disclose an \$18,750 payment to a former associate, William T. Bagley, for lobbying services on behalf of Texas Air Corporation in 1981. Bagley, a registered lobbyist at the time, properly reported his receipt of the payment.

KNOX, ROBERT A., COMMITTEE TO RE-ELECT JUDGE ROBERT A. KNOX AND STEPHEN H. SMITH, TREASURER, 1990/0574 (1991)

84203 - 1 count
\$1,500

Robert A. Knox, Orange county Superior Court Judge, the Committee to Re-elect Judge Robert A. Knox, and Stephen H. Smith, committee treasurer, failed to file a late contribution report for two contributions received totaling \$21,000 in 1988.

KNUEVEN, DENNIS, 2011/0399 (2011)

87207 - 4 counts

\$800

Dennis Knueven, Portfolio Manager with CalPERS, failed to disclose a total of 8 gifts on his 2008 annual Statement of Economic Interests. Mr. Knueven failed to disclose gifts of a bag and a kayak trip valued at \$90.00 received from Draper Fischer Jurvetson, as well as gifts of meals, a t-shirt, parking, and a movie from LP Capital Advisors valued at \$87.00. On his 2009 annual Statement of Economic Interests, Mr. Knueven failed to disclose a gift of a USB device/flip camera received from Silver Lake totaling \$129.99 and a gift of meals from Thomas Weisel Venture totaling \$86.00.

KOCH, JUDITH H, 2006/0587 (2006)

84203 - 1 count
\$3,500

Judith H. Koch of Mountain View failed to timely disclose a late contribution totaling \$25,000.

KOCH, JUDITH H, 2006/0586 (2006)

84200 - 3 counts
\$1,970

Judith H. Koch of Mountain View failed to timely file semi-annual campaign statements disclosing contributions totaling \$46,200 in 2004 and \$88,700 in 2005.

KOENIG, BRADFORD C, 2004/0147 (2004)

84203 - 1 count
\$3,000

Bradford C. Koenig failed to file a late contribution report in 2003.

KOJAIAN, C. MICHAEL, 1998/0332 (1998)

84200 - 1 count
84203 - 1 count
\$2,500

C. Michael Kojaian of Southfield, MI, failed to file a late contribution report and a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

KOLODNEY, STEVE, 1994/0237 (1996)

87100 - 7 counts
87401 - 1 count
87402 - 1 count
\$16,200

Steve Kolodney, a former state administrative official of the Office of Information Technology (OIT), the agency with statutory responsibility to approve proposed expenditures for electronic data processing projects, who left state service and became a principal shareholder in, and employee of, the Government Technology Group (GTG), violated the "revolving door" provisions of the Act by representing Tandem Computers, a client of GTG, before the OIT and the Department of Motor Vehicles in connection with the Data Base Redevelopment Project in

1990. Kolodney returned to his former position at OIT in 1991 and subsequently violated the conflict-of-interest provisions on seven occasions when he participated in decisions that had a material financial effect on Tandem, a continuing source of income.

KOMATSU AMERICA INTERNATIONAL COMPANY, 2002/0709 (2002)

84203 - 1 count
\$3,500

Komatsu America International Company of Vernon Hills, Illinois, failed to file a late contribution report in 2002.

KONGSLIE, JEFFREY, 2009/0377 (2010)

87302 - 4 counts
\$4,000

Jeffrey Kongslied served as Santa Cruz Downtown Commissioner, a designated position in the conflict of interest code for the City of Santa Cruz. Jeffrey Kongslied failed to timely file an assuming office Statement of Economic Interests, a 2007 Statement of Economic Interests, a 2008 Statement of Economic Interests, and a leaving office Statement of Economic Interests.

KOPF, MYRA, FRIENDS OF MYRA KOPF, AND DAVID LOOMAN, AND PATRICIA WIZEMAN, 1986/0560 (1989)

84203 & 84211 - 1 count
84305 - 2 counts
\$5,000

San Francisco school board member Myra Kopf, her committee, treasurer, and political consultant committed mass mailing and campaign reporting violations in 1986. The mass mailings were sent shortly before the November 4, 1986, school board election and failed to properly identify Friends of Myra Kopf as the sender. Additionally, the candidate, her committee and treasurer, Patricia Wizeman failed to report late in-kind contributions resulting from the use of the bulk mail permit provided by the San Francisco Labor Council.

KOPP, QUENTIN AND FRIENDS OF KOPP COMMITTEE, 1979/0057 (1980)

84210 - 1 count
84300 - 1 count
\$2,000

San Francisco Supervisor Quentin L. Kopp accepted an illegal cash contribution consisting of laundered one hundred dollar bills and failed to take steps to report the true source of the money. The action stems from an occurrence on April 3, 1978, when Kopp contacted San Francisco developer Warren Simmons and solicited a contribution for his Friends of Kopp Committee. Later that day, an unidentified woman delivered an envelope to Kopp containing ten one hundred dollar bills. There was no information inside or outside of the envelope concerning the source of the \$1,000 in cash. Kopp contacted Simmons again and was informed by

Simmons that the contribution was from San Francisco investment banker Phillip Greer. The money was deposited in the Kopp campaign committee account after first being converted into a cashier's check.

Later in April 1978, Kopp obtained a confirmation letter from Greer and the Kopp committee campaign report for the period of January 1 through June 30, 1978, and disclosed Greer as the contributor of the \$1,000.

In late May 1978, Kopp was informed that it was illegal at that time to accept cash contributions in amounts of \$50 or more. Kopp contacted Greer and was informed by Greer that the money was not his. Still, Greer agreed to accept return of the contribution he had not made, and the Kopp committee sent him a check for \$1,000 on December 13, 1978.

After being told by Greer that the contribution was not from him, Kopp took no steps to determine the true source of the contribution. The Kopp committee's campaign report for the period of July 1 through December 31, 1978, disclosed the return of the \$1,000 to Greer. There was no mention of the name, Warren Simmons.

KOPP, QUENTIN AND FRIENDS OF QUENTIN KOPP, 1984/0300 (1987)

84211 & 84300
\$4,000

Senator Quentin Kopp and his controlled committee, Friends of Quentin Kopp, failed to disclose certain contributor, vendor and expenditure information on nine campaign statements filed between 1982 and 1984 in connection with Kopp's campaign for county supervisor. The Friends of Quentin Kopp committee also admitted having received unlawful cash contributions of \$100 or more during 1982.

KOPSHEVER, JAMES, 2012/0085 (2013)

87100 - 1 count
\$3,000

James Kopshever, a member of the City of Chowchilla City Council, made a governmental decision in which he had a financial interest, by voting to award a five-year farm land lease agreement to Fagundes Brothers Dairy, which was a source of income to him.

KORVE ENGINEERING, 1996/0036 (1996)

84300 & 84301 - 11 counts
\$19,000

Korve Engineering, a corporation that specializes in civil and transportation engineering located in Oakland, laundered campaign contributions made to officials in northern California between 1992-1995.

KOSMO, FREDERICK, 2002/0234 (2003)

87300 - 1 count
\$600

Frederick Kosmo, member, California Apple Commission, failed to timely file an assuming office statement of economic interests in 2001.

KOUNALAKIS, ANTONIOS M, 2002/0277 (2007)

84200 - 1 count
\$400

Antonios M. Kounalakis of Woodside failed to timely file a semi-annual campaign statement disclosing contributions totaling \$22,300.

KPFF CONSULTING ENGINEERS, 2006/0633 (2006)

84200 - 1 count
\$800

KPFF Consulting Engineers of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,500 in 2004.

KRADJIAN, RAFFI, 2003/0076 (2004)

87300 - 1 count
\$100

Raffi Kradjian failed to timely file an assuming office statement of economic interests.

KRAMER, GUS S, 1994/0230 (2005)

87200 - 3 counts
87300 - 6 counts
\$6,000

Gus S. Kramer, a real property agent for the Department of Public Works for Contra Costa county, as well as the City Clerk and an elected Planning Commissioner for the City of Martinez, failed to disclose numerous interests in real property and sources of income, including loans, on his annual statements of economic interests from 1991 through 1993.

KRANZ, THOMAS F, 1999/0367 (2001)

84200 - 1 count
\$400

Thomas F. Kranz of Beverly Hills failed to timely file a major donor report for contributions made to the California Republican Party in 1998.

KRAUSE, EILEEN, 1994/0201 (1996)

87100 - 2 counts
\$2,500

Eileen Krause, an alternate director of the Orange county Transportation Authority in 1993 and 1994, made governmental decisions which had a material financial effect on Disneyland, a source of income to her business.

KRAVIS, HENRY R, 2006/0301 (2006)

84200 - 1 count
\$400

Henry R. Kravis of New York, New York, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

KRAVIS, THOMAS, 2002/0935 (2005)

87300 - 1 count
\$250

Thomas Kravis, a board member with the Department of Consumer Affairs' Board of Registered Nursing, failed to timely file a 2001 annual statement of economic interests.

KROLL, BERNARD (BUD) AND J.P. MORGAN INVESTMENT MANAGEMENT, INC, 2001/0463 (2002)

87200 & 87302 - 2 counts
\$1,200

Bernard (Bud) Kroll, a managing director with J.P. Morgan Investment Management, Inc., and Asset Management Consultant for the California Public Employees Retirement System, failed to timely file assuming office and 2000 annual statements of economic interests.

KROOT, M. DAVID, 2012/0411 (2012)

87300 - 1 count
\$400

M.David Kroot, San Bernardino county Housing Authority Consultant, failed to timely file a 2011 Annual Statement of Economic Interests for the reporting period January 1, 2011 through December 31, 2011, by the April 2, 2012, due date.

KRUER, WILLIAM, 2004/0505 (2004)

87203 - 1 count
\$100

William Kruer failed to timely file a 2003 annual statement of economic interests

KRUER, WILLIAM PATRICK, 2010/0270 (2010)

87300 - 5 counts
\$1,000

William Patrick Kruer, Commissioner, California Coastal Commission, failed to report his leasehold interest in 10251 Vista Sorrento Parkway, San Diego, California; and income from J.T. Kruer & Co. on his 2005, 2006, 2007, 2008, and 2009 annual Statements of Economic Interests.

KUDLER, ROBERT AND K&W AVIATION, 2001/0443 (2003)

84104 - 1 count
84200 - 1 count
84204 - 5 counts
\$12,000

Robert Kudler and his company, K&W Aviation, located in Lynwood, failed to report late independent expenditures, failed to file a semi-annual campaign statement, and failed to maintain required campaign records in 1997 and 1998.

KUEBLER, RICHARD, 1989/0044 (1992)

84200 - 2 counts
84203 - 2 counts
84203.5 - 1 count

84204 - 2 counts
 84300 - 2 counts
 84305 - 2 counts
 \$17,500

The Commission accepted an administrative law judge's findings that San Diego developer Richard Kuebler, owner of the Moonglow Mobile Home Park in San Diego county, failed to file campaign disclosure reports, made improper cash expenditures, failed to include proper sender identification on mass mailings, and failed to report independent expenditures made for mailers, all in 1988.

In August 1991, an administrative law judge dismissed the charges against Kuebler saying there was no proof that Kuebler owned the mobile home park, controlled its campaign donations, or made any of the disputed campaign donations from personal funds. The Commission rejected an administrative law judge's decision and sent the case back for rehearing.

At the rehearing, the mobile home park's fictitious business name filing and business license application showed that the park was a sole proprietorship owned by Richard Kuebler. As a result, an administrative law judge reversed the decision and held Kuebler liable for violations as originally charged.

KUENY, STEVEN, 1986/0261 (1988)

87100 & 87103 - 1 count
 87203 & 87207 - 1 count
 \$2,500

Steven Kueny, City Manager of Moorpark, committed financial disclosure and conflict-of-interest violations by failing to properly disclose on his 1985 statement of economic interests more than \$2,000 in gifts, including a trip to New York, received from an investment banking firm. He also failed to disqualify himself from a decision to award a bond purchase contract to the investment banking firm.

KVAMME, MARK, 2002/1034 (2003)

84203 - 1 count
 \$1,500

Mark Kvamme of Atherton failed to file a late contribution report in 2002.

KWON, HOWARD, HOWARD KWON FOR BETTER ABC, AND ROBERT M. PARRA, TREASURER, 1993/0400 (1994)

84101 - 1 count
 84200 - 1 count
 \$2,500

Howard Kwon, board candidate in 1993 for the ABC Unified School District, his committee Howard Kwon for Better ABC, and Robert M. Parra, treasurer, failed to timely file a statement of organization and failed to timely file a semi-annual campaign statement, despite advice from the Commission staff regarding the filing requirements.

KWPH ENTERPRISES, INC., DBA AMERICAN AMBULANCE, 1996/0551 (1997)

84300 & 84301 - 207 counts
 \$377,000

KWPH Enterprises, Inc., a corporation doing business as American Ambulance, a company providing paramedic ambulance and other emergency medical services in Fresno county, laundered contributions made to various candidates in the Fresno and the San Diego areas between 1991 and 1996.

KYLE, MORRIS, 1984/0351 (1986)

81010 - 1 count
 \$1,000

Morris Kyle, a superintendent of the Firebaugh Las Deltas Unified School District and designated filing officer for the school board members' statements of economic interests, failed to obtain and forward to the Commission the originals of all statements of economic interests filed by himself and other board members.

L.A. ARENA COMPANY, LLC, 2002/0015 (2002)

84203 - 4 counts
 \$1,859

L.A. Arena Company, LLC of Mill Valley failed to file late contribution reports in 2000.

L.A. CITY AND COUNTY SCHOOL EMPLOYEES UNION, LOCAL 99 C.O.P.E. AND JANETT HUMPHRIES, 2001/0187 (2003)

84203 - 1 count
 84203.5 - 1 count
 84204 - 7 counts
 84211 - 1 count
 \$5,500

L.A. City and county School Employees Union, Local 99 C.O.P.E., a state general purpose recipient committee, and its treasurer, Janett Humphries, failed to timely disclose seven late independent expenditures, failed to timely file a supplemental independent expenditure report, failed to disclose contributions of \$100 or more on a pre-election campaign statement, and failed to disclose a late contribution in a properly filed late contribution report in 1997 and 1998.

L.A. COUNTY FIREFIGHTERS LOCAL 1014 ORGANIZED, READY & COMMITTED IN EMERGENCIES, AND JOHN SMOLIN, TREASURER, 2011/1026 (2012)

84102 - 1 count
 84305 - 2 counts
 \$8,000

L.A. county Firefighters Local 1014 Organized, Ready & Committed in Emergencies and the committee's treasurer, John Smolin failed to include L.A. county Firefighters Local 1014 in the committee's name and failed to disclose L.A. county Firefighters Local 1014 as a sponsor on the committee's statement of organization. Additionally, on or

about October 31, 2011, and again on or about November 2, 2011, Respondent L.A. county Firefighters Local 1014 Organized, Ready & Committed in Emergencies mailed over two hundred substantially similar pieces of mail without displaying the sender's name on the outside of each piece of mail.

L.A. FOR KIDS, MIKE HERNANDEZ, AND SAMUEL ORTIZ, TREASURER, 1999/0820 (2001)

84203 - 6 counts
84211 - 1 count
\$8,000

L.A. for Kids, a ballot measure committee controlled by Mike Hernandez, Los Angeles City Councilman, and its treasurer, Samuel Ortiz, failed to file six late contribution reports and failed to report non-monetary contributions received in 1996.

L.F.P., INC, 2003/0407 (2003)

84200 - 1 count
\$400

L.F.P., Inc. of Beverly Hills failed to file a major donor campaign statement in 2002.

LA POSTA BAND OF MISSION INDIANS, 2004/0808 (2005)

84200 - 1 count
\$400

La Posta Band of Mission Indians of Boulevard failed to file a major donor campaign statement in 2003.

LACONIC LLC, 2006/0758 (2007)

84200 - 1 count
\$400

Laconic LLC of Framingham, Massachusetts, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2006.

LACY, JOHN, 2011/0526 (2012)

87300 - 1 count
\$200

John Lacy, Community Development Board Member for District 7 in the City of Oakland, failed to timely file a Statement of Economic Interests for the reporting period January 1, 2009, through December 31, 2009, by the April 1, 2010, due date.

LADY CAGE-BARILE FOR ASSEMBLY 2010, 2011/0101 (2012)

84200.5 - 1 count
84200.5 - 1 count
\$400

Lady Cage-Barile was a successful candidate for the 47th Assembly District in the June 8, 2010, primary election, and an unsuccessful candidate for the 47th Assembly District in the November 2, 2010, general election. At all relevant times, Respondent Cage-Barile was the treasurer

of her controlled committee, Cage for Assembly 2010 Respondent Committee. Respondents failed to file in a timely manner one pre-election campaign statement for the reporting period of March 18, 2010, through May 22, 2010, due May 27, 2010, and failed to file one post-election semi-annual campaign statement in a timely manner for the reporting period of May 23, 2010, through June 30, 2010, due August 2, 2010.

LAHAM, MAURICE, 1990/0576 (1991)

87300 - 3 counts
\$3,000

Maurice Laham, Environmental Coordinator for the City of Los Angeles Department of Airports, failed to disclose investment interests of stock in a number of airlines and/or their holding companies with a total value of over \$54,000 on his 1987, 1988, and 1989 statements of economic interests.

LAHTINEN, LEONARD, 2013/0246 (2013)

87207 - 1 count
\$200

Leonard Lahtinen, as a Member of the North Orange county Community College District Board of Trustees, failed to timely disclose gift(s) of: one meal valued at \$153.86 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LAKE, LAWRENCE, 2000/0614 (2001)

87200 & 87203 - 1 count
\$350

Lawrence Lake, Shasta Lake Planning Commissioner, failed to file a 1999 annual statement of economic interests.

LAKESIDE FIREFIGHTERS PAC AND JOHN HISAW, 2010/0040 (2010)

84200 - 2 counts
\$400

Lakeside Firefighters PAC and John Hisaw, Treasurer, failed to timely file two semi-annual campaign statements with the San Diego county Registrar of Voters in 2008 and 2009.

LAKIN, L. THOMAS, 2004/0806 (2005)

84200 - 1 count
\$400

L. Thomas Lakin of Malibu failed to file a major donor campaign statement in 2003.

LAKIN, L. THOMAS, 2006/0025 (2006)

84200 - 1 count
\$400

L. Thomas Lakin of Malibu failed to timely file a semi-annual campaign statement in 2003.

LAL, JOSEPH, 1998/0497 (1999)

84200 - 1 count

84203 - 1 count
\$4,000

Joseph Lal of Sacramento failed to file a semi-annual campaign statement and a late contribution report for contributions made to Lungren for Governor, a controlled committee, in 1998.

LAMDAGAN, PEDRO, 1983/0017 (1984)

87300 & 87302 - 1 count
\$2,100

Pedro Lamdagan, board member of the Board of Vocational Nurse and Psychiatric Technician Examiners under the Department of Consumer Affairs, failed to file his 1982 statement of economic interests. Enforcement proceedings were initiated when no response was received to the staff notifications of his failure to comply.

LAMKIN, JANET, 2013/0842 (2013)

87300 - 1 count
\$200

Janet Lamkin, as a Trustee for the Fine Arts Museum for the City and county of San Francisco, failed to timely file the 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

LANCASTER, CHRISTOPHER W, 1991/0466 (1992)

87100 - 1 count
\$1,750

In 1990, Christopher W. Lancaster, Covina City Councilman, committed a conflict-of-interest violation by voting on a matter affecting a source of income. In 1990, Lancaster received \$8,000 from state senate candidate Gary Miller's campaign committee for his work as a political campaign consultant. Lancaster, who was then mayor of Covina, voted in favor of a resolution creating a formula for the exchange and division of property tax revenue between Los Angeles county and the city of Covina. The resolution was initiated by Gary Miller to enable him to annex 52 acres of undeveloped land into the City of Covina. Miller held an option to buy this acreage.

LANDERS, ALFRED, COMMITTEE TO ELECT AL LANDERS, FRIENDS OF AL LANDERS, AND AL LANDERS MAYOR 99, 1998/0134 (2002)

84211 - 5 counts
85201 - 1 count
87100 - 2 counts
87207 - 1 count
87209 - 1 count
\$15,000

Alfred Landers, member of the Perris City Council, and former mayor of Perris, attempted to use his official position to influence governmental decisions in which he had a financial interest in 1997 and 1999. Mr. Landers failed to disclose a business position and all sources of income on his annual 1997, annual 1998, and leaving office statements of economic interests. In addition, during the course of two mayoral campaigns in 1997 and 1999, Mr.

Landers and his controlled committees, Committee to Elect Al Landers, and/or Friends of Al Landers, and/or Al Landers Mayor 99, failed to establish one campaign bank account, failed to properly report contributions from a major donor, failed to disclose a non-monetary contribution, and failed to disclose occupation and employer information for contributors on campaign statements.

LANDRY RESTAURANT LP DEVELOPMENT ACCOUNT, 2006/0437 (2006)

84200 - 1 count
\$800

Landry Restaurant LP Development Account of Houston, Texas, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

LANE, L.W., JR, 1998/0297 (1998)

84203 - 1 count
\$2,000

L.W. Lane, Jr., of Menlo Park failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

LANG, RICHARD, LANG FOR ASSEMBLY 1989 AND GERALD CLENDENIN, TREASURER, 1991/0303 (1994)

84203 - 1 count
84211 - 1 count
\$4,000

Richard Lang, an unsuccessful candidate for the 27th Assembly District seat in a special run-off election held on January 30, 1990, his controlled committee, and Gerald Clendenin, its treasurer, failed to timely report non-monetary contributions totaling \$59,378 received from the California Republican Party/Victory 1990 Cal Plan Committee on a pre-election campaign statement. Respondents also failed to file late contribution reports on or before January 30, 1990, for non-monetary contributions totaling \$86,627 received from the same committee.

LANGHORNE, JAMES, 2004/0332 (2004)

87300 - 1 count
\$100

James Langhorne failed to timely file a 2003 annual statement of economic interests.

LANGONE, KENNETH G, 1999/0417 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Kenneth G. Langone of New York failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Lungren Committee for Common Sense Conservatism in 1998.

LANGSTON, GARY, 1996/0026 (1998)

87100 - 1 count
87202 - 1 count
87203 - 2 counts
\$5,000

Gary Langston, Planning Commissioner for the City of Delano in Kern county, participated in and voted on a governmental decision which directly involved a company which was a source of income to him. In addition, Langston failed to timely report this source of income on his assuming office, 1995 and 1996 annual statements of economic interests.

LARAMORE, LOUIS, 1986/0576 (1988)

84203, 84300, & 84301
\$12,000

This money laundering case involved Riverside county developer Louis Laramore and the unsuccessful 1986 campaign of Riverside Councilmember Sam Digati. Mr. Laramore made \$12,000 in laundered contributions to the Digati campaign during the highly contested race and failed to file required late contribution reports for \$7,920 made immediately before the November 4, 1986, election.

The laundered contributions were made through associates who obtained money orders in the names of others for payment to the candidate because the developer wanted to keep his support hidden.

LARAMORE, LOUIS, 1990/0080 (1991)

84300 - 3 counts
84301 - 66 counts
\$138,000

The money laundering case involved Riverside county developer Louis Laramore and the unsuccessful 1986 campaign of Tom Watson, candidate for Riverside county supervisor. The violations resulted from Mr. Laramore's efforts to avoid disclosure of his campaign contributions to Mr. Watson's campaign. Three of the violations involved a total of \$38,000 in cash contributions from Laramore to Watson's campaign. An additional 66 violations involved contributions from Laramore that were made in the names of other people.

LARIOS, CECILIA, LARIOS FOR CITY COUNCIL, AND JAVIER MURILLO, 1998/0115 (1999)

84200 - 1 count
\$1,500

Cecilia Larios, member of the Perris City Council, her controlled committee, Larios for City Council, and its treasurer, Javier Murillo, failed to file a semi-annual campaign statement in 1998.

LARKIN, THOMAS E., JR, 2002/0776 (2002)

84203 - 1 count
\$1,350

Thomas E. Larkin, Jr., of Los Angeles failed to file a late contribution report in 2002.

LARKIN, TOM, LARKIN FOR SENATE, AND JOHN J. MARTINELLI, TREASURER, 1990/0115 (1991)

84200 - 1 count
84200.5 - 3 counts
\$7,000

Tom Larkin, candidate for the 23rd Senate District, his controlled committee, and its treasurer, John J. Martinelli, failed to file four campaign disclosure statements in connection with Larkin's unsuccessful candidacy for the state senate in 1988.

LARSE, JOHN, 2004/0640 (2005)

87300 - 1 count
\$100

John Larse, a member of the California Strawberry Commission, failed to timely file a 2003 annual statement of economic interests.

LARSEN, CHRISTIAN, 2007/0412 (2007)

84203 - 1 count
\$2,595

Christian Larsen of San Francisco failed to timely disclose a late contribution totaling \$17,300.

LARSEN, CHRISTIAN, 2007/0383 (2007)

84200 - 1 count
\$400

Christian Larsen of San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$22,300 in 2006.

LAS CASITAS SEVENTEENTH STREET, LLC, 2003/0463 (2003)

84200 - 1 count
\$400

Las Casitas Seventeenth Street, LLC, of San Mateo failed to file a major donor campaign statement in 2000.

LASHBROOK, CINDY, 2007/045 (2007)

87202 - 1 count
\$200

Cindy Lashbrook, Merced county Planning Commissioner, failed to timely file her assuming office statement of economic interests.

LASHBROOK, CINDY, 2008/0447 (2010)

87200 & 87203 - 1 count
\$600

Cindy Lashbrook, Planning Commissioner for the county of Merced, failed to timely file her 2007 Statement of Economic Interests.

LASTRA, BELTRAN, 2009/0031 (2009)

87203 - 1 count
\$200

Beltran Lastra, a public investment manager for the California Public Employees' Retirement System, failed to timely file a 2007 annual Statement of Economic Interests.

LATINO BUILDERS INDUSTRY ASSOCIATION, 2004/0250 (2008)

84301 - 11 counts
\$55,000

Latino Builders Industry Association, a business league organization located in San Diego, was the true source of eleven campaign contributions totaling \$2,600, made to candidates for city councils, school district boards, and a state Assembly seat between November 2001 and October 2004. The contributions were made in the name of its employees, business associates and/or family members.

LAUBY, ADRIENNE, 2010/0116 (2010)

84301 & 84302 - 1 count
\$4,500

Respondent, acting as an agent or intermediary, made a contribution on behalf of another person, such that the identity of the donor was not reported.

LAURITZEN, JON, JON LAURITZEN FOR SCHOOL BOARD, AND KINDE DURKEE, 2003/0231 (2006)

84305 - 1 count
\$2,000

Jon Lauritzen was a successful candidate for the Los Angeles Unified School District Board of Education in the March 4, 2003 primary election. Jon Lauritzen for School Board was his controlled committee, and Kinde Durkee served as its treasurer. In this matter, respondents paid for and sent a mass mailer prior to the election that did not include proper sender identification.

LAVY, MARK, 2006/1125 (2009)

87300 - 1 count
\$100

Mark Lavy, California Rice Commission member, failed to timely file a 2005 annual Statement of Economic Interests.

LAW, MAYNARD, 2013/1086 (2013)

87300 - 1 count
\$200

Maynard Law, as a Board Member on the Southeast Los Angeles county Workforce Investment Board for the county of Los Angeles, failed to timely file the 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

LAWRENCE S. BOND, BOND COMPANIES, 2006/0610 (2006)

84200 - 3 counts
\$1,391

Lawrence S. Bond, Bond Companies of Santa Monica failed to timely file semi-annual campaign statements disclosing contributions totaling \$24,800 in 2004 and \$24,100 in 2005.

LAWRENCE, SHANNON, 2006/0201 (2006)

87202 - 1 count
\$100

Shannon Lawrence, a member of the City of Gardena Planning Commission, failed to timely file an assuming office statement of economic interests.

LAWSON, DARLENE AND THE COMMITTEE TO ELECT DARLENE LAWSON, 1989/0078 (1991)

84200 - 3 counts
\$4,000

The Commission issued a Default Decision and Order against Darlene Lawson, director of the Oakland Unified School Board, and her committee, who failed to timely file three campaign disclosure statements during 1988 and 1989, despite repeated notices by the Oakland City Clerk's office and the Commission staff. The statements were filed from six months to nearly two years late.

LAWYERS COMMITTEE FOR CIVIL RIGHTS/EQUAL JUSTICE SOCIETY, A PROJECT OF LCCR, 2006/0097 (2006)

84200 - 1 count
\$400

Lawyers Committee for Civil Rights/Equal Justice Society, a project of LCCR of San Francisco failed to timely file a semi-annual campaign statement in 2002.

LAYMON, STEPHEN, 2004/0621 (2005)

87300 - 1 count
\$100

Stephen Laymon, a member of the Atwell Island Water District, failed to timely file a 2003 annual statement of economic interests.

LAYMON, STEPHEN, 2009/0578 (2010)

87300 - 2 counts
\$5,000

Stephen Laymon, a member of the Atwell Island Water District, failed to file a 2007 annual Statement of Economic Interests and a 2008 annual Statement of Economic Interests.

LAZARD ASSET MANAGEMENT, LLC, 2006/0626 (2006)

84200 - 1 count
\$800

Lazard Asset Management, LLC of New York, New York, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

LEAGUE OF CALIFORNIA CITIES (NON-PUBLIC FUNDS), 2007/0291 (2008)

84203 & 84605 - 1 count
\$5,000

On October 31, 2006, League of California Cities (Non-Public Funds), a major donor committee, failed to timely disclose an \$850,000 late contribution in a late contribution report.

LEAGUE OF CALIFORNIA CITIES CITIPAC, SUPPORTING PROPOSITION 22 AND DAN HARRISON, 2012/0727 (2013)

84203 - 2 counts
85309(b) - 2 counts
85309(d) - 1 count
84211 & 84200.7(b) - 1 count
84300(a) - 1 count
\$14,000

League of California Cities CITIPAC (formerly League of California Cities CITIPAC, Supporting Proposition 22), is a general purpose committee that was a primarily formed committee supporting Proposition 22 on the 2010 General Election. Dan Harrison was, at all times relevant to this case, the Treasurer for Respondent Committee. Prior to the 2010 General Election, the League and Harrison failed to timely report contributions on Late Contribution Reports, failed to report contributions received on Election Cycle Reports, failed to report a contribution of \$5,000 or more, subdivision (d) - 1 count, failed to timely report contributions received on their Preelection Statement covering the period October 1, 2010, through October 16, 2010, and received cash contributions of \$100 or more.

LEAR, NORMAN, 2004/0769 (2005)

84203 - 2 counts
\$4,500

Norman Lear of Beverly Hills failed to file late contribution reports in 2003.

LEARNED, CHARLES, 2007/0452 (2008)

87300 - 1 count
\$200

Charles Learned, City of West Sacramento Project Area Committee member, failed to timely file his 2006 annual statement of economic interests.

LEASE, LIZ, 2010/0640 (2011)

87300 - 1 count
\$200

Liz Lease, a member of the county of Sonoma Community Services and Environment Commission, failed to timely file her 2009 annual Statement of Economic Interests.

LECOMTE, RYAN, 2013/0542 (2013)

87300 - 1 count
\$400

Ryan LeComte, City of Sonoma's Information Systems Officer, failed to timely file a 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

LEDESMA & MEYER CONSTRUCTION CO., INC, 2006/0630 (2006)

84200 - 2 counts
\$1,600

Ledesma & Meyer Construction Co., Inc. of Rancho Cucamonga failed to timely file semi-annual campaign statements disclosing contributions totaling \$23,200 in 2004.

LEDFORD, JAMES, 2013/0859 (2013)

87207 - 1 count
\$200

James Ledford, as a Mayor of Palmdale, failed to timely disclose gift(s) of: two meals totaling \$253.39 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LEDFORD, JAMES C., JR., AND COMMITTEE TO RE-ELECT JIM LEDFORD, 2006/0801 (2007)

84203 - 1 count
\$3,500

James C. Ledford, Jr. and the Committee to Re-elect Jim Ledford failed to disclose three late non-monetary contributions totaling approximately \$15,000 made to Steve Hofbauer, Raul Figueroa, and Robert "Bo" Bynum, in a properly filed late contribution report.

LEE ANDREWS GROUP, INC, 2005/0562 (2006)

84203 - 1 count
\$1,500

Lee Andrews Group, Inc. of Los Angeles failed to timely disclose a late contribution totaling \$10,000.

LEE, EUN "AMY", 2013/0707 (2013)

87207 - 1 count
\$200

Eun "Amy" Lee, as the Chief Financial Officer for the San Francisco Redevelopment Agency, failed to timely disclose gift(s) of: four meals totaling \$129.59 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LEE, SANDRA, 2004/0763 (2005)

84203 - 1 count
\$1,500

Sandra Lee of Santa Monica failed to file a late contribution report in 2003.

LEE, WILLIAM, 2012/0607 (2013)

85201(c) & (e) - 1 count
84104 - 1 count
\$4,500

William Lee ran for a Director position on the Marina Coast Water District Board in 2010. The Committee to Elect William Lee Marina Coast Water District November 2, 2010 was his campaign committee. Respondents violated the Political Reform Act by using Lee's personal bank account to deposit campaign contributions and make campaign expenditures and failing to maintain adequate campaign records.

LEEDAHL, LAURA, 2007/0751 (2010)

87300 - 1 count
\$2,500

Laura Leedahl, a designated employee of the Department of Consumer Affairs as a committee member of the California Department of Consumer Affairs, Board of Registered Nurses, failed to timely file her 2006 Statement of Economic Interests.

LEESON, FLOYD, 2007/0120 (2012)

87100 - 4 counts
87206 - 1 count
\$24,500

Floyd Leeson was an associate oil and gas engineer for the Department of Conservation, Division of Oil, Gas and Geothermal Resources (DOGGR) from May 1, 1985, to June 13, 2007. DOGGR regulates oil and gas wells in the Las Cienegas Oil Field, located in Los Angeles county, through DOGGR's District One Office located in Cypress, CA. Respondent violated the conflict of interest provisions of the Act when he processed 4 Notices of Intent to Conduct Well Operations (Rework) submitted to DOGGR by PXP and prepared, for his supervisor's approval, 4 related permits to conduct well operations for PXP, at a time in which he held an investment interest in PXP of more than \$25,000, and failed to disclose his investments in oil and gas companies regulated by his agency in his 2005 annual Statement of Economic Interests. Respondent participated in governmental decisions in which he had a material financial interest, and failed to disclose his investment interests in oil and gas companies in his 2005 annual Statement of Economic Interests.

LEHR BROTHERS, INC, 2006/0368 (2006)

84200 - 1 count
\$400

Lehr Brothers, Inc. of Edison failed to timely file a semi-annual campaign statement disclosing contributions totaling \$5,250 in 2004.

LEININGER, JAMES R, 1998/0358 (1998)

84200 - 2 counts
\$4,000

James R. Leininger, of San Antonio, Texas, failed to file semi-annual campaign statements for contributions made to Entertainment PAC, dba Coalition to Educate America, a general purpose recipient committee, in 1996 and to Campaign Reform Initiative, a ballot measure committee, in 1997.

LEISURE TECHNOLOGY, 1988/0573 (1990)

84204 - 1 count
84305 - 4 counts
\$10,000

Leisure Technology, Inc., an Oceanside company, failed to provide proper sender identification on four mass mailings during the 1988 general election. The company also failed to file a required late independent expenditure report in connection with the mass mailings.

Leisure Technology paid for the mass mailings that supported three local city council candidates. The mass mailings were identified as being from "Oceanside Residents for Managed Growth." The name of the company making the independent expenditure did not appear on the mailer. The campaign disclosure violation resulted from the failure of Leisure Technology to file a late independent expenditure report for a \$16,000 payment made 12 days before the election. The payment was for expenses of the four mass mailing.

LEJA, JANICE C., LEJA FOR ASSEMBLY, AND DOUG LEJA, TREASURER, 2000/0348 (2001)

84203 - 1 count
\$2,000

Janice C. Leja, successful Beaumont candidate for the state assembly, her controlled committee, Leja for Assembly, and Doug Leja, its treasurer, failed to timely file a late contribution report in 2000.

LENNAR HOMES OF CALIFORNIA, INC, 2001/0323 (2004)

84203 - 1 count
84605 - 1 count
\$10,000

Lennar Homes of California, Inc. failed to timely disclose a late contribution on electronic and paper late contribution reports.

LENNAR PARTNERS AND AFFILIATED ENTITIES, 2002/1037 (2003)

84203 - 1 count
\$3,000

Lennar Partners and affiliated entities of Irvine failed to file a late contribution report in 2002.

LENNEY, LIDA, 1992/0291 (1992)

87100 - 1 count
87200 - 1 count
\$3,000

In 1991, Lida Lenney, Laguna Beach City Councilwoman, committed conflict-of-interest and financial disclosure violations.

During 1990, Lenney's husband purchased 1,000 shares of stock in Waste Management, Inc., valued at over \$10,000 for his separately held individual retirement account. In 1991, Lenney failed to disclose the Waste Management stock on her 1990 statement of economic interests and voted to approve a refuse agreement directly involving

Waste Management, Inc. The agreement increased the fees paid by the city to Waste Management.

LENO, MARK, LENO 2004, AND JAMES NICKOFF, 2005/0662 (2006)

84203 - 3 counts
85301 - 1 count
\$6,000

Mark Leno, a member of the state assembly, his controlled committee Leno 2004, and its treasurer James Nickoff, failed to timely disclose late contributions in a late contribution report and accepted a contribution in excess of the \$3,200 contribution limit in connection with the March 2, 2004 general election.

LEONARD, BILL, THE ASSEMBLY REPUBLICAN LEADERSHIP FUND, AND CHARLES H. BELL, JR, 1999/0444 (2002)

84203 - 6 counts
\$6,000

The Commission adopted an administrative law judge’s proposed decision in the matter of Bill Leonard, member of the California Legislature, The Assembly Republican Leadership Fund, a recipient committee, and Charles H. Bell, Jr., its treasurer, who failed to file late contribution reports for contributions made totaling \$206,000 in 1998.

LEONE, JONATHAN, 2011/0932 (2013)

87203 - 2 counts
\$10,000

Jonathan Leone, is a member of the Sausalito City Council. As a member of the Sausalito City Council, Respondent was required to file an Annual Statement of Economic Interests for the 2010 and 2011 calendar year. In this matter, Respondent failed to timely file his Annual Statement of Economic Interests for the period covering January 1, 2010, through December 31, 2010, and January 1, 2011, through December 31, 2011, by April 1, 2011 and April 2, 2012, respectively.

LEONG, JONATHAN, 2007/0762 (2008)

87300 - 1 count
\$200

Jonathan Leong, California Earthquake Authority board member, failed to timely file his 2006 annual statement of economic interests.

LESLIE, ROBERT “TIM”, TIM LESLIE FOR SENATE/1991, AND ASSEMBLYMAN TIM LESLIE/1990, 1993/0250 (1994)

84200.5 & 84200.8 - 1 count
84203 - 8 counts
84211 - 1 count
\$12,000

Robert “Tim” Leslie, member of the assembly, campaigned as a state senate special election candidate. One of his committees, Tim Leslie for Senate/1991, failed to timely

disclose on its campaign statements \$55,698 in non-monetary contributions received from the California Republican Party (CRP). In addition, Tim Leslie for Senate 1991 failed to timely file late contribution reports for an additional \$94,680 in non-monetary contributions received from CRP. Finally, his second committee, Assemblyman Tim Leslie/1990, failed to timely file a required campaign statement covering a reporting period in 1991.

LESTER, W. HOWARD, 2002/1038 (2003)

84203 - 1 count
\$3,500

W. Howard Lester of San Francisco failed to file a late contribution report in 2002.

LEUNG, KATRINA, 2002/0786 (2002)

84203 - 1 count
\$1,500

Katrina Leung of San Marino failed to file a late contribution report in 2002.

LEVIN, SIMES & KAISER, 2005/0563 (2005)

84203 - 1 count
\$1,500

Levin, Simes & Kaiser, LLP of San Francisco failed to file a late contribution report in 2004.

LEVINE, LARRY AND JENNIFER, 2004/0651 (2006)

85301 - 1 count
\$4,000

Larry and Jennifer Levine of Van Nuys are the parents of Lloyd Levine, a member of the state assembly - 40th Assembly District. In December 2001, they made a loan to Lloyd Levine in excess of the \$3,000 contribution limits.

LEVINE, LLOYD AND LLOYD LEVINE FOR ASSEMBLY, 2004/0651 (2006)

84211 - 2 counts
85301 - 1 count
85309 - 1 count
\$12,000

Lloyd Levine, a member of the California state assembly - 40th Assembly District, and his controlled committee, Lloyd Levine for Assembly, accepted a loan in excess of the \$3,000 contribution limits in December 2001, and failed to properly report the source of the loan on three campaign statements.

LEVINSON, KATHY, 2003/0578 (2004)

84200 - 2 counts
\$1,725

Kathy Levinson failed to file major donor campaign statements in 2002.

LEVOE, SUZANNE, 2001/0434 (2004)

87203 - 2 counts

\$2,000

Suzanne Levoe, member of the Sierra Madre Planning Commission, failed to timely file a 2001 and a 2002 annual statement of economic interests.

LEWIS AND COMPANY, INC, 2006/0155 (2010)

83116.5 & 84305(a) - 2 counts
\$7,000

Lewis and Company, Inc., is a political consulting firm located in West Covina, compensated for services involving the planning, organizing, or directing of any activity regulated or required under the Political Reform Act. Respondent was compensated for the planning, organizing, or directing of the designing, printing and posting of mass mailings, as defined in Government Code Sections 83116.5 and 82041.5, in connection with its political consulting services for Rosemead PRIDE Citizens Action Committee. Respondent purposefully or negligently caused, or aided and abetted, Rosemead PRIDE Citizens Action Committee to send two mass mailings opposing the proposed petition to recall two Rosemead city council members, which failed to display the required sender identification.

LEWIS, CHRIS AND JENNIFER, 2006/0438 (2006)

84200 - 1 count
\$800

Chris and Jennifer Lewis of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

LEWIS, DAVID R., 2010/0265 (2010)

84200(a) - 1 count
\$400

David R. Lewis of Upland, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,096 in 2007.

LEWIS, JAMES LEON, 1991/0053 (1992)

87300 - 3 counts
\$4,500

James Leon Lewis, a racing steward for the State of California Horse Racing Board, failed to disclose investments and income interests in a horse boarding business on his 1987, 1988, and 1989 annual statements of economic interests.

LEWIS, PETER B, 2005/0494 (2009)

84200(b) & 84605(b) - 1 count
84203(a) & 84605(b) - 1 count
\$6,500

In October 2004, Peter B. Lewis, a resident of Ohio, failed to timely disclose a \$350,000 late contribution in a late contribution report. Respondent also failed to timely file a semi-annual campaign statement for the reporting period January 1, 2004 through December 31, 2004.

LEWIS, REBECCA, 2006/0925 (2007)

84200 - 1 count
\$400

Rebecca Lewis of Newport Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$22,300 in 2006.

LEWIS, RICHARD A., 2010/0264 (2010)

84200(a) - 1 count
\$400

Richard Lewis of Upland, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,191 in 2005.

LEXINGTON LAW GROUP, LLP, 2005/0564 (2005)

84203 - 2 counts
\$2,250

Lexington Law Group, LLP of San Francisco failed to file late contribution reports in 2004.

LHO, HELEN, 2003/0408 (2003)

84200 & 84605 - 1 count
\$900

Helen Lho of Beverly Hills failed to file a major donor campaign statement in paper and electronic format in 2002.

LIBERTY MUTUAL INSURANCE, 2002/0787 (2002)

84203 - 1 count
\$3,500

Liberty Mutual Insurance of Boston, Massachusetts, failed to file a late contribution report in 2002.

LIBERTY'S TORCH PAC SPONSORED BY YOUNG AMERICANS FOR FREEDOM, AND NIKOLA M. MIKULICICH, JR., TREASURER, 1998/0763 (2003)

84103 - 2 counts
84200 - 5 counts
\$17,000

The Commission adopted an administrative law judge's proposed decision in the matter of Liberty's Torch PAC Sponsored by Young Americans for Freedom, a state general purpose committee of Redondo Beach, and its treasurer, Nikola M. Mikulicich, Jr., treasurer, who failed to amend the statement of organization to include sponsorship information, and the correct street address for the committee, and failed to file five semi-annual campaign statements between 1997 and 2001.

LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP, 2001/0025 (2001)

84200 - 1 count
\$1,000

Lieff, Cabraser, Heimann & Bernstein, LLP, a law firm based in San Francisco, failed to file a major donor campaign statement in 2000.

LIETZ, NORI, 2002/0624 (2004)

87300 - 1 count

\$600

Nori Lietz, a financial investment consultant for CalPERS, failed to timely file a 2001 annual statement of economic interests.

LIGHTSPAN, INC, 2001/0026 (2001)

84200 - 1 count
\$400

Lightspan, Inc. of San Diego failed to file a major donor campaign statement in 2000.

LIMITED GOVERNMENT PAC AND CASEY EVANS, 2010/1046 (2012)

84102(d), (c) & 84103(a) - 1 count
\$3,000

Limited Government PAC was a primarily formed ballot measure committee. Casey Evans was treasurer of the committee at all times relevant. Limited Government PAC and Casey Evans failed to file an amended statement of organization within 10 days to disclose that the Limited Government PAC was a primarily formed committee, failed to add the title and ballot number supported as its primary activity, and failed to include the name of a major donor in the name of the Limited Government PAC.

LIMON, MONIQUE, 2013/0882 (2013)

87207 - 1 count
\$200

Monique Limon, as a Member of the Santa Barbara Unified School District Board of Education, failed to timely disclose gift(s) of: two meals totaling \$146.06 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LINDA SMALL FOR SCHOOL BOARD; LINDA SMALL, 2012/0737 (2013)

84200 - 1 count
\$200

Linda Small for School Board 2009, a committee controlled by candidate Linda Small, failed to timely file the Form 460 campaign statement covering the period January 1, 2012 through June 30, 2012, due July 31, 2012.

LINDEN, GARY, 2001/0419 (2001)

87300 - 1 count
\$300

Gary Linden, an alternate board member of the Assessment Appeals Board for the county of Siskiyou, failed to file his annual statement of economic interests in 2001.

LINDNER, CARL H, 2002/0710 (2002)

84203 - 1 count
\$3,500

Carl H. Lindner of Cincinnati, Ohio, failed to file a late contribution report in 2002.

LING, JOSEPH K, 1994/0656 (1995)

84301 - 1 count
\$2,000

Joseph K. Ling, general manager of Tasamak Corporation, a real estate company located in San Francisco, laundered a contribution in the name of a Tasamak employee to the Agnos mayoral campaign in 1991.

LIQUIDITY FINANCIAL GROUP, 2003/0321 (2003)

84200 - 1 count
\$400

Liquidity Financial Group of Pleasanton failed to file a major donor campaign statement in 2002.

LIQUIDITY FINANCIAL GROUP, 2003/0822 (2004)

84203 - 1 count
\$1,500

Liquidity Financial Group failed to properly disclose a late contribution in 2002.

LITTLEFAIR, ANDREW, 2004/0148 (2004)

84203 - 1 count
\$3,180

Andrew Littlefair failed to properly disclose a late contribution in 2003.

LITTON INDUSTRIES, INC, 1999/0379 (2000)

84200 - 1 count
\$600

Litton Industries, Inc., located in Woodland Hills, failed to timely file a major donor campaign statement for contributions made to Governor Pete Wilson Campaign Committee; Friends of Brad Sherman Committee; Lungren for Governor Committee; Claude Parrish State Board of Equalization 1998 Committee; and Citizens for Andal Committee in 1998.

LO, HAI FU "JOEY", 2011/0460 (2013)

84301 - 4 counts
\$18,000

In 2008, Hai Fu "Joey" Lo provided \$4,000 to Daniel Chun that Mr. Chun used to make eight political contributions to two candidates for mayor of the City of Fremont on Mr. Lo's behalf without disclosing that Mr. Lo was the source of the contributions.

LOCAL AWARENESS COMMITTEE AND ARMEN TASHJIAN, 1999/0711 (2000)

84200.5 & 84200.7 - 1 count
84204 - 2 counts
\$5,000

Committee for Local Awareness, a committee formed to oppose Hawthorne City Council candidates in the November 1999 election, and its treasurer, Armen Tashjian, failed to timely file a pre-election campaign statement and two late independent expenditure reports in 1999.

LOCKHEED MARTIN CORPORATION, 2003/0492 (2004)

84200 - 1 count
\$2,000

Lockheed Martin Corporation failed to file a major donor campaign statement in 2002.

LODER, RONALD K, 2003/0409 (2003)

84200 - 1 count
\$400

Ronald K. Loder of Laguna Niguel failed to file a major donor campaign statement in 2002.

LOEB & LOEB, 1999/0381 (2000)

84200 - 1 count
\$400

Loeb & Loeb, located in Los Angeles, failed to timely file a major donor campaign statement for contributions made to the Californians for Gray Davis committee and the Lungren Committee for Common Sense Conservatism in 1998.

LOFTS AT 712 BRYANT STREET, LLC AND AFFILIATED ENTITY SOUTH PARK LOFTS, LLC, 2003/0464 (2003)

84200 - 1 count
\$680

Lofts at 712 Bryant Street, LLC and affiliated entity South Park Lofts, LLC, of San Francisco failed to file a major donor campaign statement in 2000.

LOGAN, JUNAY GARDNER, 2009/0846 (2010)

87207 - 1 count
\$200

Junay Gardner Logan, Chief of Staff for Senator Bob Huff, failed to disclose on her 2008 Statement of Economic Interests a gift of concert tickets, a parking pass, and suite expenses paid by BP America, Inc., and valued at \$163.30.

LOGAN, KENT A, 1998/0296 (1998)

84203 - 1 count
\$1,250

Kent A. Logan of San Francisco failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

LOHSE, LESLIE, 2010/1102 (2011)

87300 - 1 count
\$600

Leslie Lohse has been a member of the Native American Heritage Commission since January 1, 2008. Respondent failed to timely file an annual Statement of Economic Interests for the January 1, 2009, through December 31, 2009, period.

LONG BEACH CITIZENS' AND PATIENTS' RIGHTS, 2013/0910 (2013)

84200 - 1 count
\$200

Long Beach Citizens' and Patients' Rights and Jeremy Coltharp, the committee's Treasurer, failed to file a semi-annual campaign statement covering the period January 1, 2013, through June 30, 2013, due July 31, 2013.

LONG BEACH LESBIAN & GAY PRIDE, INC, 2001/0251 (2002)

84200 - 1 count
\$400

Long Beach Lesbian & Gay Pride, Inc. failed to file a major donor campaign statement in 2000.

LONG BEACH MEMORIAL MEDICAL CENTER AND MILLER CHILDREN'S HOSPITAL, 2005/0565 (2005)

84203 - 2 counts
\$7,000

Long Beach Memorial Medical Center and Miller Children's Hospital of Long Beach failed to file late contribution reports in 2004.

LONGVILLE, JOHN, 1987/0596 (1990)

84200 - 1 count
87207 - 1 count
\$3,500

Rialto Mayor John Longville failed to provide required disclosure of personal financial interests and campaign contributions. Longville was required to disclose on his statement of economic interests income received from his business.

Longville also failed to file a semi-annual campaign statement disclosing approximately \$8,000 in contributions received.

LOOSE, WILLIAM, 2013/0731 (2013)

87207 - 1 count
\$200

William Loose, as the Superintendent of the Ocean View School District, failed to timely disclose gift(s) of: four meals totaling \$231.97 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LOPES, VINNIE, 2006/0150 (2006)

87300 - 1 count
\$100

Vinnie Lopes, a member of the Strawberry Commission, failed to timely file an assuming office statement of economic interests.

LOPEZ, ARMANDO, 1992/0698 (1995)

87100 - 1 count
87207 - 2 counts

\$4,500

Armando Lopez, member of the Parlier City Council, failed to report his community property interest in his spouse's income from her employer from 1989 to 1992 and income to his printing business from 1990 to 1992 on his statements of economic interests. In addition, in 1990, Mr. Lopez voted on a site plan proposed by his spouse's unreported employer.

LOPEZ, FRANK, JR., 2011/1042 (2012)

87203 & 87207(a) - 1 count
\$1,000

Frank Lopez Jr., a member of the Vista City Council, failed to report Cameron Glenn Estates, LLC as a source of income on his 2007 and 2008 Statements of Economic Interests for reporting periods January 1, 2007, through December 31, 2007, due April 1, 2008, and January 1, 2008, through December 31, 2008, due April 1, 2009.

LOPEZ, HODES, RESTAINO, MILMAN & SKIKOS, 2000/0430 (2000)

84203 - 1 count
\$2,000

Lopez, Hodes, Restaino, Milman & Skikos of Newport Beach failed to file a late contribution report during the March 7, 2000, primary election.

LOPEZ, LOU, LOU LOPEZ FOR SUPERVISOR AND SHERI SCHWABE, 2002/0909 (2003)

84104 - 2 counts
84203 - 5 counts
\$6,500

Lou Lopez, an unsuccessful candidate for Orange county Board of Supervisors, his controlled committee, Lou Lopez for Supervisor, and its treasurer, Sheri Schwabe, failed to maintain records necessary to prepare campaign statements and failed to disclose late contributions received in 1998.

LOPEZ, MANUEL, 2012/0540 (2013)

87100 - 2 counts
\$7,000

Manuel Lopez was the Deputy Director of the Administrative Services Division of the California Department of Parks and Recreation. In this capacity, Lopez implemented a prohibited leave buyback program and cashed out approximately 524 hours of his own leave time for payment in the approximate amount of \$28,647. This amounted to making governmental decisions in which Respondent Lopez knew he had a financial interest.

LOPEZ, MARIA G., CAMPAIGN TO ELECT MARIA LOPEZ, AND ADOLPH J. LOPEZ, 2006/0379 (2009)

84200(a) - 1 count
84200.5(c) & 84200.8 - 1 count
85201(d) - 1 count
\$8,500

Maria G. Lopez was a successful candidate for the Lynwood Unified School District Board of Education in the November 4, 2003, election. Campaign to Elect Maria Lopez was her controlled committee. Adolph J. Lopez served as Committee's treasurer. Respondents failed to file pre-election campaign statements; failed to file a post election semi-annual campaign statement, and Respondent Lopez made expenditures directly from her personal funds without first depositing them into Committee's bank account.

LOPEZ, PETER, 2009/0625 (2009)

89503(c) - 2 counts
\$800

Peter Lopez, Commissioner for the California State Athletic Commission, accepted gifts from a single source in 2008 and 2009, which exceeded the respective annual gift limits of \$390 and \$420 each year.

LOPEZ-HANSEN, SOCORRO, 2013/0866 (2013)

87207 - 1 count
\$200

Socorro Lopez-Hansen, as a Member of the Oxnard Union High School Board, failed to timely disclose gift(s) of: one meal valued at \$139.27 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LOPEZ-NOVODOR, RUTH, 2010/0227 (2010)

87300 - 4 counts
\$800

Ruth Lopez-Novodor, a designated employee of the California Citizens Compensation Commission, failed to file assuming office and annual Statements of Economic Interests for the years 2007, 2008, 2009, and 2010.

LORNE, KEVIN, 2008/0737 (2010)

87300 - 1 count
\$400

Kevin Lorne, a member of the Flood Control Board for Marin county, failed to timely file a Statement of Economic Interests for calendar year 2007.

LORSCH, ROBERT, 2003/0640 (2003)

84200 - 2 counts
\$1,600

Robert Lorsch of Beverly Hills failed to file major donor campaign statements in 2002.

LOS ANGELES COUNTY COUNCIL ON POLITICAL EDUCATION, 2002/0017 (2002)

84203 - 1 count
\$1,500

Los Angeles county Council on Political Education failed to timely file a late contribution report in 2000.

LOS ANGELES COUNTY DEMOCRATIC PARTY-STATE ISSUE AND ADVOCACY COMMITTEE AND KINDE DURKEE, 2010/0014 (2010)

84203 - 8 counts
\$5,752

The Los Angeles county Democratic Party-State Issue and Advocacy Committee and Kinde Durkee, Treasurer, failed to timely report receiving late contributions totaling \$38,350.

LOS ANGELES COUNTY DEMOCRATIC PARTY-STATE ISSUES & ADVOCACY COMMITTEE AND KINDE DURKEE, TREASURER, 2010/0797 (2011)

84203 - 7 counts
84203.5 - 3 counts
\$18,710

Los Angeles county Democratic Party-State Issues & Advocacy Committee is a general purpose committee with Kinde Durkee as its treasurer. Respondents failed to file late contribution reports for a total of \$129,302 of late contributions received in 2005 and 2006, and failed to report independent expenditures totaling \$53,470 in 2006 and 2007.

LOS ANGELES COUNTY FIREFIGHTERS LOCAL 1014 LEGISLATIVE FUND COMMITTEE, 2005/0384 (2005)

84203 - 2 counts
\$2,250

Los Angeles county Firefighters Local 1014 Legislative Fund Committee of El Monte failed to file late contribution reports in 2004.

LOS ANGELES LEAGUE OF CONSERVATION VOTERS AND MOE STAVNEZER, TREASURER, 2011/0338 (2011)

84200 - 2 counts
\$400

Los Angeles League of Conservation Voters was a county general purpose committee with Moe Stavnezer serving as treasurer. Respondents failed to timely file two semi-annual campaign statements, one for the reporting period January 1, 2010, through June 30, 2010, due August 2, 2010, and one for the reporting period July 1, 2010, through December 31, 2010, due January 31, 2011.

LOS ANGELES MARATHON, INC. AND GEORGE BEASLEY, 1992/0277 (1994)

84300 & 84301 - 131 counts
84302 - 131 counts
\$236,250

Los Angeles Marathon, Inc., (LAMI) which has held contracts with the City of Los Angeles since 1985 to conduct the annual Los Angeles Marathon, laundered 131 political contributions. George Beasley was initially an employee of LAMI and later became president and the sole employee of Beasley Business, Inc. (BBI). During the years 1989 until mid-1992, LAMI, through its agent

Beasley/BBI, made political contributions through employees and others connected with LAMI. LAMI reimbursed the employees and others through Beasley Business, Inc., and George Beasley.

LOS ANGELES PUBLIC AND COASTAL PROTECTION COMMITTEE AND BARRY FADEM, TREASURER, 1988/0103 (1989)

84106 - 1 count
\$2,000

The Los Angeles Public and Coastal Protection Committee was formed in 1987 to oppose a proposed ballot measure in Los Angeles, which would have prohibited oil drilling in specified coastal areas of the city. Occidental Petroleum gave the committee \$35,000 on December 28, 1987, the only contribution received during the filing period. As the only contributor during that filing period, Occidental Petroleum qualified as a sponsor of the committee. An administrative law judge found that the Los Angeles Public and Coastal Protection Committee failed to identify Occidental Petroleum Corporation as its sponsor.

LOTTER, JAMES, 2001/0276 (2004)

87300 - 1 count
\$500

The Commission adopted an administrative law judge's proposed decision in the matter of James Lotter, a member of the Gualala Municipal Advisory Committee, Mendocino county, who failed to timely file a 2000 annual statement of economic interests.

LOU CORREA FOR STATE ASSEMBLY, 2001/0697 (2002)

84203 - 2 counts
\$4,000

Lou Correa for State Assembly of Burbank failed to file two late contribution reports in 2000.

LOUDEN, DAVID, 2009/0847 (2010)

87207 - 2 counts
\$400

David Loudon, Chief of Staff for Senator Mark Wyland, failed to disclose on his 2008 Statement of Economic Interests gifts of food and dinner paid by Pacific Gas and Electric, valued at \$72.65 and a gift of food and beverage paid by Semptra Energy, valued at \$57.38.

LOUIE'S GENERAL AND ELECTRICAL CONSTRUCTION, YIM N. LOUIE, AKA LOUIE DEAR, YIM NUN LOUIE DER AND LOUIE DER, 1994/0450 (1995)

84301 - 10 counts
\$18,000

Yim N. Louie, a sole contractor operating as Louie's General and Electrical Construction, laundered contributions in the names of various individuals to San Francisco mayoral candidate Tom Hsieh in 1990.

LOVETTE, STEPHANIE, 2013/0348 (2013)

87207 - 1 count
\$200

Stephanie Lovette, as Economic Development Coordinator for the City of San Rafael, failed to timely disclose gift(s) of: two meals totaling \$269.82 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

LOWENTHAL, JANE, 2001/0464 (2002)

87300 - 1 count
\$200

Jane Lowenthal, member of the California Library Services Board, residing in Encino, failed to timely file her 2000 annual statement of economic interests.

LOZANO, MANUEL, 2008/0504 (2010)

87207 - 1 count
\$200

Manuel Lozano, Mayor of the City of Baldwin Park, failed to report a gift of travel from the Government of China in excess of \$50 on his 2007 Statement of Economic Interests.

LOZANO, MANUEL, 2012/0537 (2012)

87300 - 1 count
\$200

Manuel Lozano, as a Member for the Los Angeles county Foothill Transit Board, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

LUCAK, JOHN, 2000/0523 (2000)

87300 & 87302 - 1 count
\$300

John Lucak, Director for the Westport county Water District, failed to timely file an assuming office statement of economic interests in 2000.

LUCENT TECHNOLOGIES, INC, 2005/0814 (2006)

84203 - 1 count
\$3,500

Lucent Technologies, Inc. of Vienna, Virginia, failed to timely disclose a late contribution totaling \$25,000.

LUCENT TECHNOLOGIES, INC, 2005/0813 (2006)

84200 - 1 count
\$400

Lucent Technologies, Inc. of Vienna, Virginia, failed to timely file a semi-annual campaign statement in 2004.

LUCKY CHANCES, INC, 2000/0362 (2001)

84200 - 2 counts
84203 - 1 count
\$3,500

Lucky Chances, Inc. of Colma failed to file major donor campaign statements in 1998 and 2000 and failed to file a late contribution report in 2000.

LUCZO, STEPHEN J, 2006/0749 (2007)

84200 - 1 count
\$1,400

Stephen J. Luczo of Scotts Valley failed to timely file a semi-annual campaign statement disclosing contributions totaling \$100,000 in 2006.

LUDWICK, ANDREW, 2003/0278 (2005)

84200 - 2 counts
84605 - 2 counts
\$11,000

Andrew Ludwick of Palo Alto failed to timely file semi-annual campaign statements and failed to timely file campaign statements electronically in 2002 and 2003.

LUJAN, ANDRES, JR, 2002/0185 (2003)

84301 - 4 counts
\$7,200

Andres Lujan, Jr., a retired deputy sheriff of Santa Clara county, made four contributions in other than his legal name (“laundering”) in 1998.

LUMBER ASSOCIATION OF CALIFORNIA AND NEVADA POLITICAL ACTION COMMITTEE AND JAN E. HANSEN, 2006/0182 (2008)

84200.3 - 1 count
84300 - 3 counts
\$8,500

Lumber Association of California and Nevada Political Action Committee is a state general purpose committee sponsored by the Lumber Association of California and Nevada. At all relevant times, Jan E. Hansen was the treasurer. Respondents failed to file a required pre-election campaign statement in October 2003. Respondents also made campaign expenditures of \$100 or more in cash during 2003 and 2004.

LUNDGREN MANAGEMENT CORPORATION, 2007/0128 (2007)

84200 - 1 count
\$400

Lundgren Management Corporation of Valencia failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,000 in 2006.

LUNGREN, DANIEL E., LUNGREN FOR GOVERNOR AND DAVID BAUER, 1999/0819 (2001)

84203 - 8 counts
84211 - 7 counts
\$30,000

Daniel E. Lungren, unsuccessful candidate for governor in 1998, his controlled committee, Lungren for Governor, and its treasurer, David Bauer, failed to file or timely file late

contribution reports, failed to timely disclose non-monetary contributions, and failed to disclose accrued expenditures in 1998.

LUONGO, JOHN R, 2007/0075 (2007)

84203 - 1 count
\$3,000

John R. Luongo of Hillsborough failed to timely disclose a late contribution totaling \$20,000.

LUSARDI CONSTRUCTION CO, 2004/0778 (2005)

84203 - 1 count
\$1,500

Lusardi Construction Co. of San Marcos failed to file a late contribution report in 2002.

LYNWOOD TEACHERS ASSOCIATION PAC AND MICHAEL JOCHUM, 2011/0337 (2012)

89512.5(a) - 1 count
84200(a) - 1 count
84104 - 1 count
\$7,500

Lynwood Teachers Association PAC is a county general purpose committee in Los Angeles county and Michael Jochum was the committee's treasurer. Lynwood Teachers Association PAC made an expenditure of campaign funds for purposes other than reasonably related to a political, legislative or governmental purpose; Lynwood Teachers Association PAC and Michael Jochum failed to file in a timely manner, a semi-annual campaign statement for the reporting period July 1, 2010, through December 31, 2010, which was due by January 31, 2011; and Lynwood Teachers Association PAC and Michael Jochum failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare the semi-annual campaign statement, for the reporting period of July 1, 2010, through December 31, 2010, to establish that the campaign statement was properly filed, and to comply with the campaign reporting provisions of the Act.

LYON, ROY BEN, 1984/0029 (1984)

87100 - 1 count
87200 & 87203 - 3 counts
\$4,000

Madera City Councilman Roy Ben Lyon violated conflict-of-interest and disclosure law when he participated in a series of votes and decisions, beginning in the spring of 1980, on a proposed sewer line project that was to run along one side of a 32-acre agricultural parcel he owned and would increase the value of his property by making it suitable for development. Lyon began negotiations in early 1980 to sell his property to Trend Homes. By July 1980, Trend Homes had agreed to pay Lyon \$315,000 for his 32 acres, but could only develop the property if it could be annexed. The sewer line allowed annexation to the city. In August, after agreeing to sell his property to Trend Homes, Lyon also participated in reviewing a staff recommendation that his parcel be annexed. Lyon also failed to disclose

income received from Trend Homes on his 1980, 1981, and 1982 annual statements of economic interests.

LYONS, JOSEPH, 1999/0545 (2000)

87300 - 2 counts
\$500

Joseph Lyons, senior consultant for the Assembly, failed to timely file both his 1997 and 1998 annual statements of economic interests.

LYTLE DEVELOPMENT CO. AND AFFILIATED ENTITIES, 2007/0294 (2007)

84203 - 3 counts
\$2,250

Lytle Development Co. and affiliated entities of Anaheim Hills failed to timely disclose late contributions totaling \$15,000.

M & N FOODS, LLC, 2006/0302 (2006)

84200 - 1 count
\$400

M & N Foods, LLC of San Marcos failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

M.D.C. HOLDINGS, INC, 2003/0277 (2003)

84200 - 1 count
84605 - 1 count
\$6,000

M.D.C. Holdings, Inc., a national residential homebuilder located in Denver, Colorado, failed to timely file a semi-annual campaign statement and failed to electronically file a semi-annual campaign statement in 2002.

MA, FIONA, 2009/0849 (2010)

87207 - 1 count
\$200

Assemblymember Fiona Ma failed to disclose on her 2008 Statement of Economic Interests a gift from Bank of America in the amount of \$110.95 for the Women's Legislative Caucus dinner.

MACARTHUR, SOLANGE, 2003/0557 (2004)

84200 - 1 count
\$2,000

Solange MacArthur failed to file a major donor campaign statement in 2002.

MACBAIN, ALEXANDER, 1999/0549 (2000)

87300 - 1 count
\$300

Alexander MacBain, an assistant consultant to the State Senate, failed to timely file his assuming office statement of economic interests.

MACDONALD, BRYAN, 2012/0028 (2012)

87203 - 1 count
 89503(a) - 1 count
 \$3,000

Bryan MacDonald, City Councilmember for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in the year 2009, and failed to report these gifts on his annual Statements of Economic Interests for that reporting period, January 1, 2009, through December 31, 2009, due April 1, 2010. Additionally, these gifts received by Mr. MacDonald exceeded the applicable gift limit from one source in 2009.

MACFARLANE, VICTOR, 2001/0747 (2002)

87300 - 1 count
 \$300

Victor MacFarlane, a real estate investment consultant for CalPERS, failed to timely file a 2000 annual statement of economic interests.

MACHADO, MICHAEL, MACHADO FOR SENATE (FORMERLY KNOWN AS MACHADO FOR SENATE 2000), MACHADO FOR SENATE 2004, AND STEPHEN K. SINNOCK, 2004/0248 (2004 CIVIL LAWSUIT)

85201
 84211
 85301
 85302
 \$61,000

Michael Machado, Machado for Senate (formerly known as Machado for Senate 2000), Machado for Senate 2004, and Stephen K. Sinnock failed to deposit contributions into a campaign bank account established for that reelection campaign, failed to properly disclose contributions and expenditures in campaign statements, failed to timely establish a separate campaign committee and a separate campaign bank account for a re-election campaign, and accepted contributions in excess of the contribution limits.

MACKLE, NANCY, 2013/0347 (2013)

87207 - 1 count
 \$200

Nancy Mackle, as the Deputy City Manager for the City of San Rafael, failed to timely disclose gift(s) of: one meal valued at \$127.16 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MACNEIL, SEAN, 2002/0271 (2005)

81004 - 2 counts
 \$4,000

Sean MacNeil was employed as the Legislative Coordinator for Assemblywoman Patricia Wiggins in 2002. MacNeil signed the verification for two statements of economic interests for which he was not the filer.

MACRAE, TERRY, 2002/0546 (2003)

87300 - 1 count
 \$300

Terry MacRae, a member of the California Travel and Tourism Commission, failed to file an initial statement of economic interests in 2001.

MADRIGAL, CATALINA, 2004/0635 (2005)

87203 - 1 count
 \$100

Catalina Madrigal, a planning commissioner for the city of Salinas, failed to timely file a 2003 annual statement of economic interests.

MAEFIELD DEVELOPMENT, LLC, 2000/0432 (2000)

84203 - 1 count
 \$1,500

Maefield Development, LLC, located in Bloomington, Indiana, failed to file a late contribution report during the March 7, 2000, primary election.

MAGANA CATHCART & MCCARTHY, 2001/0230 (2001)

84200 - 1 count
 \$400

Magana Cathcart & McCarthy of Los Angeles failed to file a major donor campaign statement in 2000.

MAGANA, ABRAHAM, 2006/0573 (2006)

87203 - 1 count
 \$100

Abraham Magana, City of Salinas Planning Commissioner failed to timely file a 2005 annual statement of economic interests.

MAGUIRE, JERRY "PAT", 2010/0114 (2011)

87100 - 2 counts
 \$7,000

Jerry "Pat" Maguire is a director in the El Camino Irrigation District ("ECID"). As a director of the ECID, Mr. Maguire impermissibly made two governmental decisions which directly affected his real property interests by voting on an irrigation plan within 500 feet of his real property.

MAKI, LISA L, 2006/0439 (2006)

84200 - 3 counts
 \$2,000

Lisa L. Maki of Santa Monica failed to timely file semi-annual campaign statements disclosing contributions totaling \$13,500 in 2004 and \$19,000 in 2005.

MALCOLM, JOHN, 1998/0499 (1999)

84200 - 1 count
 \$500

John Malcolm of South San Francisco failed to file a semi-annual campaign statement with the Secretary of State's office for contributions made to Lungren for Governor, a controlled committee, in 1998. A campaign statement was, however, filed with the San Francisco county Registrar of Voters.

MALIBU CONFERENCE CENTER, INC., AND GLEN GERSON, 2011/0803 (2012)

84301 - 5 counts
\$25,000

Glen Gerson is the Chief Executive Officer, President, Chief Financial Officer, Secretary, and sole Director of Respondent Malibu Conference Center, Inc. In July and October 2008, Respondents made five contributions, in the amount of \$1,000 each, to the Committee to Reelect Barbra Williamson (to the Simi Valley City Council). At the time, the local contribution limit for this election was \$1,000, but Respondents circumvented this limit by making each contribution in the name of another (one affiliated corporation and four management level employees).

MALIBU DEMOCRATIC CLUB, AND JEAN GOODMAN, TREASURER, 2012/0241 (2012)

84200 & 84605 - 2 counts
\$400

Malibu Democratic Club, a state general purpose committee, and Jean Goodman, Treasurer, failed to file paper and electronic semi-annual campaign statements in a timely manner for the period covering July 1, 2011, through December 31, 2011, due January 31, 2012.

MALIK, SR., MICHAEL J., MICHAEL J. MALIK, SR. TRUST, AND MJM MANISTEE, INC, 2007/0195 (2009)

84200(b) & 84211(k)(5) - 1 count
\$4,000

Michael J. Malik, Sr., Michael J. Malik, Sr., Trust, and MJM Manistee, Inc. jointly qualified as a single "major donor committee" under the Act for calendar year 2006. Respondent Committee filed a required semi-annual campaign statement but failed to disclose on the statement 17 contributions made during the reporting period totaling \$26,500.

MALTBIE, JOHN, 2006/1029 (2009)

89503 - 1 count
\$1,535

John Maltbie, while serving as county manager for the county of San Mateo and a member of the TANF Planning Council and Health Plan of San Mateo, accepted a gift from a single source in the amount of \$1,250, exceeding the \$340 gift limit for 2004.

MAMMOTH MOUNTAIN SKI AREA, 2003/0486 (2004)

84200 - 1 count
\$2,000

Mammoth Mountain Ski Area failed to file a major donor campaign statement in 2002.

MANAYAN, HENRY, MANAYAN FOR MAYOR, AND MANAYAN FOR MAYOR, 2000/0752 (2004)

84200 - 3 counts

87203 - 1 count
87204 - 1 count
\$5,000

Henry Manayan, Manayan for Mayor, and Manayan for Mayor 2000 failed to timely file three semi-annual campaign statements, failed to timely file an annual statement of economic interests, and failed to timely file a leaving office statement of economic interests.

MANCHESTER, DOUGLAS, 2008/0591 (2010)

87300 & 87302 - 1 count
\$200

Douglas Manchester, California Travel and Tourism Commissioner, assumed office in approximately October 2007, but when he filed his assuming office Statement of Economic Interests, he failed to disclose an investment of more than \$1,000,000 in Host Hotels and Resorts, Inc.

MANCUSO, FRANK, 2004/0774 (2005)

84203 - 1 count
\$1,500

Frank Mancuso of Los Angeles failed to file a late contribution report in 2003.

MANN'S SUNNY SHORES, 1999/0402 (2000)

84200 - 1 count
\$400

Mann's Sunny Shores, located in Salinas, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

MANNING, JOHN P, 2003/0823 (2004)

84203 - 1 count
\$1,500

John P. Manning failed to file a late contribution report in 2002.

MANOLIUS, KIM, 2007/0446 (2007)

87300 - 1 count
\$200

Kim Manolius, Transbay Joint Powers Agency member, failed to timely file his 2006 annual statement of economic interests.

MANTOOTH, LOLA, 1999/0179 (2000)

87300 & 87302 - 1 count
\$600

Lola Mantoath, member of the Hughes-Elizabeth Lakes Union School District Board, failed to timely file her 1997 annual statement of economic interests.

MANUEL "MANNY" COSME FOR ASSEMBLY 2008, 2010/0670 (2011)

84200 - 1 count
\$200

Assembly Candidate Manuel "Manny" Cosme, Cosme for Assembly 2008, and Manuel "Manny" Cosme as treasurer failed to timely file a semi-annual campaign report.

MANUS-SALZMAN, JILLIAN, 2004/0149 (2004)

84203 - 1 count
\$3,180

Jillian Manus-Salzman failed to file a late contribution report in 2003.

MANZO, PETER, 2008/0428 (2009)

87300- 1 count
\$200

Peter Manzo, Superintendent of Schools, Los Angeles Education Core Charter Schools, failed to timely file a 2007 annual Statement of Economic Interests.

MARCIE HODGE, HODGE FOR MAYOR 2010, AND YVONNE HODGE, 2011/0177 (2013)

84200.5(b) & 84200.7 - 1 count
84200.5(b) & 84200.7 - 1 count
\$5,000

Marcie Hodge, a candidate for Mayor of Oakland in the November 2, 2010 election, Hodge for Mayor 2010, her candidate controlled committee, and its Treasurer, Yvonne Hodge, failed to timely file a first pre-election 460 campaign statement covering the period January 1, 2010 through September 30, 2010, due October 5, 2010 and a second pre-election 460 campaign statement covering the period October 1, 2010 through October 16, 2010, due October 21, 2010.

MARCONI, RICHARD D, 1999/0403 (2000)

84200 - 1 count
\$400

Richard D. Marconi of Orange failed to timely file a major donor campaign statement for contributions made to the California Republican Party Committee and the Lungren Committee for Governor in 1998.

MARCUS, DEMETRA, 2006/0821 (2007)

84200 - 1 count
\$400

Demetra Marcus of Palo Alto failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000.

MARCUS, JOHN P, 2006/0337 (2006)

84200 - 1 count
\$400

John P. Marcus of Palo Alto failed to timely file a semi-annual campaign statement disclosing contributions totaling \$30,300 in 2004.

MARGOLIS, GAIL, 1999/0182 (1999)

87302 - 2 counts
\$2,500

Gail Margolis, member of the Los Angeles Care Health Plan, failed to timely file an initial and a leaving office statement of economic interests in 1998.

MARGRAVE, DAVID, 2006/1149 (2010)

85200 - 1 count
\$1,000

David Margrave was an unsuccessful candidate for re-election to the South Pasadena City Council in the March 6, 2007, election. Respondent failed to file a Statement of Intention to become a candidate prior to the expenditure of campaign funds.

MARIE CALLENDER PIE SHOPS, INC, 2006/0557 (2006)

84200 - 1 count
\$800

Marie Callender Pie Shops, Inc. of Aliso Viejo failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

MARIN PROFESSIONAL FIREFIGHTERS POLITICAL ACTION COMMITTEE, 2006/0255 (2010)

84211(k)(5) - 2 counts
\$4,000

Marin Professional Firefighters Political Action Committee, a sponsored state general purpose committee, in its semi-annual campaign statement filed for the reporting period of July 1 through December 31, 2005, failed to disclose making nonmonetary contributions to seven local candidates.

MARIN UNITED TAXPAYERS ASSOCIATION, INC, 1995/0179 (1999)

84200 - 2 counts
\$2,500

Marin United Taxpayers Association, Inc., a membership organization that educates members of the public about tax issues, government spending, and to communicate with legislators, failed to timely file semi-annual campaign statements in 1995 and 1996.

MARIN, FERNANDO, 2001/0382 (2001)

87202 - 1 count
\$200

Fernando Marin, a member of the Butte county Planning Commission, failed to file an assuming office statement of economic interests in 2001.

MARIN, ROSARIO, 2006/1030 (2009)

89502(a)(c) - 3 counts
\$5,400

Rosario Marin accepted honoraria while simultaneously serving as the Secretary of the State and Consumer Services Agency, chairman of the Building Standards Commission and the Victim Compensation & Government

Claims Board, and as a member of the Board of Directors of Infrastructure and Economic Development Bank and the Technology Services Board.

MARINER HEALTH CARE MANAGEMENT COMPANY, 2006/0440 (2006)

84200 - 1 count
\$400

Mariner Health Care Management Company of Atlanta, Georgia, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$11,000 in 2004.

MARINER POST-ACUTE NETWORK, SANTA MONICA, 2006/0441 (2006)

84200 - 1 count
\$400

Mariner Post-Acute Network, Santa Monica, of Atlanta, Georgia, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,600 in 2004.

MARK CHRISTOPHER AUTO CENTER, 2002/0424 (2002)

84200 - 1 count
84203 - 2 counts
\$3,500

Mark Christopher Auto Center, a business engaged in the selling and leasing of new and used automobiles and trucks located in Ontario, failed to timely file late contribution reports and failed to file a semi-annual campaign report in 2000.

MARK CHRISTOPHER AUTO CENTER, MOUNTAIN VIEW CHEVROLET, AND CSM&C EXPANSION, 2004/0779 (2005)

84203 - 1 count
\$1,500

Mark Christopher Auto Center, Mountain View Chevrolet, CSM&C Expansion of Ontario failed to file a late contribution report in 2002.

MARK CHRISTOPHER CHEVROLET, INC., MOUNTAIN VIEW CHEVROLET, INC., MARK LEGGIO, AND DIAMOND HILLS AUTO GROUP, INC, 2007/0591 (2008)

84211 - 5 counts
84301 - 23 counts
85301 - 6 counts
\$150,000

Mark Christopher Chevrolet, Inc., Mountain View Chevrolet, Inc., Mark Leggio, and Diamond Hills Auto Group, Inc., located in San Bernardino county, were the true source of twenty-three campaign contributions, totaling \$66,400, made to candidates for state and local elective office, and a state recipient committee, between January 2002 and June 2006. The contributions were made in the names of Respondents' employees, business associates and/or their family members. During the same time period, Respondents made contributions in excess of

the Political Reform Act's campaign contribution limits, and failed to properly report all contributions on their major donor campaign statements.

MARK NOBILI AND ASSOCIATES, 2010/0737 (2012)

86113 - 4 counts
86114 - 3 counts
\$1,400

Mark D. Nobili, a registered California lobbyist, failed to file lobbyist reports for reporting periods ending June 30, 2009, September 30, 2009, March 31, 2010, and June 30, 2010, in a timely manner. Also, Respondent Mark Nobili and Associates, a California lobbying firm, failed to file lobbying firm reports for reporting periods ending September 30, 2009, June 30, 2010, and September 30, 2010, in a timely manner.

MARK, JONCARLO, 2011/0403 (2011)

87207 - 7 counts
\$1,400

Joncarlo Mark, Senior Portfolio Manager with CalPERS, failed to disclose a total of 7 gifts. On his 2006 annual Statement of Economic Interests, Mr. Mark failed to disclose meals valued at \$85.00 received from Alpha Capital Partners and meals from Credit Suisse valued at \$294.00. On his 2007 annual Statement of Economic Interests, Mr. Mark failed to disclose meals received from Credit Suisse valued at \$230.00, meals from Advent International valued at \$85.00, a pen and notebook received from Birch Hill Equity Partners Toronto valued at \$107.00, and a gift of a face scrubber received from Rosewood Capital valued at \$100.00. On his 2008 annual Statement of Economic Interests, Mr. Mark failed to disclose various items and a canvas bag received from Leonard Green and Partners valued at \$50.00.

MARK, RANDY, 2012/0101 (2013)

87100 - 1 count
\$4,000

Randy Mark, was the Chief District Operator for the Grizzly Lake Community Services District. In this capacity, covering the period June 2010, through January 2011, Respondent Mark signed or initialed dozens of equipment rental contracts between his wife's business and the District. This amounted to participation in making a series of governmental decisions in which he had a financial interest.

MARKBOROUGH CALIFORNIA, INC, 1988/0526 (1989)

84200 - 1 count
\$1,500

Markborough California, Inc., a development firm headquartered in Newport Beach, failed to properly file two campaign statements disclosing contributions made to seven entities that totaled \$11,844 in the first half of 1986 and \$249 in the second half of 1986.

MARKHAM, JEFF AND COMMITTEE TO ELECT JEFF MARKHAM SHERIFF, 1990/0547 (1991)

84305 - 1 count
\$1,500

Jeff Markham, an unsuccessful candidate for Lake county Sheriff in 1990, and his campaign committee, failed to include proper sender identification on a mass mailer. Approximately two months prior to the June 1990 election, the Committee to Elect Jeff Markham Sheriff paid for and mailed 542 identical letters to law enforcement supporters. The letters were endorsements of Markham by the retiring sheriff, and the letters gave the appearance of coming from the retiring sheriff himself. Nowhere on the inside or outside of the mailer was there any identifying information to lead the reader to believe that Markham and his committee were responsible for the mailer.

MARKS, KEN, 1995/0541 (1998)

87100 - 1 count
\$1,500

Ken Marks, an elected member of the Tuolumne county Board of Supervisors, violated the conflict-of-interest provisions when he accepted travel, lodging, and recreation accommodations paid with funds from developers interested in seeking public financing of a golf course in 1995 and voted on a proposal to implement the project.

MARKSTEIN, JOHN, 1985/0365 (1986)

84200 - 2 counts
84211 - 2 counts
\$4,000

The Commission adopted an administrative law judge's proposed decision in the matter of John Markstein, a San Ramon resident, who qualified as a major donor and failed to file campaign statements disclosing \$38,500 in loans and contributions to two state senate candidates during the 1984 elections. Markstein was repeatedly notified by the Commission staff during 1985 of his filing requirements, but he refused to comply.

MARLIN & SALTZMAN, A.P.C, 2005/0486 (2005)

84200 - 1 count
\$400

Marlin & Saltzman, A.P.C. of Agoura Hills failed to file a major donor campaign statement in 2004.

MARLOW, CARY, 2008/0508 (2011)

87300 & 87302 - 1 count
\$400

Cary Marlow was a senior building inspector and designated employee of the City of Burbank. Respondent Marlow failed to timely disclose reportable interests in a business entity on his 2007 annual Statement of Economic Interests.

MARQUEZ, DOLORES, 2013/0248 (2013)

87207 - 1 count
\$200

Dolores Marquez, as a Member of the Alum Rock Union Elementary School District Board, failed to timely disclose gift(s) of: four meals totaling \$268.42 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MARRERO, GUILLERMO, 2013/0097 (2013)

87300 - 2 counts
\$400

Guillermo Marrero, while serving as a Director on the Western Hills Water District Board of Directors, failed to timely disclose income received from World International, Inc. on his Assuming Office Statement of Economic Interests and 2008 Annual Statement of Economic Interests.

MARSH, KERMIT, KERMIT MARSH FOR COUNCIL, FRIENDS OF KERMIT MARSH, KERMIT MARSH FOR REPUBLICAN CENTRAL COMMITTEE, KERMIT MARSH FOR SUPERVISOR, AND KERMIT MARSH FOR JUDGE, 2005/0296 (2009)

84200(a) - 6 counts
84200.5(b) & 84200.7(a) - 3 counts
\$31,500

Kermit Marsh was the treasurer and candidate who controlled each of the committees. Respondents Marsh and Kermit Marsh for Council failed to file semi-annual campaign statements; Respondents Marsh and Friends of Kermit Marsh failed to file semi-annual campaign statements; Respondents Marsh and Kermit Marsh for Republican Central Committee failed to file a pre-election campaign statement and failed to file a semi-annual campaign statement; Respondents Marsh and Kermit Marsh for Supervisor failed to file a semi-annual campaign statement; Respondents Marsh and Kermit Marsh for Judge failed to file pre-election campaign statements.

MARSHAL EZRALOW AND AFFILIATED ENTITIES, 2002/0154 (2002)

84203 - 1 count
\$1,030

Marshal Ezralow and affiliated entities of Calabasas failed to file a late contribution report in 2000.

MARSHALL, GALE, 2007/0231 (2007)

84203 - 1 count
\$1,530

Gale Marshall of Livermore failed to timely disclose a late contribution totaling \$10,200.

MARSHALL, JAMES, 2008/0142 (2010)

84200 - 4 counts
\$800

James Marshall, who qualified as a major donor committee in the first half of 2008, failed to timely file four semi-annual campaign statements, disclosing contributions totaling \$39,100 in 2008 and \$13,000 in 2009.

MARSTON, MICHAEL, 2006/0442 (2006)

84200 - 3 counts
\$1,600

Michael Marston of Santa Barbara failed to timely file semi-annual campaign statements disclosing contributions totaling \$12,500 in 2004 and \$32,500 in 2005.

MARTIN ENTERPRISES, 2003/0279 (2004)

84200 - 1 count
84605 - 1 count
\$6,000

Martin Enterprises failed to file, in paper or electronic format, a major donor campaign statement in 2002.

MARTIN, ATANACIO, 2010/0736 (2011)

87300 - 1 count
\$200

Atanacio Martin, an alternate member of the California Walnut Commission, failed to timely file his assuming office Statement of Economic Interests.

MARTIN, DIANA, 2003/0310 (2003)

84200 - 2 counts
\$800

Diana Martin of Rolling Hills Estates failed to file major donor campaign statements in 2002.

MARTIN, GEORGE AND BORTON PETRINI & CONRON, LLP, 2002/0028 (2002)

84203 - 5 counts
\$1,950

George Martin and Borton Petrini & Conron, LLP of Bakersfield failed to file late contribution reports in 2000.

MARTIN, RONALD J, 2005/0682 (2006)

87300 - 1 count
\$100

Ronald J. Martin, Consultant to Keenan & Associates, (contract claims administrator to a variety of public agencies) failed to timely file a 2004 annual statement of economic interests.

MARTINEZ, EMMANUEL, 2011/0248 (2011)

87203 - 1 count
\$200

Emmanuel Martinez, a council member for the City of Coachella, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

MARTINEZ, FILIBERTO, 1996/0012 (1997)

87100 - 1 count
87302 - 1 count
\$3,000

The Commission adopted an administrative law judge's proposed decision in the matter of Filiberto Martinez, Acting Director of the Office of Internal Audits of the Los Angeles county Metropolitan Transportation Authority, who failed to disclose a source of income on his statements of economic interests from 1992 through 1994. Martinez also participated in a governmental decision in which he had a financial interest.

MARTINEZ, LOUIE, 2009/0261 (2011)

87100 - 1 count
89503(c) - 2 counts
\$8,000

Louie Martinez, Senior Project Manager for the City of Irvine, in or about October 2007 and July 2008, accepted over-the-limit gifts (substantially discounted home landscaping services) from Artistic Maintenance, Inc. Also, in or about April 2008, Respondent used his official position to influence a governmental decision in which he had reason to know that he had a financial interest. Specifically, he inspected civic center landscaping work performed by Artistic Maintenance, Inc., and based upon this inspection, he approved an invoice for payment of approximately \$86,000 to Artistic Maintenance, Inc.

MARTINEZ, SHARON, FRIENDS TO ELECT SHARON MARTINEZ FOR MONTEREY PARK CITY COUNCIL, AND SALLY MARTINEZ, TREASURER, 2000/0021 (2001)

84200 - 1 count
\$1,000

Sharon Martinez, an unsuccessful candidate for Monterey Park City Council, Friends to Elect Sharon Martinez for Monterey Park City Council, her controlled committee, and Sally Martinez, its treasurer, failed to timely file a semi-annual campaign statement in 1999.

MARTINEZ, VALERIE, 1999/0546 (2000)

87300 - 1 count
\$600

Valerie Martinez, press secretary for the assembly, failed to timely file her 1998 annual statement of economic interests.

MARTINEZ, VERONICA, 2011/0576 (2011)

87300 - 1 count
\$200

Veronica Martinez, City of Oakland Family/Community Services Organizer, Head Start Program, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

MARY ANN ANDREAS FOR ASSEMBLY, MARTA BACA, AND PHYLLIS NELSON, 2006/0077 (2010)

84103(a) - 1 count
84203(a) & (b) - 2 counts
84211(a)(c)(f) - 2 counts
84211(k) & 84303 - 3 counts
84300(c) - 1 count

85307(b) - 1 count
\$26,500

Mary Ann Andreas was a candidate for the California State Assembly, and Andreas for Assembly was her controlled committee. Marta Baca and Phyllis Nelson were the committee treasurers at different points in time. Respondents failed to report or timely report late contributions on late contribution reports; failed to report payments to subvendors; failed to report contributions received; made/accepted an over-the-limit personal loan to Respondent Andreas for Assembly; failed to file two amended statements of organization regarding changes in the names of the treasurer and assistant treasurer of Respondent Andreas for Assembly; accepted monetary contributions totaling approximately \$9,400 in the form of three cashier's checks or money orders for \$100 or more, which were not drawn from the bank accounts of the contributors or donors. (Not all respondents were named in each count.)

MASHBURN, JAMES, AND REFUSE SERVICES, INC, 1995/0246 (1999)

84200 - 1 count
84300 & 84301 - 133 counts
\$249,500

James Mashburn, president and 50% owner of Mashburn Waste & Recycling Services, Inc., and Refuse Services, Inc., laundered campaign contributions to candidates and members of city councils located within San Diego county, in addition to candidates for the San Diego county Board of Supervisors and the Assembly between 1992 and 1995. In addition, respondents failed to file a campaign statement in connection with activity in 1994.

MASON, BRENT, 2013/0739 (2013)

87207 - 1 count
\$200

Brent Mason, as the Director/Treasurer for the City of Riverside Finance Department, failed to timely disclose gift(s) of: two meals totaling \$100.52 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MASOUMI, MAJID "MIKE", 2006/0371 (2010)

84200.5(g)(1) & 84200.7(a)(2) - 1 count
\$2,500

Majid "Mike" Masoumi qualified as an independent expenditure committee for the June 6, 2006, election in the City of Saratoga. Respondent failed to timely file a second pre-election campaign statement.

MASRY & VITIOE LAW OFFICES, 2005/0706 (2006)

84203 - 1 count
\$2,250

Law Offices of Masry & Vitioe, located in Westlake Village, failed to timely disclose a late contribution totaling \$15,000.

MASTER PLAN DEVELOPMENTS, INC, 2007/0557 (2008)

84203 - 1 count
\$1,500

Master Plan Developments, Inc., of Dana Point failed to timely disclose one late contribution totaling \$10,000.

MATHEWS, CHARLES THEODORE, 2003/0410 (2003)

84200 - 1 count
\$400

Charles Theodore Mathews of Pasadena failed to file a major donor campaign statement in 2002.

MATHUR, PRIYA, 2009/0032 (2010)

87203 - 1 count
\$3,000

Priya Mathur, California Public Employees' Retirement Systems board member, failed to timely file a 2007 Statement of Economic Interests by the March 3, 2008 due date.

MATHUR, PRIYA, 2009/0750 (2010)

87203 - 1 count
\$3,000

Priya Mathur, a member of the California Public Employees Retirement Board, failed to file a 2008 Statement of Economic Interests, by the March 3, 2009, due date.

MATHUR, PRIYA AND FRIENDS OF PRIYA MATHUR, 2003/0296 (2006)

84225 - 2 counts
87203 - 1 count
\$6,000

Priya Mathur was a successful candidate for the Public Agency Member Seat on the Public Employees' Retirement System's Board of Administration in the 2002 election. Friends of Priya Mathur was her controlled committee, and Priya Mathur served as its treasurer. Respondents failed to timely file campaign statements and Priya Mathur failed to timely file her annual statement of economic interests.

MATICH, STEPHEN, 2007/0376 (2007)

84200 - 1 count
\$400

Stephen Matich of Yucaipa failed to timely file a semi-annual campaign statement disclosing contributions totaling \$22,300 in 2006.

MATKOVICH, ROBERT J., AND ROBERT J. MATKOVICH, D.D.S., INC, 2004/0249 (2007)

84300 - 1 count
84301 - 21 counts
\$99,000

Robert J. Matkovich, a licensed dentist, and his dental corporation, Robert J. Matkovich, D.D.S., Inc., located in

Chula Vista, were the true source of 21 contributions totaling \$5,250, made to the campaign committee of Chula Vista mayoral candidate Steve Padilla between 2001 and 2002. The contributions were made in the names of employees and business associates of Matkovich, or his friends and family. In addition, Robert J. Matkovich made prohibited cash contributions to the candidate.

MATSUDA, MIKE, AND MIKE MATSUDA FOR ASSEMBLY, 2000/0158 (2004)

84104 - 1 count
\$2,000

Mike Matsuda, unsuccessful candidate for the 68th Assembly District and his controlled committee, Mike Matsuda for Assembly, failed to maintain detailed records necessary to prepare a semi-annual campaign statement in 1998.

MATSUI, ANDY, 2000/0756 (2001)

87300 & 87302 - 1 count
\$200

Andy Matsui, California Cut Flower Commissioner, failed to timely file an assuming office statement of economic interests in 1999.

MATTEL, INC, 2006/0860 (2007)

84211 - 48 counts
\$100

Mattel, Inc., a toy manufacturer headquartered in El Segundo, failed to report on campaign statements covering 1998 through 2000 contributions that were caused to be made by a senior executive by reimbursing third parties who transmitted the contributions.

MATTESON REALTY SERVICES, 2009/0013 (2009)

84200 - 1 count
\$400

Matteson Realty Services, a major donor committee, failed to timely file a major donor statement, Form 461, on January 31, 2007.

MATTHEWS, MICHAEL, 2013/0427 (2013)

87207 - 1 count
\$200

Michael Matthews, as the Superintendent of the Manhattan Beach Unified School District, failed to timely disclose gift(s) of: one meal valued at \$174.63 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MAULHARDT, DEAN, 2012/0395 (2012)

87203 - 1 count
\$1,000

Dean Maulhardt, City Councilmember for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in the year 2007, and failed to report these gifts on his annual Statements of Economic Interests for reporting

period, January 1, 2007, through December 31, 2007, due April 1, 2008.

MAW, GREGORY, 2009/0852 (2010)

87207 - 1 count
\$200

Gregory Maw, Policy Director for the Senate Republican Caucus, failed to disclose on his 2008 Statement of Economic Interests a gift of a ticket to the Sacramento Kings from AT&T valued at \$175.00.

MAXEY, DANIEL, 2006/0860 (2007)

87302 - 1 count
\$100

Daniel Maxey, Westport county Water District Director, failed to timely file a 2005 annual statement of economic interests.

MAXFIELD, ROBERT, 1990/0083 (1991)

87100 - 1 count
\$2,000

Robert Maxfield, Calistoga City Councilman, committed a violation of the conflict-of-interest provisions. Mr. Maxfield voted to create an exemption to a water and sewer moratorium in order to allow the development of an affordable housing project in the City of Calistoga on a site in which he held an ownership interest.

MAXINE SHERARD FOR ASSEMBLY 2006 AND SHERARD FOR ASSEMBLY 2008, 2010/0026 (2011)

84103(a) - 2 counts
84200(a) - 2 counts
84211(g) - 1 count
84214 - 2 counts
85318 - 1 count
\$20,000

Maxine Sherard was a candidate for the California State Assembly in 2006 and 2008. Sherard for Assembly 2006 and Sherard for Assembly 2008 were her candidate controlled committees for these elections. Respondents failed to file amended statements of organization regarding changes as to committee treasurers; failed to file semi-annual campaign statements for reporting periods ending June 30, 2008, November 7, 2008, December 31, 2008, June 30, 2009, December 31, 2009, and June 3, 2010; failed to file terminating statements of organization; and failed to report all required information regarding loans received on campaign statements filed for reporting periods ending May 17, 2008, and June 30, 2008. Additionally, Respondents failed to refund a general election contribution in excess of campaign contribution limits, after losing in the primary election. (Note: not all counts apply to all respondents.)

MAY, RON, AND FRIENDS OF RON MAY, AND CECIL MAY, TREASURER, 1992/0617 (1993)

84211 - 1 count
89519 - 1 count
\$2,000

Ron May, former Santa Ana City Councilmember, his committee, and its treasurer committed campaign disclosure violations when they failed to disclose campaign contributions in 1990 amounting to \$5,876. In addition, May used his surplus campaign funds to make prohibited contributions to five candidates in local races.

MAYORKAS, ALEJANDRO, 2008/0161 (2008)

87300 - 1 count
\$200

Alejandro Mayorkas, Criminal Justice Planning Commission member, failed to timely file his 2006 annual statement of economic interests.

MAZEN, ELISA, 2011/0835 (2011)

87300 - 1 count
\$200

Elisa Mazen, Consultant for the Public Employees' Retirement Board, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

MBK HOMES, LTD, 2003/0337 (2003)

84200 - 1 count
\$400

MBK Homes, Ltd. of Irvine failed to file a major donor campaign statement in 2002.

MCADOO, ROBERT, 2002/0369 (2004)

87300 - 1 count
\$1,500

Robert McAdoo, member, of the Ukiah Valley Fire Protection District, failed to timely file a 2001 annual statement of economic interests.

MCALISTER, VANCE, 2005/0415 (2006)

87203 - 1 count
\$100

Vance McAlister, a member of the Imperial Planning Commission, failed to timely file a 2004 annual statement of economic interests.

MCAVOY, SEAN M, 2007/0473 (2007)

84203 - 1 count
\$3,345

Sean M. McAvoy of Hillsborough failed to timely disclose a late contribution totaling \$22,300.

MCCALL, THOMAS G, 2003/0556 (2004)

84200 - 1 count
\$2,000

Thomas G. McCall failed to file a major donor campaign statement in 2002.

MCCALLON, LARRY, 2009/0042 (2010)

85201 - 1 count

\$2,000

Larry McCallon, Councilmember for the City of Highland, paid \$2,800 out of his candidate-controlled city council committee for expenses related to another elective office.

MCCAMMON, WILLIAM J., 2007/0714 (2010)

87300 - 2 counts
\$400

William J. McCammon, as the Alameda county Fire Chief, failed to disclose his community property share in his wife's source of income on his Statement of Economic Interests for calendar year 2005, and on his annual/leaving office Statement of Economic Interests for 2006.

MCCARTHY, KATHLEEN L, 1999/0404 (2000)

84200 - 1 count
\$400

Kathleen L. McCarthy of Los Angeles failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

MCCARTHY, KEVIN, 2012/0355 (2012)

87203 - 1 count
\$400

San Francisco County Superior Court Judge Kevin McCarthy failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due March 1, 2012.

MCCARTHY, MICHAEL D, 2003/0411 (2003)

84200 - 1 count
\$400

Michael D. McCarthy of New York, New York, failed to file a major donor campaign statement in 2002.

MCCAULLEY, OLLIE M., FRIENDS TO ELECT OLLIE MCCAULLEY, AND MARK S. PIERCE, 2004/0488 (2006)

84104 - 1 count
84300 - 1 count
\$5,000

Ollie McCaulley, an unsuccessful candidate for the 36th Assembly District seat in the March 5, 2002 state primary election, and his controlled committee, Friends to Elect Ollie McCaulley, and its treasurer at all relevant times Mark S. Pierce, failed to properly maintain campaign records during the audit period from January 1, 2001, through June 30, 2002, and accepted contributions and loans in the form of cashier's checks.

MCCAY, MARSHALL, 2011/0162 (2012)

87300 - 2 counts
\$400

Marshall McCay, Yolo county Indian Gaming Local Benefit Committee member, failed to timely file his 2008 and 2009 Statements of Economic Interests covering the

periods January 1, 2008, through December 31, 2008, due April 1, 2009, and January 1, 2009, through December 31, 2009, due April 1, 2010, in a timely manner with the Technical Assistance Division.

MCCLAIR, LANCELOT, COMMITTEE TO RE-ELECT MAYOR LANCE MCCLAIR, FRIENDS OF LANCE MCCLAIR, AND JACKIE MATHIS-CRAGHEAD, TREASURER, 1988/0501 (1991)

84200 - 9 counts
84200.5 - 5 counts
\$6,000

The Commission issued a Default Decision and Order after reconsideration against Lancelot McClair, Seaside mayor, his controlled committees, and his committees’ treasurer, Jackie Mathis-Craghead, who failed to timely file a total of 14 campaign disclosure statements from 1986 to 1989, and imposed a reduced fine. Mayor McClair had requested reconsideration of an earlier fine of \$16,000 during testimony before the Commission.

The Committee to Re-Elect Lance McClair failed to timely file three pre-election statements and six semi-annual statements from 1986 to 1989. In addition, the Friends of Lance McClair Committee failed to timely file two pre-election and three semi-annual statements from 1988 through 1989. All of these non-filings occurred despite repeated notices of filing obligations from the Seaside City Clerk, the Seaside City Attorney, and the Commission.

MCCLANAHAN, MOLLY, 2013/0576 (2013)

87207 - 1 count
\$200

Molly McClanahan, as a Member of the North Orange county Community College District Board of Trustees, failed to timely disclose gift(s) of: one meal valued at \$180.00 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MCCOLL, GLORIA, AND FRIENDS TO REELECT COUNCILWOMAN GLORIA MCCOLL, 1991/0446 (1993)

84211 - 2 counts
85201 - 2 counts
\$6,000

Gloria McColl, former San Diego City Councilmember, and her committee committed campaign disclosure violations arising out of her unsuccessful 1989 bid for reelection. McColl failed to disclose that she had hired a private investigator and paid him \$6,819.20 to gather negative information against her opponent to be used in a “hit piece” mailer. McColl also failed to report a payment of \$3,000 to the San Diego Republican Party for an ad in the party’s newsletter. Both payments were improperly made from her personal account.

MCCOWN, GEORGE E, 1999/0420 (2000)

84200 - 1 count
84203 - 1 count

\$1,000

George E. McCown of Menlo Park failed to timely file a major donor campaign statement for contributions made to Ruben Barrales for State Controller Committee; Cunneen for Assembly 98 Committee; Mike Capizzi for Attorney General Committee; Lungren Committee for Common Sense Conservatism; and Delaine Eastin Committee in 1998. In addition, he failed to file a late contribution report for the contribution made to Lungren Committee for Common Sense Conservatism.

MCCUNE, RICHARD, 2001/0027 (2001)

84200 - 1 count
\$400

Richard McCune of Redlands failed to file a major donor campaign statement in 2000.

MCDANIEL, GEORGE, 2011/0563 (2011)

87300 - 1 count
\$200

George McDaniel, a board member for the City of Oakland Workforce Investment Board, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

MCDONALD, EILEEN G, 2004/0002 (2005)

87100 - 15 counts
87300 - 2 counts
\$29,000

Eileen McDonald, a member of the Newark Unified School District Board of Trustees from 1992 to 2003, made governmental decisions in which she had a financial interest and failed to disclose a business entity as an investment interest and a source of income on annual statements of economic interests.

MCDONALD, JUANITA, CITIZENS TO ELECT JUANITA MCDONALD, AND CLIFFORD CANNON, 1995/0211 (1997)

84104 - 1 count
84203 - 3 counts
84211 - 13 counts
84300 - 4 counts
\$39,000

Juanita McDonald, candidate for the assembly between 1992 and 1994, and her committee, Citizens to Elect Juanita McDonald, and Clifford Cannon, its treasurer, failed to report contributions made to another candidate, failed to file late contribution reports, failed to properly itemize expenditures made by checks made out to cash, failed to maintain the requisite records to support the campaign statements, and failed to disclose required information for contributions received.

MCDONALD, KEITH, FRIENDS FOR KEITH MCDONALD, COMMITTEE TO ELECT KEITH MCDONALD, AND CLIFFORD CANNON, 1996/0001 (1997)

84211 - 6 counts
 85305 - 1 count
 \$14,000

Keith McDonald, a current member and candidate of the West Basin Municipal Water District and unsuccessful candidate for Carson City Council in 1993 and 1994, Friends for Keith McDonald and Committee to Elect Keith McDonald, his controlled committees, and its treasurer, Clifford Cannon, failed to report contributions received, failed to report the source of loans, and violated the contribution limits imposed for special elections.

MCDUGAL, LEE, 2013/0590 (2013)

87207 - 1 count
 \$200

Lee McDougal, as a Member of the Chaffey Community College District Board, failed to timely disclose gift(s) of golf valued at \$102.33 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MCELYEA, NADINE, 2009/0071 (2010)

87300 - 3 counts
 \$6,000

Nadine McElyea, Happy Camp Union Elementary School District board member, failed to timely file an assuming office, a 2008, and a 2009 Statement of Economic Interests with the county of Siskiyou.

MCENEELY, KEVIN P, 1996/0149 (1998 CIVIL LAWSUIT)

84200
 \$2,000

Kevin P. McEneely, Executive Vice President and Chief Operating Officer of Nightingale Conant Corporation, which sells audio and video personal development programs, made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

MCFADDEN, BRETT, 2013/0633 (2013)

87207 - 1 count
 \$200

Brett McFadden, as the Chief Business Officer for the Pajaro Valley Unified School District, failed to timely disclose gift(s) of: two meals totaling \$148.51 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MC GEE, MARK H, 1994/0352 (1995)

87200 - 1 count
 \$1,000

Mark H. McGee, a judge on the Needles/Calzone Justice Court, failed to timely file his 1993 annual statement of economic interests.

MC GEE, PERCY, 2009/0637 (2012)

87200, 87203 & 87207 - 2 counts
 \$2,000

Percy McGee was a member of the Pittsburg Unified School District Board of Trustees. He managed public investments and was required to file annual Statements of Economic Interests disclosing certain sources of income. Mr. McGee filed Statements of Economic Interests for the 2008 and 2009 calendar years, but he failed to list Merrill Lynch as a source of income.

MCGHEE, JAMES, 2008/0689 (2010)

87300 - 1 count
 \$2,000

James McGhee, a member of the California Board of Psychology, failed to file a Statement of Economic Interests for calendar year 2007.

MCGINNIS, GORDON, FRIENDS OF GORDON MCGINNIS, AND DENA MCGINNIS, 2000/0619 (2003)

84200 - 1 count
 \$2,000

Gordon McGinnis, unsuccessful candidate for the San Bernardino county Board of Supervisors, his controlled committee, Friends of Gordon McGinnis, and its treasurer, Dena McGinnis, failed to file a semi-annual campaign statement in 2000.

MCGINNIS, MICHAEL, 1996/0312 (1998)

87100 - 1 count
 \$1,000

Michael McGinnis, Chico City Councilman and mayor in 1994, used his official position to attempt to influence a governmental decision which affected a source of income.

MCHUGH, PETER, MCHUGH FOR MAYOR, MCHUGH FOR SUPERVISOR, MCHUGH FOR ASSESSOR, AND MARY GALDE, 1994/0533 (1997)

84104 - 1 count
 84211 - 5 counts
 \$8,000

Peter McHugh, mayor of Milpitas in 1996, candidate for Santa Clara county Assessor in 1994, and successful candidate for county supervisor in 1996, both of his committees, and Mary Galde, treasurer of the McHugh for Mayor committee, failed to properly disclose occupation/employer and subvendor information on campaign reports and failed to maintain adequate records in 1994.

MCKERNAN, THOMAS, 2002/0788 (2002)

84203 - 1 count
 \$1,500

Thomas McKernan of Arcadia failed to file a late contribution report in 2002.

MCLARAND, VASQUEZ & PARTNERS, 1999/0405 (2000)

84200 - 1 count
\$400

McLarand, Vasquez & Partners, Inc., located in Costa Mesa, failed to timely file a major donor campaign statement for contributions made to the Ruben Barrales for State Controller committee, the Vickie Castro for Assembly committee, and the Lungren for Governor committee in 1998.

MCLAUGHLIN, EDWARD, 1990/0079 (1992)

87100 - 1 count
\$2,000

Edward McLaughlin, Butte county Supervisor, violated the conflict-of-interest provisions when he voted to allow residential subdivision of property located adjacent to his agricultural real property.

MCLEMORE, JOHN, 1998/0160 (2000)

87100 & 87103 - 1 count
87206 - 1 count
\$2,500

John McLemore, member of the Santa Clara City Council, violated the conflict-of-interest provisions in 1997 when he participated and voted to approve an amended and restated personal property tax agreement with an entity in which he had a financial interest. Mr. McLemore also failed to disclose the financial interest on his statements of economic interests for 1995 and 1997.

MCMAHON, DENIS, 2003/0454 (2003)

84200 - 1 count
\$400

Denis McMahon of San Francisco failed to file a major donor campaign statement in 2000.

MCNEIL, JON, 2013/0640 (2013)

87207 - 3 counts
\$600

Jon McNeil, as the Assistant Superintendent of Business Services for the Whittier City School District, failed to timely disclose gift(s) of: one meal and tickets to a professional baseball game totaling \$348.60 on his 2009 Statement of Economic Interests; three meals, and tickets to a professional baseball game totaling \$419.81 on his 2010 Statement of Economic Interests; and two meals totaling \$185.20 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MCNELLIS, JOHN, 2007/0221 (2007)

84203 - 1 count
\$1,500

John McNellis of Palo Alto failed to timely disclose late contributions totaling \$10,000.

MCSHERRY & HUDSON, 2003/0412 (2003)

84200 - 1 count
\$400

McSherry & Hudson of Watsonville failed to file a major donor campaign statement in 2002.

M-E ENGINEERS, INC, 2006/0632 (2006)

84200 - 1 count
\$400

M-E Engineers, Inc. of Wheat Ridge, Colorado, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$14,250 in 2004.

MEDICAL STAFF OF HOAG MEMORIAL HOSPITAL PRESBYTERIAN, 2006/0443 (2006)

84200 - 1 count
\$400

Medical Staff of Hoag Memorial Hospital Presbyterian of Newport Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

MEDIMPACT HEALTHCARE SYSTEMS, INC, 2003/0579 (2004)

84200 - 1 count
\$1,500

MedImpact Healthcare Systems, Inc. failed to timely file a major donor campaign statement in 2002.

MEDINA, DAN, 2006/0507 (2007)

87300 - 1 count
\$100

Dan Medina, a member of the City of Gardena Public Improvement Corporation, failed to timely file his 2005 annual statement of economic interests.

MEDINA, VICTORIA A., 2011/0616 (2012)

84206 - 1 count
\$200

Victoria A. Medina, a current board member of the Bassett Unified School District, failed to file an annual Form 470 in a timely manner, covering the period of July 1, 2010, through December 31, 2010, due on or before January 31, 2011, with the county of Los Angeles.

MEEHAN, PAULA KENT, 2002/0711 (2003)

84203 - 3 counts
\$3,600

Paula Kent Meehan of Beverly Hills failed to disclose late contributions made in 2002.

MEEHLEIS MODULAR BUILDINGS, INC, 2006/0175 (2006)

84200 - 1 count
\$400

Meehleis Modular Buildings, Inc. of Lodi failed to timely file a semi-annual campaign statement disclosing contributions totaling \$14,906 in 2004.

MELVILLE, MARK, 2009/0079 (2010)

87206 & 87207 - 2 counts
\$400

Mark Melville, a former City of Gustine council member, failed to disclose an investment and income from Strategic Consulting Group on both his 2005 annual Statement of Economic Interests and his leaving office Statement of Economic Interests filed in 2006.

MENDEZ STRATEGY GROUP AND JOHN MENDEZ, PRINCIPAL OFFICER, 2012/0403 (2012)

86101 - 1 count
\$200

Mendez Strategy Group and John Mendez, the Responsible Officer, failed to register with the Secretary of State no later than 10 days after qualifying as a lobbying firm.

MENDEZ, ANDRES, 1983/0015 (1984)

84306 - 2 counts
84307 - 1 count
87100 - 2 counts
87300 - 1 count
87300 - 1 count
\$10,000

California Department of Veterans Affairs (DVA) Director Andres Mendez violated campaign, personal financial disclosure and conflict-of-interest provisions. The most serious violations occurred during 1982 when Mendez, as executive secretary of the California Veterans Board, solicited and received political contributions during state-compensated work hours and deposited those contributions, intended to support George Deukmejian's campaign for governor, in his personal checking account.

Mendez represented himself as an Hispanic agent for the Deukmejian campaign and directed the contributors to make their contribution checks payable to him personally. Some of the contributions deposited into his account were used by Mendez to pay for his personal living expenses, including making a monthly mortgage payment. No records were ever kept and no accounting was made by Mendez to the Deukmejian campaign regarding the contributions.

He also performed and directed others to perform other campaign activities on state time and used office supplies and facilities to prepare campaign related materials. No one involved in the Deukmejian campaign had any knowledge of the activities in support of Deukmejian by Mendez.

In addition to the campaign violations, Mendez participated in decisions as the director of DVA affecting an investment banking firm that had provided gifts to him on two separate occasions totaling \$1,841. Mendez also violated the law by valuing the gifts at \$217 in his annual statement of economic interests, thereby underreporting the value by \$1,624.

L.F. Rothschild, Unterberg and Towbin, an investment banking firm, provided limousine service and dinners to Mendez and his wife during trips to New York in August and October of 1983. In October and November 1983, Mendez participated in approving the companies, including L.F. Rothschild that would serve as managing underwriters

for a \$100 million of Home Purchase Revenue Bonds to make home loans to California veterans and approved the purchase contract between DVA and the underwriters.

In July 1984, Mendez recommended to the State Treasurer's office that L.F. Rothschild serve as the senior underwriter for the issuance of a new series of revenue bonds totaling \$162 million, and subsequently participated in the formal approval by the Veterans Debenture Finance Committee. L.F. Rothschild was directly affected by decisions that Mendez participated in and grossed more than \$100,000 in each of the underwriting ventures.

MENDEZ, GARY, 2009/0315 (2009)

87300 - 1 count
\$200

Gary Mendez, Rio Hondo Community College board member, failed to timely file a 2008 annual Statement of Economic Interests with the county of Los Angeles.

MENDEZ, RENE, 2013/0589 (2013)

87207 - 1 count
\$200

Rene Mendez, as the City Manager of Gonzalez, failed to timely disclose gift(s) of: golf, three meals, and tickets to a professional baseball game totaling \$250.92 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MENDOCINO HOTEL ASSOCIATES AND MAUREEN O'CONNOR, 2002/0443 (2002)

84203 - 3 counts
\$6,000

Mendocino Hotel Associates and Maureen O'Connor of La Jolla failed to file late contribution reports in 2000.

MENDONCA, LENNY, 2007/0300 (2007)

84203 - 4 counts
\$2,468

Lenny Mendonca of Montara failed to timely disclose late contributions totaling \$16,450.

MENDOZA, ANTONIO, 2009/0854 (2010)

87207 - 2 counts
\$400

Assemblymember Antonio Mendoza failed to disclose on his 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46 and a concert ticket from the Pechanga Band of Luiseño Mission Indians valued at \$110.

MENDOZA, NADIA, 2012/0539 (2012)

87300 - 1 count
\$200

Nadia Mendoza, Member of the Foothill Transit Commission for the county of Los Angeles, failed to timely file a Statement of Economic Interests for the reporting

period January 1, 2011, through December 31, 2011, by the April 2, 2012, due date.

MERCURY GENERAL CORPORATION, 2000/0119 (2000)

84211 - 1 count
\$2,000

Mercury General Corporation, located in Los Angeles, failed to disclose on its major donor campaign statement contributions made to the Republican National Committee-California Account in 1998.

MERCY SAN JUAN MEDICAL CENTER MEDICAL STAFF, 2006/0531 (2006)

84200 - 1 count
\$800

Mercy San Juan Medical Center Medical Staff of Carmichael failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

MERIT SHOP ROUNDTABLE, 2001/0717 (2002)

86116 - 1 count
\$1,200

Merit Shop Roundtable, a membership organization headquartered in Sacramento, failed to file a quarterly lobbying report in 2001.

MERITAGE HOMES OF NORTHERN CALIFORNIA, INC, 2003/0413 (2003)

84200 - 1 count
\$400

Meritage Homes of Northern California, Inc., located in Concord, failed to file a major donor campaign statement in 2002.

MERRIMAN, DONALD WILLIAM (“BILL”), 1999/0063 (2000)

87100 - 1 count
\$1,500

Donald William (“Bill”) Merriman, member of the Lake county Board of Supervisors, violated the conflict-of-interest provisions by participating in making a governmental decision to amend a zoning ordinance to allow mini-storage units in C-2 zoning districts. This zoning change was being sought specifically for real estate owned and offered for sale by Mr. Merriman.

MERUELO, RICHARD, 1999/0406 (2000)

84200 - 1 count
\$400

Richard Meruelo of Whittier failed to timely file a major donor campaign statement for contributions made to the Valley Families Support Richard Alarcon for State Senate Committee and the Lungren for Governor Committee in 1998.

MERWIN, DAVE, 2011/0405 (2011)

87207 - 10 counts
89503 - 2 counts
\$2,400

Investment Officer Dave Merwin, failed to disclose 10 gifts on four annual Statements of Economic Interests for 2006, 2007, 2008 and 2009. In 2006, gifts included entertainment valued at \$50.00 from Freeman, Spogli Partners; softball/open house valued at \$95.00 from LP Capital Advisors; a golf clinic, shirt, bag, and golf balls from Silver Lake Partners valued at \$430.00. In 2007, gifts included private equity review/overview valued at \$99.00 from LP Capital Advisors; golf green fees, shirt, bag and golf balls valued at \$310 from Silver Lake Partners. In 2008, gifts included tickets to the Oakland Raiders valued at \$355 from Centinella Capital Partners; entertainment valued at \$100 from Freeman, Spogli Partners; softball/holiday party valued at \$72 from LP Capital Advisors; and golf green fees, bag, vest, hat and flashdrive valued at \$650 from Silver Lake Partners. In 2009, a gift of an Apple Ipod Touch valued at \$187 was not disclosed. Respondent received two gifts over the applicable gift limit in 2006 and 2008: golf clinic, shirt, bag, and golf balls valued at \$430 from Silver Lake Partners in 2006, and golf green fees, bag, vest, hat and flashdrive valued at \$650 in 2008. The respondent reimbursed the donor Silver Lake Partners for those gifts received over the gift limit.

MESDAG, T. WILLEM, 2002/0770 (2002)

84203 - 1 count
\$3,500

T. Willem Mesdag of Los Angeles failed to file a late contribution report in 2002.

MESSICK, JON, 2009/0580 (2011)

87203 - 1 count
\$200

Jon Messick, Planning Commissioner for the county of Yuba, failed to timely file a 2008 annual Statement of Economic Interests.

MESSING, BRETT, 2004/0804 (2005)

84200 - 1 count
\$400

Brett Messing of Los Angeles failed to file a major donor campaign statement in 2003.

MEXICAN AMERICAN GROCERS ASSOCIATION AND STEVEN A. SOTO, 1992/0282 (1994)

84300 & 84301 - 18 counts
84302 - 1 count
\$38,000

Mexican American Grocers Association, an association of independent grocers transacting business in Los Angeles county, laundered \$14,300 when they made 18 contributions in the names of its officers and employees to various candidates for political office. The Association’s president, Steven A. Soto, acted as an intermediary for a \$1,000 laundered contribution.

MEYER, JEFFREY, 2013/0724 (2013)

87207 - 1 count
\$200

Jeffrey Meyer, as the Director of Financial Planning for the Calaveras county Water District, failed to timely disclose gift(s) of: one meal valued at \$167.14 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MEYER-SIMON, DIANE, 2003/0580 (2004)

84200 - 2 counts
\$4,000

Diane Meyer-Simon failed to timely file semi-annual major donor statements.

MEYERSON, ROBERT, 2002/0596 (2004)

87300 - 1 count
\$200

Robert Meyerson, member of the Dental Examiners Board for the Department of Consumer Affairs failed to timely file a 2001 annual statement of economic interests.

MEZZETTI, LEON J. AND SUZANNE MENDENHALL, 1986/0361 (1987)

84211
\$12,500

Former mayor Leon J. Mezzetti and his campaign treasurer, Suzanne Mendenhall, failed to properly report \$10,450 collected at a fundraiser arranged by a development company and also failed to identify six contributors of \$100 or more on campaign statements.

Mezzetti and his campaign treasurer also failed to disclose cumulative contributions from individuals for the 1985 calendar year.

MGC COMMUNICATIONS, INC, 1999/0398 (2000)

84200 - 1 count
\$400

MGC Communications, Inc., located in Las Vegas, failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

MICHAEL G. GLOVER FOR ASSEMBLY, GLOVER FOR ASSEMBLY 2008, COMMITTEE TO ELECT MIKE GLOVER FOR 70TH AD, 2010, AND DORIS NEEL, 2009/0615 (2012)

84104 - 1 count
84200(a) - 5 counts
84200(a) & 84605(a) - 1 count
84200.5(a) & 84200.7(a) - 2 counts
84200.5(a), 84200.7(a), & 84605(a) - 2 counts
85201(e) - 1 count
84211(a), (c), (d) & (f) - 1 count
84211(b), (i), (j) & (k) - 1 count
84211(b), (i), & (k) - 1 count
85309(c) - 1 count
\$47,000

Michael G. Glover was a successful candidate for California State Assembly, 70th District in the 2006 and 2008 primary elections. However, Respondent Glover was an unsuccessful candidate for California State Assembly in the November 7, 2006, November 4, 2008, general elections, and the June 8, 2010, primary election. Michael G. Glover for Assembly (“Respondent Committee 2006”) was the controlled committee of Respondent Glover’s 2006 election campaign. Respondent Glover acted as the treasurer of Respondent Committee 2006 from October 27, 2006, through June 30, 2009. Respondent Glover for Assembly 2008 (“Respondent Committee 2008”) was the controlled committee of Respondent Glover’s 2008 election campaign. Respondent Committee to Elect Mike Glover for 70th AD, 2010 (“Respondent Committee 2010”) was the controlled committee of Respondent Glover’s 2010 election campaign. Respondent Doris Neel (“Respondent Neel”) was the treasurer of Respondent Committee 2010 from February 19, 2010, through July 30, 2010. Respondents Glover and Committee 2006: 1) failed to file semi-annual campaign statements; 2) on semi-annual campaign statements from July 1, 2006, through December 31, 2008, failed to report the receipt of contributions totaling approximately \$47,406, and failed to itemize contributions received over \$100 totaling approximately \$52,673; 3) on semi-annual campaign statements from July 1, 2006, through December 31, 2008, failed to report expenditures made totaling approximately \$42,614, and failed to itemize expenditures made over \$100 totaling approximately \$57,144; 4) failed to report accrued expenses on at least one semi-annual campaign statement for the reporting period October 22, 2006, through December 31, 2006, totaling approximately \$23,403; 5) failed to disclose a contribution received of \$5,000 or more within 10 business days of receipt in an online campaign report; and 6) failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare campaign statements, to establish that a campaign statement was properly filed, and to comply with the campaign reporting provisions of the Act, from October 22, 2006, through June 30, 2009. Additionally, Respondents Glover and Committee 2008: 1) failed to file pre-election campaign statements; 2) failed to file a semi-annual campaign statement; and 3) failed to deposit all campaign contributions into a designated campaign bank account for Respondent Committee 2008. Further, Respondents Glover, Committee 2010, and Neel: 1) failed to file pre-election campaign statements in electronic format; and 2) failed to file a semi-annual campaign statement in electronic format.

MICHAEL R. LOMBARDI AND AFFILIATED ENTITIES, 2006/0444 (2006)

84200 - 1 count
\$800

Michael R. Lombardi and affiliated entities of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

MICHAELS, LAURIE F, 2003/0824 (2004)

84203 - 1 count
\$1,500

Laurie F. Michaels failed to file a late contribution report in 2002.

MICK GLEASON FOR SUPERVISOR 2012, MICK GLEASON, AND SOLOMON RAJARATNAM, TREASURER, 2012/0643 (2013)

84200.5(b), 84211(k)(6) & 84303 - 1 count
\$2,000

Mick Gleason, his candidate controlled committee Mick Gleason for Supervisor 2012, and the committee's Treasurer Solomon Rajaratnam, failed to timely report twelve subvendor payments, totaling \$31,848.56, made between March 18, 2012 and June 30, 2012.

MICROSOFT CORPORATION, 2006/0364 (2006)

84200 - 1 count
\$940

Microsoft Corporation of Redmond, Washington, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$54,000 in 2004.

MIDLAND PACIFIC BUILDING CORPORATION, 2006/0445 (2006)

84200 - 1 count
\$800

Midland Pacific Building Corporation of Atascadero failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,000 in 2004.

MID-VALLEY ENGINEERING, INC, 1999/0720 (2002)

84300 & 84301 - 112 counts
\$185,400

Mid-Valley Engineering, Inc., an engineering firm located in Modesto, laundered contributions made to local candidates and officials between 1997 and 1999.

MIGDEN, CAROLE, CAROLE MIGDEN LEADERSHIP COMMITTEE, AND ROGER SANDERS, 2004/0302 (2006)

84200.5 - 1 count
84203 - 4 counts
85309 - 17 counts
\$47,500

Carole Migden was a successful candidate for the 1st District of the Board of Equalization in the November 5, 2002, general election. Carole Migden Leadership Committee was the controlled committee of Migden. Roger Sanders was the treasurer for Carole Migden Leadership Committee. Respondents failed to file a pre-election campaign statement; file late contribution reports; file online reports disclosing contributions of \$1,000 or more received during the 90-day period before an election within 24-hours of receipt; and file online reports disclosing contributions of \$5,000 or more received outside the 90-day period before an election within 10 business days of receipt.

MIGDEN, CAROLE, CAROLE MIGDEN LEADERSHIP COMMITTEE, FRIENDS OF CAROLE MIGDEN, RE-ELECT SENATOR CAROLE MIGDEN, ROGER SANDERS AND ERIC POTASHNER, 2007/0441 (2008)

84104 - 15 counts
84211 - 21 counts
85200 - 8 counts
85309 - 8 counts
89512 - 8 counts
\$209,000

Carole Migden, Re-Elect Senator Carole Migden, Roger Sanders, and Eric Potashner accepted contributions prior to filing a statement of intention, failed to timely report contributions, inaccurately disclosed cash payments and receipts, violated record keeping statutes, reported outside the 90-day election cycle, failed to report credit card vendor information and personal use of campaign funds.

MIGDEN, CAROLE, CAROLE MIGDEN LEADERSHIP COMMITTEE, FRIENDS OF CAROLE MIGDEN, RE-ELECT SENATOR CAROLE MIGDEN, ROGER SANDERS AND ERIC POTASHNER, 2007/0441 (2008)

84211 - 9 counts
85201 - 13 counts
\$110,000

Carole Migden, Friends of Carole Migden, Roger Sanders, and Eric Potashner violated the one bank account rule, failed to report receipt of transfers, and failed to report credit card vendor information.

MIGDEN, CAROLE, CAROLE MIGDEN LEADERSHIP COMMITTEE, FRIENDS OF CAROLE MIGDEN, RE-ELECT SENATOR CAROLE MIGDEN, ROGER SANDERS AND ERIC POTASHNER, 2007/0441 (2008)

84211 - 3 counts
84214 - 3 counts
\$26,000

Carole Migden, the Carole Migden Leadership Committee, Roger Sanders, and Eric Potashner failed to timely terminate and cease financial activity, failed to timely report expenditures, and failed to timely report transfers.

MIGDEN, CAROLE, CAROLE MIGDEN LEADERSHIP COMMITTEE, FRIENDS OF CAROLE MIGDEN, RE-ELECT SENATOR CAROLE MIGDEN, ROGER SANDERS AND ERIC POTASHNER, 2007/0441 (2008)

84211 - 1 count
\$5,000

Carole Migden and Re-Elect Senator Carole Migden failed to report credit card vendor information.

MIGDEN, CAROLE, RE-ELECT ASSEMBLYWOMAN CAROLE MIGDEN 1998, CAROLE MIGDEN LEADERSHIP COMMITTEE, FRIENDS OF CAROLE MIGDEN, RE-ELECT

SENATOR CAROLE MIGDEN, AND ROGER SANDERS, 2007/0441 (2008)

84211 - 6 counts
85201 - 5 counts
\$40,000

This settlement agreement was the result of a failure to report the making and receiving of transfers and payments, the failure to establish a single campaign contribution account at an office of a financial institution located in the state, the failure to deposit all contributions for a specific elective office into an established campaign bank account, the failure to make all expenditures for a specific office from the same established account, and improperly redesignating the “Re-Elect Assemblywoman Carole Migden 1998” money market account to “Friends of Carole Migden.”

MIGDEN, RE-ELECT ASSEMBLYWOMAN CAROL, 2001/0705 (2002)

84203 - 8 counts
\$16,000

Re-Elect Assemblywoman Carole Migden of San Francisco failed to file eight late contribution reports in 2000.

MIKAN PROPERTIES, 1994/0339 (1998)

84300 & 84301 - 3 counts
\$5,100

Mikan Properties, a development and property management firm located in Buena Park, made contributions in the names of others to a campaign committee in Santee in 1993 without disclosing the true source of the funds.

MIKE BRIGGS FOR CITY COUNCIL 2010, 2010/0494 (2011)

84200.5(b) & 84200.7(a)(1) - 1 count
84203(a) - 1 count
84211(f) - 1 count
\$9,500

Mike Briggs for City Council 2010 was the controlled committee of Mike Briggs and at all relevant times, Respondent Briggs was the treasurer of Respondent Committee. In the June 8, 2010, primary election, Respondent Briggs was one of two qualifying candidates for the Fresno City Council, District 3, and he was the unsuccessful candidate in the November 2, 2010, general election. Respondents failed to file a pre-election campaign statement by the due date; failed to disclose the street address, occupation and/or employer information for 11 contributors who contributed \$100 or more, as reported in Respondents' pre-election campaign statement; and failed to file a late contribution report within 24 hours of receiving a late contribution totaling \$1,000.

MIKULICICH, NIKOLA, JR, 2000/0086 (2003)

84200 - 3 counts
\$6,000

The Commission adopted an administrative law judge's proposed decision in the matter of Nikola Mikulicich, Jr.,

treasurer of the California College Republicans Political Action Committee of Los Angeles, who failed to file three semi-annual campaign statements in 1998 and 1999.

MILBERG, WEISS, BERSHAD & SCHULMAN, LLP, 2005/0566 (2005)

84203 - 1 count
\$3,500

Milberg, Weiss, Bershad & Schulman, LLP of New York, New York, failed to file a late contribution report in 2004.

MILBERG, WEISS, BERSHAD, HYNES AND LERACH, LLP, 2002/0771 (2002)

84203 - 1 count
\$2,250

Milberg, Weiss, Bershad, Hynes and Lerach, LLP of New York, New York, failed to file a late contribution report in 2002.

MILES, CHERYL, 2010/0271 (2011)

87207, 87300 & 87302 - 1 count
\$400

Cheryl Miles, a consultant for the Fi\$Cal Change Management Team within the California Department of Finance, failed to report economic interests on her 2010 assuming office Statement of Economic Interests.

MILEY, CHRIS, 2011/0488 (2011)

87300 - 1 count
\$200

A City of Oakland employee failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

MILK PRODUCERS COUNCIL, 2003/0005 (2003)

84203 - 1 count
\$1,500

Milk Producers Council of Chino failed to file a late contribution report in 2002.

MILLER BROTHERS INVESTMENTS, LLC, 2002/0712 (2002)

84203 - 1 count
\$3,500

Miller Brothers Investments, LLC, of Calabasas failed to file a late contribution report in 2002.

MILLER, CEDRICE, 2003/0727 (2004)

87300 - 1 count
\$100

Cedrice Miller failed to timely file a 2002 annual statement of economic interests.

MILLER, CHRIS, AND MILLER/ROBERTSON & COMPANY, 1997/0232 (1999)

89513 & 89518 - 297 counts
 84211 - 28 counts
 \$300,000

The Commission issued a Default Decision and Order against Chris Miller, who while treasurer for a number of candidates and committees, and doing business as Miller/Robertson & Company, misappropriated campaign funds and filed false and misleading campaign statements between 1994 and 1997.

MILLER, DAVID, 2010/0535 (2010)

87300 - 1 count
 \$200

David Miller, Senior Hazardous Substance Scientist for Department of Toxic Substances, failed to timely file a 2009 annual Statement of Economic Interests.

MILLER, DONNA, 2013/0254 (2013)

87207 - 1 count
 \$200

Donna Miller, as Trustee for the North Orange county Community College District, failed to timely disclose gift(s) of: one meal valued at \$153.86 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MILLER, GARY G., AND COMMITTEE TO ELECT GARY MILLER, 1991/0331 (1993)

84203 - 4 counts
 \$6,000

Gary G. Miller, Diamond Bar City Councilman, and his committee failed to timely file late contribution reports of campaign contributions. Miller was an unsuccessful candidate for State Senate, 31st District, in 1990. Miller made four contributions in the form of loans from his personal funds totaling \$260,000 to his campaign committee. The unreported late contributions amounted to more than 42% of all contributions received by Miller's senate campaign.

MILLER, MARGARET M. HOWARD, 2003/0555 (2004)

84200 - 1 count
 \$400

Margaret M. Howard Miller failed to file a major donor campaign statement in 2002.

MILLER/ROOS AND COMPANY, 1990/0401 (1991)

83116.5 & 84200 - 1 count
 \$1,000

Miller/Roos and Company, a political consulting firm specializing in, among other things, preparing campaign statements for political committees, failed to timely file a major donor campaign statement on behalf of Thomas Somermeier in 1988. Mr. Somermeier made 13 contributions totaling \$20,150 to various political committees. A major donor statement was prepared and signed by Robert Miller disclosing these contributions,

however, the firm failed to mail this statement to the Secretary of State's office.

MILLS CORPORATION, 2006/0149 (2006)

84200 - 2 counts
 \$1,170

Mills Corporation of Arlington, Virginia, failed to timely file semi-annual campaign statements disclosing contributions totaling \$37,000 in 2002 and \$22,750 in 2004.

MILSTEIN, ADELMAN & KREGER, LLP, 2007/0295 (2007)

84203 - 4 counts
 \$2,475

Milstein, Adelman & Kreger, LLP of Santa Monica failed to timely disclose late contributions totaling \$16,500.

MINOR, JAMES "LARRY", 2011/0008 (2011)

84301 - 12 counts
 \$60,000

James Larry Minor made ten campaign contributions, each in the amount of \$3,900 to the Jeff Stone for State Senate Campaign 2009 committee in a name other than his own, and made one contribution in 2006, in the amount of \$3,300 to The Committee to Elect Brenda Salas campaign committee in a name other than his own, and made a contribution in excess of the campaign contribution limits, a total contribution of \$39,000, to the Jeff Stone for State Senate Campaign 2009 committee, in excess of contribution limits.

MIRAGE RESORTS, INC, 2000/0362 (2001)

84211- 1 count
 \$1,000

Mirage Resorts, Inc. of Las Vegas, Nevada, failed to disclose seven contributions on a major donor report in 1998.

MITCHELL, JOSHUA, 2012/0863 (2013)

89503 - 1 count
 \$200

Joshua D. Mitchell, Mayor of the City of Sanger, received gifts exceeding the applicable gift limit from one source in 2011.

MITCHELL, NICHOLAS, 2011/1171 (2012)

87300 - 1 count
 \$200

Nicholas Mitchell, Butteville Elementary School District Governing Board Member, failed to file an assuming office Statement of Economic Interests in a timely manner for the reporting period of August 31, 2010, through August 31, 2011, by the September 30, 2011, due date.

MITCHNER, JOE, 2013/0386 (2013)

87207 - 2 counts

\$400

Joe Mitchner, as a Member of the Mountain View-Los Altos Union High School Governing Board, failed to timely disclose gift(s) of: one meal valued at \$187.15 on his 2010 Statement of Economic Interests; and one meal valued at \$133.41 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MITTON, MARK, 1995/0541 (1998)

87100 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Mark Mitton, county Administrator of Tuolumne county, who violated the conflict-of-interest provisions when he accepted an expense paid trip to a North Carolina golf resort and then made a recommendation to the board of supervisors in favor of the developer's project in 1995.

MJM WILSHIRE PARTNERSHIP, 2002/1040 (2003)

84203 - 1 count
\$3,500

MJM Wilshire Partnership of Marina Del Rey failed to file a late contribution report in 2002.

MNOOKIN, JENNIFER, 2010/0308 (2010)

87300 - 1 count
\$200

Jennifer Mnookin, Vice Dean of the UCLA Law School, failed to timely file a 2008 annual Statement of Economic Interests.

MOBLEY, SHIRLEY, 1999/0622 (2000)

87200 & 87203 - 1 count
\$500

Shirley Mobley, Taft Planning Commission member, failed to timely file her 1998 annual statement of economic interests.

MOFFATT & NICHOL ENGINEERS, 2003/0322 (2003)

84200 - 1 count
\$400

Moffatt & Nichol Engineers of Long Beach failed to file a major donor campaign statement in 2002.

MOGGIA, MARGARET, 2013/0594 (2013)

87207 - 1 count
\$200

Margaret Moggia, as the Chief Financial Officer for the West Basin Municipal Water District, failed to timely disclose gift(s) of: three meals totaling \$121.04 on her 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MOLDAW, STUART, 2005/0297 (2005)

84200 - 1 count
\$400

Stuart Moldaw of Menlo Park failed to file a major donor campaign statement in 2001.

MOLINA, MARITZA, 2011/1049 (2012)

84206 - 1 count
\$200

Maritza Molina, a current member of the Los Angeles county Centinela Valley Union High School Governing Board, failed to file the Form 470 campaign statement covering the period January 1, 2011, through December 31, 2011, due August 1, 2011, in a timely manner with the county of Los Angeles.

MOLINA, MARITZA R., 2012/0741 (2013)

84200 - 1 count
\$200

Maritza R. Molina, as a candidate for the Centinela Valley School Board of Education, failed to timely file the semi-annual statement short form covering January 1, 2012, through December 31, 2012, due July 31, 2012, with the Los Angeles County Registrar-Recorder/County Clerk.

MOLONEY, PATRICK, 2009/0826 (2010)

89503(a) - 2 counts
\$4,000

Patrick Moloney was the Executive Director of the Pilot Commission for the Bays of San Francisco, San Pablo and Suisun until October 30, 2009. Respondent accepted gifts from the San Francisco Bar Pilots in excess of the gift limits; one valued at approximately \$2,292, in excess of the \$360 annual gift limit in 2006, and the second valued at approximately \$2,976, in excess of the \$390 annual gift limit for 2007.

MONREAL, ANTHONY, 2013/0906 (2013)

87207 - 1 count
\$200

Anthony Monreal, as the Former Superintendent of the Oxnard School District, failed to timely disclose gift(s) of: two meals totaling \$242.50 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MONTANA, TONY, 1991/0199 (1992)

87100 - 1 count
\$2,000

Dr. Tony Montana, a member of the board of directors of Selma Hospital District, violated the conflict-of-interest provisions when he used his position to influence a decision which would have had a material financial effect on financial interests he had in the Selma Emergency Physicians Medical Group.

MONTANEZ, CINDY, CINDY MONTANEZ FOR CITY COUNCIL '99, AND STEVEN VERES, 2000/0388 (2002)

84200 - 2 counts
87203 - 1 count

\$2,600

Cindy Montanez, member of the San Fernando City Council, Cindy Montanez for City Council '99, and its treasurer, Steven Veres, failed to timely file two semi-annual campaign statements in 2000. In addition, Ms. Montanez failed to file a 1999 annual statement of economic interests.

MONTEITH, RICHARD, AND DICK MONTEITH FOR STATE SENATE, 1996/0075 (1997)

84203 - 1 count
84211 - 1 count
\$3,500

Richard Monteith, member of the senate, and Dick Monteith for State Senate, his controlled committee, failed to file a late contribution report and failed to timely report a non-monetary contribution received in 1994. These violations were uncovered through a Franchise Tax Board audit of the committee.

MONTEMAYOR, MARK, 2008/0804 (2010)

84200 - 4 counts
\$1,600

Mark Montemayor, a candidate for West Sacramento City Council, and his committee, Team Montemayor, with Mark Montemayor as treasurer, failed to timely file four semi-annual statements.

MONTEREY COUNTY REPUBLICAN CENTRAL COMMITTEE, 2005/0012 (2005)

84203 - 1 count
\$3,500

Monterey county Republican Central Committee of Salinas failed to file a late contribution report in 2002.

MONTEREY COUNTY REPUBLICAN CENTRAL COMMITTEE AND CHRIS STEINBRUNER, 2008/0761 (2011)

84203(a) & 84605(a) - 6 counts
84211(k) & 84303 - 1 count
85303(b)(c) - 2 counts
\$25,000

Monterey county Republican Central Committee and Chris Steinbruner failed to timely file late contribution reports disclosing contributions made in the amount of \$530,183 and received in the amount of \$20,000; failed to report on a pre-election campaign statement for the reporting period ending September 30, 2006, required subvendor information; impermissibly accepted three contributions each in excess of the \$30,200 contribution limit from one source for the purpose of making contributions to support candidates for elective state office; and used contributions in excess of the contribution limit to make contributions to candidates for elective state office.

MONTGOMERY, SCOTT AND COMMITTEE TO ELECT SCOTT MONTGOMERY, 1995/0192 (1997)

84211 - 20 counts

\$7,900

The Commission adopted an administrative law judge's proposed decision in the matter of Scott Montgomery, member of the Moorpark City Council, and his committee who failed to properly disclose two expenditures made in 1992 and contributor information for 18 contributions received in 1994.

MONTNA, ALFRED G., MONTNA FAMILY TRUST AND MONTNA FARMS, 2007/0642 (2008)

84203 - 1 count
\$1,500

Alfred G. Montna, Montna Family Trust and Montna Farms of Yuba City failed to timely disclose a late contribution totaling \$10,000.

MONTOYA, JOSEPH, 1988/0410 (1991 CIVIL LAWSUIT)

81004
87207
\$40,000

Joseph Montoya, former state senator, failed to disclose several sources of income received from 1985 through 1987 on his statements of economic interests. Some income payments originated with Senator Montoya's controlled campaign committees and were passed through other individuals before reaching Senator Montoya. In addition, Senator Montoya failed to exercise reasonable diligence in the preparation of his statements of economic interests.

MOODY, DONALD, 2013/0682 (2013)

87207 - 1 count
\$200

Donald Moody, as a Member of the Morgan Hill Unified School District Governing Board, failed to timely disclose gift(s) of: one meal valued at \$112.50 on his 2010 Statement of Economic Interests; and one meal valued at \$139.27 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MOORAD, JEFFREY S, 2005/0836 (2006)

84200 - 1 count
\$400

Jeffrey S. Moorad of Newport Beach failed to timely file a semi-annual campaign statement in 2004.

MOORE, CHARLES "CHUCK," 2008/0520 (2008)

87300 - 1 count
\$200

Charles "Chuck" Moore, member of the Economic Development Advisory Committee for the City of Yucaipa, failed to timely file a 2008 annual statement of economic interests.

MOORE, ROY, 2012/0548 (2012)

87300 - 1 count

\$200

Roy Moore, Orange county Sanitation District Board Member, failed to timely file a 2011 Annual Statement of Economic Interests for the reporting period January 1, 2011 through December 31, 2011, by the April 2 2012, due date.

MOORE, SAMUEL, 2012/0354 (2013)

87203 - 3 counts
\$6,000

Samuel Moore, was elected as a Board Member of the Newcastle Elementary School District in November 2008 and again in November 2012, and currently serves as the Board President. As a Board Member of the Newcastle Elementary School District, Respondent was required to file Annual Statements of Economic Interests for calendar years 2009, 2010, and 2011. In this matter, Respondent failed to file a 2009 Annual Statement of Economic Interests by the April 1, 2010 deadline, failed to file a 2010 Annual Statement of Economic Interests by the April 1, 2011 deadline, and failed to file a 2011 Annual Statement of Economic Interests by the April 2, 2012 deadline

MOORE, WALLACE BILL, 2001/0628 (2005)

87300 - 3 counts
\$3,000

Wallace Bill Moore, a member of the Diversion Evaluation Committee for the Dental Board of California, failed to timely file an assuming office statement of economic interests, and failed to timely file two annual statements of economic interests.

MOORS, KELLI AND FRIENDS OF KELLI MOORS, 2001/0722 (2004)

84200 - 1 count
\$1,000

Kelli Moors and Friends of Kelli Moors failed to timely file a semi-annual campaign statement.

MORAGANS FOR MORAGA/ORINDA FIRE MERGER, 1997/0275 (1998)

84305 - 1 count
\$1,500

Moragans for Moraga/Orinda Fire Merger, a committee formed for the campaign on the merger of two fire districts in Contra Costa county, failed to provide sender identification on a mass mailing in 1997.

MORAN, MARY, 2006/0991 (2007)

87302 - 1 count
\$100

Mary Moran, a member of the Medical Board of California, entered into a stipulation for failing to timely file her 2005 annual statement of economic interests.

MORAN, MARY, 2012/0320 (2012)

87300 - 1 count
\$200

Mary Moran, a former committee member of the Medical Board of California, who left her position on July 31, 2011, failed to file in a timely manner her leaving office Statement of Economic Interests by the August 30, 2011, due date.

MORAN, PATRICIA, 2001/0274 (2002)

87300 - 1 count
\$200

Patricia Moran, a member of the Laytonville Municipal Advisory Council in Mendocino county, failed to file her 2000 annual statement of economic interests.

MORE, MIKE, 2012/0032 (2013)

87300 & 87302 - 2 counts
\$2,000

Mike More, Financial Services Manager for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in each of the years 2008 and 2009, and failed to report these gifts on his Annual Statements of Economic Interests covering the periods, January 1, 2008 through December 31, 2008, due April 1, 2009 and January 1, 2009 through December 31, 2009, due April 1, 2010.

MORELAND, TERRY L, 2003/0487 (2003)

84200 - 1 count
\$800

Terry L. Moreland of Bakersfield failed to file a major donor campaign statement in 2002.

MORENO, MARCUS A, 2003/0581 (2003)

84200 - 1 count
\$2,250

Marcus A. Moreno of Whittier failed to file a major donor campaign statement in 2002.

MORENO, MARIA, COMMITTEE TO ELECT MARIA MORENO, AND PAUL BERGER, 2001/0099 (2002)

84200 - 1 count
84200.5 - 1 count
84203 - 1 count
\$3,800

Maria Moreno, an unsuccessful incumbent candidate for the Placentia City Council in 2000, and her controlled committee, Committee to Elect Maria Moreno, and its treasurer, Paul Berger, failed to file a pre-election campaign statement, failed to file a late contribution report, and failed to file a semi-annual campaign statement in 2000.

MORET, LOUIS F., 2011/0406 (2011)

87207 - 2 counts
\$400

CalPERS Boardmember Louis F. Moret, failed to disclose two gifts of dinner from AEW Capital Management and a dinner from Capital Guardian valued at \$217.21 on his 2008 annual Statement of Economic Interests.

MORGAN, KILE, 1985/0277 (1987)

87100 & 87103 - 3 counts
\$3,000

National City Mayor Kile Morgan failed to disqualify himself from three votes of the National City Community Development Commission that had a reasonable chance of increasing the value of real property held by Morgan.

MORGAN, MICHAEL, 2011/0933 (2013)

87200 & 87203 - 1 count
\$1,500

At all relevant times, Michael Morgan ("Morgan"), was a member of the City Council in the City of Trinidad. As a member of the Trinidad City Council, Morgan was required to file an Annual Statement of Economic Interests for the 2010 calendar year. In this matter, Morgan failed to timely file the 2010 Annual Statement of Economic Interests covering the period January 1, 2010 through December 31, 2010, due April 1, 2011.

MORGAN, MICHAEL, MORGAN FOR SUPERVISOR, AND DONNA MORGAN, 2002/0348 (2004)

84200 - 1 count
\$2,000

Michael Morgan, Morgan for Supervisor, and Donna Morgan, failed to timely file a semi-annual campaign statement.

MORGAN, TERRY, AND TERRY MORGAN FOR WEST HOLLYWOOD CITY COUNCIL, 2001/0215 (2005)

84200 - 1 count
84308 - 1 count
\$2,500

Terry Morgan, a member of the Business License Commission for the City of West Hollywood and an unsuccessful candidate for West Hollywood City Council, and his controlled committee, Terry Morgan For West Hollywood City Council, accepted a campaign contribution of more than \$250 from a party to a proceeding that was pending before the Business License Commission. Respondents also failed to timely file a semi-annual campaign statement.

MORGENTHALER, GAYE E, 2003/0825 (2004)

84203 - 2 counts
\$5,127

Gaye E. Morgenthaler failed to file late contribution reports in 2002.

MORIKAWA, NORM, 1997/0336 (2001)

87100 - 1 count
87300 - 1 count
89503 - 1 count
\$2,500

Norm Morikawa, Assistant Director of the Large Systems Division at the Stephen P. Teale Data Center in Sacramento, accepted gifts over the legal limit and participated in making a governmental decision within 12 months of receiving the gifts in 1996, and failed to disclose those gifts on his 1996 annual statement of economic interests.

MORONGO BAND OF MISSION INDIANS, 2000/0438 (2003 CIVIL LAWSUIT)

84203
\$25,000

The Morongo Band of Mission Indians, a federally recognized Indian tribe exercising jurisdiction over the Morongo Indian Reservation in Riverside county, failed to file a late contribution report disclosing a \$500,000 contribution made to the Yes on Proposition 1A Committee in 2000.

MORRIS, JOHN G, 2005/0131 (2005)

84203 - 1 count
\$1,500

John G. Morris of Los Angeles failed to file a late contribution report in 2004.

MORRIS, MARY, 2011/0437 (2011)

87207 - 1 count
\$200

CalPERS Investment Officer Mary Morris, failed to disclose a gift of a hot air balloon ride, dinner and dance from Corporate Library valued at \$420 on her 2009 annual Statement of Economic Interests.

MORRISON HOMES, 2005/0128 (2005)

84203 - 1 count
\$3,500

Morrison Homes of Folsom failed to file a late contribution report in 2004.

MORRISON, ERNIE, 2013/0315 (2013)

84200 - 4 counts
\$800

Ernie Morrison, is a sitting member on the Oxnard School District Board of Trustees. Ernie Morrison, the Committee to Elect Ernie "Mo" Morrison Governing Board Member 2010, and Assistant Treasurer, Sue Odgers, failed to timely file four semi-annual campaign statements after the election, covering January 1, 2011 through December 31, 2012.

MORRISSEY, JIM, MORRISSEY FOR ASSEMBLY, AND BETTY PRESLEY, 2000/0063 (2000)

84203 - 5 counts
\$7,000

Jim Morrissey, unsuccessful incumbent candidate for the 69th Assembly District in 1998, his controlled committee, Morrissey for Assembly, and Betty Presley, a political

consultant and its treasurer, failed to timely report late contributions received between 1997 and 1998.

MORROW, RALPH, 2004/0348 (2004)

87202 - 1 count
\$100

Ralph Morrow failed to timely file a 2002 assuming office statement of economic interests.

MORROW, RICHARD, 1989/0400 (1992)

87100 - 1 count
\$1,000

The Commission adopted an administrative law judge’s proposed decision which concluded that Richard Morrow, Pismo Beach City Councilmember, violated the conflict-of-interest provisions when he voted on a development issue which would produce a material financial benefit to him based on his interest in real property within the city.

MORTON, KHAIM, 2012/0934 (2013)

87300 - 1 count
\$200

Khaim Morton, as an Assistant Consultant for the California State Senate, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

MORTON, PETER, 2003/0582 (2003)

84200 - 1 count
\$5,000

Peter Morton of Los Angeles failed to file a major donor campaign statement in 2002.

MOSER, KEN, AND THE SAN DIEGO COUNTY CONSERVATIVE POLITICAL ACTION COMMITTEE, 1997/0501 (2000)

84200 - 3 counts
84200.5 & 84200.7 - 2 counts
84200.5 & 84200.8 - 2 counts
\$7,000

Ken Moser and the San Diego county Conservative Political Action Committee, a general purpose committee organized in 1993 by Moser for the promotion of conservative causes and candidates failed to timely file semi-annual and pre-election campaign statements in 1995 and 1996.

MOSES, MARK, 2010/1084 (2013)

87407 - 2 counts
\$8,000

In June 2010, Mark Moses, as the Chief Financial Officer of the City of Stockton, made, participated in making, or attempted to influence, two governmental decisions directly related to a person with whom he was negotiating, or had an arrangement concerning, prospective employment.

MOSHER, GREG C, 2003/0415 (2003)

87300 - 1 count
\$400

Greg C. Mosher of Castle Rock, Colorado, failed to file a major donor campaign statement in 2002.

MOSOLGO, ERIC, 2008/0463 (2009)

87300 - 1 count
\$400

Eric Mosolgo, City of Chula Vista’s Resource Conservation Commission member, failed to timely file a 2007 annual Statement of Economic Interests.

MOSS, JEROME, 2003/0826 (2004)

84203 - 1 count
\$1,500

Jerome Moss failed to file a late contribution report in 2002.

MOSS, JEROME, 2012/0952 (2013)

89503 - 1 count
\$200

Jerome Moss, former Member of the Horse Racing Commission, received a gift exceeding the applicable gift limit for 2011

MOSS, JEROME S, 2004/0150 (2004)

84203 - 1 count
\$1,500

Jerome S. Moss failed to file a late contribution report in 2003.

MOUNTAIN VIEW EPMG, INC, 2006/0621 (2007)

84200 - 1 count
\$400

Mountain View EPMG, Inc. of Yucaipa failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,000 in 2004.

MOUNTAIN VIEW PROFESSIONAL FIREFIGHTERS POLITICAL ACTION COMMITTEE AND MELTON WONG, TREASURER, 2011/0107 (2011)

84605 - 1 count
\$400

Mountain View Professional Firefighters Political Action Committee and Melton Wong, the committee treasurer, failed to timely file electronic campaign statements for all reporting periods from July 1, 2009, through June 30, 2011.

MOUNTJOY, DENNIS, DENNIS MOUNJOY FOR SENATE 2008, AND PEGGY MEW, TREASURER, 2011/0626 (2012)

84200 - 2 counts
\$400

Dennis Mountjoy, his candidate controlled committee, Dennis Mountjoy for Senate 2008, and Peggy Mew, the committee's treasurer, failed to file in a timely manner with the Secretary of State, two semi-annual campaign statements for the periods of July 1, 2010, through December 31, 2010, due January 31, 2011, and January 1, 2011, through June 30, 2011, due August 1, 2011.

MOVING AMERICA FORWARD FOUNDATION, INC, 2007/0574 (2007)

84200 - 1 count
\$400

Moving America Forward Foundation, Inc. of Albuquerque, New Mexico, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2006.

MOWER, MICHAEL, 1988/0087 (1989)

87100 - 1 count
\$1,000

Michael Mower, a member of the Ridgecrest City Council, violated conflict-of-interest laws by voting to approve a \$263,000 construction contract that significantly affected a source of income. Mr. Mower was a 25% owner of a hardware store and received more than \$250 in income from the business which was awarded the construction contract.

MTC HOLDINGS AND AGGREGATED CONTRIBUTIONS, 2008/0645 (2008)

84200 - 1 count
\$400

MTC Holdings qualified as a major donor committee in the second half of 2006, but failed to timely file a major donor statement, Form 461, on January 31, 2007.

MTC HOLDINGS AND AGGREGATED CONTRIBUTIONS, 2009/0764 (2010)

84200 - 1 count
\$933

MTC Holdings and aggregated contributions of San Francisco, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$53,392 in 2008.

MUGAN, PAUL, 2005/0482 (2006)

87203 - 1 count
\$100

Paul Mugan, Planning Commissioner for the City of Seaside, failed to timely file a 2007 annual Statement of Economic Interests.

MUI, EDWIN, 2003/0528 (2004)

87200 - 1 count
\$100

Edwin Mui, an employee of the Department of Conservation, failed to timely file a 2004 annual statement of economic interests.

MULLER, ELMER, 2003/0528 (2004)

87300 - 1 count
\$100

Elmer Muller failed to timely file a 2002 annual statement of economic interests.

MULLIN, PETER W, 1999/0419 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Peter W. Mullin of Los Angeles failed to timely file a major donor campaign statement for contributions made to Californians for Paycheck Protection Committee; Team Noel '98 Committee; Kathleen Connell for Controller Committee; Lungren Committee for Common Sense Conservatism; and Control Committee for Bruce Thompson in 1998. In addition, it failed to file a late contribution report for the contribution made to Lungren Committee for Common Sense Conservatism.

MUNDAY, CLAUDE S, 2006/0176 (2006)

84200 - 1 count
\$400

Claude S. Munday of Fremont failed to timely file a semi-annual campaign statement in 2004.

MUNGARY, WILLIAM, 2011/1169 (2012)

87300 - 1 count
\$200

William Mungary, Commissioner for the Native American Heritage Commission, failed to timely file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, in a timely manner.

MUNGER, CHARLES T, 2007/0048 (2007)

84203 - 1 count
\$1,500

Charles T. Munger of Los Angeles failed to timely disclose a late contribution totaling \$10,000.

MUNICICORP, INC, 1987/0364 (1988)

84200 - 1 count
84203 - 1 count
\$3,000

Municicorp, Inc. of Los Angeles failed to file a major donor statement and a late contribution report for 12 contributions totaling \$26,650 made in 1986.

MUNRO, JULIE SIMON, 2002/0713 (2002)

84203 & 84605 - 2 counts
\$4,500

Julie Simon Munro of Sausalito failed to file late contribution reports in paper and electronic format in 2002.

MURDACA, ANNETTE V, 2006/0303 (2006)

84200 - 1 count
\$400

Annette V. Murdaca of Lodi failed to timely file a semi-annual campaign statement in 2004.

MURPHY, BART, 2012/0750 (2012)

87300 - 1 count
\$200

Bart Murphy, as a Member of the Residential Rent Stabilization and Arbitration Board for the City and county of San Francisco, failed to timely file a 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

MURPHY, DONAL J, 2003/0391 (2003)

84200 - 1 count
\$400

Donal J. Murphy, of Yorktown Heights, New York, failed to file a major donor campaign statement in 2002.

MURPHY, GERALD J. (ROD), 2003/0624 (2004)

87300 - 2 counts
\$200

Gerald J. (Rod) Murphy failed to timely file a 2001 and 2002 annual statement of economic interests.

MURPHY, MATTHEW, 2003/0465 (2003)

84200 & 84605 - 1 count
\$905

Matthew Murphy of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

MURRAY & HOWARD, LLP, 2005/0568 (2005)

84203 - 1 count
\$1,500

Murray & Howard of Oakland failed to file a late contribution report in 2004.

MURRAY, WILLARD, MURRAY FOR ASSEMBLY COMMITTEE, LONNIE SANDERS, AND UNITED DEMOCRATIC CAMPAIGN COMMITTEE, 1988/0075 (1989)

84203 - 7 counts
\$12,500

This case resulted from a mandatory state audit of the 1986 Murray for Assembly Committee. The audit found that the committee received 16 late contributions totaling \$76,000 which failed to be properly disclosed by the candidate, his committee, and his treasurer, Lonnie Sanders. The audit also found that the United Democratic Campaign Committee made a \$12,500 contribution to the candidate but failed to file a required late contribution report.

MUSOLINO, MICHAEL/LEAGUE OF PLACER COUNTY TAXPAYERS, 2012/0949 (2013)

84200.5(b) & 84200.7 - 1 count
84203(a) & 84605(a)(2) - 1 count
\$4,500

League of Placer County Taxpayers qualified a primarily formed ballot measure committee that supported the election of two candidates; Bonnie Gore and Carol Garcia, and opposed a third; Phil Ozenick, all of whom appeared on the ballot as Roseville City Council candidates in the November 6, 2012 election. Michael Musolino was the Treasurer for the committee at all times relevant. Respondents failed to timely file a second pre-election campaign statement covering the period October 1, 2012, through October 20, 2012, due October 25, 2012 and failed to timely file a late contribution report.

MUSSENDEN, JAMES, 2013/0602 (2013)

87207 - 1 count
\$200

James Mussenden, as the City Manager for the City of El Monte, failed to timely disclose gift(s) of: golf and a meal totaling \$275.75 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

MYERS, FRANK G, 2007/0225 (2007)

84200 - 2 counts
\$800

Frank G. Myers of Atherton failed to timely file semi-annual campaign statements disclosing contributions totaling \$21,200 in 2005.

MYERS, SUSAN, 2007/0242 (2007)

84200 - 1 count
\$400

Susan Myers of Atherton failed to timely file a semi-annual campaign statement disclosing contributions totaling \$11,200 in 2005.

N & S, INC., DBA JIMMY'S FAMILY RESTAURANT, 1994/0339 (1998)

84300 & 84301 - 3 counts
\$5,400

N & S, Inc., dba Jimmy's Family Restaurant, located in Santee laundered campaign contributions to the campaign of Jim Romine for Santee City Council in 1992.

NAKAGAWA, TOM, 1991/0425 (1992)

84301 - 2 counts
\$4,000

Tom Nakagawa, former senior auditor for the State Board of Equalization and candidate for the Democratic nomination to the first district Board of Equalization, laundered two \$99 contributions made in support of the Republican candidate, Jeff Wallack. Following Nakagawa's defeat, he made these contributions in the name of a relative to avoid disclosure.

NANCY PELOSI FOR CONGRESS, 2006/0304 (2006)

84200 - 1 count
\$400

Nancy Pelosi for Congress of San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$1,500 in 2004.

NARANJO, FRED, 2008/0688 (2009)

87300- 1 count
\$200

Fred Naranjo, California Optometry Board member, failed to timely file a 2007 annual Statement of Economic Interests.

NASH, JANICE SEE ALSO, A. RON RHONE, ET AL., SI-84/237 (1987), 1984/0237 (1986)

84104 - 1 count
84211 - 1 count
\$750

Janice Nash, treasurer of the Friends of A. Ron Rhone Committee, failed to itemize contributions and expenditures which individually exceeded \$100 and did not keep detailed records necessary for the proper preparation of the committee's campaign statements.

NATHANSON, MARC, 2006/0164 (2006)

84200 - 1 count
\$1,500

Marc Nathanson qualified as a major donor committee in the first six months of 2004. Mr. Nathanson failed to file a semi-annual campaign statement.

NATHANSON, MARC AND JANE, 2002/0032 (2002)

84203 - 2 counts
\$4,000

Marc and Jane Nathanson of Los Angeles failed to file late contribution reports in 2000.

NATHANSON, MARK, 1985/0076 (1986)

87300 & 87302 - 20 counts
\$13,400

Mark Nathanson, a former member of the Commission on California State Government Organization and Economy (the Little Hoover Commission), failed to report numerous investments, interests in real property and sources of income on his 1983, 1984, and 1985 annual statements of economic interests.

NATHANSON, MARK, 1991/0577 (1994)

87100 - 1 count
87207 - 4 counts
\$10,000

The Commission issued a Default Decision and Order against Mark Nathanson, a member of the California Coastal Commission from 1986 until his resignation in 1992, who, as part of an effort to conceal a bribery scheme, failed to report four sources of income on his 1987, 1988 and 1990 statements of economic interests. In addition,

Nathanson committed a conflict-of-interest violation in 1988 by voting in favor of an application for a person from whom Nathanson solicited and received a bribe.

NATIONAL ALLIANCE INSURANCE CO, 1999/0504 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

National Alliance Insurance Co., located in St. Louis, MO, failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

NATIONAL AUDUBON SOCIETY, INC, 2006/1146 (2007)

84200 - 2 counts
\$1,800

The National Audubon Society, Inc. of Emeryville failed to timely file semi-annual campaign statements disclosing contributions totaling \$100,000 in 2005 and \$10,000 in 2006.

NATIONAL CITY ELEMENTARY TEACHERS ASSOCIATION PAC; ANA B. ZUNIGA-JUAREZ, TREASURER, 2011/1058 (2012)

84200 - 1 count
\$200

National City Elementary Teachers Association PAC, a local general purpose committee, and its treasurer, Ana B. Zuniga-Juarez failed to file a semiannual campaign statement for the reporting period of January 1, 2011, through June 30, 2011.

NATIONAL REPUBLICAN CONGRESSIONAL COMMITTEE NON-FEDERAL, 1998/0507 (1999 CIVIL LAWSUIT)

84211
84216
\$30,000

The National Republican Congressional Committee Non-Federal, a non-sponsored, state general purpose committee, failed to properly report loans received.

NATIONAL REPUBLICAN CONGRESSIONAL COMMITTEE NON-FEDERAL (CALIFORNIA) AND DONNA ANDERSON, 2002/0021 (2002 CIVIL LAWSUIT)

84203
\$22,000

The National Republican Congressional Committee Non-Federal (California), a campaign committee sponsored by the National Republican Congressional Committee to support Republican candidates in California elections, and its treasurer, Donna Anderson, failed to file late contribution reports disclosing contributions made in 1998 and 2000.

NATIONAL RIFLE ASSOCIATION, THE NRA POLITICAL VICTORY FUND, AND MARYROSE JENNISON, TREASURER, 1994/0183 (1995)

84202.5 - 1 count
 84202.7 - 1 count
 84203 - 6 counts
 84203.5 - 12 counts

84204 - 2 counts
 \$33,000

National Rifle Association, the NRA Political Victory Fund, and Maryrose Jennison, treasurer, failed to file or timely file various campaign reports, which cumulatively involved over \$143,420 in political contributions made to California legislators from 1989-1992. These statements included a special odd-year report, twelve supplemental independent expenditure reports, a supplemental pre-election statement, two late independent expenditure reports, and six late contribution reports.

NATIONAL SOCIETY OF PROFESSIONAL ENGINEERS, 2002/0327 (2002)

84203 - 1 count
 \$2,000

National Society of Professional Engineers of Alexandria, Virginia, failed to file a late contribution report in 2000.

NATIONAL STEEL & SHIPBUILDING CO, 1999/0407 (2000)

84200 - 1 count
 \$600

National Steel & Shipbuilding Co., located in Arlington, VA, failed to timely file a major donor campaign statement for contributions made to Friends of Denise Moreno Ducheny; Lynn Schenk for Attorney General Exploratory Committee; Goldsmith for Treasurer Committee; Citizens for Andal Committee; Delaine Eastin Committee; Re-Elect Wayne for State Assembly Committee; Lungren Committee for Common Sense Conservatism; Kathleen Connell for Controller Committee; Bruce Thompson; and Californians for Gray Davis Committee in 1998.

NATIONAL UNITED FARM WORKERS POLITICAL ACTION COMMITTEE, 1982/0021 (1983)

84200 - 9 counts
 84203 - 7 counts
 84211 - 4 counts
 \$25,000

The National United Farm Workers Political Action Committee did not timely file campaign disclosure reports in 1982 even though it contributed \$218,431 to candidates in that year's June primary election.

The PAC contributed more than \$1,160,000 to campaigns in 1980 and 1982. The committee disclosed a pattern of failing to report contributions, underreporting contributions and funneling contributions through intermediaries, all of which had the effect of concealing the union's involvement in various political campaigns.

NATIONAL WOMEN'S POLITICAL CAUCUS OF SAN DIEGO AND JUDITH K. WALTERS, TREASURER, 2010/0576 (2011)

84200 - 1 count
 \$200

National Women's Political Caucus of San Diego and its treasurer, Judith K. Walters failed to timely file a semi-annual campaign statement.

NATIONWIDE AUCTION SYSTEMS, 1999/0641 (2001)

84200 - 2 counts
 84211 - 1 count
 \$5,000

Nationwide Auction Systems, a professional auctioneer service company specializing in the disposal of surplus property and headquartered in Industry, failed to timely file major donor campaign statements disclosing contributions made in 1996 and 1998 and failed to fully disclose contributions made in 1998.

NATIVIDAD, BENJAMIN, 2005/0417 (2009)

87300 - 2 counts
 \$4,000

Benjamin Natividad, City of Walnut Planning Commission member, failed to file annual Statements of Economic Interests.

NAVA, PEDRO, 2009/0885 (2010)

87207 - 2 counts
 \$400

Assemblymember Pedro Nava failed to disclose on his 2008 Statement of Economic Interests, gifts of a reception from Bank of America valued at \$74.00 and a dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46.

NAVAR, RAJESH, 2007/0641 (2007)

84203 - 1 count
 \$1,500

Rajesh Navar of Los Altos Hills failed to timely disclose a late contribution totaling \$10,000.

NAVARRO, PETER, AND ROBIN STARK, 1993/0456 (1996)

84203 & 84216 - 6 counts
 \$8,000

Peter Navarro, a candidate for mayor of San Diego in 1992, and Robin Stark, treasurer for Navarro's campaign committee, failed to properly disclose six loans received during the 1992 general election.

NAZARIAN, ADRIN, 2009/0886 (2010)

87207 - 1 count
 \$400

Adrin Nazarian formerly served as the chief of staff to Assemblymember Paul Krekorian. In this matter, Respondent Nazarian failed to report a gift from the Recording Industry Association of America in the amount of \$172.94 for concert tickets, transportation and refreshments on his 2008 annual Statement of Economic Interests.

NEC TECHNOLOGIES, INC, 2002/0318 (2006)

84605 - 3 counts
86117 - 6 counts
\$31,500

NEC Solutions (America), Inc., formerly known as NEC Technologies, Inc., a Global Fortune 500 technology and computer company and a registered lobbyist employer, failed to timely file six paper lobbyist employer reports and three electronic lobbyist employer reports.

NEEL, DORIS, 2009/0615 (2012)

84200.5, 84200.7 & 84605 - 2 counts
84200 & 84605 - 1 count
\$6,000

Michael Glover was an unsuccessful candidate for California State Assembly in the June 8, 2010, primary election. Committee to Elect Mike Glover for 70th AD, 2010 ("Committee 2010") was the controlled committee of Glover's 2010 election campaign. Respondent Doris Neel was the treasurer of Committee 2010 from February 19, 2010, through July 30, 2010. Glover, Committee 2010, and Respondent Neel: 1) failed to file pre-election campaign statements in electronic format; and 2) failed to file a semi-annual campaign statement in electronic format.

NEIDICH, BROOKE GARBER, 2007/0652 (2008)

84203 - 1 count
\$1,500

Brooke Garber Neidich of New York, New York, failed to timely disclose a late contribution totaling \$10,000.

NEIGHBORS OPPOSING TEBBS, EASON & HANEY FOR FIRE BOARD 2010, AND THOMAS J. FRANCL, TREASURER, 2010/1090 (2011)

84310(a) - 1 count
\$1,500

On or about October 15, 2010, Neighbors Opposing Tebbs, Eason & Haney for Fire Board 2010, a recipient committee, and its treasurer, Thomas J. Francl, violated the Political Reform Act by expending campaign funds on "Robocalls" (telephone calls that are similar in nature and aggregate 500 or more in number) without disclosing the name of the organization that authorized or paid for the calls to the recipients of the calls.

NEIL AND KENNETH DERRY AND NEIL DERRY FOR SUPERVISOR, 2011/0736 (2012)

84302 - 1 count
\$5,820

Neiland Kenneth Derry was a successful candidate for the San Bernardino county Board of Supervisors in 2008. Neil Derry for Supervisor was his candidate controlled committee. In this matter, Mr. Derry knowingly and willfully failed to report the true source of a \$5,000 contribution that was made to his committee in the name of another. As part of a joint effort by the California Attorney General's office and the FPPC Enforcement Division, Mr. Derry pled guilty to a misdemeanor count for failure to report the true source of the contribution. His sentence, which was imposed by the San Bernardino county Superior Court, included a \$10,000 fine, and of this amount, he was ordered to pay \$5,820 to the FPPC. Thereafter, he paid the ordered amount to the FPPC, and made a disgorgement payment to the FPPC in the amount of \$5,000 pursuant to Government Code Section 85701, which requires candidates and committees to surrender certain unlawful contributions to the General Fund of the State of California.

NEIL, MICHAEL, 2000/0383 (2000)

87300 & 87302 - 1 count
\$200

Michael Neil, a lifeguard captain for the City of Coronado's Department of Recreation, failed to timely file his 1999 annual statement of economic interests.

NEJEDLY, JOHN, 2013/0670 (2013)

87207 - 1 count
\$200

John Nejedly, as a Trustee of the Contra Costa Community College District, failed to timely disclose gift(s) of: one meal valued at \$127.66 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

NELSON HOMES, INC, 2004/0151 (2004)

84203 - 1 count
\$1,500

Nelson Homes, Inc. failed to file a late contribution report in 2003.

NELSON, G. TYLER, 2006/1093 (2007)

87300 - 1 count
\$100

G. Tyler Nelson, Mendocino county Resource Conservation District member, failed to timely file his 2005 annual statement of economic interests.

NELSON, GREGORY T, 2003/0006 (2008)

87100 - 1 count
\$3,500

In January 2003, Gregory T. Nelson, a member of the Mendocino county Planning Commission, made a governmental decision in which he had a financial interest.

NELSON, LINDA AND FRIENDS OF LINDA NELSON, 1984/0295 (1987)

84104, 84206, 84211, & 84300
\$1,000

Linda Nelson, an unsuccessful candidate for the 38th Assembly District in November 1984, committed campaign reporting violations which were found during a state Franchise Tax Board audit of the campaign statements filed by Friends of Linda Nelson.

NELSON, LUCILLE, 1995/0464 (1998)

84302 - 1 count
\$2,000

Lucille Nelson, a chiropractor residing in Yorba Linda, failed to disclose the true source of a contribution made in 1993 to Residents to Protect Our Neighborhoods, a committee sponsored by the Bell Gardens Bicycle Club. Nelson also failed to disclose that she was an intermediary for the contribution.

NESTLE USA, INC, 1995/0182 (1996)

84203 - 2 counts
\$2,500

Nestle USA, Inc., a major donor committee, failed to timely file late contribution reports for contributions made to Yvonne Brathwaite Burke, a candidate for the Los Angeles county Board of Supervisors, and her controlled committee in 1992.

NETWORK MANAGEMENT GROUP, 2003/0416 (2003)

84200 & 84605 - 1 count
\$400

Network Management Group of Monterey Park failed to file a major donor campaign statement in paper and electronic format in 2002.

NEUHAUSER, JAMES C, 1999/0412 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

James C. Neuhauser of Irvine failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

NEVADA COUNTY REPUBLICAN CENTRAL COMMITTEE; WILLIAM K. NEUHARTH, TREASURER, 2008/0255 (2010)

84200 - 3 counts
\$1,200

Nevada county Republican Central Committee with William K. Neuharth as treasurer failed to file three semi-annual campaign statements.

NEVILLE, RACHEL, NEVILLE FOR WATER BOARD, AND MARK NEVILLE, TREASURER, 2008/0120 (2010)

84200(a) - 1 count
84200.5(c) & 84200.8(b) - 1 count
84206 - 1 count
84211 - 2 counts

\$8,500

Rachel Neville was an unsuccessful candidate for the Newhall county Water Board of Directors in the November 6, 2007, election. Neville for Water Board was established on or about September 25, 2007, but no campaign statements applicable to a recipient committee were filed. Rachel Neville instead filed a Short Form (470) on or about October 5, 2007, certifying that she planned to receive contributions and make expenditures of less than \$1,000 in a calendar year. However, Respondents received contributions and made expenditures in excess of \$10,000 in connection with the campaign. Rachel Neville did not qualify to file the Short Form declaration at the time she filed the form and misled the public about her campaign activities. In addition, Respondents also failed to file a pre-election campaign statement, failed to file and a semi-annual campaign statement, and failed to disclose specific contributions and expenditures of \$100 or more.

NEW DEMOCRAT NETWORK AND SIMON ROSENBERG, 2001/0307 (2004)

84200.5 - 2 counts
84605 - 1 count
\$5,500

New Democrat Network, a political organization in Washington, D.C., and its treasurer, Simon Rosenberg, failed to file pre-election campaign statements in paper and electronic format in 2000.

NEW DEMOCRAT NETWORK AND SIMON ROSENBERG, 2005/0665 (2006)

84200 - 1 count
84203 - 1 count
\$3,000

New Democrat Network, a state general purpose committee located in Washington, D.C., and its treasurer, Simon Rosenberg, failed to timely disclose a late contribution in a late contribution report, and failed to timely file a semi-annual campaign statement.

NEW IMAGES OF BEVERLY HILLS, 2003/0583 (2003)

84200 - 1 count
\$2,700

New Images of Beverly Hills failed to file a major donor campaign statement in 2002.

NEWDOLL, ROBERT, 1978/0028 (1980)

87203, 87206, & 87207 - 31 counts
\$7,000

Coastal Commissioner Robert H. Newdoll of Grover City failed to disclose interests in 14 properties valued at more than \$1 million. Mr. Newdoll was also a member of the Grover City Council in San Luis Obispo. Mr. Newdoll also failed to disclose purchasers of lots, homes, and apartments as sources of income and in addition failed to disclose investments in three real estate development companies.

NEWPORT BEACH CITY HALL IN THE PARK COMMITTEE, YES ON MEASURE B AND LYSA RAY, 2008/0022 (2010)

84504 - 1 count
\$2,000

Newport Beach City Hall in the Park Committee, Yes on Measure B and Lysa Ray, Treasurer, filed campaign statements, which did not include in the name of the committee the identity of the major donor.

NEWSOM FOR MAYOR, GAVIN NEWSOM AND LAURENCE PELOSI, 2003/0844 (2005)

84305 - 1 count
\$2,500

Gavin Newsom, a successful candidate for mayor of the city and county of San Francisco, his controlled committee, Newsom for Mayor, and the committee treasurer, Laurence Pelosi, sent a mass mailing that did not include proper sender identification.

NGUYEN, JANET AND FRIENDS OF JANET NGUYEN 2007 COMMITTEE, 2007/0378 (2007)

85201 - 2 counts
\$2,500

Janet Nguyen was a successful candidate for the Orange county Board of Supervisors in the February 6, 2007, election and Friends of Janet Nguyen 2007 Committee was Nguyen's controlled committee. In this joint stipulation with the California Office of the Attorney General, Respondents' violations of the Political Reform Act were for failure to deposit contributions raised into a single, designated campaign bank account. Respondents' violations of the Orange county Campaign Reform Ordinance included receiving campaign contributions in excess of the local limits, in violation of Section 1-6-5, subdivision (a) of the Orange county Campaign Reform Ordinance - 3 counts; failure to timely return three campaign contributions received in excess of the local limits, in violation of Section 1-6-30 of the Orange county Campaign Reform Ordinance - 1 count; and failure to timely file notice of the return of three campaign contributions received in excess of the local limits, in violation of Section 1-6-30 of the Orange county Campaign Reform Ordinance - 1 count. Total penalty was \$5,000 (\$2,500 for violations of the Political Reform Act and \$2,500 for violations of the Orange county Campaign Reform Ordinance).

NICHOLS, MARY, 1981/0008 (1982)

87100 - 8 counts
\$7,500

Mary Nichols, chairperson of the Air Resources Board, violated the board's conflict-of-interest code when she participated in decisions on resolutions which could have had a reasonable foreseeable financial effect on four companies in which her husband, John F. Daum, as his separate property, had ownership interests in the form of common stock.

NICKOLL, JOHN, 2002/1041 (2003)

84203 - 2 counts
\$3,300

John Nickoll of Los Angeles failed to file late contribution reports in 2002.

NICKOLL, JOHN F, 2003/0316 (2003)

84200 - 1 count
\$400

John F. Nickoll of Los Angeles failed to file a major donor campaign statement in 2002.

NISHI, KENNETH K, 2004/0539 (2005)

87300 - 3 counts
\$300

Kenneth K. Nishi, a director for the Monterey county Marina Coast Water District, failed to timely file his 2001, 2002 and 2003 annual statements of economic interests.

NISSAN, BRUCE, 2012/0813 (2013)

87300 - 1 count
\$200

Bruce Nissen, a member of the Colfax Elementary School District for the county of Placer, failed to timely file the 2011 Annual Statement of Economic Interests covering the period of January 1, 2011 through December 31, 2011, due April 2, 2012.

NISSMAN, SUSAN, 2008/0393 (2008)

87300 - 1 count
\$200

Susan Nissman, a designee with the Santa Monica Bay Restoration Commission, failed to timely file a 2006 annual statement of economic interests.

NO ON 106 - SPONSORED BY A CONSUMER & LEGAL COALITION, AND LANCE OLSON, TREASURER, 1990/0571 (1992)

84211 - 2 counts
\$3,000

No on 106 and its treasurer, Lance Olson, failed to timely report a contribution and an expenditure in the 1988 general election. This committee was formed to oppose Proposition 106, which would have imposed attorney fee limitations on tort claims. It received a \$20,000 contribution from another political committee, Good Driver Initiative, but failed to report that contribution on a pre-election statement. No on 106 also failed to report on the same pre-election statement an expenditure of \$30,000 to Gordon and Schwenkmeyer for professional services.

NO ON 128 - THE HAYDEN INITIATIVE, OPPOSED BY CONSUMERS, BUSINESS AND LABOR; THOMAS HILTACHK, TREASURER, AND MICHAEL D. MEYERS CO., INC., CAMPAIGN CONSULTANT, 1992/0433 (1994)

84211 - 1 count
 84211 & 84303 - 5 counts
 \$10,000

No on 128 - The Hayden Initiative, Opposed by Consumers, Business and Labor, a recipient committee primarily formed to oppose Proposition 128, its treasurer, Thomas Hiltachk, and Michael D. Meyers Co., Inc., campaign consultants, failed to timely disclose approximately \$660,000 in subvendor payments on reported expenditures to Meyers in 1990. No on 128 also failed to timely disclose two in-kind contributions worth approximately \$10,000 in 1990.

NO ON 54: TEACHERS, WORKERS, HEALTHCARE, CIVIL LIBERTIES AND ENTERTAINMENT GROUPS, AND ABDI SOLTANI, 2005/0527 (2008)

85309 - 4 counts
 \$8,000

No on 54: Teachers, Workers, Healthcare, Civil Liberties and Entertainment Groups was a primarily formed recipient committee opposed to Proposition 54 in the statewide special election held in October 2007 and Abdi Soltani was the treasurer. Respondents failed to timely file online election cycle reports for contributions totaling \$90,282 received between September 24, 2003 and October 6, 2003.

NO ON 8, EQUALITY FOR ALL AND STEVEN MELE, 2011/0355 (2012)

84203 & 84605(a) - 8 counts
 85309(b) - 5 counts
 85309(d) - 2 counts
 84211(f) - 1 count
 \$42,500

The Committee No on 8, Equality for All and the Committee's treasurer, Steven Mele, failed to timely file late contribution reports, failed to timely file \$1,000 online election cycle reports, failed to timely file \$5,0000 online reports, and failed to disclose certain information regarding persons who contributed \$100 or more.

NO ON A IN REDONDO BEACH AND K'S NOT THE WAY, 1997/0649 (1999)

84200.5 & 84200.7 - 1 count
 \$1,000

No on A in Redondo Beach and K's Not the Way, a recipient committee primarily formed to defeat Measure A, a school bond measure in 1997, failed to timely file a pre-election campaign statement.

NO ON KNIGHT-NO ON PROP. 22, AND CARY DAVIDSON, TREASURER, 2000/0354 (2002)

84203 - 1 count
 \$500

The Commission adopted an administrative law judge's proposed decision in the matter of No on Knight-No on Prop. 22, a recipient committee, and Cary Davidson, its treasurer, who failed to file a late contribution report for a \$20,000 contribution received in 2000.

NO ON KNIGHT-NO ON PROP. 22, AND CARY DAVIDSON, TREASURER, 2002/0170 (2005)

84105 - 2 counts
 84203 - 14 counts
 84211 & 84303 - 5 counts
 84211 - 2 counts
 \$35,000

Respondent No on Knight-No on Prop. 22 was a committee primarily formed to defeat Proposition 22, the "Defense of Marriage Act," a state ballot measure being voted on in the March 7, 2000 primary election. Cary Davidson was the treasurer. No on Knight-No on Prop. 22 failed to report subvendor information; failed to accurately report campaign contributions and contributor information; failed to disclose the receipt of late contributions in properly filed late contribution reports; and failed to timely send out major donor notices.

NO ON MEASURE C, AND CAROL JANSEN, 2002/0103 (2008)

84102 - 1 count
 84200 - 2 counts
 84203 - 2 counts
 84211 - 3 counts
 84303 - 1 count
 85700 - 1 count
 \$30,000

No on Measure C was a sponsored ballot measure committee primarily formed to oppose Measure C in the March 5, 2002, East Palo Alto election. Carol Jansen served as the committee's treasurer. In this matter, Respondents failed to identify the committee's sponsor in its statement of organization and did not include the sponsor's name in the committee's name; failed to disclose contributions in campaign statements; failed to disclose required occupation and employer information for certain contributors; failed to disclose late contributions in a properly filed late contribution report; failed to return within 60 days of receipt, contributions for which Respondents did not have occupation and employer information in records; failed to report payments made by an agent to a subvendor on behalf of the committee, and failed to file semi-annual campaign statements in a timely manner.

NO ON PROP. 35 - A COALITION OF ENGINEERS, PEACE OFFICERS, FIREFIGHTERS, TAXPAYERS, PUBLIC SERVANTS, SMALL BUSINESSES, TEACHERS AND SENIOR CITIZENS, AND BRUCE MCGINNIS, 2001/0702 (2003)

84303 - 14 counts
 \$36,000

No on Prop. 35 - A Coalition of Engineers, Peace Officers, Firefighters, Taxpayers, Public Servants, Small Businesses, Teachers and Senior Citizens, a ballot measure committee in Sacramento, and its treasurer, Bruce McGinnis, failed to report required information regarding payments made to subvendors on campaign statements filed between 2000 and 2001.

NO ON PROP. A, AND RODNEY SCOTT, TREASURER, 2001/0562 (2004)

84200 - 1 count
\$1,000

The No on Prop. A committee and its treasurer, Rodney Scott, failed to timely file a semi-annual campaign statement.

NO ON PROPOSITION 37, AND MARVIN REYNOLDS, TREASURER, 1987/0024 (1988)

84206 - 23 counts
\$15,000

No on Proposition 37 Committee and its treasurer, Marvin Reynolds, failed to file late contribution reports. The campaign committee, aka Californians Against the Eastern Lottery Fraud, received 23 contributions totaling \$841,250 from various horse racing interests during the final 16 days of the 1984 general election.

NO TAX MONEY FOR POLITICAL CAMPAIGNS, NO ON PROP. O, AND JAMES SUTTON, 2001/0151 (2005)

84200.5 - 1 count
\$1,500

No Tax Money for Political Campaigns, No On Prop. O, and its treasurer, James Sutton, failed to timely file a pre-election campaign statement.

NOBLE, RICHARD, 1986/0447 (1987)

84200 - 13 counts
\$8,000

Richard Noble, an attorney in Los Angeles and a member of the Colorado River Board of California, qualified as a major donor committee in 1982, 1984, 1985, and 1986 and failed to file major donor campaign statements for six separate reporting periods in a timely manner.

NOERR, DAVE, 2008/0020 (2008)

87203 - 1 count
\$200

Dave Noerr, Taft City Council member, failed to timely file his 2006 annual statement of economic interests.

NOONAN, TIMOTHY, 2009/0376 (2009)

89503(c) - 2 counts
\$2,500

Timothy Noonan, former chairman of the California State Athletic Commission, accepted gifts from a single source in 2008 and 2009, which exceeded the respective annual gift limits of \$390 and \$420 each year.

NORBY, CHRIS, NORBY FOR SUPERVISOR, AND MICHAEL DI COSTANZO, 2002/0432 (2005)

84211 - 2 counts
85700 - 2 counts
\$10,000

Chris Norby was a successful candidate for a seat on the Orange county Board of Supervisors in the March 5, 2002 election, having previously served for 18 years on the Fullerton City Council. Norby for Supervisor is the controlled committee of Norby, and Michael Di Costanzo was the treasurer of the committee. Respondents failed to disclose occupation and employer information for 98 contributions of \$100 or more and failed to return contributions within 60 days of receipt for which they did not have occupation and employer information.

NORCAL GRASSROOTS FOR JOHN MARTINEZ, JOHN MARTINEZ, TREASURER, 2010/0357 (2011)

84200 - 2 counts
\$400

John Martinez, and his committee, NorCal Grassroots for John Martinez, failed to timely file two semi-annual campaign statements for the reporting periods ending June 30, 2008, and December 31, 2008.

NORDHOFF, KENNETH, 2013/0346 (2013)

87207 - 1 count
\$200

Kenneth Nordhoff, as City Manager for the City of San Rafael, failed to timely disclose gift(s) of: one meal valued at \$127.16 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

NORTH COUNTY BLUEPRINT COMPANY, INC, 2000/0199 (2000)

84300 & 84301 - 4 counts
\$7,200

North county Blueprint Company, Inc., a company located in Carlsbad that produces and reproduces blueprints for engineering and architectural firms, laundered campaign contributions to candidates for the Santee City Council in 1998.

NORTH COUNTY TRAUMA ASSOCIATES, 2006/0562 (2007)

84200 - 1 count
\$800

North county Trauma Associates of Escondido failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000.

NORTH SALINAS VALLEY FUND FOR RESPONSIBLE GROWTH AND MARJORIE B. KAY, 2012/0642 (2012)

84200(a) - 1 count
\$1,350

The North Salinas Valley Fund for Responsible Growth and Marjorie B. Kay, the fund's responsible officer, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$95,000 in 2012.

NORTHERN CALIFORNIA DISTRICT COUNCIL - INTERNATIONAL LONGSHOREMEN'S AND

WAREHOUSEMEN'S UNION AND FRED PECKER, 2011/1092 (2012)

84200 & 84605 - 6 counts
\$2,400

Northern California District Council - International Longshoremen's & Warehousemen's Union and Fred Pecker, failed to timely file Form 450 Semiannual Campaign Statements in paper and/or electronic formats between January 1, 2011 and June 30, 2012 with the California Secretary of State's Office.

NORTHWEST PIPE COMPANY, 2000/0442 (2000)

84203 - 1 count
\$2,000

Northwest Pipe Company of Portland, Oregon, failed to file a late contribution report during the March 7, 2000, primary election.

NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY, 2005/0127 (2005)

84203 - 1 count
\$1,500

Northwestern Mutual Life Insurance Company of Milwaukee, Wisconsin, failed to file a late contribution report in 2004.

NOVELLO, BENJAMIN P, 2005/0868 (2006)

84200 - 1 count
\$400

Benjamin P. Novello of Tampa, Florida, failed to timely file a semi-annual campaign statement in 2004.

NUNEMACHER, JAMES G, 2003/0466 (2003)

84200 & 84605 - 1 count
\$928

James G. Nunemacher of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

NUTTING, RAYMOND J., 2013/0290 (2013)

87206 & 87207 - 2 counts
\$400

Raymond J. Nutting, as a Supervisor for the county of El Dorado, failed to timely disclose his economic interests in grant moneys totaling \$22,423 received through the California Forest Improvement Program Form from Cal FIRE and Sierra Coordinated Resources Management Council as well as both an income and investment interest in Nutting Ranch on his 2009 Annual Statement of Economic Interests covering the period January 1, 2009, through December 31, 2009, due April 1, 2010.

O.C. JONES & SONS, INC, 2002/0714 (2002)

84203 - 2 counts
\$1,650

O.C. Jones & Sons, Inc. of Berkeley failed to file late contribution reports in 2002.

O'BRIEN, DENNIS, AND THE O'BRIEN GROUP, 2004/0775 (2005)

84203 - 1 count
\$3,750

Dennis O'Brien and The O'Brien Group of San Mateo failed to file a late contribution report in 2002.

O'CHARLEY'S, INC, 2006/0532 (2006)

84200 - 1 count
\$800

O'Charley's, Inc. of Nashville, Tennessee, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

O'DONNELL, PIERCE, 2001/0323 (2006)

84301 - 26 counts
\$72,000

Pierce O'Donnell, an attorney and partner in the Los Angeles law firm of O'Donnell & Shaeffer, LLP, was the true source of 26 campaign contributions, totaling \$25,500, made to James Hahn's campaign committee for the 2001 Los Angeles mayoral election. The contributions were made in the names of company employees or their relatives and friends.

O'KEEFE, DAN, FRIENDS OF DAN O'KEEFE, FREDERICK SMITH, GUN OWNERS OF CALIFORNIA, SENATE REPUBLICAN POLITICAL ACTION COMMITTEE, LAW AND ORDER CAMPAIGN COMMITTEE, AND JOHN HODGSON, 1980/0023 (1980)

84200 - 1 count
84204.1 - 1 count
84210 - 2 counts
84214 - 1 count
84303 - 1 count
\$2,500

Friends of Dan O'Keefe failed to properly report receipt of a late in-kind contribution and failed to properly itemize campaign expenditures. O'Keefe himself failed to file required candidate statements, although his committee filed statements disclosing the receipts and expenditures of the campaign. The Gun Owners of California and its treasurer, John H. Hodgson, II, failed to report late in-kind contributions totaling \$20,982 which it made to the O'Keefe campaign. Another \$16,600 in late monetary contributions to the O'Keefe committee was reported by the Gun Owners committee, but not in a timely fashion. However, receipt of both the \$20,982 and the \$16,600 in late contributions was properly reported on a timely basis by the O'Keefe committee. The Senate Republican Political Action Committee and its treasurer, John H. Hodgson, II, failed to properly report a late contribution to the Gloria Hom for State Senate Committee. However, that committee reported receipt of the late contribution on time. The Law and Order Campaign Committee and its treasurer,

John H. Hodgson, II, failed to report in a timely manner a late contribution of \$2,500 to Friends of O’Keefe. However, the O’Keefe committee properly reported receipt of the contribution on time.

O’NEILL, RICHARD J, 1992/0156 (1992)

84200 - 1 count
\$2,000

Richard J. O’Neill, former State Democratic Chairperson, qualified as a major donor committee during the first half of 1988, but failed to file a semi-annual statement. O’Neill filed a combined statement in 1989, covering the entire 1988 year, but failed to disclose a \$10,000 loan made for the assembly campaign of candidate Leon Ralph.

O’NEILL, RICHARD J, 2007/0554 (2009)

84203 - 1 count
\$1,500

Richard J. O’Neill of Irvine failed to timely disclose a late contribution totaling \$10,000.

OAK CREEK REALTY, INC., DBA OFFENHARTZ AND ASSOCIATES, AND DOUGLAS OFFENHARTZ, 1994/0022 (1995)

83116.5 - 31 counts
\$62,000

Douglas Offenhartz, a real estate broker in Danville, and his businesses, Oak Creek Realty, Inc., dba Offenhartz and Associates, acted as intermediaries for various contributions made to the campaigns of local key candidates in Contra Costa county. Mr. Offenhartz, as a paid consultant, directed or assisted his client in laundering various other contributions.

OAKLEY, ELOY, 2013/0555 (2013)

87207 - 1 count
\$200

Eloy Oakley, as the Superintendent of the Long Beach Community College District, failed to timely disclose gift(s) of: dinner, drinks, and dessert totaling \$157.00 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

OAKS CARD CLUB, 2000/0362 (2001)

84211- 1 count
\$1,000

Oaks Card Club of Emeryville failed to disclose two contributions on its major donor report in 1998.

O’BRIEN, ERIN, 2011/0017 (2011)

87300 - 1 count
\$200

Erin O’Brien, a member of the Santa Clara county Social Services Advisory Commission, failed to timely file her 2009 annual Statement of Economic Interests by the April 1, 2010, deadline.

OCCIDENTAL PETROLEUM CORP, 1988/0103 (1988)

84211 - 1 count
\$1,900

Occidental Petroleum Corporation failed to provide timely disclosure of a \$35,000 contribution made in 1987 to the Los Angeles Public and Coast Protection Committee, a political group opposed to a Los Angeles city initiative prohibiting offshore oil drilling in specified zones. The contribution was not disclosed on Occidental’s major donor statement for the period of July through December 1987 or on any subsequent amendment on file at that time. However, Occidental did disclose the contribution immediately after contact by the Commission’s enforcement staff.

OCEAN MIST FARMS, 1999/0410 (2000)

84200 - 1 count
84203 - 1 count
\$1,600

Ocean Mist Farms, located in Castroville, failed to timely file a major donor campaign statement for contributions made to Lungren Committee for Common Sense Conservatism; Dave Stirling for Attorney General Exploratory Committee; Alan Style for Assembly Committee; Committee to Elect Peter Frusetta ‘98 Committee; and Californians for Gray Davis Committee in 1998. In addition, it failed to file a late contribution report for the contribution made to Californians for Gray Davis Committee.

ODYSSEY MORTGAGEES, 1996/0149 (1998 CIVIL LAWSUIT)

84200
\$2,000

Odyssey Mortgagees, a limited partnership, made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

OHMS, KURT, 2007/0230 (2007)

84203 - 1 count
\$3,500

Kurt Ohms of Palo Alto failed to timely disclose late contributions totaling \$25,000.

OLAMENDI, RAQUEL, AND COMMITTEE TO ELECT RAQUEL OLAMENDI, 1999/0245 (2000)

84200 - 1 count
\$250

Raquel Olamendi, candidate for Dana Point City Council, and her controlled committee, Committee to Elect Raquel Olamendi, failed to timely file a semi-annual campaign statement for the period ending December 31, 1998.

OLIVA, NELSON E., 2011/0863 (2013)

87200, 87203 & 87204 - 1 count
89503(a) - 1 count

87100 - 12 counts
\$70,000

Nelson E. Oliva, the City Manager for the City of Hercules, failed to report gifts he received from NEO Consulting Inc., dba Affordable Housing Solutions Group ("NEO"), in 2007, 2008, 2009 and 2010, totalling \$234,775.96 in value. The accepted gifts from NEO in 2008, 2009 and 2010 were in excess of the respective annual gift limit, and in his capacity as City Manager, he made, participated in making, or attempted to influence 16 governmental decisions in which he knew, or had reason to know, he had a disqualifying financial interest, by awarding 16 city contracts, totaling \$2,889,000, to NEO.

OLIVA, SUSAN M., 2013/0890 (2013)

89503 - 1 count
\$200

Susan M. Oliva, as Council Member for the City of Colton, received a gift of an iPad valued at \$548.66 from CPAgents, Inc., exceeding the \$420 gift limit applicable in 2011.

OLIVAS, DAVID, AND COMMITTEE TO ELECT DAVID OLIVAS, 2003/0151 (2006)

84101 - 1 count
84200 - 2 counts
84200.5 & 84200.8 - 1 count
85201 - 1 count
\$14,500

David Olivas was a successful candidate for the Baldwin Park City Council in the March 4, 2003, election. Committee to Elect David Olivas was the controlled committee with Mr. Olivas acting as its treasurer. Respondents failed to: file a statement of organization; deposit the personal funds of Olivas into a single, designated campaign bank account prior to expenditure; file a semi-annual campaign statement; and timely file a pre-election campaign statement.

OLIVER MCMILLAN, LLC, 2003/0338 (2003)

84200 - 1 count
\$400

Oliver McMillan, LLC of San Diego failed to file a major donor campaign statement in 2002.

OLSEN POWER PARTNERS, 2002/0715 (2003)

84203 - 1 count
\$1,500

Olsen Power Partners, a business that owns and operates a hydroelectric facility and located in Annapolis, Maryland, failed to file a late contribution report in 2002.

OMOTO, MARTIN, 1987/0583 (1990)

87302 - 2 counts
\$4,000

Martin Omoto, senior consultant with the Office of Information Services, State Assembly, failed to timely file

two financial disclosure statements required in 1981 and 1988.

ON BROADWAY EVENT CENTER, 2006/0064 (2006)

84203 - 2 counts
\$3,440

On Broadway Event Center of San Diego failed to timely disclose late contributions totaling \$22,931.26.

ON BROADWAY EVENT CENTER, 2006/0065 (2006)

84200 - 1 count
\$400

On Broadway Event Center of San Diego failed to timely file a semi-annual campaign statement in 2004.

ONTIVEROS, MANNY, 2013/0572 (2013)

87207 - 1 count
\$200

Manny Ontiveros, as a Member of the North Orange county Community College District Board of Trustees, failed to timely disclose gift(s) of: golf and two meals totaling \$318.55 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

OPERATIVE PLASTERERS AND CEMENT MASONS INTERNATIONAL ASSOCIATION LOCAL 200 PAC, 2013/0444 (2013)

84200 - 1 count
\$200

Operative Plasterers and Cement Masons International Association Local 200 PAC, failed to timely file Short Form 450 Recipient Committee Campaign Statement covering the 2012 calendar year.

OPUS WEST CORPORATION, 2003/0417 (2003)

84200 - 1 count
\$400

Opus West Corporation of Phoenix, Arizona, failed to file a major donor campaign statement in 2002.

ORANGE COAST TITLE COMPANY, 1999/0378 (2000)

84203 - 1 count
\$1,500

Orange Coast Title Company failed to timely file a late contribution report for a contribution made in 1998 to the Lungren Committee for Common Sense Conservatism.

ORANGE COAST TITLE COMPANY, 2006/0555 (2006)

84200 - 2 counts
\$1,600

Orange Coast Title Company of Santa Ana failed to timely file semi-annual campaign statements disclosing contributions totaling \$33,600 in 2004.

ORANGE COUNTY DEMOCRATIC CENTRAL COMMITTEE, 1996/0301 (1998)

84204 - 1 count
\$1,500

The Orange county Democratic Central Committee failed to report a \$10,000 expenditure made to create and mail a mass mailing in support of assembly candidate Lou Correa on late independent expenditure reports prior to the 1996 primary election.

ORANGE PARK ASSOCIATION AND LAURA THOMAS, PRESIDENT, 2012/0330 (2012)

84200 - 1 count
\$200

Orange Park Association and Laura Thomas, President, failed to file in a timely manner, the Form 460 campaign statement covering the period January 1, 2011, through December 31, 2011, due January 31, 2012, with the City of Orange.

ORCO BLOCK COMPANY, INC, 1990/0395 (1991)

84200 - 2 counts
84203 - 2 counts
\$4,000

Orco Block Company, Inc., failed to meet deadlines for filing two major donor campaign statements in 1988 and 1989 and failed twice to file late contribution reports in 1988. Orco Block made campaign contributions totaling \$140,022 to various candidates and also late contributions of \$20,000 to a committee in 1988.

ORDAS, WILLIAM J, 1994/0209 (1996)

89503 - 3 counts
\$6,000

William J. Ordas, a Workers' Compensation appeals judge with the Department of Industrial Relations, violated the conflict-of-interests provisions when he accepted honoraria on three separate occasions for speeches given in 1992 and 1993 from claimants who appeared before the Workers' Compensation Appeals Board.

ORDUNA, KENNETH, ORDUNA FOR CITY COUNCIL, AND LONNIE SANDERS, TREASURER, 1988/0364 (1991)

81004 - 3 counts
84101 - 1 count
84104 - 1 count
84200 - 1 count
84203 - 6 counts
84211 - 92 counts
84213 - 3 counts
84300 - 3 counts
84304 - 24 counts
\$187,500

The Commission issued a Default Decision and Order against Kenneth Orduna, candidate for the Los Angeles City Council in 1987, Orduna for City Council, his campaign committee, and Lonnie Sanders, committee

treasurer, who laundered numerous campaign contributions and committed other campaign reporting violations.

Mr. Orduna was chief of staff to U.S. Congressman Mervyn Dymally and was on leave of absence from that employment during his campaign. Mr. Sanders was special assistant to Congressman Dymally and was treasurer of the congressman's federal campaign committee for approximately three years. He also served as treasurer for Assemblyman Willard Murray during his 1986 assembly campaign.

During the period in question, the City of Los Angeles had a \$500 limit on the amount of contributions that could be received from a single contributor, other than the candidate. Most of the violations occurred as a result of the respondents purposely trying to evade the \$500 contribution limit. The violations include accepting anonymous contributions, falsely disclosing and failing to properly disclose sources of contributions, making contributions in other than the contributor's legal name, failing to file late contribution reports, over and underreporting the amount of contributions, failing to keep adequate records, failing to exercise due diligence, and failing to timely file campaign statements.

ORGANIC CONSUMERS ASSOCIATION, 2007/0334 (2008)

84203 - 4 counts
\$2,400

Organic Consumers Association of Finland, Minnesota, failed to timely disclose late contributions totaling \$16,000.

ORGANIZATION MANAGEMENT, INC, 1987/0143 (1988)

86114 - 1 count
\$2,000

Organization Management, Inc., a lobbying firm of Donald Burns, failed to properly disclose \$305,128 in payments from clients between April 1, 1985 and December 31, 1985. Through negligence, the firm omitted the payments on its second and third quarter lobbying reports that had been previously reported on the company's first-quarter lobbying disclosure statement.

ORLIK, DEBORAH, 1999/0052 (2002)

87100 - 1 count
\$2,000

Deborah Orlik, member of the La Canada Flintridge City Council, violated the conflict-of-interest provisions by making a governmental decision in 1999 which affected her spouse's employer.

ORTEGA, KENNETH, 2012/0033 (2012)

87300 & 87302 - 3 counts
89503(c) - 2 counts
\$7,000

Kenneth Ortega, Public Works Director for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in each of the years 2007 through 2009, and failed to

report these gifts on his annual Statements of Economic Interests for reporting periods, January 1, 2007, through December 31, 2007, due April 1, 2008; January 1, 2008, through December 31, 2008, due April 1, 2009; and January 1, 2009, through December 31, 2009, due April 1, 2010. Additionally, Respondent received gifts exceeding the applicable gift limit from one source in 2008 and one source in 2009.

OSHMEN LIVING TRUST, 2000/0415 (2000)

84203 - 1 count
\$1,760

The Oshman Living Trust, located in Mill Valley, failed to file a late contribution report during the March 7, 2000, primary election.

OTERO, GILBERT, 2002/0691 (2004)

87203 - 1 count
\$200

Gilbert Otero, district attorney, failed to timely file a 2001 annual statement of economic interests.

OTTO, JOHN F, 1999/0411 (2000)

84200 - 1 count
\$400

John F. Otto of Sacramento failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

OUTBACK STEAKHOUSE, INC, 2005/0126 (2005)

84203 - 1 count
\$1,500

Outback Steakhouse, Inc. of Tampa, Florida, failed to file a late contribution report in 2004.

OWEN, ROBERT, 2006/1059 (2009)

89503 - 1 count
\$380

Robert Owen, while serving as city attorney for the City of Rialto, accepted two gifts from a single source in the amount of \$470, exceeding the \$360 gift limit for 2005.

OWENS, RON, 2011/0541 (2011)

87300 - 1 count
\$200

Ronald C. Owens, an Oakland Measure 'Y' board member, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

PAC PIZZA, 2005/0707 (2006)

84203 - 1 count
\$2,250

Pac Pizza of San Ramon failed to timely disclose a late contribution totaling \$15,000.

PAC PIZZA, 2005/0708 (2006)

84200 - 1 count
\$400

Pac Pizza of San Ramon failed to timely file a semi-annual campaign statement.

PAC TO THE FUTURE, 2003/0827 (2004)

84203 - 1 count
\$1,500

PAC to the Future, located in San Francisco, failed to file a late contribution report in 2002.

PACHECO, RICARDO, 2012/0772 (2013)

87203 - 1 count
\$200

Ricardo Pacheco, City of Baldwin Park City Council Member, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

PACHECO, ROBERT "BOB", COMMITTEE TO ELECT BOB PACHECO TO STATE ASSEMBLY, AND JACOBO "JACK" VELA, 2000/0162 (2003)

84203 - 1 count
84211 - 6 counts
\$14,000

Robert "Bob" Pacheco, successful candidate for the assembly, his controlled committee, Committee to Elect Bob Pacheco to State Assembly, and its treasurer, Jacobo "Jack" Vela, failed to disclose contributions received and expenditures made on several pre-election campaign statements and failed to disclose a loan received on a late contribution report in 1998.

PACHENGA BAND OF LUISENO INDIANS, 2000/0425 (2000)

84203 - 1 count
\$2,000

Pachenga Band of Luiseno Indians, located in Newcastle, failed to file a late contribution report during the March 7, 2000, primary election.

PACIFIC CEMENT, 2005/0600 (2006)

84200 - 4 counts
\$1,600

Pacific Cement of San Francisco failed to timely file semi-annual campaign statements in 2002 and 2003.

PACIFIC SPANISH NETWORK, INC, 2002/1043 (2004)

84200 - 2 counts
84203 - 2 counts
84605 - 3 counts
\$12,500

Pacific Spanish Network failed to properly report late contributions, failed to timely file semi-annual campaign statements, failed to file an electronic late contribution

report, and failed to file electronic semi-annual campaign statements in 2002.

PACIFIC STATES INDUSTRIES, 2001/0029 (2001)

84200 - 1 count
\$400

Pacific States Industries of San Jose failed to file a major donor campaign statement in 2000.

PACIFIC TELESIS GROUP, 2002/0007 (2002)

84203 - 1 count
\$2,000

Pacific Telesis Group of San Francisco failed to file a late contribution report in 2000.

PACIFIC WESTERN BANK, 2013/0056 (2013)

84200 - 1 count
\$400

Pacific Western Bank, as a state major donor committee, failed to timely file the Form 461 campaign statement covering the period January 1, 2010 through December 31, 2010, due January 31, 2011, with the Secretary of State.

PACIFICA DEL MAR LP, 2006/0446 (2006)

84200 - 1 count
\$800

Pacifica Del Mar LP of Del Mar failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

PACKARD, PACKARD & JOHNSON, 2001/0030 (2001)

84200 - 1 count
\$400

Packard, Packard & Johnson of Los Altos failed to file a major donor campaign statement during 2000.

PADILLA & ASSOCIATES, 2000/0470 (2000)

84200 - 1 count
\$1,500

Padilla & Associates of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

PADILLA, ALEJANDRO, 1999/0788 (2000)

87300 - 1 count
\$500

Alejandro Padilla, district director of the Assembly Rules Committee, failed to timely file his 1998 annual statement of economic interests.

PADILLA, STEVE, FRIENDS OF STEVE PADILLA 2002, AND DONALD WROE, 2005/0383 (2007)

84300 - 1 count
\$4,000

Steve Padilla was a member of the Chula Vista City Council from 1994 through 2002. Friends of Steve Padilla 2002 was the controlled committee of Padilla. Donald Wroe was the Committee's treasurer. In September 2002, during a run-off campaign for mayor of Chula Vista, Respondents received cash contributions totaling \$2,850.

PAK, CHRISTOPHER, 1999/0741 (2001)

89502 - 2 counts
\$3,000

Christopher Pak, member of the Los Angeles City Board of Airport Commissioners, accepted prohibited honoraria payments in 1998 and 1999.

PALACE EXPLORATION COMPANY, 2006/0464 (2009)

84200(b) & 84605(a) - 1 count
\$1,150

Palace Exploration Company, a major donor committee, failed to timely file a semi-annual campaign statement for the reporting period January 1, 2005 through December 31, 2005.

PALEVSKY, MAX, 2007/0555 (2007)

84203 - 2 counts
\$3,435

Max Palevsky of Los Angeles failed to timely disclose late contributions totaling \$22,900.

PALLINGER, DAVID, 2012/0164 (2012)

87207 - 1 count
\$200

David Pallinger, Planning Commissioner for the county of San Diego, failed to disclose an interest in a source of income on his Statement of Economic Interests for the reporting period January 1, 2010, through December 31, 2010, due April 1, 2011.

PALM SPRINGS GAMING CORP. AND CA GAMING CONTROL COMMITTEE, 1996/0149 (1998 CIVIL LAWSUIT)

84105
84211
\$25,000

Palm Springs Gaming Corporation, with real property in Palm Springs and California Gaming Control, its sponsored committee formed to qualify and support a ballot measure, the Gaming Control Act of 1996, failed to inform contributors that they may be required to file campaign statements in 1996 and failed to properly report loans received and repaid.

PALMER, JOHN N, 1999/0413 (2000)

84200 - 1 count
\$400

John N. Palmer of Jackson, Mississippi, failed to timely file a major donor campaign statement for contributions made

to the Lungren Committee for Common Sense Conservatism in 1998.

PALMISANO, JEFF, 2002/0620 (2005)

87203 - 2 counts
87204 - 1 count
\$6,000

Jeff Palmisano, a planning commissioner for the City of Delano, failed to file 2001 and 2002 annual statements of economic interests and failed to file a leaving office statement of economic interests in 2003.

PALMQUIST, EDWIN AND MEASURE K, YES FOR KIDS AND LOCAL SCHOOLS, 2000/0226 (2000)

84200 - 2 counts
84200.8 - 1 count
\$3,500

Edwin Palmquist, a certified public accountant and treasurer for the Measure K, Yes for Kids and Local Schools committee formed in El Monte in 1999, failed to timely file pre-election and semi-annual statements in 1999 and 2000.

PALOMAR MEDICAL CENTER AUXILIARY, 2006/0564 (2007)

84200 - 1 count
\$400

Palomar Medical Center Auxiliary of Escondido failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000.

PALOMAR MEDICAL CENTER MEDICAL STAFF, 2006/0558 (2007)

84200 - 1 count
\$900

Palomar Medical Center Medical Staff of Escondido failed to timely file a semi-annual campaign statement disclosing contributions totaling \$50,000.

PALOMARES, ROBERT, 2010/0533 (2011)

87300 - 1 count
\$400

Robert Palomares, Information Officer I -Specialist for the Department of Toxic Substances, failed to timely file a 2009 annual Statement of Economic Interests.

PALOS VERDES PORTUGUESE BEND, LLC/BARRY HON AFFILIATES, 2001/0031 (2001)

84200 - 1 count
\$400

Palos Verdes Portuguese Bend, LLC/Barry Hon Affiliates of Laguna Hills failed to file a major donor campaign statement in 2000.

PAN, JAMES K., INDIVIDUALLY AND AS A COMMITTEE, AND JAMES K. PAN, TREASURER, 2012/0400 (2012)

84200.5 - 1 count
\$200

James K. Pan, Individually and as a Committee, and James K. Pan, Treasurer, failed to timely file the Form 460 campaign statement covering the period January 1, 2012, through May 19, 2012, due May 24, 2012, with the Secretary of State.

PAN, ROGER, 1997/0527 (1998)

84200 - 1 count
84300 & 84301 - 6 counts
\$12,600

Roger Pan, a businessman with companies located in San Francisco, laundered six campaign contributions to Willie Brown, candidate for San Francisco mayor, prior to the 1995 general election. In addition, Pan failed to timely file a major donor campaign statement in connection with these contributions and a contribution made to a candidate for the state assembly.

PAN, SY ZUAN, 1987/0479 (1988)

84200 - 1 count
\$500

Sy Zuan Pan of San Francisco failed to timely file a major donor campaign statement for contributions totaling \$13,500 in 1986.

PANATTONI DEVELOPMENT, 1998/0480 (1999)

84200 - 1 count
\$1,250

Panattoni Development in Sacramento failed to file a semi-annual campaign statement for a contribution made to the Governor Pete Wilson Committee in 1998.

PANDA RESTAURANT GROUP AND ITS OWNERS, ANDREW AND PEGGY CHERNG, 2005/0839 (2006)

84200 & 84605 - 3 counts
\$1,987

Panda Restaurant Group and its owners, Andrew and Peggy Cherng of Rosemead failed to timely file semi-annual campaign statements in 2001 and 2002.

PANIC, MILAN, 2002/0772 (2003)

84203 - 2 counts
\$2,250

Milan Panic of Costa Mesa failed to disclose late contributions made in 2002.

PAPARIAN, WILLIAM, 1996/0472 (1999)

84308 - 3 counts
\$4,500

William Paparian, former mayor and member of the Pasadena City Council and serving simultaneously as an appointed member of the Burbank-Glendale-Pasadena Airport Authority until 1999, improperly accepted and failed to disclose a campaign contribution of more than \$250 from a party to a proceeding in 1994 and failed to

disqualify himself from voting on or participating in a matter involving that party in 1996 and 1997.

PAPPAS, HARRY J, 2002/0723 (2005)

84203 - 5 counts
84605 - 1 count
\$10,000

Harry J. Pappas of Reno, Nevada, failed to timely disclose late contributions in a late contribution report, and failed to timely file an electronic campaign statement.

PARI MUTUEL EMPLOYEES GUILD, LOCAL 280, 2011/0319 (2012)

84200(a) - 3 counts
\$7,500

Pari Mutuel Employees Guild, a general purpose committee, failed to file semi-annual campaign statements for the reporting periods ending on December 31, 2010, June 30, 2011, and December 31, 2011.

PARISES, DAN, 2007/0264 (2010)

87300 & 87302 - 1 count
\$200

Dan Parises, San Joaquin Delta Community College board member, failed to disclose on a Statement of Economic Interests for calendar year 2006, a source of income in excess of \$100,000 in connection with the sale of real property.

PARK, THEODORE, 2011/0058 (2012)

87100 - 1 count
\$35,000

Between the approximate dates of February and June 2010, Respondent Theodore Park, in his capacity as acting deputy director of the Real Estate Services Division of the California Department of General Services, made a series of governmental decisions in which he knew himself to have a financial interest.

PARKER, CLARK E, 2003/0339 (2003)

84200 - 1 count
\$400

Clark E. Parker of Beverly Hills failed to file a major donor campaign statement in 2002.

PARKER, GLENN, 2004/0691 (2005)

87300 - 1 count
\$100

Glenn Parker failed to timely file a 2003 statement of economic interests.

PARKING COMPANY OF AMERICA MANAGEMENT, LLC, 2003/0494 (2003)

84200 - 2 counts
\$1,600

Parking Company of America Management, LLC, of Downey failed to file major donor campaign statements in 2002.

PARKS, BOBBIE, 2004/0459 (2004)

87202 - 1 count
87203 - 1 count
\$200

Bobbie Parks failed to timely file an assuming office and a 2003 annual statement of economic interests.

PARKS, BOBBIE, 2008/0597 (2009)

87300 - 1 count
\$400

Bobbie Parks, a board member of the Baldwin Hills Conservancy, failed to timely file her 2007 Statement of Economic Interests.

PARSONS, BRINCKERHOFF, QUADE & DOUGLAS, INC, 2001/0698 (2002)

84203 - 2 counts
\$1,500

Parsons, Brinckerhoff, Quade & Douglas, Inc., located in New York City, failed to file two late contribution reports in 2000.

PASHA STEVEDORING & TERMINALS, L.P, 2008/0263 (2008)

84200 - 2 counts
\$800

Pasha Stevedoring & Terminals, LP, of Wilmington failed to timely file semi-annual campaign statements disclosing contributions totaling \$35,600 that were due in 2007.

PATEL, J.P, 2004/0636 (2005)

87300 - 1 count
\$100

J.P. Patel, a commissioner for the California Travel and Tourism Commission, failed to timely file an assuming office statement of economic interests.

PAUL, CHARLES, 2001/0032 (2001)

84200 - 1 count
\$400

Charles Paul of Incline Village, Nevada, failed to file a major donor campaign statement in 2000.

PAUL, GARY, 1998/0040 (1999)

83116.5 & 84211 - 1 count
\$1,400

Gary Paul, serving as a volunteer treasurer of the Democratic State Central Committee of California between 1994 and 1997, failed to take necessary steps to ensure that contributions and expenditures were properly itemized on campaign statements.

PAUL, JERRY NEIL, 2003/0340 (2003)

84200 - 1 count
\$400

Jerry Neil Paul of Westlake Village failed to file a major donor campaign statement in 2002.

PAUL, PATRICIA, 2007/0087 (2007)

87207 - 1 count
89503 - 1 count
\$6,500

Patricia Paul was a member of the Stanislaus county Board of Supervisors in 2002. Paul entered into a stipulation for failing to abide by the annual gift limit, and for failure to disclose required information regarding the receipt of gifts in a statement of economic interests.

PAXSON COMMUNICATIONS CORPORATION, 2003/0323 (2003)

84200 - 1 count
\$400

Paxson Communications Corporation, located in West Palm Beach, Florida, failed to file a major donor campaign statement in 2002.

PAYCOM.NET, LLC, 2005/0570 (2005)

84203 - 1 count
\$3,500

Paycom.net, LLC of Marina del Rey failed to file a late contribution report in 2004.

PBS & J, 2002/0153 (2002)

84203 - 1 count
\$2,000

PBS & J, located in Irvine, failed to file a late contribution report in 2000.

PEACE, JEFFREY P, 2004/0001 (2005)

84301 - 10 counts
\$40,000

Jeffrey P. Peace, an attorney who is licensed to practice law in the state of New York, aided and abetted Sidney E. Frank in the making of 10 contributions to San Diego county Sheriff-Coroner William B. Kolender in the names of other people.

PECEIMER, ANDREW, 2012/0868 (2013)

84200 - 3 counts
\$1,200

Andrew Peceimer failed to timely file Form 461 Major Donor Committee Campaign Statements for three reporting periods.

PECHANGA BAND OF LUISEÑO MISSION INDIANS, 2010/0008 (2010)

86112.5 - 19 counts
\$3,800

Pechanga Band of Luiseño Mission Indians failed to send notification letters to 19 legislators and their staff that they had received reportable gifts from the Pechanga Band of Luiseño Mission Indians.

PEEVEY, MICHAEL, 2003/0104 (2006)

89503 - 1 count
\$500

Michael Peevey, as the President of the California Public Utilities Commission, accepted gifts in excess of the annual gift limit.

PENHOET, EDWARD E, 1998/0689 (2000)

87300 - 1 count
\$500

Edward E. Penhoet, Dean of the School of Public Health at the University of California, Berkeley, failed to timely file an assuming office statement of economic interests.

PENMAN, JAMES, 2006/0858 (2009)

89503(a) - 2 counts
\$5,000

James Penman, San Bernardino City Attorney, accepted gifts from a single source in 2005 and 2006, which exceeded the \$360 annual gift limit each year.

PENNA, CHARLES EDWARD, 1997/0452 (1999)

87100 - 1 count
\$2,000

Charles Edward Penna, former Chief Building Official in Lompoc, participated in a governmental decision in which he had a financial interest by approving a roofing permit in 1997 for an apartment complex that he managed also for which he received free rent and salary.

PENROD, GARY, 2009/0809 (2010)

89503 - 1 count
\$200

Gary Penrod, former sheriff of the county of San Bernardino, accepted a gift in the form of membership dues from Arrowhead country Club, exceeding the \$360 gift limit for 2005.

PEOPLE FOR THE AMERICAN WAY, 2004/0798 (2005)

84200 - 2 counts
\$800

People for the American Way of Washington, D.C., failed to file major donor campaign statements in 2003.

PEOTTER, BRUCE AND FRIENDS OF BRUCE PEOTTER, 2002/0247 (2006)

84305 - 1 count
\$1,500

Bruce Peotter was an unsuccessful candidate for Orange county Clerk-Recorder in the March 5, 2002 primary election. Friends of Bruce Peotter was the controlled

committee of Bruce Peotter. Prior to the primary election, Respondents paid for and sent a mass mailing that did not contain proper sender identification.

PERATA, DON, 1997/0263 (1999)

87207 - 6 counts
\$10,500

Don Perata, assemblymember, failed to disclose income on his candidate statement of economic interests in 1995.

PERATA, DON R., AND DON PERATA 98, 2000/0156 (2001)

84211 - 1 count
85305 - 1 count
\$4,000

Don R. Perata, successful Alameda candidate for the state senate in 1998, and his controlled committee, Don Perata 98, failed to properly disclose and itemize the source of a campaign loan on a pre-election campaign statement and illegally accepted a special election campaign loan in excess of the special election contribution limit of \$1,000 in 1998.

PERDOMO, SAMUEL, 2012/0531 (2012)

87300 - 1 count
\$200

Samuel Perdomo, as a Member for the Los Angeles county Integrated Waste Management Board, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

PEREZ, ALFONSO, 2012/0748 (2013)

87300 - 1 count
\$400

Alfonso Perez, as a Commissioner on the Entertainment Commission for the City and county of San Francisco, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

PEREZ, BERNARDO, 2002/0745 (2002)

87203 & 87207 - 1 count
87204 & 87207 - 1 count
\$4,000

Bernardo Perez, a member of the Moorpark City Council from 1989 to 1998, and an unsuccessful candidate for mayor, failed to disclose sources of income on his annual and leaving office statements of economic interests in 1998 and 1999.

PEREZ, LOUIS, 1995/0444 (1998)

84300 - 2 counts
\$2,000

Louis Perez, La Puente City Councilman and/or mayor from 1990 to 1998, illegally accepted three cash

contributions and made one cash expenditure over \$100 in 1995.

PERFORMANCE MARKETING ASSOCIATION, 2013/0493 (2013)

86116 & 86117 - 1 count
\$200

Performance Marketing Association, a California lobbyist employer, failed to timely file a Lobbyist Employer Report (Form 635) covering January 1, 2011, through March 31, 2011, failing to disclose total payments made for lobbying services in the amount of \$24,610.03.

PERONA, LANGER, BECK, LALLANDE & SERBIN, 2007/0556 (2007)

84203 - 5 counts
\$2,475

Perona, Langer, Beck, Lallande & Serbin of Long Beach failed to timely disclose late contributions totaling \$16,500.

PERRIS UNION HIGH SCHOOL DISTRICT MEASURE Z, QUINN HAWLEY, AND JOEL PATTON, 2004/0263 (2009)

84200(a) - 1 count
84200.5(b) & 84200.7(b) - 2 counts
\$4,500

Committee for Perris Union High School District Measure Z was a ballot measure committee primarily formed to support the passage of Measure Z in the November 2, 2004, election. Quinn Hawley was the treasurer of Respondent Committee and Joel Patton was the assistant treasurer. Respondents failed to file two complete and fully executed pre-election campaign statements, and failed to file a complete and fully executed semi-annual campaign statement.

PERRODIN, ERIC J., COMMITTEE TO ELECT ERIC PERRODIN, AND THOMAS BARCLAY, 2001/0175 (2004)

84200 - 1 count
84200.5 & 84200.8 - 1 count
84203 - 1 count
\$11,000

Eric J. Perrodin, Committee to Elect Eric Perrodin, and Thomas Barclay failed to timely file a pre-election campaign statement, a late contribution report, and a semi-annual campaign statement in 2001.

PETER C. FOY & ASSOCIATES, 2000/0426 (2000)

84203 - 1 count
\$1,500

Peter C. Foy & Associates of Woodland Hills failed to file a late contribution report during the March 7, 2000, primary election.

PETERSON TRACTOR COMPANY, 2002/0773 (2002)

84203 - 1 count
\$3,500

Peterson Tractor Company of San Leandro failed to file a late contribution report in 2002.

PETERSON-MORE, DIANA, 2003/0442 (2004)

87203 - 1 count
\$100

Diana Peterson-More failed to timely file a 2002 annual statement of economic interests.

PETLOCK, CHRISTOPHER, 2012/0664 (2012)

87300 - 1 count
\$200

Christopher Petlock, City of Sonoma Community Services and Environment Commissioner, failed to timely file a 2011 Annual Statement of Economic Interests for the reporting period January 1, 2011, through December 31, 2011, by the April 2, 2012, due date.

PETRI, PHYLLIS L., PHYLLIS PETRI RE-ELECTION CAMPAIGN FUND AND INEZ PARSONS, TREASURER, 1995/0353 (1996)

84200 - 2 counts
84200.7 - 2 counts
\$4,000

Phyllis L. Petri, a member of the Hemet Unified School District Board, and her committee, failed to file campaign statements before the November, 1994 election.

PETSMART, INC., 2013/0822 (2013)

86115, 86116, 86117 & 84605 - 1 count
\$200

Petsmart, Inc., a California lobbyist employer, failed to timely file a Lobbyist Employer Report (Form 635) for the October 1, 2012, through December 31, 2012, period, disclosing total payments made for lobbying services in the amount of \$0.00.

PEVAROFF, LISA, 2007/0619 (2007)

84203 - 1 count
\$1,500

Lisa Pevaroff of New York, New York, failed to timely disclose a late contribution totaling \$10,000.

PFEILER, LORI, 2010/0489 (2011)

87207 - 1 count
\$200

Escondido Mayor Lori Pfeiler failed to disclose on her 2009 annual Statement of Economic Interests, a gift of travel from the Palomar Pomerado Health Foundation, valued at \$27,685.

PFIZER, INC, 2007/0075 (2008)

84200 - 1 count
\$1,695

Pfizer, Inc. of New York, New York, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$64,769.

PHILLIPS, JOSEPH, 2010/1109 (2011)

87300 - 1 count
\$200

Joseph Phillips, a board member of the California African American Museum, failed to file a 2008 annual Statement of Economic Interests.

PHOEBUS CONSULTANTS, LLC, 2003/0641 (2003)

84200 - 1 count
\$400

Phoebus Consultants, LLC, of Burbank failed to file a major donor campaign statement in 2002.

PHYSICIANS FOR COMPASSIONATE CARE OF CALIFORNIA, INC, 2006/0011 (2006)

84203 - 2 counts
\$1,650

Physicians for Compassionate Care of California, Inc. of Arcadia failed to timely disclose late contributions totaling \$11,000.

PHYSICIANS FOR THE GROUP PRACTICE OF MEDICINE, AND PAULINE FOX, 2005/0529 (2006)

84104 - 1 count
\$1,200

Physicians for the Group Practice of Medicine is a general purpose recipient committee sponsored by the Permanente Medical Group, Inc. and the Southern California Permanente Medical Group, and Pauline Fox served as its treasurer. Respondents failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare a semi-annual campaign statement.

PHYSICIANS' CHOICE, 2006/0648 (2006)

84200 - 1 count
\$800

Physicians' Choice of Woodland Hills failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

PICERNE ASSOCIATES, INC, 1996/0553 (1999)

84301 - 32 counts
84301 & 84300 - 7 counts
\$67,000

Picerne Associates, Inc., located throughout Southern California and engaged in a number of corporate activities, including real estate development, laundered campaign contributions to four candidates for Ontario City Council from 1993 through 1996.

PICKENS, T. BOONE, JR, 2004/0152 (2004)

84203 - 1 count
\$3,500

T. Boone Pickens, Jr. failed to file a late contribution report in 2003.

PIDGEON-ONTIS, ELIZABETH, 2002/0441 (2003)

87300 - 1 count
\$200

Elizabeth Pidgeon-Ontis, member of the Architectural Heritage and Landmarks Commission in Vallejo, failed to timely file a 2001 annual statement of economic interests.

PIERSON, ELIZABETH,, 2005/0382 (2007)

87300 - 1 count
\$2,000

Elizabeth Pierson, consultant to the City of Westminster's Community Development Department failed to file an assuming office statement of economic interests in 2005.

PIKE, TYRONE, 1999/0387 (2000)

84200 - 1 count
\$400

Tyrone Pike of Woodside failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

PINEDA REHABILITATION SERVICES, INC, 2006/0694 (2007)

84200 - 1 count
\$800

Pineda Rehabilitation Services, Inc. of El Monte failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

PINKARD, IRENE DR., 2012/0310 (2012)

87203 - 1 count
\$1,000

Dr. Irene G. Pinkard, City Councilmember for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in the year 2009, and failed to report these gifts on her annual Statements of Economic Interests for reporting period, January 1, 2009, through December 31, 2009, due April 1, 2010.

PIPPO, DONALD P, 1989/0503 (1992)

87207 - 3 counts
\$6,000

The Commission accepted an administrative law judge's findings that Donald P. Pippo, a member of the Solano county Board of Supervisors, failed to disclose sources of income in the form of loans on his 1986, 1987, and 1988 statements of economic interests. These loans were received from Jim Cassil, operator of a business known as Cassil Farms, which rendered farming services to Pippo.

PISTACCHIO, DELORES, 2003/0584 (2003)

84200 - 1 count
\$2,250

Delores Pistacchio of Fresno failed to file a major donor campaign statement in 2002.

PISTORESI, LARRY, JR, 2009/0040 (2009)

87300 - 1 count
\$200

Larry Pistoresi, Jr., a member of the county of Madera Board of Equalization Assessment Appeals Board, failed to timely file a 2007 annual Statement of Economic Interests.

PJHM ARCHITECTS SOUTHWEST, INC, 2006/0447 (2006)

84200 - 1 count
\$800

PJHM Architects Southwest, Inc. of San Clemente failed to timely file a semi-annual campaign statement disclosing contributions totaling \$30,000 in 2004.

PLACER HOLDINGS, INC, 1998/0011 (1999)

84300 & 84301 - 6 counts
\$10,800

Placer Holdings, Inc., a California corporation that was involved in developing a planned community and golf course in Placer county, made six illegal campaign contributions to San Francisco mayoral candidate Frank Jordan in 1995 by reimbursing employees and other persons who were intermediaries.

PLANNED PARENTHOOD ADVOCATES MAR MONTE, AND ANN HARRINGTON, 2006/0081 (2006)

84104 - 1 count
84211 - 2 counts
\$7,500

Planned Parenthood Advocates Mar Monte was a state general purpose recipient committee located in Sacramento, and Ann Harrington was the committee's treasurer. During the audit reporting period from January 1, 2001 through December 31, 2002, Respondents failed to properly maintain campaign records and failed to properly report expenditures on campaign statements that were filed.

PLATT, DEBBY, 2013/0384 (2013)

87207 - 1 count
\$200

Debbly Platt, as the Project Manager for the City of Marina Development Services Department, failed to timely disclose gift(s) of: meals totaling \$104.29 on her 2010 Statement of Economic Interests. All gifts were from Shea Properties.

PLAZA CLEANING SERVICE COMPANY, 2002/1044 (2004)

84200 - 1 count
84203 - 1 count
\$4,000

Plaza Cleaning Service Company, L.P. failed to disclose a late contribution and failed to file a major donor campaign statement in 2002.

PLESCOV, IVAR, 2001/0227 (2001)

87300 - 1 count
\$600

Ivar Plescov, a Senior Civil Engineer with the Department of Boating and Waterways, failed to timely file his 2000 annual statement of economic interests.

PLUM HEALTHCARE GROUP, LLC, 2006/0448 (2006)

84200 - 2 counts
\$1,600

Plum Healthcare Group, LLC of San Marcos failed to timely file semi-annual campaign statements disclosing contributions totaling \$17,000 in 2004.

PLUS ONE, INC, 2003/0418 (2004)

84200 - 1 count
\$2,000

Plus One, Inc. failed to file a major donor campaign statement in 2002.

PM CONSULTING CORPORATION, 2001/0028 (2001)

84200 - 1 count
\$600

PM Consulting Corporation of McLean, Virginia, failed to file a major donor campaign statement in 2000.

PO LONG LEW/PO LONG LEW, D.O., A MEDICAL PROFESSIONAL CORP, 2005/0843 (2006)

84200 - 1 count
\$400

Po Long Lew/Po Long Lew, D.O., A Medical Professional Corp. of Rosemead failed to timely file a semi-annual campaign statement in 2002.

POE, MARILYNN, 2006/1079 (2009)

89503 - 1 count
\$300

Marilyn Poe, while serving as a council member for the City of Los Alamitos, a director of Southern California Association of Governments and a board member for Orange county Council of Governments, accepted a gift from a single source in the amount of \$390, exceeding the \$340 gift limit for 2004.

POLANCO, RICHARD, 1994/0216 (1996)

89513 - 4 counts
\$6,000

Richard Polanco, a member of the assembly, used campaign funds to pay for health club dues from 1991 through 1994.

POLANCO, RICHARD AND FRIENDS OF RICHARD POLANCO, 1982/0022 (1984)

84211 - 2 counts
84300 - 1 count
\$3,000

During the second reporting period, Richard Polanco, a candidate in the 1982 primary election for the 56th Assembly District, filed an amendment to his first campaign report showing that a \$20,000 loan, accounting for more than one-third of his total contributions during the period, was actually four separate loans totaling \$20,500 from his family and friends. However, none of the loans were received until the middle of the second reporting period after Polanco had verified and filed his first report. The totals reported for contributions on Polanco's first campaign statement were, therefore, inflated.

POLITICAL ACTION BY PEST CONTROL OPERATORS COMMITTEE, 1986/0568 (1987)

84200 - 5 counts
\$2,000

Pest Control Operators Committee failed to file five semi-annual campaign disclosure statements between January 1983 and December 1985. The group was established in 1979 as the political action committee for the trade association, Pest Control Operators of California, Inc. Although almost all of the campaign contributions by the group were disclosed on lobbyist employer reports between 1981 and 1986 as required by law, the political action committee did not disclose more than \$35,000 received from its members in 1983, 1984, and 1985 until overdue campaign statements were filed in May 1986.

POLITICAL ACTION THOROUGHBRED HORSEMEN, 1991/0132 (1992)

84200 - 1 count
84203 - 1 count
\$3,000

This general purpose recipient committee failed to file a semi-annual statement for the period July 1 - December 31, 1987, and also failed to file a late contribution report on June 3, 1988, reporting 20 late contributions made that day totaling \$25,600.

POLLARD, NANCY, COMMITTEE TO ELECT NANCY POLLARD JUDGE, AND ANN M. GARTEN, 1999/0227 (2000)

84211 - 2 counts
\$5,500

Nancy Pollard, and her controlled committee, Committee to Elect Nancy Pollard Judge, and Ann M. Garten, its treasurer, failed to disclose contributions received and expenditures made and failed to file a second pre-election campaign statement in 1995.

POLLOCK, LYNNE, AND COMMITTEE TO ELECT LYNNE POLLOCK SUPERVISOR, 2006/0805 (2008)

84203 - 3 counts
\$1,725

Committee to Elect Lynne Pollock Supervisor failed to file three late contribution reports totaling \$11,500.

POMERADO MEDICAL STAFF, 2006/0567 (2007)

84200 - 1 count
\$900

Pomerado Medical Staff of Poway failed to timely file a semi-annual campaign statement disclosing contributions totaling \$50,000.

POPE-LUDLAM, VALERIE, AND VALERIE BEAUREGARD, 1995/0074 (1998)

84211 - 3 counts
\$4,500

Valerie Pope-Ludlam, San Bernardino City Councilwoman and mayoral candidate, and Valerie Beauregard, treasurer of both Ms. Pope-Ludlam's city council committee and mayoral committee, failed to report the receipt of contributions on campaign statements in 1993 and 1994.

PORRAS, JAMES, 2003/0720 (2004)

87203 - 1 count
\$100

James Porras failed to timely file a 2002 annual statement of economic interests.

PORTER, MARTIN R, 2009/0029 (2009)

87203 - 1 count
\$200

Martin R. Porter, a public investment manager for the California Public Employees' Retirement System, failed to timely file a 2007 annual Statement of Economic Interests.

PORTERFIELD, DENISE, 2013/0596 (2013)

87207 - 1 count
\$200

Denise Porterfield, as the Chief Business Officer for the Antioch Unified School District, failed to timely disclose gift(s) of: four meals totaling \$236.12 on her 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

PORTO, MANUEL, 2007/0096 (2009)

87300- 1 count
\$100

Manuel Porto, Dean, College of Health Sciences for the University of California, Irvine, failed to timely file an assuming office Statement of Economic Interests.

POST, LAWRENCE A, 2003/0419 (2003)

84200 - 1 count
\$400

Lawrence A. Post of Beverly Hills failed to file a major donor campaign statement in 2002.

POTTER, MYRTLE, 2008/0598 2008/0599 (2009)

87300 - 2 counts
\$1,600

Myrtle Potter, California Citizens Financial Accountability Oversight Committee member, failed to file a 2006 and a 2007 annual Statement of Economic Interests.

POWAY UNIFIED SCHOOL DISTRICT AND ROBERT L. REEVES, 1989/0430 (1991)

83116.5 & 89001 - 1 count
\$1,500

The Poway Unified School District and its superintendent, Robert L. Reeves, committed a mass mailing violation when it paid for and mailed approximately 36,000 copies of an annual report which contained photographs, names, and biographies of the elected school board members.

POWERPAC LEADERSHIP FUND; LISA LE, TREASURER, 2012/0595 (2012)

84200 - 4 counts
\$800

Powerpac Leadership Fund, a state general purpose committee and its treasurer, Lisa Le, failed to file Form 460 semiannual campaign statements for the period July 1, 2010 through December 31, 2010, due January 31, 2011; January 1, 2011 through June 30, 2011, due July 31, 2011; July 1, 2011 through December 31, 2011, due January 31, 2012 and January 1, 2012 through June 30, 2012, due July 31, 2012 with the Office of the Secretary of State.

POWERPAC.ORG VOTER FUND AND LISA LE, 2010/0558 (2013)

84211(f) - 1 count
\$3,000

PowerPAC.org Voter Fund, was the sponsored committee of PowerPAC.org. Lisa Le, was Treasurer of the committee at all times relevant. In this matter, PowerPAC.org Voter Fund and Lisa Le failed to disclose required information about a contributor of \$100 or more, in a Late Independent Expenditure Report.

PRAKASH JAY M.D., INC, 2006/0305 (2006)

84200 - 1 count
\$400

Prakash Jay M.D., Inc. of Santa Ana failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

PRECIADO, ALVARO, 2011/0934 (2012)

87203 - 1 count
\$200

Alvaro Preciado, Councilmember for the City of Avenal, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

PRENTER, ROBERT, AND THE COMMITTEE TO ELECT ROBERT PRENTER FOR ASSEMBLY, 1996/0304 (2001)

84200.5 & 84215 - 2 counts
\$1,500

Robert Prenter, successful candidate to the 30th Assembly District in the 1996 primary election, and the Committee to Elect Robert Prenter for Assembly, his controlled committee, failed to file two pre-election campaign statements with the clerk of the county with the largest number of registered voters in the district affected.

PRENTISS, CHRIS, 2008/0534 (2010)

84204- 1 count
84305(a) & (b) - 2 counts
\$9,000

During the late reporting period before the 2008 election for Malibu City Council, Chris Prentiss made independent expenditures totaling at least \$8,400 in support of one of the candidates. The independent expenditures included payments for at least two mass mailings. Chris Prentiss failed to file late independent expenditure reports disclosing the independent expenditures, and caused the mass mailings to be sent without proper sender identification information.

PRESS, BILL, GENERAL PRESIDENT'S OFFSHORE COMMITTEE, AND JACK ORMES, 1980/0080 (1982)

84201 - 1 count
84300 - 1 count
84306 - 1 count
\$3,000

Bill Press, Jack Ormes, and an umbrella organization of all construction trade unions, known as the General President's Offshore Committee, failed to disclose the true sources of two \$6,000 campaign contributions.

Press was the proponent of a statewide ballot measure commonly known as the "Tax Big Oil" initiative (Proposition 11 on the June 3, 1980, ballot). The Offshore Committee functioned as a representative of the members of construction unions who engaged in offshore drilling. Ormes is an attorney and president of Jack R. Ormes, Inc., and had represented various unions as well as the Offshore Committee in the past.

The contributor of \$12,000 in contributions was reported on the Coalition Committee's campaign statements as coming from "Corporate Trust Account" rather than from the Offshore Committee. Ormes acted as an intermediary without identifying the true contributor (the Offshore Committee) of the funds. Press also knew the identity of the true contributor of the \$12,000 and had a duty to ensure that the contributor's identity was properly reported to the treasurer.

PRICE, TOM, 2008/0307 (2008)

87300 - 1 count
\$200

Tom Price, Hazardous Substance Scientist with the Department of Toxic Substances Control, failed to timely file a 2007 annual statement of economic interests.

PRIME STEAKS, INC., DONOVAN'S STEAK & CHOP HOUSE, AND AFFILIATED ENTITIES, 2010/0107 (2010)

84200(a) - 1 count
84203(b) - 2 counts
\$2,230

Prime Steaks, Inc., Donovan's Steak & Chop House, and Affiliated Entities of San Diego, a major donor committee, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$27,101 in 2008, and failed to timely file two late contributions reports in connection with the November 4, 2008, election.

PRIME TIME INTERNATIONAL, LLC, 2006/0612 (2006)

84200 - 1 count
\$400

Prime Time International, LLC of Coachella failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

PRINGLE, CURT, FRIENDS OF CURT PRINGLE 1998, BETTY PRESLEY, AND JEFF FLINT, 1999/0821 (2001)

84211 & 84303 - 12 counts
\$14,000

Curt Pringle, former Republican assemblyman for the 68th District and candidate for State Treasurer in 1998, his controlled committee, Friends of Curt Pringle 1998, its treasurer, Betty Presley, and Jeff Flint, a paid campaign manager, failed to report subvendor information on \$1.6 million in expenditures made in 1998.

PRITZKER, JEANNE, 2007/0578 (2007)

84203 - 1 count
\$3,345

Jeanne Pritzker of Los Angeles failed to timely disclose a late contribution totaling \$22,300.

PRO STAFF, 2005/0855 (2006)

84200 - 1 count
\$400

Pro Staff of Minneapolis, Minnesota, failed to timely file a semi-annual campaign statement in 2002.

PROFESSIONAL EXCHANGE SERVICE CORPORATION, 2005/0458 (2006)

84200 - 1 count
\$1,848

Professional Exchange Service Corporation of Fresno failed to timely file a semi-annual campaign statement in 2004.

PROFESSIONAL HOSPITAL SUPPLY, INC, 2006/0568 (2006)

84200 - 1 count
\$400

Professional Hospital Supply, Inc. of Temecula failed to timely file a semi-annual campaign statement disclosing contributions totaling \$30,000 in 2004.

PROP38YES.COM, SCHOOL VOUCHERS, AND BETTY PRESLEY, 2003/0050 (2005)

84303 - 12 counts
\$24,000

Prop38yes.com, School Vouchers was a ballot measure committee primarily formed to support the qualification of Proposition 38 and its passage in the 2000 general election. Betty Presley was its treasurer. Respondents failed to report required information regarding expenditures totaling \$3,423,805 made to subvendors.

PROPERTY CORPORATION/MCCOMB,MORGAN, 2013/0489 (2013)

86115, 86116, 86117, & 84605 - 3 counts
\$600

Broad & Gusman, a California lobbying firm, and Barry Broad, Broad & Gusman's Responsible Officer, failed to timely file a Report of Lobbying Firm (Form 625) covering the period July 1, 2012, through September 30, 2012, failing to disclose total payments received for lobbying services in the amount of \$222,908.04,

PROTECT BURLINGAME AND KEVIN OSBORNE, 2009/0804 (2011)

84305(a) - 1 count
\$2,500

Prior to the November 3, 2009, election, Respondents Protect Burlingame, a general purpose committee, and its treasurer Kevin Osborne paid for and caused to be sent two mass mailers supporting Measures H and I, which failed to identify Respondents as the senders of the mailers.

PROTECTMARRIAGE.COM - YEX ON 8, A PROJECT OF CALIFORNIA RENEWAL AND DAVID BAUER, 2011/0448 (2012)

84203 & 84605(a) - 6 counts
85309(b) - 8 counts
85309(d) - 2 counts
84304 - 1 count
84211(f) - 1 count
\$49,000

ProtectMarriage.com - Yes on 8, A Project of California Renewal, a state primarily formed committee, and the committee's treasurer, David Bauer, failed to file late contribution reports in a timely manner; failed to file in a timely manner, contributions of \$1,000 or more received during the 90-day election cycle ending on November 4, 2008; failed to file contributions of \$5,000 or more in a timely manner, in an online campaign report within ten business days of receipt; failed to properly dispose of an anonymous \$10,000 contribution received on or about October 28, 2008; and failed to disclose occupation and/or employer information regarding persons who contributed

\$100 or more. This matter originated as a Franchise Tax Board Audit.

PROVENDER CAPITAL GROUP, LLC, 2003/0420 (2003)

84200 - 1 count
\$400

Provender Capital Group, LLC, located in New York City, New York, failed to file a major donor campaign statement in 2002.

PROVIDENT CREDIT UNION, 2006/0624 (2006)

84200 - 4 counts
\$1,600

Provident Credit Union of Redwood City failed to timely file semi-annual campaign statements disclosing contributions totaling \$17,900 in 2004 and \$17,200 in 2005.

PRYOR, MARGARET, AND FRIENDS OF MARGARET PRYOR, 1994/0476 (1998)

84104 - 1 count
84200 - 7 counts
84211 - 14 counts
84213 - 3 counts
84300 - 10 counts
85201 - 1 count
89511.5 - 2 counts
\$53,000

Margaret Pryor, President of the Bay Area Rapid Transit District's Board of Directors, and her committee, Friends of Margaret Pryor, from 1991 through 1994 failed to timely file campaign statements, failed to report contributions received, failed to report expenditures made, failed to use due diligence in the preparation of campaign statements, illegally made cash expenditures, failed to use a campaign account for contributions received and expenditures made, failed to maintain records, and received cash from the committee resulting in substantial personal benefit.

PS ECO, INC. DBA PS ENTERPRISES, AND JOSEPH "RICK" RUIZ, 2005/0881 (2008)

85702 - 1 count
86113 & 84605 - 1 count
86117 & 84605 - 2 counts
\$9,000

PS Eco, Inc., dba PS Enterprises, was a registered lobbying firm located in Los Angeles county and Joseph "Rick" Ruiz was a registered lobbyist of PS Eco, Inc. PS Eco, Inc. failed to timely file two paper and electronic Reports of a Lobbying Firm (Form 625). Joseph "Rick" Ruiz failed to timely file paper and electronic Lobbyist Reports (Form 615), and made a contribution to a candidate for state assembly while registered to lobby the California State Legislature.

PSOMAS, 2002/0019 (2002)

84203 - 5 counts
\$2,847

Psomas, located in West Los Angeles, failed to file late contribution reports in 2000.

PUBLIC FINANCIAL MANAGEMENT, INC, 2007/0296 (2007)

84203 - 2 counts
\$1,500

Public Financial Management, Inc. of Philadelphia, Pennsylvania, failed to timely disclose late contributions totaling \$10,000.

PUGH, LUTHER, 2011/0015 (2011)

87300 - 1 count
\$200

Luther Pugh, a member of the Santa Clara county Domestic Violence Council, failed to timely file his 2009 annual Statement of Economic Interests.

PULASKI, ARTHUR, 2009/0077 (2009)

87300 - 1 count
\$200

Arthur Pulaski, a member of the Workforce Investment Board, failed to timely file a 2007 annual Statement of Economic Interests.

PULIDO, LUIS, 2004/0599 (2004)

87203 - 1 count
\$100

Luis Pulido failed to timely file a 2003 annual statement of economic interests.

PULS, RONALD, 2002/0440 (2003)

87300 - 1 count
\$200

Ronald Puls, member of the Housing and Redevelopment Commission in the City of Vallejo, failed to timely file a 2001 annual statement of economic interests.

PULSKAMP, KEN, 2013/0850 (2013)

87207 - 1 count
\$200

Ken Pulskamp, as the City Manager of Santa Clarita, failed to timely disclose gift(s) of: one meal valued at \$133.19 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

PURSLEY, WILLIAM, 1990/0503 (1992)

87100 - 3 counts
87206 & 87207 - 7 counts
\$16,000

William Pursley, former Lancaster City Councilman, violated the conflict-of-interest provisions when he voted on items which had a material financial effect on his sources of income. Pursley is a retired real estate broker who works as a real estate agent. He also failed to disclose investments, interests in real property, and sources of income on his 1989 statement of economic interests.

PUT CALIFORNIA BACK TO WORK, SPONSORED BY THE CIVIL JUSTICE ASSOCIATION OF CALIFORNIA AND J. RICHARD EICHMAN, 2010/0504 (2012)

84102(d), (g) & 84103(a) - 1 count
84506 - 1 count
\$6,000

Put California Back to Work, Sponsored by the Civil Justice Association of California ("Respondent Committee") formed as a state general purpose committee on January 19, 2010.

J. Richard Eichman was the treasurer of the Respondent Committee. Respondents violated the requirements of the Political Reform Act by failing to file an amended statement of organization within 10 days to disclose that Respondent Committee was a primarily formed committee; by failing to add the full name and office of the candidate it supported as its primary activity, and by failing to identify the name of the candidate, including the office sought, the election year and whether the committee supported or opposed the candidate, in the name of the Respondent Committee. Further, Respondents made an independent expenditure which caused a mass mailing to be sent in support of Juan Vargas for State Senate District 40 and in opposition to his opponent, Mary Salas, which failed to display the top two contributors of \$50,000 or more during the 12 month period prior to the expenditure.

PUTNAM, GLEN R, 1992/0549 (1995)

87100 - 4 counts
87207 - 1 count
\$9,500

Glen R. Putnam, a member of the City of Fresno Mobilehome Park Rent Review and Stabilization Commission, failed to disclose income received from another commissioner from 1990 to 1992 on statements of economic interests. In addition, on one occasion in 1990 and on three separate occasions in 1992, Mr. Putnam voted within his official capacity to support issues that benefited that commissioner.

PUTTING RIVERSIDE FIRST - BILL EMMERSON FOR SENATE 2010, FUNDED BY CALIFORNIA DENTAL ASSOCIATION INDEPENDENT EXPENDITURE PAC AND CALIFORNIA REAL ESTATE INDEPENDENT EXPENDITURE COMMITTEE; THOMAS W. HILTACHK, TREASURER, 2012/0212 (2012)

84203 - 1 count
\$1,500

This case arose from an audit of Putting Riverside First - Bill Emmerson for Senate 2010, funded by California Dental Association Independent Expenditure PAC and California Real Estate Independent Expenditure Committee ("Respondent Committee") by the Franchise Tax Board. Respondent Committee received a contribution in the amount of \$10,000 from Quality Health Care for Californians, sponsored by CAHHS, during the late

contribution reporting period in connection with the April 13, 2010, special election and failed to report the contribution in a timely manner.

PYNES, SCOTT, 2011/0684 (2012)

87300 - 1 count
\$200

Scott Pynes, Scott Valley Unified School District governing board member and as the director of Scott Valley Irrigation District, both for the county of Siskiyou, failed to timely file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, in a timely manner with the county of Siskiyou.

QUACH, ANDY, ANDY QUACH FOR CITY COUNCIL, AND DIEMMY N. TRAN, 2001/0205 (2005)

84104 - 3 counts
84203 - 1 count
84211 - 2 counts
84300 - 1 count
84308 - 2 counts
\$11,500

Andy Quach, a member of the planning commission for the City of Westminster and a candidate for the Westminster City Council failed to disclose campaign contributions aggregating more than \$250 from a party to a proceeding that was pending before the Westminster Planning Commission, and failed to disqualify himself from making and participating in decisions involving that party. Andy Quach, his controlled committee Andy Quach for City Council, and its treasurer Diemmy N. Tran, received cash contributions, failed to disclose a late contribution, failed to disclose required contributor information, and failed to maintain campaign records.

QUACKENBUSH, CHARLES W., QUACKENBUSH CAMPAIGN COMMITTEE, QUACKENBUSH COMMITTEE FOR INSURANCE REFORM, KARL W. DOLK, TREASURER, FRIENDS OF CHUCK QUACKENBUSH, AND PAUL D. HANCOCK, TREASURER, 1994/0633 (1997)

84104 - 2 counts
84105 - 5 counts
84203 - 3 counts
84211 - 13 counts
84303 - 2 counts
85201 - 6 counts
\$50,000

Charles W. Quackenbush, successful candidate for State Insurance Commissioner in 1994, his controlled committees, Quackenbush Campaign Committee, Quackenbush Committee for Insurance Reform, and its treasurer, Karl W. Dolk, and Friends of Chuck Quackenbush, and its treasurer, Paul D. Hancock, failed to properly deposit contributions and improperly made expenditures, failed to disclose subvendor payments, failed to properly disclose expenditures, failed to maintain required records for expenditures, failed to send required

notices to major contributors, failed to timely file late contribution reports, failed to correctly disclose contributions and provide occupation and employer information, and failed to properly report the transfer of loans on his campaign statements in 1993 and 1994.

QUALCOMM, INC, 1998/0477 (1999)

84200 - 1 count
\$1,250

Qualcomm, Inc. of San Diego failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

QUALITY EDUCATION COMMITTEE AND DEL H. GUYER, 2000/0704 (2002)

84211 - 1 count
\$2,000

Committee for a Quality Education, a primarily formed committee to support the passage of a special bond measure for a school district in the county of Los Angeles, and its treasurer, Del H. Guyer, failed to disclose name, address, occupation and employer information for contributors of \$100 or more on a semi-annual campaign statement in 2000.

QUARTARARO, PHILIP, 2012/0706 (2013)

87203 - 1 count
\$200

Philip Quartararo, Professional Engineers & Land Surveyors Board Member, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

QUINN, CORTEZ, 2012/0295 (2013)

87300, 87302, & 87207(a) - 1 count
895039(c) - 1 count
87460(a) - 1 count
87460(a) - 1 count
\$14,000

Cortez Quinn ("Quinn"), has been a California Assembly District Director at all times relevant to the complaint and an elected member of the Board of Directors for the Twin Rivers School District since 2007. As a District Director with the California State Assembly, Quinn failed to disclose a gift of \$4,000 and loans totaling approximately \$2,064 from Sherilene Chycoski on the 2010 Annual Statement of Economic Interests covering the period January 1, 2010 through December 31, 2010, due April 1, 2011, failed to disclose loans totaling approximately \$48,943.49 from Sherilene Chycoski on the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012, and accepted a gift from Sherilene Chycoski in the amount of \$4,000, which exceeded the \$420 gift limit. As a member of the Board of Directors for the Twin Rivers School District, covering the period December 2010 through April 2011, Quinn received personal loans totaling \$16,007.49 from an employee of the Twin Rivers School

District and on or about February 24, 2011, Quinn received a personal loan totaling \$35,000 from an employee of the Twin Rivers School District.

QUISENBERRY & BARBANEL, LLP, 2000/0457 (2000)

84203 - 1 count
\$1,500

Quisenberry & Barbanel, LLP, located in Los Angeles, failed to file a late contribution report during the March 7, 2000, primary election.

R.J. KELLY FOR WATER BOARD, 2009/0231 (2010)

84200 - 3 counts
\$600

R.J. Kelly and his committee R.J. Kelly for Water Board, located in Newhall, failed to timely file three semi-annual campaign statements.

RA ROSEMAN HOLDINGS, LLC, 2007/0528 (2007)

84200 - 1 count
\$400

RA Roseman Holdings, LLC of Beverly Hills failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2006.

RACE INVESTMENTS, LLC, 2002/0789 (2002)

84203 - 1 count
\$1,500

Race Investments, LLC of Eureka failed to file a late contribution report in 2002.

RACE INVESTMENTS, LLC, ROBIN P. ARKLEY, II, CHERIE P. ARKLEY, AND SECURITY NATIONAL SERVICING CORPORATION, 2006/0024 (2006)

84200 - 4 counts
84203 - 2 counts
84211 - 2 counts
84605 - 3 counts
\$38,500

Major donor committee Race Investments, LLC, Robin P. Arkley, II, Cherie P. Arkley, and Security National Servicing Corporation failed to disclose late contributions by the due date, file paper semi-annual campaign statements by the due dates, file electronic semi-annual campaign statements by the due dates, disclose an affiliated entity and a contribution made thereby, and failed to disclose contributions.

RAINEY, RICHARD K., RAINEY FOR SENATE, AND PAULA L. MILLER, TREASURER, 1998/0001 (2000)

84203 - 1 count
\$2,000

Richard K. Rainey, candidate for state senate in 1996, his controlled committee, Rainey for Senate, and its treasurer, Paula L. Miller, failed to timely file a late contribution

report disclosing receipt of non-monetary contributions from the Senate Republican Majority Fund in 1996.

RAISIN, WILLIAM PRESTON, 2003/0421 (2003)

84200 - 1 count
\$400

William Preston Raisin of San Francisco failed to file a major donor campaign statement in 2002.

RALEY'S, 2001/0033 (2001)

84200 - 1 count
\$600

Raley's of Sacramento failed to file a major donor campaign statement in 2000.

RALPH, LEON D., AND FRIENDS OF LEON RALPH, 1990/0572 (1993)

84200 - 1 count
84200.5 & 84200.7 - 1 count
84203 - 2 counts
84211 - 1 count
84305 - 1 count
\$1,750

The Commission approved an administrative law judge's recommendation for a \$1,750 fine against former assembly candidate Leon Ralph, an unsuccessful candidate for the 54th Assembly District in 1988. Ralph violated the campaign disclosure provisions when he failed to disclose the true source of a loan and failed to file various campaign statements and late contribution reports on time.

RAM DEVELOPMENT, INC, 2003/0467 (2003)

84200 - 1 count
84605 - 1 count
\$6,000

Ram Development, Inc., a real estate development corporation in San Francisco, failed to file a major donor campaign statement in paper and electronic format in 2000.

RAMIREZ, ARTURO, 1999/0551 (2000)

87200 & 87203 - 1 count
\$300

Arturo Ramirez, Fowler Planning Commissioner, failed to timely file his 1998 annual statement of economic interests.

RAMIREZ, JR., GILBERT, 2011/1030 (2012)

87203 - 1 count
\$200

Gilbert Ramirez, Jr., a member of the Coachella City Council, failed to file a timely 2009 annual Statement of Economic Interests for the reporting period of January 2, 2009, through December 31, 2009, by the April 1, 2010, due date.

RAMIREZ, KATHRYN, 2013/0344 (2013)

87207 - 1 count
\$200

Kathryn Ramirez, as a Member of the Salinas Union High School District Board, failed to timely disclose gift(s) of: two meals totaling \$233.57 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

RAMIREZ, RICARDO, 1997/0654 (2001)

84300 & 84301 - 4 counts
\$6,000

Ricardo Ramirez, director of Ramirez Engineering Corporation in Millbrae, who performs paving and asphalt work under contracts awarded by the city and county of San Francisco and the San Francisco Airport Authority, laundered contributions made to the Committee to Elect Willie Brown in 1995.

RAMIREZ, SAM, 2009/0790 (2010)

89503 - 1 count
\$200

Sam Ramirez, a council member for the City of Delano received a gift of an airline ticket and lodging in the amount of \$1,266.00, in excess of the gift limit.

RAMIREZ, RICHARD, 2009/0326 (2010)

87203, 87207 & 87209 - 3 counts
\$600

Richard Ramirez, a member of the Norwalk City Council, failed to timely report all incomes received from business entities on his 2007, 2008, and 2009 annual Statements of Economic Interests.

RAMOS, MICHAEL A., 2009/0743 (2010)

87207 - 1 count
\$200

Michael A. Ramos, District Attorney of San Bernardino county, failed to report his spouse's salary as a source of income on his annual Statement of Economic Interests for the 2008 reporting period.

RAMOS, PHILLIP M., JR, 2006/0338 (2006)

84200 - 1 count
\$400

Phillip M. Ramos, Jr. of Anaheim failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

RANCHO BERNARDO DEMOCRATIC CLUB/JONES, PAT, 2012/0230 (2013)

84200(a) - 3 counts
\$7,500

Rancho Bernardo Democratic Club, and its Treasurer, Pat Jones, failed to file a semiannual statement covering the periods July 1, 2011, through December 31, 2011, due January 31, 2012, January 1, 2012, through June 30 2012, due July 31, 2012, and July 1, 2012, through December 31, 2012, due January 31, 2013.

RANCHO VISTA DEVELOPMENT CO, 2001/0034 (2001)

84200 - 1 count
\$400

Rancho Vista Development Co. of Palmdale failed to file a major donor campaign statement in 2000.

RATERMANN, ANDREW, 2011/0975 (2012)

87300 - 1 count
\$200

Andrew Ratermann, Board President for the Santa Clara Unified School District, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

RAVANO & COONEY, 2003/0456 (2003)

84200 - 1 count
\$400

Ravano & Cooney of San Rafael failed to file a major donor campaign statement in 2000.

RAY, JAMES AND JANET, 2004/0767 (2005)

84203 - 1 count
\$4,500

James and Janet Ray of Corona Del Mar failed to file a late contribution report in 2003.

RAY, MICHAEL D, 1990/0398 (1991)

84200 - 1 count
\$1,000

Michael D. Ray failed to timely file a major donor campaign statement disclosing contributions totaling \$22,750 made in 1988.

RAYBURN, KENNETH, 2013/0461 (2013)

87300 - 1 count
\$400

Kenneth Rayburn, Mariana Ranchos county Water District Vice President, failed to timely file a 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 2, 2013.

RAYNOR, OLIVIA, 1999/0809 (2000)

87300 & 87302 - 1 count
\$200

Olivia Raynor, board member, State Council on Developmental Disabilities, failed to timely file her 1998 annual statement of economic interests.

RBF CONSULTING, 2001/0035 (2001)

84200 - 1 count
\$400

RBF Consulting of Irvine failed to file a major donor campaign statement in 2000.

RCC, INC, 2006/0449 (2006)

84200 - 1 count
\$800

RCC, Inc. of Corona del Mar failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

REA, ARMANDO, AND CITIZENS TO ELECT ARMANDO REA, 1997/0352 (2004)

84104 - 1 count
84200 - 2 counts
84200.5 & 84200.8 - 1 count
84203 - 2 counts
84211 - 7 counts
84300 - 1 count
84304 - 1 count
\$30,000

Armando Rea, successful candidate for Lynwood City Council, and his controlled committee, Citizens to Elect Armando Rea, failed to timely file a pre-election campaign statement, failed to timely file two semi-annual campaign statements, failed to report campaign contributions, failed to report campaign expenditures, failed to report the true source of non-monetary campaign contributions, failed to properly dispose of anonymous contributions, accepted cash contributions, failed to disclose late contributions in late contribution reports, and failed to maintain detailed records and accounts as were necessary to prepare campaign statements in 1997.

REA, ARMANDO, CALIFORNIA CITIZENS FOR GOOD GOVERNMENT, AND SYLVIA HERRON, 2002/0426 (2006)

84200.5 - 2 counts
84203 - 1 count
\$9,500

Armando Rea was a Lynwood city councilman who unsuccessfully ran for reelection in 2001. California Citizens for Good Government was his controlled committee, and Sylvia Herron served as treasurer. Respondents failed to file a pre-election campaign statement and failed to disclose three late contributions in properly filed late contribution reports.

RECORDING ARTISTS' COALITION, 2003/0585 (2003)

84200 - 1 count
\$2,543

Recording Artists' Coalition of Santa Monica failed to file a major donor campaign statement in 2002.

RECORDING INDUSTRY ASSOCIATION OF AMERICA PAC AND JENNIFER BENDALL, 1999/0346 (2002)

84104 - 1 count
84200.5 - 1 count
\$3,800

Recording Industry Association of America PAC, a state general purpose recipient campaign committee, sponsored by the Recording Industry Association of America, Inc., a record company trade association based in Washington, D.C., and its treasurer, Jennifer Bendall, failed to maintain required records and failed to file a pre-election campaign statement in 1998.

REDLICH, SARA, JOHNSON, 2008/0256 (2008)

84200 - 1 count
\$400

Sara Johnson Redlich of Hillsborough failed to timely file a semi-annual campaign statement disclosing contributions totaling \$11,200 that was due in 2006.

REDWOOD CITY CHAMBER OF COMMERCE, 2009/0266 (2011)

84203.5 - 1 count
\$3,000

The Redwood City Chamber of Commerce, a Redwood City based business association failed to file a supplemental independent expenditure report, for the reporting period October 1 through October 18, 2008.

REED & DAVIDSON, LLP, 2000/0464 (2002)

84203 - 1 count
\$2,000

Reed & Davidson, LLP, a law firm located in Los Angeles and a paid consultant, caused a major donor committee to fail to timely disclose late contributions in 2000.

REEVES, EVELYN, 2012/0794 (2013)

87300 - 1 count
\$200

Evelyn Reeves, as a member of the Los Angeles County Assessment Appeals Board, failed to timely disclose economic interests in stocks and mutual funds, rental income from two properties in Los Angeles, and income from a company she owned, on her 2011 Annual Statement of Economic Interests covering the period January 1, 2011, through December 31, 2011.

REGALADO, RUDY, 1997/0460 (1998)

87100 - 113 counts
\$226,000

The Commission issued a Default Decision and Order against Rudy Regalado, a Los Angeles county Metropolitan Transportation Authority (MTA) assistant buyer, who authorized the awarding of 113 MTA purchase orders or change orders between 1994 and 1996 to John Park, who was a source of cash kickbacks to him.

REITER, J. MILES, 2003/0422 (2003)

84200 - 1 count
\$400

J. Miles Reiter of Aromas failed to file a major donor campaign statement in 2002.

RENERG, DANIEL, 2000/0452 (2000)

84203 - 1 count
\$2,000

Daniel Renberg of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

RENWICK, ANTOINETTE, 2010/0567 (2013)

87100 - 1 count
87300 & 87302(b) - 1 count
\$6,500

Antoinette Renwick ("Renwick"), was the Inspection Services Manager for the City of Oakland Community and Economic Development Agency (CEDA), Building Services Division (BSD), from November 2002 through October 2010. As the BSD Inspection Services Manager, Renwick was a public official, as defined in Section 82048 of the Political Reform Act, and was a designated employee in the Conflict of Interest Code for the City of Oakland. In this matter, Renwick violated the conflict of interest provisions of the Act when she approved and signed a series of contracts with a contractor, her former brother-in-law, from whom she had received a loan in the amount of \$50,000. Additionally, Renwick violated the financial disclosure requirements of the Act by failing to disclose the loan from the contractor in her 2008 Annual Statement of Economic Interests. Thus, Renwick made a series of governmental decisions involving her former brother-in-law in which she knew herself to have a financial interest and Renwick failed to disclose her former brother-in-law as a source of a loan in her 2008 Annual Statement of Economic Interests.

REPUBLIC DOCUMENT MGMT, INC, 2006/0306 (2006)

84200 - 1 count
\$400

Republic Document MGMT, Inc. of Diamond Bar failed to timely file a semi-annual campaign statement disclosing contributions totaling \$13,500 in 2004.

REPUBLICAN CENTRAL COMMITTEE OF COLUSA COUNTY, 1985/0172 (1986)

84211 - 98 counts
\$1,000

The Colusa county Republican Central Committee failed to itemize nearly 100 contributions totaling \$13,440 received by the committee at a 1982 fundraiser.

REPUBLICAN CENTRAL COMMITTEE OF IMPERIAL COUNTY, 2004/0464 (2006)

84203 - 5 counts
\$11,362

Republican Central Committee of Imperial county failed to timely disclose five late contributions totaling \$75,749.

REPUBLICAN CENTRAL COMMITTEE OF SAN LUIS OBISPO COUNTY, PATRICIA SMITH, ELIZABETH VAN NOTE, AND DANIELLE DUBOFF, 2011/0441 (2012)

84200.5(f) & 84200.8(c) - 1 count
84203(a) & (b) - 6 counts
\$14,000

Patricia Smith, the treasurer of Republican Central Committee of San Luis Obispo county, and Elizabeth Van Note and Danielle Duboff, both former treasurers of the committee, failed to file a pre-election campaign statement for the reporting period ending December 26, 2009 and failed to file (or in some cases, failed to timely file) several late contribution reports between March 2010 and March 2011. (Although the committee is named in every count, only one treasurer is named per count, depending upon who was serving as the committee treasurer during the relevant period of time.)

REPUBLICAN MAIN STREET PARTNERSHIP, INC, 2004/0153 (2004)

84203 - 1 count
\$3,180

Republican Main Street Partnership, Inc. failed to file a late contribution report in 2003.

REPUBLICAN NATIONAL COMMITTEE-CALIFORNIA ACCOUNT, 1999/0455 (2000)

84203 - 1 count
\$2,000

Republican National Committee - California Account, a California general purpose committee, sponsored by the Republican National Committee, failed to file a late contribution report in 1998.

REPUBLICAN NATIONAL COMMITTEE, CALIFORNIA ACCOUNT, AND JAY BANNING, (2002 CIVIL LAWSUIT)

84203
\$50,000

Republican National Committee, California Account, a campaign committee sponsored by the Republican National Committee, and Jay Banning, its treasurer, failed to file late contribution reports for contributions totaling \$200,000 to four state legislative candidates running for office in the November 7, 2000, general election.

REPUBLICAN NATIONAL STATE ELECTIONS, 1998/0318 (1998)

84203 - 1 count
\$2,000

Republican National State Elections failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

REPUBLICAN STATE CENTRAL COMMITTEE OF CALIFORNIA, 1980/0026 (1980)

84305 - 14 counts
\$14,000

Republican State Central Committee violated mass mailing identification requirements. The committee designed and distributed over one million campaign letters falsely identifying Howard Jarvis as their sender. The letters, which were mailed approximately one week before the 1978 general election, were actually paid for and sent on behalf of 14 Republican candidates for the state Legislature.

Nowhere on the outside of the envelopes did the names of the candidates or their committees appear. Rather, the return address was marked, "Howard Jarvis, Los Angeles, California." Printed on the lower right corner was the notation "IMPORTANT, Tax Reduction Information Enclosed."

REPUBLICAN STATE CENTRAL COMMITTEE OF CALIFORNIA, RSCCC-SPECIAL ELECTIONS, RSCCC-FEDERAL AND STATE, CALIFORNIA REPUBLICAN PARTY, MICHAEL DONALDSON, AND WILLIAM SLOAN, 1980/0059 (1983)

84104 - 3 counts
84206 - 4 counts
84210 - 8 counts
\$25,000

The California Republican Party failed to maintain adequate campaign records of expenditures for the years 1979-80; failed to file campaign statements in a timely fashion (a total of 249 days late in 1980); failed to file reports of late contributions totaling \$53,000 in 1980; and failed to provide complete information for 77% of the contributors listed on the campaign statements.

RESIDENTIAL BUILDERS ASSOCIATION OF SAN FRANCISCO, RESIDENTIAL BUILDERS ASSOCIATION OF SAN FRANCISCO PAC, AND JOE CASSIDY, 2001/0612 (2004)

84105 - 12 counts
84203 - 1 count
84211 - 6 counts
89515 - 3 counts
\$47,000

Residential Builders Association of San Francisco, sponsor of Residential Builders Association of San Francisco PAC, a state general purpose committee, and its treasurer, Joe Cassidy, failed to report required information regarding its members who were contributors of \$100 or more on campaign statements, failed to file a late contribution report, failed to send major donor notification letters to contributors of \$5,000 or more, and made prohibited loans of campaign funds to Residential Builders Association of San Francisco in 1999 to 2001.

RESIDENTIAL BUILDERS ASSOCIATION PAC AND JOE CASSIDY, 1994/0447 (1995)

84200 - 3 counts
84203 - 3 counts
84204 - 2 counts
84211 - 4 counts
\$14,000

Residential Builders Association PAC, an association of builders and others in San Francisco, and its treasurer, Joe Cassidy, failed to report contributions received and expenditures made and failed to timely file its campaign statements during 1993 and 1994. Cassidy also failed to file a major donor campaign statement.

RESPONSIBLE WOODSIDE TOWN GOVERNMENT COMMITTEE AND RALPH OSWALD, 1995/0552 (1998)

84102 - 1 count
84200.5 & 84200.8 - 1 count
84203 - 1 count
84203.5 - 1 count
84204 - 1 count
84211 - 2 counts
\$14,000

Committee for Responsible Woodside Town Government, formed to support the candidacies of four individuals running for Woodside Town Council, and its organizer, Ralph Oswald, failed to timely file a pre-election campaign statement, supplemental independent expenditure reports, late contributions reports, and a late independent expenditure report, failed to itemize contributions received, and also failed to truthfully report information on a statement of organization in 1995.

RETAILERS GOOD GOVERNMENT COUNCIL AND JAMES DEGNAN, 1981/0010 (1981)

81004 - 1 count
84206 - 25 counts
\$4,000

The Retailers Good Government Council Committee made late contributions totaling \$48,900 to 25 candidates, all of which were supposed to be reported to the Secretary of State by mailgram within 48 hours. The 25 contributions not reported comprised 74% of all contributions made during that period.

RETIRED SARATOGA VOLUNTEER FIREMEN, INC, 2002/0012 (2005)

84200 - 2 counts
\$2,800

Retired Saratoga Volunteer Firemen, Inc. is a non-profit corporation located in Saratoga. In 2000, during the first semi-annual campaign reporting period, it made a political contribution to support the passage of a local ballot measure, and thereby qualified as a major donor committee. During the second semi-annual campaign reporting period, it made additional political contributions and failed to timely file two semi-annual campaign statements.

REYES, ARTURO, AND THE COMMITTEE TO ELECT ARTURO REYES, 2001/0591 (2003)

84104 - 3 counts
 84200 - 1 count
 84200.8 - 2 counts
 84211 - 4 counts
 85201 - 2 counts
 \$24,000

The Commission issued a Default Decision and Order against Arturo Reyes, a successful candidate for Lynwood City Council, and his controlled committee, the Committee to Elect Arturo Reyes, who failed to maintain detailed records and accounts, failed to timely file a semi-annual campaign statement, failed to file first and second pre-election campaign statements, failed to report contributions received, and failed to establish a campaign bank account and make a campaign expenditure from that account between 1997 and 1998.

REYES, ERIC, 2009/0085 (2010)

87203 - 1 count
 \$400

Eric Reyes, City of Brawley Planning Commissioner, failed to file a 2008 Statement of Economic Interests.

REYES, SARAH, FRIENDS OF SARAH REYES, AND GUSTAVO CORONA, 2002/0429 (2004)

84203 - 31 counts
 \$23,000

Sarah Reyes, Friends of Sarah Reyes, and Gustavo Corona failed to timely file a pre-election campaign statement, a late contribution report, and a semi-annual campaign statement in connection with the 2000 general election.

REYES, VINCENT, 1999/0550 (2001)

87203 - 1 count
 \$750

Vincent Reyes, an Oakland Planning Commissioner, failed to file a 1998 annual statement of economic interests.

REYNEN & BARDIS DEVELOPMENT, LLC, 2003/0423 (2003)

84200 - 1 count
 \$447

Reynen & Bardis Development, LLC, of Sacramento failed to file a major donor campaign statement in 2002.

REZANIA, OMID, 2011/0410 (2011)

87207 - 12 counts
 \$2,400

CalPERS Investment Officer Omid Rezania, failed to disclose 12 gifts of meals and drinks on his 2006, 2007, 2008 and 2009 annual Statements of Economic Interests. The gifts received were: in 2006, from Hotspot, Wendy Rosen, a Christmas gift and meal totaling \$80; from Rogge Asset Mgmt, Olaf Roffe meals totaling \$50.00; from State Street, John Moore, a Christmas gift and meal totaling \$60; from UBS, Laura Lifland, meals valued at \$60; from Western Assets, drinks and dinner valued at \$137.11. In 2007, from Barings Asset Mgmt, Susan Marshal, meals

valued at \$70.00; from Rogge Asset Mgmt, Olaf Rogge, meals valued at \$55.00; from State Street, John Moore, meals valued at \$75. In 2008, from Bank of Montreal, a gift and meal valued at \$75. In 2009, from Barings Asset Mgmt, meals valued at \$50; from Rogge Asset Mgmt, Olaf Rogge, a meal valued at \$30, and from State Street, John Moore, a meal valued at \$65.00.

RGW CONSTRUCTION, 2002/0777 (2002)

84203 - 1 count
 \$1,500

RGW Construction of Livermore failed to file a late contribution report in 2002.

RHODY, DEREK, 2002/0544 (2003)

87300 - 1 count
 \$200

Derek Rhody, member of the California Rice Commission in Williams, failed to timely file a 2001 annual statement of economic interests.

RHONE, A. RON, AND FRIENDS OF A. RON RHONE SEE ALSO, JANICE NASH, SI-84/237 (1986), 1984/0237 (1987)

84104 - 1 count
 84211 - 1 count
 84300 - 1 count
 \$6,000

A. Ron Rhone, a candidate for the Richmond City Council, and his controlled committee, Friends of A. Ron Rhone, violated campaign reporting laws by receiving cash contributions exceeding \$99, failing to itemize contributions and expenditures exceeding \$99 on campaign reports, and failing to keep detailed records necessary to the preparation of campaign statements.

RICH DEVELOPMENT COMPANY, 2002/0442 (2002)

84200 - 1 count
 \$600

Rich Development Company of San Pedro failed to timely file a major donor report for contributions made to a candidate for assembly in 2000.

RICH, JEFFERY A, 2003/0828 (2004)

84203 - 1 count
 \$1,500

Jeffery A. Rich failed to file a late contribution report in 2002.

RICHARD, DAN, 2007/0290 (2007)

84203 - 1 count
 \$1,500

Dan Richard of Piedmont failed to timely disclose late contributions totaling \$10,000.

RICHARDS, KENNY, 2013/0676 (2013)

87207 - 1 count

\$200

Kenny Richards, as the Superintendent of the Northern Humboldt Union High School District, failed to timely disclose gift(s) of: one meal valued at \$218.00 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

RICHARDSON, H.L, 1986/0573 (1988)

87206 - 3 counts
87207 - 9 counts
\$8,500

Senator H.L. Richardson failed to disclose numerous interests on his financial disclosure statements for 1983, 1984, 1985, and 1986. Most of the omissions involved clients of the senator’s company, Computer Caging Corporation, which received payments and made investments requiring disclosure.

RICHARDSON, LINDA, 2013/0067 (2013)

87300 - 3 counts
\$600

Linda Richardson, over the last four years has served as a director on the Treasure Island Development Authority, a commissioner on the San Francisco Human Rights Commission, and as a member of the Bayview Hunters Point Area Committee of the San Francisco Redevelopment Agency. During this time, Respondent owned and was employed by ADR Continental Group, a public relation firm that has contracted with the City and County of San Francisco on multiple occasions. Respondent failed to timely disclose her income and interest in ADR Continental Group, as well as her ownership of various stocks, on her Annual Statement of Economic Interests (Form 700) for the years 2009, 2010, and 2011.

RICHARDSON, MARC, 2013/0053 (2013)

87300 & 87302 - 1 count
89503(c) - 1 count
87100 - 1 count
\$6,500

Marc Richardson, Director of Recreation and Parks for the City of Santa Rosa, received gifts including free golf course access, range access, cart use, lessons and merchandise discounts that exceeded the reporting threshold of \$50 in 2008, 2009, 2010, and 2011, and failed to report these gifts on his Annual Statements of Economic Interests. Additionally, Mr. Richardson received gifts exceeding the applicable gift limit from one source in 2009, 2010, 2011, and 2012. He impermissibly made, participated in the making, or influenced a governmental decision by assisting in the negotiations of agreements with Bennett Valley Golf Course and recommending to the Santa Rosa City Council that it enter into and amend agreements with Bennett Valley Golf Course, which was the source of a gift that exceeded the annual gift limit.

RICHMOND AMERICAN HOMES, 1998/0478 (1999)

84200 - 1 count
\$1,250

Richmond American Homes of Irvine failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

RICK, WILLIAM B, 1996/0524 (1998)

87100 - 1 count
\$1,750

William B. Rick, a member of the California Coastal Commission, voted on an issue in 1995 that had a financial effect on the Southern California Edison Company, an entity in which Mr. Rick had a financial interest.

RIGGIO, LEONARD, 2006/0533 (2006)

84200 - 2 counts
\$1,200

Leonard Riggio of New York, New York, failed to timely file semi-annual campaign statements disclosing contributions totaling \$10,000 in 2004 and \$12,000 in 2005.

RILES, WILSON, JR., FRIENDS OF WILSON RILES, JR., AND JAMES E. VANN, TREASURER, 1990/0813 (1995)

81004
84211
84300
\$26,250

Riles and Vann failed to properly itemize on a campaign statement their receipt of over \$60,000 in campaign contributions during Riles’ Oakland mayoral campaign in 1990 and illegally accepted cash contributions of \$100 or more. Riles and his campaign also failed to diligently ascertain the true source of thirty-eight \$1,000 contributions.

RINDONE, JERRY, JERRY RINDONE FOR CITY COUNCIL AND SANDRA HODGE, 2001/0596 (2004)

84300 - 1 count
\$1,800

Jerry Rindone, the Jerry Rindone for City Council committee and Sandra Hodge received six cash contributions.

RING, DAVID, 2000/0454 (2000)

84203 - 1 count
\$2,000

David Ring of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

RIORDAN, BARBARA, AND THE BARBARA RIORDAN FOR SUPERVISOR COMMITTEE, 1988/0583 (1989)

84305 - 1 count
\$1,000

San Bernardino county Supervisor Barbara Riordan and her committee failed to include proper sender identification on a mass mailing during her successful 1988 fall reelection campaign.

The mass mailing in question was a four-page newsletter identified as being sent by “Yucaipa Citizens for Supervisor Riordan Re-election” and “Paid for by Yucaipa Citizens for Supervisor Riordan Re-election, P.O. Box 2101, Redlands, CA 92373.” The newsletter did not indicate that the mailer actually was paid for or sent by the Barbara Riordan for Supervisor Committee.

RIORDAN, RICHARD J, 1996/0214 (1996)

87100 - 2 counts
\$3,000

Richard J. Riordan, mayor of Los Angeles, violated the conflict-of-interest provisions when he approved a budget which included the design services of a firm in which he had a financial interest.

RIOS, DELLA, COMMITTEE TO ELECT DELLA RIOS, AND VERNON JOHNSON, 1997/0327 (1998)

84200 - 5 counts
84200.5 & 84200.8 - 1 count
\$1,900

The Commission adopted an administrative law judge’s proposed decision in the matter of Della Rios, successful candidate to the board of trustees of the Bassett Unified School District in Puente, and the Committee to Elect Della Rios, and Vernon Johnson, treasurer, who failed to timely file required pre-election and semi-annual campaign statements between 1993 and 1996.

RISNER, JAIME, 2008/0268 (2010)

87300 & 87302 - 2 counts
\$400

Jaime Risner, former Gazelle Elementary School District governing board member, failed to file assuming and leaving office Statements of Economic Interests by the deadlines of November 2, 2007, and January 30, 2009, respectively.

RITCHIE, STEVEN, 1991/0148 (1992)

87300 - 2 counts
\$2,500

Steven Ritchie, Executive Officer of the San Francisco Bay Regional Water Quality Control Board, failed to disclose his wife’s income on his statements of economic interests for 1988 and 1989.

RIVAS, BRIAN, 2013/0770 (2013)

87207 - 1 count
\$200

Brian Rivas, as a Trustee of the Sacramento county Board of Education, failed to timely disclose gift(s) of: five meals totaling \$237.80 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

RIVERA, MARY L, 1994/0528 (1996)

87100 - 1 count
87207 - 1 count
\$3,500

Mary L. Rivera, employed by the California Employment Development Department, violated the conflict-of-interest provisions regarding contracts awarded to a training company from which her husband received income. Rivera also failed to disclose this income on her annual statement of economic interests.

RIVERA, WILL, 2012/0453 (2012)

87300 - 1 count
\$200

Will Rivera, a member of the Board of Architectural Review for the county of Santa Barbara, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2011 through December 31, 2011, due April 2, 2012.

RIVERSIDE COUNTY BUSINESS AND PROPERTY OWNERS COALITION AND DARALYN REED, 2002/0152 (2003)

84103 - 1 count
84215 - 1 count
\$4,000

Riverside county Business and Property Owners Coalition, a recipient committee, and its treasurer, Daralyn Reed, failed to timely file an amended statement of organization to disclose its status as a primarily formed committee and failed to file a semi-annual campaign statement in 1997.

RIVERSIDE TOMORROW - RIVERSIDE LANDOWNERS AND ARLINGTON HEIGHTS LANDOWNERS ASSOCIATION COMMITTEE, 1988/0239 (1991)

84102, 84106, & 84305 - 2 counts
84211 - 1 count
\$4,000

Riverside Tomorrow-Riverside Landowners and Arlington Heights Landowners Association (AHLA) Committee, a campaign committee sponsored by the AHLA, failed to properly identify itself as a sponsored committee on political mailers which were sent in 1987 and 1988. The committee also failed to timely disclose information regarding individual members of the AHLA, who made contributions to Riverside Tomorrow through their organization, on campaign statements filed during the latter half of 1988.

RLMK, INC., D.B.A. MCDONALD’S, 2006/307 (2006)

84200 - 1 count
\$400

RLMK, Inc., d.b.a. McDonald’s of Visalia failed to timely file a semi-annual campaign statement disclosing contributions totaling \$13,650 in 2004.

ROBACK, MICHAEL AND GAIL, AND MICHAEL D. ROBACK, M.D., A MEDICAL CORPORATION, 2003/0414 (2003)

84200 - 2 counts
\$800

Michael and Gail Roback, and Michael D. Roback, M.D., A Medical Corporation of Los Angeles failed to file major donor campaign statements in 2002.

ROBBINS, ALAN, 1990/0121 (1994)

84207 - 8 counts
\$15,000

Former Senator Alan Robbins of the 20th District, as part of an effort to conceal a bribery scheme, failed to report three sources of income on his statements of economic interests in 1988 and 1989. Robbins also failed to report five other miscellaneous sources of income not related to the bribery scheme.

ROBERSON, BRUCE, 1999/0797 (2000)

87300 - 2 counts
\$600

Bruce Roberson, a designated employee of the Assembly, failed to timely file his assuming office and leaving office statements of economic interests.

ROBERT MONDAVI WINERY CORPORATION, 2002/0013 (2002)

84203 - 4 counts
\$2,400

Robert Mondavi Winery Corporation of Oakville failed to file late contribution reports in 2000.

ROBERTI, DAVID, AND DAVID ROBERTI ELECTION COMMITTEE, 1992/0271 (1995)

84305 - 1 count
\$500

Former state Senator David Roberti's controlled committee sent out a mass mailing containing an endorsement by Assemblyman Richard Katz and failed to place proper sender identification on the outside of the mailing, resulting in the appearance that it came from Assemblyman Katz.

ROBERTS, DUANE, 1998/0291 (1998)

84203 - 1 count
\$1,250

Duane Roberts of Riverside failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

ROBERTSON, SANFORD R. AND JEANNE P, 2006/0355 (2006)

84200 - 1 count
\$400

Sanford R. and Jeanne P. Robertson of San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

ROBERTSON, VICK & CAPELLA, LLP, 2002/0722 (2002)

84203 - 5 counts
\$2,250

Robertson, Vick & Capella, LLP, of Woodland Hills failed to file late contribution reports in 2002.

ROBINS, CHARLES, 1999/0383 (2000)

84200 - 1 count
\$400

Charles Robins of La Jolla failed to timely file a major donor campaign statement for a contribution made to Lungren for Governor committee in 1998.

ROBINSON, CALCAGNIE & ROBINSON, INC, 2000/0402 (2000)

84203 - 1 count
\$2,000

Robinson, Calcagnie & Robinson, Inc. of Newport Beach failed to file a late contribution report during the March 7, 2000, primary election.

ROBINSON, CALCAGNIE & ROBINSON, INC, 2005/0572 (2005)

84203 - 1 count
\$3,000

Robinson, Calcagnie & Robinson, Inc. of Newport Beach failed to file a late contribution report during the March 7, 2000, primary election.

ROBINSON, LEONARD, 2001/0465 (2002)

87300 - 1 count
\$200

Leonard Robinson, a member of the Colorado River Board of California from Moreno Valley, failed to timely file a 2000 annual statement of economic interests.

ROBINSON, WILLIAM A, 2004/0164 (2005)

84203 - 1 count
84605 - 1 count
\$10,000

William A. Robinson of Los Angeles failed to timely disclose a late contribution in a properly filed late contribution report, and failed to timely disclose a late contribution in a properly filed electronic late contribution report.

ROBLES, ALBERT, 1995/0039 (1998)

84203 - 1 count
84302 - 2 counts
\$5,000

Albert Robles, member of the South Gate City Council and candidate for the State Board of Equalization in 1994,

failed to file late contribution reports and also failed to properly disclose two contributions that were made through an intermediary.

ROBLES, ALBERT, AND HIS CONTROLLED COMMITTEES; COMMITTEE TO RE-ELECT ALBERT ROBLES, AND FRIENDS OF ALBERT ROBLES OFFICEHOLDER ACCOUNT, 2012/0347 (2012)

84200 - 3 counts
\$1,200

Albert Robles, Committee to Re-Elect Albert Robles, and Friends of Albert Robles Officeholder Account, failed to timely file the Form 460 semiannual campaign statements for both committees covering the periods July 1, 2012 - December 31, 2010, due January 31, 2011; January 1, 2011 - June 30, 2011, due August 1, 2011; and July 1, 2011 - December 31, 2011, due January 31, 2012, with the county of Los Angeles.

ROBLES, CHRISTOPHER, 2007/0040 (2011)

84206 - 1 count
\$2,000

Christopher Robles, a member of the Los Angeles county 58th Assembly Democratic Central Committee, failed to file a short form campaign statement covering the reporting period January 1, 2006 through December 31, 2006, with the county of Los Angeles.

ROCKWELL INTERNATIONAL, 1998/0475 (1999)

84200 - 1 count
\$1,250

Rockwell International, located in Costa Mesa, failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

RODDER, GERALD R, 1992/0549 (1995)

87206 - 1 count
\$1,500

Gerald R. Rodder, member of the City of Fresno Mobilehome Park Rent Review and Stabilization Commission, failed to disclose various economic interests from 1990 to 1993.

RODDY, CAROLYN A, 1999/0180 (2000)

87302 - 1 count
\$500

Carolyn A. Roddy, designated employee of the Foothill Private Industry Council, failed to timely file her 1997 annual statement of economic interests.

RODRIGUEZ & FLOYD, 2001/0231 (2001)

84200 - 2 counts
\$800

Rodriguez & Floyd of Bakersfield failed to file major donor campaign statements in 2000.

RODRIGUEZ, ARTURO, 1999/0621 (2001)

87202 - 1 count
87203 - 1 count
87204 - 1 count
\$6,000

The Commission adopted an administrative law judge’s proposed decision in the matter of Arturo Rodriguez, a Coachella City Planning Commissioner, who failed to file an assuming office statement of economic interests, his 1998 annual statement of economic interests, and his leaving office statement of economic interests in 1999.

RODRIGUEZ, DIANA, 2013/0433 (2013)

87207 - 2 counts
\$400

Diana Rodriguez, as a Member of the Sacramento City Unified School District Board, failed to timely disclose gift(s) of: two meals totaling \$222.08 on her 2010 Statement of Economic Interests; and three meals totalling \$181.07 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

RODRIGUEZ, MARCIAL “ROD” AND COMMITTEE TO RE-ELECT MAYOR ROD RODRIGUEZ, 1986/0071 (1987)

84305 - 1 count
\$1,000

Marcial “Rod” Rodriguez and the Committee to Re-Elect Mayor “Rod” Rodriguez committed a mass mailer violation in January 1986 by failing to properly identify the sender. The mailer sent by Rodriguez stated on the outside, “Important! Voter Information Enclosed.” It provided as the sole sender identification: “Office of the Mayor, Norwalk, CA 90650.”

RODRIGUEZ, MARIO, 2009/0696 (2009)

89503(c) - 2 counts
\$2,000

Mario Rodriguez accepted gifts from a single source in 2009, which exceeded the annual gift limit of \$420 for a single source in a calendar year.

RODRIGUEZ, MIKE, 1999/0327 (2000)

87300 - 1 count
\$500

Mike Rodriguez, member of the City of Madera’s Civil Service Commission, failed to timely file his 1998 annual statement of economic interests.

RODRIGUEZ, RAMON, COMMITTEE TO ELECT RAMON RODRIGUEZ, AND MARIA QUINONEZ, 2006/1095 (2009)

84203 - 2 counts
84211 - 5 counts
\$15,000

Committee to Elect Ramon Rodriguez was the candidate-controlled recipient committee of Ramon Rodriguez.

Respondents failed to disclose occupation and employer information; failed to disclose expenditures of \$100 or more; failed to disclose nonmonetary contributions made and received, and failed to file late contribution reports.

ROE, MARK A, 2003/0424 (2003)

84200 - 1 count
\$400

Mark A. Roe of Danville failed to file a major donor campaign statement in 2002.

ROGERS, GARY, 1998/0479 (1999)

84200 - 1 count
\$1,250

Gary Rogers of Oakland failed to file a semi-annual campaign statement for a contribution made to the Governor Pete Wilson Committee in 1998.

ROJAS, HUGO M., 2011/1046 (2012)

84206 - 1 count
\$200

Hugo M. Rojas, a current member of the Los Angeles county Centinela Valley Union High School Governing Board, failed to file the Form 470 campaign statement covering the period January 1, 2011, through December 31, 2011, due August 1, 2011, in a timely manner with the county of Los Angeles.

ROLLED STEEL PRODUCTS CORPORATION, 1987/0366 (1988)

84200 - 1 count
\$1,500

Rolled Steel Products Corporation failed to file a major donor campaign statement for contributions totaling \$13,500 in 1986.

ROLLER, ANNE M, 2003/0425 (2003)

84200 - 1 count
\$400

Anne M. Roller of Coto de Caza failed to file a major donor campaign statement in 2002.

ROMAN, SILBERFELD, ROBINS, KAPLAN, MILLER & CIRESI, 2006/0452 (2006)

84200 - 1 count
\$800

Roman, Silberfeld, Robins, Kaplan, Miller & Ciresi of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,000 in 2004.

ROMERO, CARLOS, 2011/0935 (2012)

87203 - 1 count
\$400

Carlos Romero, Mayor for the City of East Palo Alto, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

ROMICK, KEVIN, 2006/1061 (2009)

89503 - 1 count
\$390

Kevin Romick, while serving as a council member for the City of Oakley, accepted a gift from a single source in the amount of \$480, exceeding the \$360 gift limit for 2005.

ROMINES, STEVE, 2013/0424 (2013)

87207 - 1 count
\$200

Steve Romines, as the Assistant Superintendent of the Manhattan Beach Unified School District, failed to timely disclose gift(s) of: two meals totaling \$248.88 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

ROMO, CAROL, 2004/0411 (2004)

87300 - 1 count
\$100

Carol Romo failed to timely file a 2003 annual statement of economic interests.

RONI CAPITAL, LLC, 2001/0249 (2002)

84200 - 1 count
\$400

Roni Capital, LLC, located in Santa Barbara, failed to file a major donor campaign statement in 2000.

ROONEY, JAMES F. AND JULIE A, 2005/0202 (2005)

84200 - 1 count
\$400

James F. and Julie A. Rooney of Portola Valley failed to file a major donor campaign statement in 2003.

ROOS, MIKE, 2011/1119 (2013)

85702 - 1 count
\$3,000

Mike Roos was a registered lobbyist and Founder and Chief Consultant of Mike Roos and Company, a registered lobbying firm located in Los Angeles. Mr. Roos made prohibited contributions to committees controlled by two elected state officers and a candidate for elected state office while registered to lobby the California State Legislature and Governor.

ROSE, HOWARD, 2009/0697 (2010)

89503(c) - 1 count
\$400

Howard Rose, Commissioner, California State Athletic Commission, accepted gifts from a single source in 2008, which exceeded the respective annual gift limit of \$390.

ROSE, KLEIN & MARIAS, LLP, 2002/1036 (2003)

84203 - 2 counts
\$1,605

Rose, Klein & Marias, LLP, of Sacramento failed to file late contribution reports in 2002.

ROSECRANS, JIM, 2002/0803 (2004)

87300 - 2 counts
\$3,000

Jim Rosecrans failed to timely file a 2001 and 2002 annual statement of economic interests.

ROSENAUR, DAVID AND EXPORT INTERNATIONAL, INC, 1999/0344 (2004)

84200 - 1 count
84200.5 - 2 counts
84203.5 - 3 counts
84204 - 2 counts
\$11,000

David Rosenaur, a proponent of Measure F on the 1998 general election ballot in Loomis, and Export International, Inc., his company, failed to file pre-election campaign statements, failed to file supplemental independent expenditure reports, failed to file late independent expenditure reports, and failed to file a semi-annual campaign statement in 1998.

ROSENTHAL, HERSCHEL, FRIENDS OF ROSENTHAL, AND BERMAN AND D'AGOSTINO CAMPAIGNS, 1992/0389 (1996)

83116.5 - 1 count
84305 - 1 count
\$4,000

Senator Herschel Rosenthal and his campaign committee did not comply with the sender identification requirements when they sent constituents a large campaign mailing where the envelopes did not state the name and address of the candidate or committee. Berman and D'Agostino Campaigns, consultants to Friends of Rosenthal, was responsible for the mass mailing violation.

ROSS, EVELINE, AND FRIENDS OF EVELINE ROSS, 2001/0272 (2002)

84200.5 - 2 counts
\$2,500

Eveline Ross, a successful candidate for the Inglewood Unified School District Governing Board in 2001, and her controlled committee, Friends of Eveline Ross, failed to timely file two pre-election campaign statements in 2001.

ROSS, GEORGE C, 2004/0795 (2005)

84200 - 1 count
\$400

George C. Ross of New York, New York, failed to file a major donor campaign statement in 2003.

ROSS, LEONARD, AND COMMITTEE TO ELECT LEONARD ROSS, 1999/0204 (2002)

84200 - 3 counts
84200.8 - 2 counts
\$5,000

The Commission adopted an administrative law judge's proposed decision in the matter of Leonard Ross, an unsuccessful candidate for the governing board of the Inglewood Unified School District in 1999, and his controlled committee, Committee to Elect Leonard Ross. Respondents failed to timely file two pre-election campaign statements and failed to timely file three semi-annual campaign statements in 1999 and 2000.

ROSS, MERRI JEAN, 2003/0552 (2004)

84200 - 1 count
\$2,000

Merri Jean Ross failed to file a major donor campaign statement in 2002.

ROSSINI FARMING COMPANY, INC, 2001/0036 (2001)

84200 - 1 count
\$400

Rossini Farming Company, Inc. of Ceres failed to file a major donor campaign statement in 2000.

ROSS-WOOLSON, LISA, 2004/0177 (2004)

84302 - 5 counts
\$7,500

Lisa Ross-Woolson made contributions to a campaign without disclosing the true source of the contributions.

ROTELLI, LARRY, 1996/0158 (1996)

87100 - 1 count
\$1,500

Larry Rotelli, a member of the Tuolumne county Board of Supervisors, violated the conflict-of-interest provisions when he participated in a board vote that had a material financial effect on a source of income to his spouse.

ROTH, BERNARD B., AND AFFILIATE WORLD OIL CORPORATION, 2003/0375 (2003)

84200 - 1 count
\$400

Bernard B. Roth and affiliate World Oil Corporation of South Gate failed to file a major donor campaign statement in 2002.

ROTTEVEEL, NEAL, 1990/0727 (1994)

87100 - 5 counts
\$9,000

Neal Rotteveel, a member of the Dixon Unified School District Board, committed conflict-of-interest violations when he participated in five governmental decisions in 1990 pertaining to the Board's purchase of real property from Pheasant Run, a source of income to him within the preceding 12 months.

ROUDA, FEDER & TIETJEN AND RONALD ROUDA, 2001/0037 (2001)

84200 - 1 count

\$600

Rouda, Feder & Tietjen and Ronald Rouda of San Francisco failed to file a major donor campaign statement in 2000.

ROWE, FRANCES, 1995/0316 (1998)

84211 - 14 counts
\$28,000

Frances Rowe, member of the Sunnyvale City Council and unsuccessful candidate for reelection in 1995, failed to disclose that she was the true source of campaign contributions made with her own money to her campaign in an attempt to create the deceptive appearance of public support from the community.

ROYAL T MANAGEMENT, INC. AND JAMES WILLIAM GANSON, 2000/0084 (2001)

84300 & 84301 - 2 counts
\$4,000

Royal T Management, Inc., a Fresno-based property management company, and one of its owners, James William Ganson, laundered campaign contributions to a candidate for Fresno City Council in 1996.

ROZ MCGRATH FOR ASSEMBLY, 2001/0701 (2002)

84203 - 1 count
\$2,000

Roz McGrath for Assembly of Los Angeles failed to file a late contribution report in 2000.

ROZAR, INEZ, 2008/0717 2009/0016 (2009)

87300 - 2 counts
\$400

Inez Rozar, Board of Registered Nursing member, failed to timely file an assuming office Statement of Economic Interests and a 2007 annual Statement of Economic Interests.

ROZAR, INEZ, 2011/0036 (2012)

87300 - 1 count
\$200

Inez Rozar, Registered Nursing Board member for the California Department of Consumer Affairs, failed to file an annual and leaving office Statement of Economic Interests in a timely manner, covering the period January 1, 2009, through August 31, 2010, by the September 30, 2010, due date.

RUBIN FOR JUDGE COMMITTEE, 1983/0002 (1984)

84305 - 1 count
\$2,000

The Rubin for Judge Committee, a campaign organization controlled by Charles G. Rubin who was elected to the municipal court bench in June 1982, violated campaign mailing provisions when it distributed Republican and Democrat slate mailers with Team “82” identified as the source of the mailings. Team “82” was just another name

for the Rubin for Judge Committee, which actually designed and paid for the mailings.

RUBIN, DANIEL, 2000/0453 (2000)

84203 - 1 count
\$1,500

Daniel Rubin of Atherton failed to file a late contribution report during the March 7, 2000, primary election.

RUBIO, BLANCA E., 2010/1052 (2011)

84206 - 1 count
\$200

Los Angeles county Baldwin Park Unified School District Governing Board Member Blanca E. Rubio, failed to timely file the Form 470 campaign statement covering the period January 1, 2010, through December 31, 2010, due July 31, 2010, with the county of Los Angeles.

RUBIO, MICHAEL, 2007/0293 (2011)

87100 - 1 count
87200 & 87203 - 1 count
\$3,500

Michael Rubio, as a member of the Kern county Board of Supervisors, failed to timely report all required information regarding income on his annual Statement of Economic Interests for calendar year 2006, and made a governmental decision in which he had a financial interest.

RUBIO, MICHAEL J., 2013/0081 (2013)

87207 - 1 count
\$200

Michael J. Rubio, as a Senator for the State of California, failed to timely disclose his economic interest in the purchaser of his real property as a source of income on the 2011 Annual Statement of Economic Interests covering the period January 1, 2011, through December 31, 2011, due March 1, 2012.

RUE, MICHAEL, 2002/0543 (2003)

87300 - 1 count
\$300

Michael Rue, member of the California Rice Commission in Marysville failed to timely file a 2001 annual statement of economic interests.

RUE, MICHAEL, 2009/0020 (2009)

87300 - 1 count
\$200

Michael Rue, California Rice Commission, failed to timely file a 2007 Statement of Economic Interests.

RUELAS, ADRIANA, 2009/0891 (2010)

87207 - 1 count
\$200

Adriana Ruelas, Chief of Staff for Assemblymember Joe Coto, failed to disclose on her 2008 Statement of Economic

Interests a gift of tickets for Disney on Ice from Pechanga Band of Luiseño Mission Indians valued at \$110.25.

RUFF, STEVEN BRUCE, AND BRUCE RUFF FOR SHERIFF, 2005/0258 (2007)

84211 - 1 count
\$2,500

Steven Bruce Ruff, an unsuccessful candidate for Sheriff of San Diego county, and his controlled committee, Bruce Ruff for Sheriff 2002, failed to properly report the source of a \$6,000 loan on a semi-annual campaign statement filed on January 31, 2002.

RUFFIN, ROBERT S, 1993/0291 (1996)

87407 - 1 count
\$1,500

Robert Ruffin, a former state employee with the Department of Health Services, violated the conflict-of-interest provisions when he made recommendations which influenced a decision to grant MEDIQ's application for Medi-Cal subacute care for the Clovis Community Hospital. Ruffin's participation in this decision occurred after he received an offer of employment from MEDIQ.

RUIZ, CELIA, 2006/0948 (2007)

87302 - 1 count
\$100

Celia Ruiz, a consultant for the Transbay Joint Powers Agency, failed to timely file her 2005 annual statement of economic interests.

RUIZ, JOSEPH, COMMITTEE TO ELECT JOSEPH F. RUIZ TO CITY COUNCIL AND RAMONA RUIZ, 1999/0229 (2001)

84200.5 & 84200.8 - 1 count
\$1,250

Joseph Ruiz, an unsuccessful candidate for South Gate City Council in 1999, his controlled committee, Committee to Elect Joseph F. Ruiz to City Council, and its treasurer, Ramona Ruiz, failed to timely file a second pre-election campaign statement in 1999.

RUNNER, GEORGE, 2009/0892 (2010)

87207 - 2 counts
\$400

Senator George Runner failed to disclose on his 2008 Statement of Economic Interests two gifts of concert tickets paid for the Pechanga Band of Luiseño Mission Indians valued at \$56.25 and \$59.50.

RUNNER, GEORGE, FRIENDS OF GEORGE RUNNER, AND RITA BURLESON, 2005/0775 (2006)

89519 - 1 count
\$2,500

George Runner, a member of the state assembly, and his controlled committee Friends of George Runner, and its

treasurer Rita Burleson made contributions to candidates for elective office from surplus campaign funds.

RUNNER, GEORGE, GEORGE RUNNER FOR SENATE - 2004, AND RITA BURLESON, 2005/0776 (2006)

84211 & 85309 - 1 count
85201 - 1 count
85301 - 1 count
\$5,500

George Runner was a successful candidate for state senate in the March 2, 2004 primary, and the November 2, 2004 general elections. George Runner for Senate - 2004 was George Runner's controlled committee, and Rita Burleson served as its treasurer. Respondents failed to establish a bank account upon receiving contributions for the 2004 state senate elections; accepted two contributions that exceeded the \$3,200 contribution limit; and failed to disclose required information for contributors of \$100 or more.

RUNNER, SHARON/SHARON RUNNER FOR SENATE 2011, 2013/0083 (2013)

85035 - 2 counts
\$8,000

Sharon Runner, Sharon Runner for Senate 2011, and its Treasurer, Kelly Lawler, impermissibly made contributions in excess of the \$3,900 contribution limit to a committee controlled by a candidate for elective state office.

RUPPEL, PETER, 2009/0554 (2009)

87300 - 1 count
\$200

Peter Ruppel, Chair of the South Pasadena Design Review Board, failed to timely file a 2008 annual Statement of Economic Interests with the City of South Pasadena.

RUSSELL, CHRISTINE H, 2000/0397 (2000)

84203 - 2 counts
\$2,400

Christine H. Russell of San Francisco failed to file late contribution reports during the March 7, 2000, primary election.

RUSSO, FRANK AND FRANK RUSSO FOR ASSEMBLY SPECIAL ELECTION COMMITTEE, 2000/0375 (2001)

84200 - 1 count
84203 - 3 counts
84211 - 3 counts
85305 - 1 count
\$12,000

Frank Russo, unsuccessful candidate for assembly in a special election in 1999, and his controlled committee, Frank Russo for Assembly Special Election Committee, failed to disclose occupation and employer information for contributors, failed to itemize contributions of \$100 or more, accepted contributions in excess of the special

election contribution limit, failed to file late contribution reports, and failed to timely file post-election semi-annual campaign statements during 1998 and 1999.

RUVALCABA, XOCHILT, FRIENDS OF XOCHILT RUVALCABA FOR SOUTHGATE CITY COUNCIL, AND MARIA RUVALCABA, 1999/0231 (2002)

84200 - 1 count
84200.5 - 1 count
84203 - 1 count
\$3,000

Xochilt Ruvalcaba, a successful candidate for the South Gate City Council in 1999, and her controlled committee, Friends of Xochilt Ruvalcaba for South Gate City Council, and its treasurer, Maria Ruvalcaba, failed to timely file a second pre-election campaign statement, failed to file a late contribution report, and failed to file a post-election semi-annual campaign statement in 1999.

RV MERCHANT, INC, 1997/0187 (1998)

84300 & 84301 - 13 counts
\$26,000

RV Merchant, Inc., a corporation engaged in the sale of recreational vehicles in Escondido, laundered campaign contributions to the campaign of Keith E. Beier for Escondido City Council in 1996.

RYAN, HARVEY, 2005/0538 (2005)

87203 - 1 count
\$100

Harvey Ryan, a member of the Lake Elsinor Planning Commission, failed to timely file a combined assuming office and leaving office statement of economic interests.

S.K.Y. COMPANIES AND AFFILIATES, 2006/0127 (2006)

84200 - 2 counts
\$800

S.K.Y. Companies and Affiliates of Los Angeles failed to timely file semi-annual campaign statements in 2004.

SABATINO, CARMEN, 1990/0369 (1993)

84305 - 1 count
\$2,000

The Commission approved an administrative law judge's recommendation for a \$2,000 fine against Carmen Sabatino, a former Stanislaus county supervisorial candidate, for failing to include proper sender identification on a campaign mailing during his unsuccessful 1990 campaign for supervisor.

SABOVICH, MARGARET, 2001/0432 (2003)

87300 - 1 count
\$600

Margaret Sabovich, Associate Development Specialist with the California Technology, Trade and Commerce Agency

in Sacramento, failed to timely file a 2000 annual statement of economic interests.

SACRAMENTO A-1 DOOR, INC, 2006/0177 (2006)

84200 - 2 counts
\$800

Sacramento A-1 Door, Inc. of North Highlands failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,350 in 2004.

SACRAMENTO ADVOCACY AND CATHERINE BARANKIN, 2011/0427 (2012)

86113, 86114, 86117 & 84605 - 9 counts
\$22,500

Sacramento Advocacy and Catherine Barankin, failed to timely file quarterly lobbyist and lobbying firm reports from July 1, 2010 through September 30, 2012 involving over \$500,000 in payments received for lobbying services.

SACRAMENTO CENTRAL LABOR COUNCIL C.O.P.E., BILL CAMP, TREASURER, 2011/0946 (2012)

84203 - 5 counts
\$9,427

Sacramento Central Labor Council-C.O.P.E. is a state general purpose committee and Bill Camp is the treasurer. The Franchise Tax Board Political Audit Division identified two late contribution reports that Respondents failed to file for contributions of \$17,050 made in connection with the November 4, 2008, election. Respondents also failed to timely file a late contribution report for contributions of \$13,000 given in connection with the June 8, 2010, election; and failed to file two late contribution reports for contributions amounting to \$32,800 given in connection with the November 2, 2010, election.

SACRAMENTO COUNTY DEMOCRATIC CENTRAL COMMITTEE AND WILLIAM GUY CROUCH, 2009/0740 (2010)

84200.5 - 2 counts
84203 - 7 counts
84203.5 - 5 counts
84211 - 4 counts
\$36,500

Sacramento county Democratic Central Committee and William Guy Crouch, Treasurer for the committee failed to timely disclose contributions received on pre-election and semi-annual campaign statements; failed to timely file two pre-election campaign statements; failed to timely file five supplemental independent expenditure reports, and failed to timely file seven late contribution reports.

SACRAMENTO COUNTY DEPUTY SHERIFFS' ASSOCIATION PAC, AND MARK M. IWASA, TREASURER, 1999/0090 (2000)

84104 - 1 count
84203 - 2 counts
84211 - 1 count
\$3,250

Sacramento county Deputy Sheriffs’ Association PAC, a general purpose committee, and its treasurer, Mark M. Iwasa, failed to timely file late contribution reports, failed to maintain required records, and failed to timely disclose expenditures in 1995 and 1996.

SACRAMENTO, COUNTY OF, 1993/0345 (1996)

84202 - 1 count
 84203.5 - 3 counts
 84204 - 1 count
 \$10,000

The county of Sacramento failed to report expenditures relating to ballot measure communications in 1993 and 1994. The county produced an insert included in county utility bills endorsing Proposition 172 and Measure Q and a brochure endorsing Measure B.

SAFE CREDIT UNION, 2006/0348 (2006)

84200 - 6 counts
 \$3,155

SAFE Credit Union of North Highlands failed to timely file semi-annual campaign statements disclosing contributions totaling \$18,430 in 2002, \$15,297 in 2003, \$25,141 in 2004, and \$34,120 in 2005.

SAFEWAY, INC, 2000/0395 (2000)

84203 - 2 counts
 \$1,650

Safeway, Inc., located in Pleasanton, failed to file late contribution reports during the March 7, 2000, primary election.

SAGOUSPE, JEAN P., JR., AND JEAN SAGOUSPE FARM ACCOUNT, 1990/0341 (1995)

84300 & 84302 - 12 counts
 \$21,000

Jean P. Sagouspe, Jr., a developer in Merced county and his business, Jean Sagouspe Farm Account, acted as intermediaries for 12 campaign contributions made to the campaign of an unsuccessful candidate in the Santa Clara county Board of Supervisors election in 1990.

SAHAGUN, SERGIO, 2006/1062 (2009)

89503 - 1 count
 \$350

Sergio Sahagun, while serving as a planning commissioner for the City of Montclair, accepted a gift from a single source in the amount of \$440, exceeding the \$360 gift limit for 2005.

SAKAMOTO, PATRICIA KEIKO, 2006/0748 (2007)

84200 - 1 count
 \$800

Patricia Keiko Sakamoto of Laguna Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$14,000.

SALAS, MARY, 2009/0894 (2010)

87207 - 1 count
 \$200

Assemblymember Mary Salas failed to disclose on her 2008 Statement of Economic Interests a gift of a dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46.

SALAZAR, AURELIO JR., 2012/0992 (2013)

87203 - 1 count
 \$200

Aurelio Salazar Jr., Monterey County Planning Commissioner, failed to timely file his Annual Statement of Economic Interests, covering the 2011 calendar year, due April 2, 2012.

SALCIDO, GREGORY AND GREGORY SALCIDO - CITY COUNCIL, 2011/0693 (2012)

84300(a) - 1 count
 84211(f) - 1 count
 \$2,500

Gregory Salcido was a city councilman for the City of Pico Rivera and Gregory Salcido - City Council is his campaign committee. In January 2010, Mr. Salcido accepted a \$2,000 campaign contribution in cash. In June of 2011, he received five campaign contributions from five people who worked for La Noria Entertainment, Inc. He reported the five contributions as a single contribution from La Noria Entertainment, Inc. and did not identify the individual.

SALDANA, LORI, 2009/0793 (2010)

87207 - 3 counts
 \$600

Assemblymember Lori Saldana failed to disclose on her 2008 Statement of Economic Interests a gift of dinner from the Pechanga Band of Luiseño Mission Indians valued at \$94.46; a gift of dinner from the California Manufacturers & Technology Association valued at \$77.77, and a gift of dinner from the Bank of America Corporation valued at \$110.95.

SALIMZADEH, AMIR (A.K.A. JOSEPH SALIM), 2005/0711 (2006)

84203 - 1 count
 \$1,500

Amir Salimzadeh (a.k.a. Joseph Salim) of New York, New York, failed to timely disclose a late contribution totaling \$10,000.

SAMMUT, DENNIS, AND ARTICHOKE JOE’S, 2000/0362 (2001)

84211- 1 count
 \$1,000

Dennis Sammut and his affiliated entity, Artichoke Joe’s of San Bruno, failed to disclose eight contributions on their major donor statement in 1998.

SAMS VENTURE, LLC, 2006/0336 (2006)

84200 & 84605 - 1 count
\$985

SAMS Venture, LLC of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$58,500 in 2004.

SAMUELI, HENRY AND SUSAN, 2001/0690 (2002)

84203 - 1 count
\$2,000

Henry and Susan Samuelli of Irvine failed to file a late contribution report in 2000.

SAN BERNARDINO COMMUNITY COLLEGE DISTRICT, 2011/0472 (2011)

89001 - 1 count
\$2,000

San Bernardino Community College District sent a newsletter on or about March 15, 2011, at public expense, which featured elected board members.

SAN BERNARDINO COUNTY SAFETY EMPLOYEES' BENEFIT ASSOCIATION, LOCAL PAC; COLIN MCKENZIE; AND WILLIAM ABERNATHIE, 2008/0113 (2010)

84211(f) - 1 count
\$3,000

San Bernardino county Safety Employees' Benefit Association, Local PAC, is sponsored by the San Bernardino county Safety Employees' Benefit Association, a labor union representing law enforcement officers in the county of San Bernardino. San Bernardino county Safety Employees' Benefit Association, Local PAC; Colin McKenzie, Treasurer, and William Abernathie, Assistant Treasurer, failed to report or timely report required information on contributions made by individual labor union members reaching the \$100 threshold for the year.

SAN DIEGANS FOR BOB FILNER, AND ROBERT FILNER, 1987/0529 (1989)

84305 - 1 count
\$2,000

Robert Filner, successful candidate for the San Diego City Council, failed to provide legible sender identification on a mass mailer. The mailer was bright yellow, with sender identification appearing in white. The combination of white letters on a bright yellow background rendered the identification illegible without magnification.

SAN DIEGO CITY FIRE FIGHTERS LOCAL 145 I.A.F.F, 1991/0493 (1992)

84204 - 2 counts
\$1,500

San Diego City Fire Fighters, Local 145, I.A.F.F., failed to timely file two late independent expenditure reports. One was for \$13,500 spent for a mailer opposing the recall of City Councilmember Linda Bernhardt; the other was for

\$1,945 spent on a mailer in support of then city councilmember Bruce Henderson.

SAN DIEGO COUNTY OTAY WATER DISTRICT BOARD MEMBER LARRY BREITFELDER, 2011/0104 (2011)

84206 - 1 count
\$200

Larry Breitfelder, a board member for the San Diego county Otay Water District, failed to timely file the campaign short form covering the period of calendar year 2010 with the county of San Diego.

SAN DIEGO STATE UNIVERSITY FOUNDATION,, 2006/1118 (2007)

84200 - 1 count
\$400

San Diego State University Foundation, located in San Diego, failed to file a semi-annual campaign statement disclosing contributions totaling \$23,000 in 2006.

SAN FERNANDO VALLEY REPUBLICAN CLUB, 2012/0652 (2013)

84200 - 1 count
\$200

San Fernando Valley Republican Club failed to file a semi-annual Form 460 Campaign Statement covering the period January 1, 2012, through June 30, 2012, due July 31, 2012.

SAN FRANCISCANS AGAINST THE BLANK CHECK--NO ON MEASURE D COMMITTEE SPONSORED BY PG&E, JAMES R. SUTTON AND PG&E CORPORATION, 2003/0061 (2004 CIVIL LAWSUIT)

84203
84605
\$140,000

San Franciscans Against the Blank Check - No on Measure D Committee Sponsored by PG&E, James R. Sutton and PG&E Corporation failed to disclose making late contributions on properly filed paper and electronic late contribution reports and failed to disclose receiving late contributions on properly filed paper late contribution reports.

SAN FRANCISCANS FOR COMMON SENSE AGAINST PROPOSITION K, DAVID GILMOUR, AND THOMAS C. SCANLON, TREASURER, 1990/0928 (1993)

84203 & 83116.5 - 1 count
84211 & 83116.5 - 1 count
84203 - 1 count
84211 - 1 count
\$7,000

San Franciscans for Common Sense Against Proposition K, a group that opposed a "domestic partners" ordinance, its committee campaign manager, David Gilmour, and its

treasurer, Thomas C. Scanlon, committed campaign disclosure violations in 1990.

The committee and Gilmour falsely reported on a campaign statement and late contribution report that a \$6,000 contribution received from the Traditional Values Coalition was anonymous. The committee and treasurer also failed to timely file a report disclosing a late contribution received and failed to provide occupation, employer, and cumulative contribution information on their campaign statements.

SAN FRANCISCO AIDS FOUNDATION, 2001/0038 (2001)

84200 - 1 count
\$400

San Francisco AIDS Foundation failed to file a major donor campaign statement in 2000.

SAN FRANCISCO BAY PROFESSIONAL SOCCER CLUB, INC, 1996/0188 (1997)

84300 & 84301 - 22 counts
\$39,000

San Francisco Bay Professional Soccer Club, Inc., who owned a soccer team located in San Jose from 1991 through 1994, laundered contributions to San Jose City Council members between 1992 and 1993.

SAN FRANCISCO CONSTRUCTION MANAGEMENT, INC., (FORMERLY O'BRIEN KREITZBERG & ASSOCIATES, INC.),, 1996/0519 (1997)

84200 - 1 count
84300 & 84301 - 23 counts
\$40,800

San Francisco Construction Management, Inc., one of two entities formed under Dames & Moore after the sale of O'Brien Kreitzberg & Associates, laundered contributions mostly made either to Bay Area Rapid Transit (BART) director campaigns or to mayor and city council candidates in Los Angeles, Long Beach, and San Diego between 1993 and 1995. The largest laundered contributions were made to two opposing measures on the ballot in San Francisco in 1994, Proposition I, supported by BART, and Proposition H, supported by the San Francisco Airport Authority. In addition, San Francisco Construction failed to file major donor campaign statements.

SAN FRANCISCO DEMOCRATIC COUNTY CENTRAL COMMITTEE AND TOM HSIEH, 2010/0125 (2013)

84200 & 84605 - 2 counts
\$400

San Francisco Democratic county Central Committee, a state general purpose committee, and its Treasurer Tom Hsieh, failed to file paper and electronic semi-annual campaign statements in a timely manner for the period covering August 16, 2009 through October 3, 2009, due October 22, 2009.

SAN FRANCISCO FORTY-NINERS AND KEITH SIMON, CFO, 1994/0657 (1995)

84301 - 15 counts
84302 - 15 counts
\$60,000

The San Francisco Forty-Niners, a professional football team, laundered fifteen contributions in the names of various individuals to the San Francisco Agnos for Mayor committee in 1991. Keith Simon, Chief Financial Officer, acted as an agent of the San Francisco Forty-Niners in making reimbursements to individuals used to launder the contributions.

SAN FRANCISCO TENANTS UNION, AND TED GULLICKSEN, TREASURER, 2012/0473 (2012)

84200 & 84605 - 2 counts
\$400

San Francisco Tenants Union, a slate mailer organization, and Ted Gullicksen, the organization's treasurer, failed to file paper and electronic semi-annual campaign statements in a timely manner for the period covering October 18, 2011, through December 31, 2011, due January 31, 2012.

SAN GABRIEL UNIFIED SCHOOL DISTRICT, 2007/0701 (2010)

89001 - 1 count
\$3,000

San Gabriel Unified School District used public funds to design and produce a mass mailing which featured two elected officers of the school district.

SAN JOSE REFORM COMMITTEE SUPPORTING ROSE HERRERA, 2012/0760 (2013)

84506 - 1 count
\$2,500

San Jose Reform Committee Supporting Rose Herrera for City Council 2012 ("Committee"), formed primarily to support Rose Herrera on September 20, 2012. In this matter, Committee made independent expenditures which caused mass mailings to be sent in support of Rose Herrera for City Council and in opposition of her opponent, Jimmy Nguyen, all of which failed to display a top contributor of \$50,000 or more during the 12 month period prior to the expenditures, from the San Jose Fiscal Reforms, Mayor Reed, Chamber PAC and Issues Mobilization PAC Proponents.

SAN JOSE WATER COMPANY, 2001/0039 (2001)

84200 - 1 count
\$400

San Jose Water Company of San Jose failed to file a major donor campaign statement in 2000.

SAN JUAN COMPANY, 2001/0044 (2001)

84200 - 1 count
\$400

The San Juan Company of San Juan Capistrano failed to file a major donor campaign statement in 2000.

SAN JUAN TEACHERS ASSOCIATION POLITICAL ACTION COMMITTEE AND STEVE DUDITCH, 2006/0923 (2010)

84200.5(d) & 84200.7(b) - 1 count
 84211(k) & 84303 - 1 count
 \$3,000

San Juan Teachers Association Political Action Committee is a small contributor committee and Steve Duditch was the committee's treasurer at all times relevant to this matter. Respondents failed to file a second pre-election campaign statement before the November 7, 2006, election for San Juan Unified School District's School Board, and failed to report required subvendor information.

SAN MATEO COUNTY DEMOCRATIC CENTRAL COMMITTEE, 2013/0082 (2013)

84203 - 2 counts
 \$2,400

San Mateo county Democratic Central Committee and Xavier R. Martinez, Treasurer, failed to timely file Late Contribution Reports (Form 497) covering the periods August 22, 2009, due on August 23, 2009, and October 27, 2010, due October 28, 2010, with the Secretary of State.

SAN MATEO COUNTY LEAGUE FOR COASTSIDE PROTECTION, 2006/0191 (2010)

84211 - 1 count
 84305 - 1 count
 \$3,500

San Mateo county League for Coastsides Protection, a general purpose committee, sent out a mass mailing without the name, street address and city of the Respondent's committee on the outside of the mailer. Additionally, Respondent failed to properly report contributions on its semi-annual campaign statement.

SANCHEZ, DANIEL, 2002/0402 (2003)

87300 - 1 count
 \$200

Daniel Sanchez, Community Health Commissioner in Berkeley, failed to timely file a 2001 annual statement of economic interests.

SANCHEZ, JUAN, 2000/0615 (2001)

87202 - 1 count
 87203 - 1 count
 \$600

Juan Sanchez, a planning commissioner for the county of Monterey, failed to timely file an assuming office and a 1999 annual statement of economic interests.

SANDERS, DOUGLAS AND COMMITTEE TO ELECT DOUGLAS SANDERS, 2001/0640 (2005)

84200.5 - 1 count
 \$2,500

Douglas Sanders, a successful candidate for Compton City Treasurer in the April 17, 2001 city election, and his controlled committee, Committee to Elect Douglas Sanders, failed to timely file a pre-election campaign statement.

SANDERS, DWAIN, 2013/0094 (2013)

87300 - 1 count
 87407 - 1 count
 \$4,000

Dwain Sanders served as a Director on the Western Hills Water District board of Directors from 2005 to 2008. He failed to timely report on his 2008 Statement of Economic Interests, income he received from his employers, Diablo Grande LP and World International, Inc. During his tenure he also voted on a matter directly relating to World International, Inc., with whom he had an arrangement concerning prospective employment at the time of the vote.

SANDERS, J. STANLEY AND SANDERS FOR MAYOR, 1994/0711 (1998 CIVIL LAWSUIT)

84203
 84211
 85201
 89517
 \$59,490

J. Stanley Sanders, candidate for mayor of Los Angeles in 1993, and his controlled committee Sanders for Mayor, made an unlawful expenditure of campaign funds for an office lease, failed to file late contribution reports, failed to disclose contributions received on campaign statements and made expenditures from an account that was not the designated campaign bank account, all during 1993.

SANDLER, RICHARD, 2003/0829 (2004)

84203 - 1 count
 \$1,500

Richard Sandler failed to file a late contribution report in 2002.

SANDOVAL, GERARDO, 2009/0824 (2010)

87207 - 1 count
 \$200

Gerardo Sandoval, a member of the San Francisco Board of Supervisors, failed to disclose his community property share in his wife's source of income on his 2005 Statement of Economic Interests.

SANDOVAL, KAROLINA, 2006/0534 (2006)

84200 - 1 count
 \$800

Karolina Sandoval of Chicago, Illinois, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

SANDOVAL, MANUEL, 1984/0039 (1984)

87200 & 87203 - 1 count
 \$600

Manuel Sandoval, a San Jose City Planning Commissioner, failed to file his 1982 statement of economic interests. Enforcement proceedings were initiated when no response was received to the staff notifications of his failure to comply.

SANG, CHING, 1999/0552 (2000)

87300 - 1 count
\$200

Ching Sang, a member of the board of governors of the Museum of Natural History in Los Angeles, failed to timely file her 1998 annual statement of economic interests.

SANTA BARBARA COUNTY LINCOLN CLUB AND CORY BANTILAN, 2012/0063 (2013)

84200(a) & 84605(a) - 3 counts
\$6,000

Santa Barbara county Lincoln Club, a general purpose recipient committee and its Treasurer Cory Bantilan, failed to timely file semi-annual campaign statements covering the periods January 1, 2011 through June 30, 2011, due August 1, 2011, July 1, 2011 through December 31, 2011, due January 31, 2012, and January 1, 2012 through June 30, 2012, due July 31, 2012. This is the second time the FPPC has brought an enforcement action against the Santa Barbara county Lincoln Club and Treasurer Cory Bantilan for failing to file semi-annual campaign statements.

SANTA BARBARA COUNTY LINCOLN CLUB AND CORY BANTILAN, TREASURER, 2010/0989 (2011)

84200 - 2 counts
\$400

Santa Barbara county Lincoln Club and its treasurer Cory Bantilan failed to file a semi-annual campaign statement for the July 1, 2010, through December 31, 2010, reporting period, by the January 31, 2011, deadline.

SANTA CLARA COUNTY UNITED DEMOCRATIC CAMPAIGN AND JAMES P. THURBER, JR, 1997/0062 (2001)

84204 - 3 counts
\$4,500

Santa Clara county United Democratic Campaign, a general purpose committee that serves as the political arm of the Santa Clara Democratic Central Committee, and its treasurer, James P. Thurber, Jr., failed to file late independent expenditure reports in 1996.

SANTA CLARA NO ON PROP. 13 COMMITTEE AND ALF OFTEDAHL, 1980/0013 (1981)

81004 - 1 count
84100 - 1 count
84101 - 1 count
84201 - 1 count
84206 - 1 count
84210 - 3 counts
84214 - 1 count
\$100

The Santa Clara county No on Proposition 13 Committee and Alf L. Oftedahl, treasurer, committed violations involving failure to timely file campaign disclosure statements. The committee also failed to keep records required and reported expenditures that were not incurred.

SANTA CLARITA BUSINESS PARK CO, 1999/0393 (2000)

84200 - 1 count
\$400

Santa Clarita Business Park Co., located in Newhall, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

SANTA CLARITA VALLEY CONGRESS OF REPUBLICANS, 1999/0358 (2001)

84200 - 1 count
\$1,000

Santa Clarita Valley Congress of Republicans, a membership organization located in Santa Clarita Valley, failed to timely file a semi-annual campaign statement in 1998.

SANTA NELLA PARTNERS, STEPHEN MACIE, PATRICIA MACIE, MACIE DEVELOPMENT CORPORATION, GORDON GRAVELLE, BLACK DIAMOND LAND & REALTY COMPANY, ELEANOR PEAN, GULL LAND COMPANY, INC., EDWARD PARDUE, AND MARGUERITE PARDUE, 1990/0341 (1995)

84300 & 84301 - 13 counts
\$23,000

Santa Nella Partners, a real estate investment association in Merced county and its members, laundered contributions in the names of various individuals and businesses to Carl Guardino's unsuccessful campaign for Santa Clara county Board of Supervisors in 1990.

SANTA PAULA UNION HIGH SCHOOL DISTRICT AND DAVID GOMEZ, 2008/0554 (2010)

89001 - 1 count
\$2,000

Santa Paula Union High School District and Superintendent David Gomez violated the Political Reform Act by sending a newspaper insert on or about September 10, 2008, at public expense, which featured an incumbent school board member.

SANTA YNEZ BAND OF CHUMASH INDIANS, 2010/0607 (2011)

86115 - 15 counts
\$15,000

Santa Ynez Band of Chumash Indians, a California lobbyist employer, failed to timely file fifteen lobbyist employer reports from January 1, 2007 through September 30, 2010, which resulted in a failure to report total payments made for lobbying services in the amount of \$312,000.

SANTANA, CHARLES, 1987/0216 (1988)

84308 - 1 count
\$1,500

As an appointed LAFCO member, Alameda county Supervisor Charles Santana voted to approve annexation of a 15-acre parcel of unincorporated land by the City of Dublin. The action was sought by a developer to proceed with a multi-unit townhouse project. The developer was a regular participant in annual fundraising golf tournaments held by the supervisor and had been disclosed by Santana's campaign as having contributed more than \$250 within 12 months of the LAFCO decision.

SANTEE MOBILE ESTATES, A CALIFORNIA LIMITED PARTNERSHIP, 1994/0339 (1998)

84300 & 84301 - 10 counts
\$17,000

Santee Mobile Estates, a California Limited Partnership which owns a mobile home park located in the City of Santee, made contributions in the names of others to a campaign committee and three candidates for Santee City Council in 1994 and 1996 without disclosing the true source of the funds.

SANTILLAN, MARIA T., COMMITTEE TO RE-ELECT MARIA T. SANTILLAN, AND RAUL BEAS, 2002/0222 (2009)

84104 - 1 count
84200.5(c) & 84200.8 - 2 counts
84203 - 2 counts
84211(f) & (k) - 3 counts
89513(d) - 1 count
\$20,000

Committee to Re-Elect Maria T. Santillan was the candidate-controlled recipient committee of Maria T. Santillan. Respondents failed to timely file a first and second pre-election campaign statement; failed to file late contribution reports; failed to disclose nonmonetary contributions made and received, and failed to maintain proper records. In addition, Santillan used campaign funds for personal purposes.

SANTOS, LAURA, 2012/0738 (2013)

84200 - 1 count
\$200

Laura Santos, a governing Board Member for Bassett Unified School District, failed to timely file a Form 470 Short Form Campaign Statement covering the 2012 calendar year, due July 31, 2012.

SANTULLI, RICHARD T, 2003/0497 (2003)

84200 - 1 count
84605 - 1 count
\$6,000

Richard T. Santulli of Woodbridge, New Jersey, failed to file a major donor campaign statement in paper and electronic format in 2002.

SAPERSTEIN, SUZANNE, 2004/0154 (2004)

84203 - 1 count
\$1,500

Suzanne Saperstein failed to timely disclose a late contribution.

SARACINO, WILLIAM E., FREE MARKET POLITICAL ACTION COMMITTEE, CITIZENS FOR RESPONSIBLE REPRESENTATION, AND FUND FOR A RESPONSIBLE LEGISLATURE, 1995/0310 (1997)

84104 - 16 counts
84200 - 9 counts
84200.5 & 84200.7 - 1 count
\$36,000

William E. Saracino, executive director and treasurer of the Free Market Political Action Committee, and also treasurer to the Citizens for Responsible Representation and Fund for a Responsible Legislature committees, failed to timely file campaign statements and failed to collect and maintain required records for payments to himself between 1993 and 1996 for these committees.

SARE, DALE L., COMMITTEE TO ELECT DALE L. SARE SUPERIOR COURT JUDGE, AND JOHN N. CEFALU, TREASURER, 1999/0083 (2000)

84104 - 1 count
84203 - 2 counts
84300 - 1 count
\$3,500

Dale L. Sare, an unsuccessful candidate for Superior Court Judge in El Dorado county in 1996, his controlled committee, Committee to Elect Dale L. Sare, Superior Court Judge, and its treasurer, John N. Cefalu, failed to file late contribution reports, failed to maintain detailed accounts, records, bills, and receipts necessary to properly prepare campaign statements, and received illegal cash contributions in 1996.

SARES-REGIS GROUP, 2011/0187 (2012)

84308(d) - 1 count
\$3,500

Sares-Regis Group, is a developer and provider of comprehensive commercial and residential real estate services throughout the western United States, which is based in Irvine, CA. On October 28, 2010, Sares-Regis Group made a contribution in the amount of \$520 to Barbara Delgleize, an unsuccessful candidate for Huntington Beach City Council in the November 2, 2010, election. At all relevant times, Barbara Delgleize was also an appointed member of the Huntington Beach Planning Commission. During its February 8, 2011, meeting, the Huntington Beach Planning Commission voted to approve Agenda Item No. B-2: Site Plan Review No. 10-004 (Boardwalk Mixed Use Project). This was the Huntington Beach Planning Commission's initial approval for Sares-Regis Group's Application No. 2010-184 to develop a mixed use project consisting of apartments,

commercial/retail space, office space, recreation buildings and a park. Sares-Regis Group failed to disclose on the record that it made a contribution to Barbara Delgleize.

SAUERBRUN, MICHAEL, 2001/0442 (2001)

87203 - 1 count
\$200

Michael Sauerbrun, a planning commissioner for the City of San Bernardino, failed to file his annual statement of economic interests in 2001.

SAUGET, LYLE, 2007/0365 (2007)

87300 - 1 count
\$200

Lyle Sauget, Siskiyou county Grand Jury member, failed to timely file his 2006 annual statement of economic interests.

SAVE OUR CANYONS, AND VIRGINIA BERTONI AND DIANE CALIVA, 1999/0803 (2001)

84200.5 & 84200.8 - 2 counts
\$2,500

Save Our Canyons, a primarily formed recipient committee to support a ballot measure in Chino, Virginia Bertoni, its treasurer, and Diane Caliva, its assistant treasurer, failed to timely file pre-election campaign statements in 1999.

SAVE OUR LICENSE, AND JOHN FUGATT, 2005/0105 (2009)

84200(a) - 1 count
84202.3 - 3 counts
\$14,000

Save Our License, a state ballot measure committee that was initially formed in 2003 to qualify a referendum for the March 2, 2004, election against Senate Bill 60 (SB60) and John Fugatt, Treasurer for Respondent Committee, failed to file a semi-annual campaign statement and failed to file quarterly campaign statements.

SAVE OUR PORT HUENEME POLICE DEPARTMENT, JILLYNN TAYLOR, AND VALORIE MORRISON, 1994/0539 (1996)

84200.5 & 84200.7 - 2 counts
\$2,250

Save Our Port Hueneme Police Department, a committee active in supporting the passage of Measure Z in the City of Port Hueneme, and Jillynn Taylor, treasurer, and Valorie Morrison, chairperson, failed to file campaign statements reporting the contributions received and expenditures made.

SAVE THE HORSES, AND CATHLEEN DOYLE, 2000/0666 (2002)

84105 - 3 counts
84211 - 7 counts
\$18,000

Save the Horses, a ballot measure committee primarily formed to support the qualification and passage of

Proposition 6 in the 1998 general election, and Cathleen Doyle, its treasurer, failed to disclose occupation and employer information on campaign statements, failed to send major donor notifications, failed to properly disclose a loan, and failed to itemize expenditures on campaign statements in 1997 and 1998.

SAWHILL CONSULTING, 2004/0802 (2005)

84200 - 1 count
\$400

Sawhill Consulting of Sacramento failed to file a major donor campaign statement in 2003.

SBARRO, INC, 2006/0625 (2006)

84200 - 1 count
\$800

Sbarro, Inc. of Melville, Nevada, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

SCAGLIOTTI, RICHARD, 2006/0401 (2009)

84204 - 1 count
\$2,500

Richard Scagliotti failed to disclose a late independent expenditure made on or about May 21, 2006, totaling approximately \$1,838, on a late independent expenditure report.

SCAIFE, RICHARD, 1998/0324 & 1998/0346 (1998)

84200 - 3 counts
84203 - 1 count
\$7,000

Richard Scaife, a citizen of Pennsylvania, failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998. In addition, Mr. Scaife failed to file two semi-annual campaign statements in connection with contributions to Yes on 209 in 1996.

SCALORA, LORENE, 1999/0064 (2002)

87100 - 1 count
\$2,000

Lorene Scalora, member of the Planning Commission for the City of Pinole, violated the conflict-of-interest provisions by making or participating in making a governmental decision in which she knew she had a financial interest in 1999.

SCHABARUM FUND FOR CALIFORNIA'S FUTURE, PETER F. SCHABARUM, AND ROBERT E. WEISS, TREASURER, 1991/0608 (1993)

84105 - 1 count
84203 - 1 count
\$3,000

The Schabarum Fund for California’s Future, its treasurer, Robert E. Weiss, and Peter F. Schabarum, violated the campaign disclosure provisions when they failed to file a report in 1990 for a \$12,500 late contribution made in support of a state ballot measure. They also failed to send notices in 1989 and 1990 to contributors of \$5,000 or more advising them that they might be required to file major donor campaign statements.

SCHADE, ANDRE, 1992/0068 (1993)

87100 - 1 count
87200 - 1 count
\$2,500

The Commission issued a Default Decision and Order against Andre Schade, Fort Bragg City Councilman, who failed to disclose a \$3,000 loan from a local developer on his 1990 annual statement of economic interests. Schade also committed a conflict-of-interest violation in 1991 when he participated in a governmental decision which had an effect on the developer, despite a disqualifying financial interest.

SHELL, JOSEPH M, 1998/0325 (1998)

84200 - 1 count
84203 - 1 count
\$2,500

Joseph M. Schell of Lafayette failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

SCHMIDT, ERIC AND WENDY, 2001/0234 (2001)

84200 - 1 count
\$400

Eric and Wendy Schmidt of Atherton failed to file a major donor campaign statement in 2000.

SCHMITZ, JOHN, 1981/0017 (1983)

84211 - 2 counts
87207 - 1 count
\$6,000

Former Senator John Schmitz incorrectly reported \$9,000 on his campaign statements. Schmitz gave checks written on the account of his campaign committee to a contributor of Schmitz in return for checks from the contributor which were made payable to Schmitz personally. These transactions had the effect of transferring money from the campaign committee to Schmitz without disclosing the true nature of the transactions.

SCHNABEL, MARNA, 1997/0574 (1999)

87203 & 87206 - 3 counts
\$6,000

Marna Schnabel, member of the Los Angeles City Planning Commission, failed to adequately and fully disclose her

investments on annual statements of economic interests covering 1995, 1996, and 1997.

SCHNEIDER, JOHN A, 2003/0426 (2003)

84200 - 1 count
\$400

John A. Schneider of New York City, New York, failed to file a major donor campaign statement in 2002.

SCHOLBERG, KURT, 2002/0739 (2004)

87203 - 1 count
\$1,500

Kurt Scholberg failed to timely file a 2001 annual statement of economic interests.

SCHOTT, MARK, 2010/0616 (2011)

87300 - 1 count
\$400

Mark Schott, a Dixie School District board member, failed to file a 2009 annual Statement of Economic Interests.

SCHRADER, DONALD, 2001/0254 (2005)

87100 - 3 counts
\$10,000

Donald Schrader, a member of the Yuba county Board of Supervisors and a member of the governing board of the Yuba county Water Agency, used or attempted to use his official position to influence governmental decisions in which he knew or had reason to know he had a financial interest.

SCHRAMEL, DAVID, 1990/0317 (1992)

87100 - 1 count
\$2,000

David Schramel, a member of the board of directors of the Indian Valley Hospital District, violated the conflict-of-interest provisions when he voted in favor of a contract which benefitted a client of his oxygen supply business.

SCHULER, BARRY, 2007/0772 (2008)

84203 - 2 counts
\$2,355

Barry Schuler of Napa failed to timely disclose two late contributions totaling \$15,700.

SCHULER, BARRY, 2007/0747 (2008)

84200 - 2 counts
\$800

Barry Schuler of Napa failed to file two semi-annual campaign statements disclosing contributions totaling \$40,700.

SCHWARTZ, ALAN, 2001/0162 (2002)

84302 - 30 counts
\$58,000

Alan Schwartz, international trade and political consultant under contract with Mattel, Inc., headquartered in El Segundo, made contributions to various candidates on behalf of Mattel without disclosing that Mattel was the true source of the contributions between 1997 and 2000.

SCHWARTZ, B.L., 2004/0794 (2005)

84200 - 1 count
\$400

B.L. Schwartz of New York, New York, failed to file a major donor campaign statement in 2003.

SCHWARTZ, STUART, 1999/0392 (2000)

84200 - 1 count
\$400

Stuart Schwartz of Studio City failed to timely file a major donor campaign statement for a contribution made to Lungren for Governor committee in 1998.

SCHWARZENEGGER, ARNOLD, GOVERNOR SCHWARZENEGGER'S CALIFORNIA RECOVERY TEAM, AND THOMAS HILTACHK, 2006/0183 (2006)

85500 - 143 counts
\$200,200

Arnold Schwarzenegger, his controlled ballot measure committee Governor Schwarzenegger's California Recovery Team, and the committee treasurer Thomas Hiltachk failed to timely file online or electronically 24-hour campaign reports disclosing independent expenditures.

SCHWARZENEGGER, ARNOLD AND GOVERNOR SCHWARZENEGGER'S CALIFORNIA DREAM TEAM, A BALLOT MEASURE COMMITTEE, 2009/0539 (2012)

18521.5(d) - 6 counts
\$30,000

Arnold Schwarzenegger was governor of the State of California from November 2003 through January 2011. California Dream Team, A Ballot Measure Committee (Respondent Committee), was Schwarzenegger's candidate controlled ballot measure committee located in Burbank, CA. Respondents used Respondent Committee funds to pay for a television and internet advertisement which referred to state budget negotiations that were in progress. However, under the Political Reform Act, as implemented by regulations adopted by the Commission, ballot measure committees which are controlled by candidates for elective state office may only use committee funds to make expenditures that are related to a state or local measure or a potential measure anticipated by the committee. By using Respondent Committee funds to make six expenditures totaling over \$1.1 million, which were unrelated to a state or local measure or an anticipated potential measure, Respondents violated the Political Reform Act.

SCOTT, BENJAMIN, 2011/0555 (2011)

87300 - 1 count
\$200

Benjamin Scott, Commissioner, Oakland Rent Board, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

SCOTT, GLORIA, ROBERT MARTINEZ AND THE COMMITTEE TO ELECT GLORIA SCOTT FOR KERN HIGH SCHOOL DISTRICT BOARD OF TRUSTEES, 2000/0150 (2001)

84200 - 1 count
84200.7 - 1 count
84203 - 1 count
\$3,000

Gloria Scott, unsuccessful candidate for the Kern High School District Board of Trustees in Bakersfield, her controlled committee, Committee to Elect Gloria Scott for Kern High School District Board of Trustees, and Robert Martinez, its treasurer, failed to timely file a pre-election campaign statement and a late contribution report in 1998, and semi-annual campaign statements from 1999 through 2001.

SCOTT, JACK, JACK SCOTT FOR STATE SENATE COMMITTEE, AND JONATHAN FUHRMAN, 2002/0507 (2005)

84203 - 18 counts
84605 - 7 counts
\$39,000

Jack Scott, a successful candidate for senate in the 2000 statewide general election, his controlled committee, Jack Scott for State Senate Committee, and its treasurer Jonathan Fuhrman, failed to properly disclose 18 late contributions in paper late contribution reports, and failed to properly disclose seven late contributions in electronic late contribution reports.

SCOTT, MARSHALL AND MARSHALL C. SCOTT COMMITTEE, 1988/0151 (1989)

84211 - 3 counts
87100 & 87103 - 2 counts
\$10,000

Marshall Scott of Moreno Valley committed conflict-of-interest and campaign reporting violations. As councilmember in 1985, Mr. Scott cast votes to approve development projects in Moreno Valley that were likely to significantly benefit a source of income. Mr. Scott's violations involved his votes to approve a plan for a 76-unit apartment being developed by Sykes Construction Company, and to approve a 48-lot subdivision being developed by Sykes Enterprises Corporation. Mr. Scott had a financial interest in the decision requiring disqualification because of income received from Albert Sykes, a 50% partner in the apartment project and sole owner of the company developing the subdivision. The council member had received \$570 in insurance commission fees from Mr. Sykes within 12 months of the first decision and another \$1,600 in commission fees within 12 months of the second decision. The campaign reporting violations for Mr. Scott involved his failure to properly cumulate at least a dozen

contributions received in 1985 and 1986, which individually totaled \$99 or less.

SCPIE INDEMNITY COMPANY, 1999/0390 (2000)

84200 - 1 count
\$600

SCPIE Indemnity Company, located in Los Angeles, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism and the California Agenda Committee in 1998.

SCRIVNER, ZACK, SCRIVNER FOR SUPERVISOR 2010, AND SHAWN KELLY, 2010/1099 (2012)

84211(k) & 84303 - 1 count
84211(b)(i) & 84211(k) & 18421.6 - 1 count
\$4,750

Zack Scrivner was elected to the Kern county Board of Supervisors in the 2010 General Election. Scrivner for Supervisor 2010 was his candidate controlled committee and Shawn Kelly was the committee's treasurer. They failed to report subvendor information for payments totaling approximately \$297,182 on the six original campaign statements filed for the period July 1, 2009 through October 16, 2010. They also failed to report accrued expenses totaling approximately \$99,685 on the four campaign statements filed for the period January 1, 2010 through September 30, 2010.

SEAN MACNEIL, 2009/0645 (2011)

87302 - 1 count
\$4,000

Sean MacNeil, former Chief of Staff to California State Senator Pat Wiggins failed to disclose on his 2007 annual Statement of Economic Interests \$2,000, which was income he received from Friends of Pat Wiggins for State Senate 2010 campaign in March 2007.

SEASIDE CITIZENS LEAGUE FOR PROGRESS AND JAMES MANNING, 1979/0087 (1980)

81004 - 1 count
84101 - 1 count
84200 - 1 count
\$300

The Seaside Citizens League failed to file campaign disclosure reports in connection with a city council recall election in 1979. The committee spent about \$13,000 in the campaign but did not file any reports until eight days after the February 5 election.

SEE, DARRYL M, 2000/0115 (2003)

87100 - 6 counts
87300 - 6 counts
\$24,000

Darryl M. See, a medical doctor employed as an Assistant Professor and Principal Investigator with the University of California at Irvine, violated the conflict-of-interest

provisions when he made governmental decisions which had an effect on sources of income in 1997 and 1998 and failed to report those sources of income on his statements of economic interests.

SEGERSTROM, HENRY, 2006/0308 (2006)

84200 - 1 count
\$400

Henry Segerstrom of Costa Mesa failed to timely file a semi-annual campaign statement disclosing contributions totaling \$25,000 in 2004.

SEIFER, MELISSA, 2001/0133 (2001)

84200 - 1 count
\$400

Melissa Seifer of Rancho Santa Fe failed to file a major donor campaign statement in 2000.

SEIFER, MELISSA K, 2002/1046 (2003)

84203 - 2 counts
\$1,500

Melissa K. Seifer of Rancho Santa Fe failed to file late contribution reports in 2002.

SEIU LOCAL 998 PAC, 2003/0009 (2003)

84203 - 3 counts
\$2,025

SEIU Local 998 PAC in Ventura failed to file late contribution reports in 2002.

SEIU LOS ANGELES HOMECARE WORKERS UNION LOCAL 434-B, 2002/0790 (2003)

84203 - 5 counts
\$3,300

SEIU Los Angeles Homecare Workers Union Local 434-B failed to file late contribution reports in 2002.

SELBY, RICHARD W. AND AFFILIATE SELBY/SIMON PARTNERSHIP AND R. W. SELBY & CO., INC, 2006/0039 (2006)

84203 - 1 count
\$400

Richard W. Selby and Affiliate Selby/Simon Partnership and R. W. Selby & Co., Inc. of Los Angeles failed to timely file a semi-annual campaign in 2005.

SEMBLER, MEL, 1998/0474 (1999)

84200 - 1 count
\$1,250

Mel Sembler of St. Petersburg, Florida, failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

SENATE REPUBLICAN MAJORITY FUND, AN OFFICEHOLDER COMMITTEE OF SENATOR ROB HURTT, ROB HURTT, AND SEYOL CHOYE, TREASURER, 1998/0001 (2000)

84203 - 1 count
\$1,500

Senate Republican Majority Fund, an Officeholder Committee of Senator Rob Hurtt and controlled by Rob Hurtt, and Seyol Choye, its treasurer, failed to file a late contribution report for non-monetary contributions made the Rainey for Senate Committee in 1996.

SENIOR, JEFFREY, 2011/1003 (2012)

87300 - 1 count
\$400

Jeffrey Senior, California Travel and Tourism Commissioner, failed to timely file a Statement of Economic Interests covering the period January 1, 2010, through December 31, 2010, due April 1, 2011, in a timely manner with the Technical Assistance Division.

SERCO MANAGEMENT SERVICES, INC, 2008/0074 (2010)

84301 - 5 counts
\$15,000

Serco Management Services, Inc. is a service company providing infrastructure support services to state and local governments, including multi-million dollar contracts with the San Francisco Municipal Transportation Agency to provide parking services. Serco Management Services, Inc. was the true source of five campaign contributions totaling \$1,100, made to candidates for the San Francisco Board of Supervisors between June and October 2006. The contributions were made in the names of Respondent's employees.

SERVICE EMPLOYEES INTERNATIONAL UNION, 2001/0392 (2003)

84203 - 1 count
\$2,000

Service Employees International Union, located in Washington, D.C., failed to file a late contribution report in 1998.

SERVICE EMPLOYEES INTERNATIONAL UNION LOCAL 721 CTW, CLC STATE & LOCAL AND ROBERT SCHOONOVER, 2012/0729 (2013)

84203 - 7 counts
\$8,022

This case arose from an audit of Committee by the Franchise Tax Board. In this matter, the Committee made 15 contributions amounting to \$64,320 during the late contribution reporting periods in connection with six separate elections and failed to timely report each contribution.

SERVICE EMPLOYEES INTERNATIONAL UNION POLITICAL EDUCATION AND ACTION FUND (SEIU PEA) AND ANNA BURGER, 2005/0886 (2006)

84200.3 & 84200.4 - 1 count
84200.5 & 84200.8 - 3 counts
84203 - 3 counts

\$21,800

SEIU PEA is a state general purpose committee sponsored by the Service Employees International Union and Anna Burger serves as its treasurer. Respondents failed to file a late contribution report, failed to file an odd-year campaign statement, and failed to file a pre-election campaign statement.

SEVIER, GERALD F., COMMITTEE TO ELECT GERALD F. SEVIER JUDGE, AND DUANE W. SCOTT, TREASURER, 1994/0184 (1996)

84211 - 1 count
84300 - 1 count
84303 - 1 count
\$3,500

Gerald F. Sevier, a successful judicial candidate for the Tulare county Superior Court in 1992, and his committee and its treasurer, Duane W. Scott, failed to disclose contributions received and failed to report subvendors for expenditures. Sevier also illegally received cash contributions of \$100 or more.

SEVILLE CONSTRUCTION SERVICES, INC, 2007/0297 (2008)

84203 - 1 count
\$2,250

Seville Construction Services, Inc. of Los Angeles failed to timely disclose a late contribution totaling \$15,000

SEVILLE GROUP, INC, 2008/0273 (2009)

84200(b) & 84605(b) - 7 counts
84203 & 84605(b) - 12 counts
\$44,500

The Seville Group, Inc., qualified as a "major donor committee" under the Act for calendar years 2004 through 2008. Respondent failed to timely file, in paper format, two semi-annual campaign statements, and in paper and electronic formats, five semi-annual campaign statements; failed to timely file, in paper format, two late contribution reports, and in paper and electronic formats, ten late contribution reports.

SHADOWROCK DEVELOPMENT CORP, 1996/0149 (1998 CIVIL LAWSUIT)

84200
\$3,000

Shadowrock Development Corp., with real property in Palm Springs, failed to file major donor campaign statements for loans and non-monetary contributions made to the California Gaming Control Committee in 1996.

SHAMSAPOUR, HAMID, 2009/0572 (2009)

87300 - 1 count
\$200

Hamid Shamsapour, Marin Transportation Authority board member, failed to timely file a 2008 annual Statement of Economic Interests.

SHANBOUR, FRED, 1989/0266 (1989)

86107 - 1 count
86114 - 1 count
\$3,000

Fred Shanbour, a Sacramento lobbyist, failed to properly disclose payments received as a lobbyist to influence legislative action. The two violations stem from payments from International Hair Runner, Inc., which were not properly disclosed by Mr. Shanbour.

SHANNON, ROBERT, 1991/0376 (1993)

84301 - 6 counts
\$11,000

Robert Shannon, a Fremont real estate broker, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989. The cumulative total of Shannon’s contributions also exceeded the City of Fremont’s contribution limit of \$249.

SHAPELL INDUSTRIES, INC., NATHAN SHAPELL AND AFFILIATED ENTITIES, 2004/0777 (2005)

84203 - 1 count
\$1,500

Shapell Industries, Inc., Nathan Shapell and affiliated entities of Beverly Hills failed to file a late contribution report in 2002.

SHAPIRO, DAN AND FRIENDS OF DAN SHAPIRO, 1987/0614 (1989)

84211, 84213, & 84216 - 1 count
\$1,000

Dan Shapiro and his campaign committee committed campaign reporting violations during a 1985 campaign for city controller in Los Angeles. A mandatory state audit of the committee found that a \$100,000 contribution from Mr. Shapiro was a loan at 10.5% to the candidate from First Interstate Bank. A promissory note was cosigned by the candidate’s father, Bernard Shapiro, who repaid the entire loan during the campaign. The loan was improperly reported as being made by the candidate to the committee without interest or a due date. The statement was verified by the candidate on March 25, 1985

SHEEHAN, MARIA, 1991/0037 (1993)

87100 - 1 count
87300 - 1 count
\$1,500

Maria Sheehan, Vice-Chancellor for Human Resources for the California Community Colleges, committed a conflict-of-interest violation when she participated in a decision which would have a material financial effect on her source of income. Sheehan also failed to disclose this source of income on her statement of economic interests.

SHEELEY, ALVINA, AND ALVINA SHEELEY FOR ELECTION F-SUSD GOVERNING BOARD 2011, 2011/1124 (2012)

84200.5(c) & 84200.8 - 1 count
84200.5(c) & 84200.8 - 1 count
\$5,000

Alvina Sheeley was a candidate for the Fairfield-Suisun Unified School District Board of Education in the November 8, 2011, election, and treasurer for her committee, Alvina Sheeley for Election F-SUSD Governing Board 2011. They failed to file in a timely manner, a first pre-election campaign statement for the reporting period January 1, 2011, through September 24, 2011, due on or before September 29, 2011, and a second pre-election campaign statement for the reporting period September 25, 2011, through October 22, 2011, due on or before October 27, 2011.

SHEHADEY PROPERTIES, 2003/0586 (2003)

84200 - 1 count
\$1,815

Shehadey Properties of Fresno failed to file a major donor campaign statement in 2002.

SHELBY, JACK, 1979/0061 (1980)

86107 & 86110 - 21 counts
\$2,500

Jack Shelby, lobbyist for the California Association of Realtors, failed to file financial disclosure reports on time. Mr. Shelby filed 21 reports from one to 212 days late.

SHELLEY, KEVIN, 2004/0575 (2006)

84309 - 1 count
\$5,000

In May 2003, Kevin Shelley, the California Secretary of State from January 2003 to March 2005, and a former member of the state assembly from 1996 through 2002, received a \$2,000 campaign contribution in his state office in San Francisco.

SHELTON, NORMA, 2013/0881 (2013)

87207 - 1 count
\$200

Norma Shelton, as the Assistant Superintendent of the Laguna Beach Unified School District, failed to timely disclose gift(s) of: one meal valued at \$165.56 on her 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

SHERIFFS, LEIGH, 1984/0274 (1985)

87300 & 87302 - 1 count
\$2,000

Leigh Sheriffs, a commissioner on the State Building Standards Commission, failed to file an annual statement of economic interests for 1983.

SHERMAN-WARNE, JILL, 2009/0007 (2010)

87300 - 1 count
\$800

Jill Sherman-Warne, Commissioner, California Native American Heritage Commission, failed to timely file a 2007 annual Statement of Economic Interests.

SHERMAN-WARNE, JILL, 2011/0838 (2012)

87300 & 87302(b) - 1 count
\$1,000

Jill Sherman-Warne, Commissioner, California Native American Heritage Commission, failed to file a Statement of Economic Interests in a timely manner for the reporting period of January 1, 2010, through December 31, 2010.

SHERRILL, STEPHEN C, 2002/0716 (2003)

84203 - 1 count
\$1,500

Stephen C. Sherrill of New York City failed to file a late contribution report in 2002.

SHIMMON, A. JOHN, A. JOHN SHIMMON CAMPAIGN COMMITTEE, AND MELVIN SWIG, 1985/0018 (1986)

84104 - 1 count
84211 - 3 counts
\$3,500

A. John Shimmon, candidate for the Board of Equalization, A. John Shimmon Committee, and its treasurer, Melvin Swig, failed to itemize committee reimbursement expenditures to Mr. Shimmon which were \$100 or more, and failed to keep records substantiating an expenditure to the candidate.

SHIMMON, DAVID, 2002/0094 (2002)

84203 - 1 count
\$2,000

David Shimmon of Los Altos Hills failed to file a late contribution report in 2000.

SHIPLEY, DALE, 2003/0740 (2004)

84203 - 1 count
\$1,500

Dale Shipley of Morgan Hill failed to file a late contribution report in 2000.

SHIRAKAWA, GEORGE, 2012/0662 (2013)

89512 - 10 counts
\$50,000

George Shirakawa ("Shirakawa"), had been a member of the Santa Clara county Board of Supervisors since 2008, and previously served on a governing board of the East Side Union High School District. George Shirakawa for School Board and Shirakawa for Supervisor were Shirakawa's candidate controlled committees. As a Santa Clara county Supervisor, Shirakawa made expenditures that were not related to a political, legislative or governmental purpose.

SHIRVANIAN, KOSTI, KOMAR INVESTMENTS, CHINO INDUSTRIAL COMMONS, LLC, AND PACIFIC WASTE, INC, 2007/0113 (2007)

84200 - 1 count
\$400

Kosti Shirvanian, Komar Investments, Chino Industrial Commons, LLC, and Pacific Waste, Inc. of Newport Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$19,500 in 2006.

SHISHIDO, MARC, 2006/0483 (2006)

87203 - 1 count
\$100

Marc Shishido, a member of the City of Tracy Planning Commission, failed to timely file a 2005 annual statement of economic interests.

SHOPOFF, WILLIAM, THE SHOPOFF GROUP AND AFFILIATED ENTITIES, 2008/0289 (2008)

84203 - 6 counts
\$900

William Shopoff, The Shopoff Group and Affiliated Entities of Irvine failed to timely disclose late contributions totaling \$6,000.

SHOPOFF, WILLIAM, THE SHOPOFF GROUP AND AFFILIATED ENTITIES, 2008/0289 (2008)

84200 - 2 counts
\$800

William Shopoff, The Shopoff Group and Affiliated Entities of Irvine failed to timely file semi-annual campaign statements disclosing contributions totaling \$38,115.02 that were due in 2004 and 2005.

SHORTER, ANDREA, 2012/0866 (2013)

87207 - 4 counts
\$800

Andrea Shorter ("Shorter"), a member of the Commission on the Status of Women for the City and county of San Francisco. As a member of that commission, she was required by the Conflict of Interest Code to file Annual Statements of Economic Interests disclosing sources of income. In this matter, Shorter filed Annual Statements of Economic Interests for 2008, 2009, 2010, and 2011, but failed to report her income from the No on Proposition 8 Campaign in 2008, and failed to report her salary as the Director of Equality California, a nonprofit entity, on her 2009, 2010, and 2011 Annual Statements of Economic Interest.

SHROTMAN, PHILLIP, FRIENDS OF PHILLIP SHROTMAN LONG BEACH CITY COLLEGE BOARD OF TRUSTEES, DIST. 5-2010, AND PHILLIP SHROTMAN, TREASURER, 2010/0927 (2011)

84206, 84200.5 & 84200 - 4 counts
\$1,600

Phillip Shrotman, Friends of Phillip Shrotman Long Beach City College Board of Trustees, Dist. 5 -2010, and Phillip Shrotman, Treasurer, failed to timely file the Form 470 Supplemental, two pre-election reports, and one semi-annual campaign report.

SHUBIN, WILLIAM M., MARTHA SHUBIN, SABOR ENVIRONMENTAL SERVICES, INC., DBA THRIFTY BEST PUMPING SERVICE, CENTRAL CALIFORNIA WASTE PAPER, INC., AND UNIVERSAL PLUMBING AND DRAIN LINE SERVICE, 1997/0454 (2000)

84200 - 3 counts
 84203 - 3 counts
 84300 - 15 counts
 84301 - 15 counts
 \$70,000

William M. and Martha Shubin, residents of Fresno and owners of Sabor Environmental Services, Inc., dba Thrifty Best Pumping Service, Central California Waste Paper, Inc., and Universal Plumbing and Drain Line Service, laundered campaign contributions to candidates for the Fresno county Board of Supervisors in 1994 and 1996 and failed to file late contribution reports and campaign statements.

SIDES, ALBERT, 2009/0818 (2010)

87300 - 1 count
 \$200

Albert Sides, a member of the City of Chula Vista Board of Appeals and Advisors, failed to timely file a 2008 Statement of Economic Interests.

SIDHU, JASVIR, 2012/0865 (2013)

84200.5(b) & 84200.7(b) - 1 count
 \$2,000

Jasvir Sidhu was a successful candidate for the Sierra View Local Health Care District Board of Directors in the election held on November 6, 2012. In this matter, Sidhu failed to file pre-election campaign statements covering the periods July 1, 2012, through September 30, 2012, due October 5, 2012 and October 1, 2012, through October 20, 2012, due October 25, 2012.

SIERRA TEL COMMUNICATIONS GROUP, 2005/0573 (2005)

84203 - 1 count
 \$3,500

Sierra Tel Communications Group of Oakhurst failed to file a late contribution report in 2004.

SIERRA, RUBEN, 2009/0470 (2010)

87300 - 1 count
 \$800

Ruben Sierra, a former legislative analyst for the California State Assembly, failed to timely file an assuming office and leaving office Statement of Economic Interests.

SIETSEMA, AGNES, 2004/0006 (2006)

87100 - 2 counts
 \$7,000

As a board member for the Winston City School Board, Agnes Sietsema made two governmental decisions in which she had a financial interest on September 8, 2003 and November 24, 2003.

SIGALA, MARCO, 1990/0943 (1993)

87100 - 1 count
 \$2,000

Marco Sigala, former Los Banos Unified School District Assistant Superintendent, committed conflict-of-interest violations when he used his official position to influence a governmental decision affecting his economic interest. In 1990, after Sigala recommended that the board offer a teaching contract to his wife, he was directed to extend an offer of employment to her.

SIGNAL HILL PETROLEUM, 2013/0898 (2013)

84200 - 1 count
 \$400

Signal Hill Petroleum, failed to timely file a Major Donor Committee Campaign Statement (Form 461) for 2012.

SIGNATURE PROPERTIES, INC, 2001/0386 (2002)

84200 - 2 counts
 84203 - 1 count
 84605 - 1 count
 \$14,000

The Commission issued a Default Decision and Order against Signature Properties, Inc., located in Pleasanton, which failed to timely file a late contribution report in 2000, and failed to timely file major donor campaign statements in paper and electronic formats in 2000 and 2001.

SIGNATURE PROPERTIES, INC, 2002/0806 (2003)

84203 - 1 count
 \$1,500

Signature Properties, Inc., located in Pleasanton, failed to file a late contribution report in 2002.

SIGUE CORPORATION, 2004/0155 (2004)

84203 - 1 count
 \$3,180

Sigue Corporation failed to properly disclose a late contribution in 2003.

SILICON VALLEY MANUFACTURING, 2000/0408 (2000)

84203 - 1 count
 \$2,000

Silicon Valley Manufacturing, located in San Jose, failed to file a late contribution report during the March 7, 2000, primary election.

SILVA, ALBERT, 1983/0019 (1984)

87100 - 1 count
\$500

Richmond City Councilman Albert E. Silva committed conflict-of-interest violations when he participated in a series of votes on a development project proposed by real estate developer Carl Dame'. Silva should have disqualified himself from voting on Dame's project since he reported receiving gifts of three fishing trips from Dame' valued at \$750 each (total value of \$2,250) on his statement of economic interests.

SILVA, BRIDGET, 2003/0725 (2004)

87300 - 1 count
\$100

Bridget Silva failed to timely file a 2002 annual statement of economic interests.

SILVER, CAROL RUTH, FRIENDS OF SUPERVISOR CAROL RUTH SILVER, AND COMMITTEE TO RE-ELECT SUPERVISOR CAROL RUTH SILVER, 1991/0305 (1992)

84104 - 2 counts
\$2,000

Carol Ruth Silver, former San Francisco City and county Supervisor, and her campaign committees failed to retain source documents and bank statements for contributions received and expenditures made in 1988.

SIMANTOB, AMANOLLAH, ZACARIA SIMANTOB, SAID SIMANTOB, ATALOAH SIMANTOB, AND AMIR ARZILI, 1992/0279 (1995)

84300 & 84301 - 11 counts
84302 - 2 counts
\$25,000

Members of the Simantob and Shalomi family, owners of the Broadway Trade Center, formerly the May Company building in downtown Los Angeles' Garment District, laundered several contributions in the names of various individuals to candidates for Los Angeles City Council in 1991. In addition, Ataloah Simantob and Amir Arzili acted as intermediaries without disclosing the name of the actual contributor.

SIMMONS, CHUCK, 1994/0456 (1997)

87100 - 1 count
\$1,000

Chuck Simmons, board member of the Amador county Fair Board, violated the conflict-of-interest provisions in 1993 when he used his official position to influence a decision in which he had a financial interest.

SIMMONS, GALE, 2011/0010 (2011)

87300 - 1 count
\$200

Gale Simmons, a member of the Santa Clara county Child Abuse Council, failed to timely file her 2009 annual

Statement of Economic Interests by the April 1, 2010, deadline.

SIMON SNEELGROVE AND AFFILIATED ENTITIES, 2009/0321 (2009)

84200 - 1 count
\$658

Simon Snellgrove and Affiliated Entities, a major donor committee, failed to timely file a major donor statement, Form 461.

SIMON, RENEE, RENEE SIMON CAMPAIGN COMMITTEE, AND STERLING CLAYTON, 1980/0015 (1980)

84203 - 2 counts
84210 - 3 counts
84214 - 1 count
\$1,500

State senatorial candidate Renee Simon of Long Beach and her campaign committee failed to file two late contribution reports. The committee also failed to properly report 12 contributions of \$100 or more totaling \$2,250 and two expenditures totaling \$8,706.11.

SIMON, WILLIAM E., JR., BILL SIMON FOR GOVERNOR, AND WILLIAM R. TURNER, 2004/0489 (2006)

84105 - 7 counts
84203 - 9 counts
84211 & 84303 - 14 counts
84211 - 15 counts
\$125,000

William E. Simon, Jr. was an unsuccessful candidate for governor in the November 5, 2002, general election. Bill Simon for Governor was the controlled committee of Mr. Simon. William R. Turner was the treasurer for Bill Simon for Governor. Respondents failed to: properly disclose late contributions; timely report required information for payments made to subvendors; disclose or timely disclose accrued expenses; send or timely send major donor notifications to contributors; and, disclose the lender of loans to Respondent Committee and the entity that pledged security for the line of credit from which the loans were made.

SIMON-WARGO, SANDY, 2003/0356 (2004)

87300 - 1 count
\$100

Sandy Simon-Wargo failed to timely file an assuming office statement of economic interests.

SIMPSON-GIPSON, PAULETTE, COMMITTEE TO ELECT PAULETTE FOR COMPTON KIDS, AND PAULETTE SIMPSON-GIPSON, TREASURER, 2012/0348 (2012)

84200 - 1 count
\$200

Los Angeles county school board candidate Paulette Simpson-Gipson, the Committee to Elect Paulette for Compton Kids, and Paulette Simpson-Gipson, Treasurer, failed to timely file the Form 460 campaign statement covering the period July 1, 2011 - December 31, 2011, due January 31, 2012, with the county of Los Angeles.

SINADINOS, JOHN G, 2006/0599 (2006)

84200 - 1 count
\$400

John G. Sinadinos of Sacramento failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2003.

SINCLAIR, PETE, COMMITTEE TO ELECT PETE SINCLAIR, AND LORI LAUB, 1996/0146 (1998)

84211 - 1 count
\$1,500

Pete Sinclair, successful candidate for the Woodside City Council in 1995, the Committee to Elect Pete Sinclair and its treasurer, Lori Laub, failed to report required information relating to the true identity of campaign contributions received.

SINGER, MARTIN D, 2004/0156 (2004)

84203 - 1 count
\$1,500

Martin D. Singer failed to file a late contribution report in 2003.

SINGLER-ERNSTER, INC. DBA ROUND TABLE PIZZA, 2006/0628 (2006)

84203 - 1 count
\$1,500

Singler-Ernster, Inc. dba Round Table Pizza of Sebastopol failed to timely disclose a late contribution totaling \$10,000.

SINGLER-ERNSTER, INC. DBA ROUND TABLE PIZZA, 2006/0556 (2006)

84200 - 1 count
\$400

Singler-Ernster, Inc. dba Round Table Pizza of Sebastopol failed to timely file a semi-annual campaign statement disclosing contributions totaling \$31,000 in 2004.

SINNOTT, LORIE, 2006/0354 (2010)

87207(a) - 1 count
\$1,500

Lorie Sinnott, a member of the Menlo Park Planning Commission, failed to timely disclose a source of income on her 2004 annual Statement of Economic Interests filed on or about February 17, 2005.

SISKIYOU COUNTY DEPUTY SHERIFF'S ASSOCIATION AND HOYT BRADLEY, 2013/0323 (2013)

84200 - 1 count
\$200

Siskiyou county Deputy Sheriff's Association and Hoyt Bradley, the committee's Treasurer, failed to timely file a semi-annual campaign statement for the reporting period July 1, 2012, through December 31, 2012, due January 31, 2013.

SKAFF, DANIEL L, 2003/0427 (2003)

84200 - 1 count
\$400

Daniel L. Skaff of Sonoma failed to file a major donor campaign statement in 2002.

SKECHERS USA, INC., 2013/0885 (2013)

84200 & 84200.5 - 6 counts
\$2,400

Skechers USA, Inc., failed to timely file Major Donor Reports (Form 461) disclosing 6 contributions made during the periods January 1, 2011, through June 30, 2011, due on July 31, 2011; January 1, 2012, through June 30, 2012, due July 31, 2012; and July 1, 2012, through September 30, 2012, due October 5, 2012, with the City of Moreno Valley.

SKEEN, JOHN K, 1998/0427 (2003)

84200 - 1 count
84203 - 1 count
\$2,500

John K. Skeen of San Francisco failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

SKELTON, LOLA, 2012/0530 (2012)

87300 - 1 count
\$200

Lola Skelton, as a Member of the Hughes-Elizabeth lakes Union School District Board, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

SKILLED HEALTHCARE, LLC, 2006/0309 (2006)

84200 - 3 counts
\$1,361

Skilled Healthcare of Foothill Ranch failed to timely file semi-annual campaign statements disclosing contributions totaling \$15,400 in 2004 and \$16,100 in 2005.

SKYTT, EDWIN, 2005/0238 (2009)

87100 - 1 count
\$4,000

Edwin Skytt, Solvang City Councilmember, voted on a project located within 500 feet of real property in which he had an economic interest.

SLATER-PRICE, PAM, 2010/0105 (2010)

87203 - 10 counts
\$2,000

Pam Slater Price, San Diego county Supervisor, failed to report gifts of tickets on her 2006, 2007 and 2008 annual Statements of Economic Interests, valued in total at \$1,292.

SLOAN, JAKE, 2012/0630 (2013)

87203 - 1 count
\$200

Jake Sloan, as a Planning Commissioner for the county of Contra Costa Planning Commission, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

SMEAD, LARRY, 1998/0352 (1998)

84200 - 2 counts
\$3,000

Larry Smead of Canaan, Connecticut, failed to file semi-annual campaign statements for contributions made to the California Civil Rights Initiative, a ballot measure committee in support of Proposition 209, in 1996.

SMITH, ANAFLO R Q, 2005/0121 (2005)

84203 - 1 count
\$1,500

Anaflo R. Smith of Woodside failed to file a late contribution report in 2003.

SMITH, ARLO, ARLO SMITH FOR ATTORNEY GENERAL, AND MICHAEL K. WONG, TREASURER, 1993/0332 (1996)

84104 - 1 count
84203 - 7 counts
84211 - 1 count
84216 - 3 counts
84303 - 5 counts

85201 - 1 count
\$25,000

The Commission adopted an administrative law judge's proposed decision in the matter of Arlo Smith, candidate for state Attorney General in 1990, and his committee, Arlo Smith for Attorney General, and Michael K. Wong, treasurer, who committed 18 campaign reporting violations.

SMITH, BETTY, 1995/0037 (1995)

87207 - 1 count
\$2,000

Betty Smith, a director on the 50th District Agricultural Association Board of Directors, failed to report her

employer as a source of income on her annual statement of economic interests in 1994.

SMITH, BOYD C, 2009/0369 (2009)

84203 - 2 counts
\$4,200

Boyd C. Smith of Palo Alto, failed to timely disclose two late contributions totaling \$28,000.

SMITH, DAVID, 2001/0663 (2002)

87200-87302 - 1 count
\$300

David Smith, an Investment Management Consultant for the Public Employees Retirement System, failed to timely file a 2000 annual statement of economic interests.

SMITH, JULIE E, 2003/0685 (2004)

84200 - 1 count
\$2,000

Julie E. Smith failed to file a major donor campaign statement in 2002.

SMITH, KELLIE, 2009/0896 (2010)

87207 - 1 count
\$200

Kellie Smith serves as a consultant for the Senate Energy, Utilities & Communications Committee. Respondent Smith failed to report a gift from the Northern California Power Agency in the amount of \$95.88 for event tickets on her 2008 annual Statement of Economic Interests.

SMITH, MICHAEL, 2006/0613 (2006)

84200 - 1 count
\$400

Michael Smith of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

SMITH, REED, 2005/0394 (2005)

84200 - 1 count
\$400

Reed Smith of Pittsburgh, Pennsylvania, failed to file a major donor campaign statement in 2001.

SMITH, ROBERT, 1991/0587 (1992)

89515 - 1 count
\$1,000

Robert Smith, Fresno City Councilman, improperly loaned \$8,493 in campaign funds to his personal insurance business.

SMITH, RONALD AND FRIENDS OF RON SMITH, 2011/1053 (2012)

84200(a) - 1 count
\$1,500

Ronald Smith, as a candidate for re-election to the West Basin Municipal Water District Board in the November 2, 2010 election, and his candidate controlled committee, Friends of Ron Smith, failed to file semi-annual campaign statements for the reporting periods January 1, 2011 through June 30, 2011, by the August 1, 2011 due date, and July 1, 2011 through December 31, 2011, by the January 31, 2012 due date.

SMITH, TIMOTHY PETER, AND CAMPAIGN TO ELECT TIM SMITH, 1995/0327 (1998)

84104 - 6 counts
84211 - 6 counts
\$18,000

Timothy Peter Smith, a member of the Sonoma county Board of Supervisors, and his controlled committee, Campaign to Elect Tim Smith, failed to maintain required records of purchases made on credit cards and failed to report expenditures and subvendor payments from 1993 to 1996.

SMITH, WESLEY, 2013/0686 (2013)

87207 - 2 counts
\$400

Wesley Smith, as the Superintendent of the Morgan Hill Unified School District, failed to timely disclose gift(s) of: golf and two meals totaling \$195.34 on his 2010 Statement of Economic Interests; and two meals totaling \$151.75 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

SMOLEY, SANDRA, 1986/0370 (1989)

87100 & 87103 - 8 counts
\$13,500

Sacramento county Supervisor Sandra Smoley committed conflict-of-interest violations involving more than a dozen county decisions in 1985 and 1986 that significantly benefitted clients of her husband’s architectural and planning firm, Carissimi-Rohrer, or the client’s related business entities. While her husband’s firm was not involved in any of the projects, the developers were known by the supervisor to be sources of income to her husband which resulted in community property income to her in excess of \$250.

A prenuptial agreement between Supervisor Smoley and Walter Rohrer did not exempt her from disqualification as to clients of her husband’s firm. Rather than consulting the Commission, Supervisor Smoley relied on the advice of private counsel in April 1986 which erroneously concluded that disqualification from the decisions was unnecessary because of the prenuptial agreement. That advice was reversed in July 1988 after the private counsel reviewed a full copy of the agreement.

Additionally, on her 1984 and 1985 statements of economic interests, Ms. Smoley reported that clients of Carissimi-Rohrer and Associates were sources of income through payments made to the firm.

SNEIDER, ARTURO AND BARBARA, 2003/0308 (2003)

84200 - 1 count
\$400

Arturo and Barbara Snieder of Los Angeles failed to file a major donor campaign statement in 2002.

SNELLING PERSONNEL SERVICES, 2003/0324 (2003)

84200 - 1 count
\$400

Snelling Personnel Services of Dallas, Texas, failed to file a major donor campaign statement in 2002.

SNIDER, PAUL H., AND FLORIN ROAD TOYOTA, 1988/0259 (1989)

84211 - 1 count
84301 - 1 count
\$4,000

Paul H. Snider and one of his Sacramento auto dealerships failed to disclose six contributions totaling \$2,925 on his major donor campaign statement for 1985. The contributions, made by affiliated business entities, brought his total contributions for the year to more than \$40,000. Mr. Snider’s dealership, Florin Road Toyota, also laundered a \$250 contribution to Friends of John Doolittle through an office manager, who was reimbursed.

SNOOK, AL, AND SNOOK FOR MAYOR, FRIENDS OF AL “BIG AL,” 1998/0618 (2002)

84104 - 3 counts
\$4,500

Al Snook, unsuccessful candidate for mayor of Garden Grove in 1998, and his controlled committee, Snook for Mayor, Friends of Al “Big Al,” failed to maintain records necessary to prepare campaign statements in 1998.

SNYDER, ARTHUR, 1980/0019 (1982)

87100 - 5 counts
87207 - 5 counts
\$14,000

Los Angeles City Councilmember Art Snyder violated the conflict-of-interest and disclosure requirements. Snyder should have disqualified himself from voting on five matters relating to the East Los Angeles Community Union (TELACU). Additionally, he failed to disclose income received from a TELACU subsidiary through a company he owns and also failed to disclose the receipt of income he received personally from the investment of campaign funds. Snyder voted as a member of the Grants Committee on June 6, 1979, the same day he entered into a business agreement with a TELACU subsidiary that ultimately resulted in net income to him of approximately \$30,000 during 1979 and 1980. The five grants in question totaled \$2.2 million.

SNYDER, JAY, 2005/0550 (2005)

84203 - 2 counts

\$2,250

Jay Snyder of New York, New York, failed to file late contribution reports in 2004.

SNYDER, JAY, 2005/0710 (2006)

84200 - 1 count
\$400

Jay Snyder of New York, New York, failed to timely file a semi-annual campaign statement in 2004.

SNYDER, RICHARD A, 1987/0380 (1988)

84200 - 1 count
84203 - 1 count
\$2,500

Richard A. Snyder of Los Angeles failed to timely file a major donor campaign statement and late contribution report in connection with contributions totaling \$155,300 in 1986. The late contribution report should have been filed for a \$50,000 contribution made on October 22, 1986.

SNYDER, TRACY, 2005/0551 (2005)

84203 - 1 count
\$1,500

Tracy Snyder of New York, New York, failed to file a late contribution report in 2004.

SNYDER, TRACY, 2005/0709 (2006)

84200 - 1 count
\$400

Tracy Snyder of New York, New York, failed to timely file a semi-annual campaign statement in 2004.

SOBEL, JOHN, 2009/0897 (2010)

87207 - 1 count
\$200

John Sobel, Legislative Director for Assemblymember Paul Cook, failed to disclose on his 2008 Statement of Economic Interests, a gift of Sacramento Kings tickets paid by the Pechanga Band of Luiseño Mission Indians and valued at \$89.50.

SOBOBA BAND OF LUISENO INDIANS, 2010/0606 (2011)

86115 - 14 counts
\$14,000

Soboba Band of Luiseno Indians, a California lobbyist employer, failed to timely file fourteen lobbyist employer reports, from January 1, 2007 through March 31, 2010, resulting in a failure to report total payments made for lobbying services in the amount of \$200,000.

SOBRATO DEVELOPMENT COMPANIES, 2006/0453 (2006)

84200 - 4 counts
\$2,168

Sobrato Development Companies of Cupertino failed to timely file semi-annual campaign statements disclosing contributions totaling \$23,500 in 2002, \$10,957 in 2004 and \$16,802 in 2005.

SODARO, DONALD E, 1999/0401 (2000)

84200 - 1 count
\$400

Donald E. Sodaro of Newport Beach failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

SOLANO, PAUL, 2013/0437 (2013)

87207 - 1 count
\$200

Paul Solano, as a Member of the Basset Unified School District Governing Board, failed to timely disclose gift(s) of: one meal valued at \$115.46 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

SOLETRON CORPORATION, 2004/0715 (2005)

84203 - 1 count
\$1,500

Soletron Corporation of Milpitas failed to file a late contribution report in 2004.

SONSINI, LARRY, 2003/0548 (2004)

84200 - 1 count
\$2,000

Larry W. Sonsini failed to file a major donor campaign statement in 2002.

SORCI, JOHN, JOSEPH FILICE, AND "JOHN SORCI FOR SUPERVISOR" COMMITTEE, 1997/0010 (1998)

84104 - 1 count
\$2,000

John Sorci, mayor and city council member of the City of Santa Clara, and candidate for the Santa Clara county Board of Supervisors in 1994, his committee, "John Sorci for Supervisor," and its treasurer, Joseph Filice, failed to maintain detailed accounts, records, bills, and receipts necessary to properly prepare campaign statements.

SOROS, GEORGE, 2005/0492 (2009)

84200(b) & 84605(b) - 1 count
84203(a) & 84605(b) - 1 count
\$8,000

In October 2004, George Soros, a resident of New York, failed to timely disclose a \$350,000 late contribution in a late contribution report. Respondent also failed to timely file a semi-annual campaign statement for the reporting period January 1, 2004 through December 31, 2004.

SOTELO, EDMUND, 2012/0029 (2012)

87300 & 87302 - 3 counts
 89503(c) - 3 counts
 87100 - 1 count
 \$12,500

Edmund Sotelo, City Manager for the City of Oxnard, received gifts exceeding the reporting threshold of \$50 in 2007, 2008, and 2009, and failed to report these gifts on his annual Statements of Economic Interests for reporting periods January 1, 2007, through December 31, 2007, due April 1, 2008; January 1, 2008, through December 31, 2008, due April 1, 2009, and January 1, 2009, through December 31, 2009, due April 1, 2010. Additionally, he received gifts exceeding the applicable gift limit from one source in 2007 and two sources in 2008. He impermissibly made, participated in the making, or influenced a governmental decision by approving a Revised Development Schedule regarding the RiverPark Project, an affiliated entity of J.F. Shea Co., & Affiliated Companies, which was the source of a gift that exceeded the annual gift limit.

SOTO, ANDRES, 2013/0098 (2013)

87203 - 1 count
 \$200

Andres Soto, as a Commissioner for the City of Richmond Planning Commission, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1 2011, through December 31, 2011, due April 2, 2012.

SOTO, LETICIA, 1999/0328 (2000)

87300 - 2 counts
 \$4,000

The Commission issued a Default Decision and Order against Leticia Soto, member of the Madera Civil Service Commission, who failed to timely file an assuming office and a 1998 annual statement of economic interests.

SOTO, THOMAS, 2004/0637 (2005)

87300 - 1 count
 \$100

Thomas Soto, a member of the California Board of Corrections, failed to timely file a 2003 statement of economic interests.

SOTOA, ALIITAMA, 2010/0054 (2010)

87300 & 87302 - 1 count
 \$400

Aliitama Sotoa, Board of Ethics member, City of Chula Vista, failed to file an assuming office Statement of Economic Interests by the deadline of October 1, 2009.

SOUMAKIAN, GABRIEL, 2013/0569 (2013)

87207 - 1 count
 \$200

Gabriel Soumakian, as the Superintendent of the Oxnard Union High School District, failed to timely disclose gift(s) of: three meals totalling \$179.99 on his 2011 Statement of

Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

SOUTH COAST EMERGENCY MEDICAL GROUP, INC, 2006/0637 (2006)

84200 - 2 counts
 \$800

South Coast Emergency Medical Group, Inc. of Huntington Beach failed to timely file semi-annual campaign statements disclosing contributions totaling \$13,236 in 2004.

SOUTH PASADENANS FOR SAFE SCHOOLS, AND MARILYN ANDERSON, 1996/0201 (1997)

84200 & 84200.8 - 3 counts
 \$4,500

South Pasadenans for Safe Schools, a committee supporting Measure L in 1995, a school bond, and its treasurer, Marilyn Anderson, failed to timely file campaign reports.

SOUTHERN CALIFORNIA ADVANCE TEAM, 1995/0464 (1997)

83116.5 & 84305 - 1 count
 \$1,500

Southern California Advance Team negligently caused or aided and abetted a violation of the Political Reform Act when it accepted funds from the Bicycle Club, a casino located in the City of Bell Gardens and whose controlling interests are held by the United States Government, to produce a mass mailing campaigning against legalized gambling in the city of Compton in 1993, but failed to disclose the Bicycle Club as the sender.

SOUTHERN CALIFORNIA FOOD SERVICES CORP/COASTLINE FOOD SERVICES CORP., AFFILIATED ENTITIES, 2006/0535 (2006)

84200 - 1 count
 \$800

Southern California Food Services Corp/Coastline Food Services Corp., Affiliated Entities of Knoxville, Tennessee, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$27,000 in 2004.

SOUTHERN WINE AND SPIRITS OF CALIFORNIA, 1990/0212 (1991)

84200 - 3 counts
 \$4,750

Southern Wine and Spirits of California (Southern), a liquor and wine distributor, failed to timely file three major donor campaign statements. During 1987, Southern qualified as a major donor by making campaign contributions totaling \$15,035 to state officeholders and political committees, and in 1988, contributed a total of \$43,650.

SOUTHERN WINE AND SPIRITS OF CALIFORNIA, INC, 2005/0145 (2006)

84203 - 4 counts

84605 - 1 count
\$20,000

Southern Wine and Spirits of California, Inc., a California corporation located in Union City and the largest wine and spirits distributor in California, qualified as a major donor committee in 2004. During the late contribution reporting period prior to the March 2, 2004 primary election, it made late contributions totaling \$82,000, but failed to timely file late contribution reports in paper format and failed to timely file a late contribution report electronically.

SOUTHLAND MOTOR CAR DEALERS ASSOCIATION, 2005/0130 (2005)

84203 - 1 count
\$3,500

Southland Motor Car Dealers Association of Long Beach failed to file a late contribution report in 2004.

SOUTHLAND WINDOWS, INC, 2002/0775 (2002)

84203 - 1 count
\$1,500

Southland Windows, Inc. of Garden Grove failed to file a late contribution report in 2002.

SOUTHWEST REGIONAL COUNCIL OF CARPENTERS, SOUTHWEST REGIONAL COUNCIL OF CARPENTERS - CARPENTERS LEGISLATIVE IMPROVEMENT COMMITTEE SPONSORED BY SOUTHWEST REGIONAL COUNCIL OF CARPENTERS, AND MARTIN E. DAHLQUIST, 2006/1107 (2009)

84211(k) - 1 count
\$2,500

Southwest Regional Council of Carpenters - Carpenters Legislative Improvement Committee sponsored by Southwest Regional Council of Carpenters was a general purpose recipient committee. Martin E. Dahlquist was the treasurer of Respondent Committee and Southwest Regional Council of Carpenters was the sponsor of Respondent Committee. Respondents failed to disclose a \$100,000 contribution made on or about October 12, 2004, to Yes on 72, Save Your Healthcare: supported by nurses, doctors, unions, consumer advocates, on Respondent Committee's pre-election statement.

SOUTHWESTERN REGIONAL COUNCIL OF UNITED FOOD AND COMMERCIAL WORKERS, REGIONS 14 AND 15, AND PRESTON T. EPPERSOM, TREASURER, 1992/0735 (1993)

84200.7 - 2 counts
84203 - 1 count
84211 - 3 counts
\$7,800

Southwestern Regional Council of United Food and Commercial Workers, Regions 14 and 15, a sponsored committee, and its treasurer Preston T. Eppersom, failed to report two late contributions in 1990 totaling \$12,500 to the campaigns of John Garamendi and Dianne Feinstein within

twenty-four hours of making the contributions and also failed to timely file two pre-election statements. Additionally, respondents did not report receipt of in-kind contributions in 1990 totaling \$29,967 from the committee's sponsoring organization. Finally, respondents failed to report that the committee made two expenditures in 1990 totaling \$13,000 which were contributions.

SPAHNN, L. SCOTT AND ASSOCIATES AND LESLIE SCOTT SPAHNN, 2005/0436 (2007)

84605 - 3 counts
86114 & 86117 - 3 counts
\$6,400

L. Scott Spahnn and Associates, a registered lobbying firm, and Leslie Scott Spahnn, a registered lobbyist, failed to file three quarterly lobbying firm reports in paper format, and three quarterly lobbying firm reports electronically.

SPARKS, ANNA, ANNA SPARKS FOR 5TH DISTRICT SUPERVISOR COMMITTEE, AND CITIZENS FOR LOCAL REPRESENTATION, 1984/0256 (1986)

84103 - 1 count
84200 - 1 count
84211 & 84213 - 1 count
84305 - 1 count
\$2,000

Humboldt county Supervisor Anna Sparks, the Anna Sparks for 5th District Supervisor Committee, and a local campaign committee, Citizens for Local Representation, committed several campaign disclosure violations. The Commission had previously rejected an administrative law judge's proposed decision and elected to decide the case themselves. The Commission found that Citizens for Local Representation was a committee controlled by Sparks and that all filings by that committee should have been signed and verified by Sparks. It also found that a last minute negative mailing sent during the 1982 supervisorial election should have identified the Anna Sparks Committee as the sender, instead of listing Citizens for Local Representation as the sender. Sparks and the Citizens for Local Representation Committee also failed to file timely disclosure statements during 1984 identifying contributors who financed Sparks' trip to the 1984 Republican National Convention.

SPENCE, DANIEL, 2003/0214 (2007)

87407 - 1 count
\$3,500

Between November 21, 2001 and December 14, 2001, Daniel Spence, an employee with the Health and Human Services Agency Data Center, participated in making a governmental decision regarding a \$345,600 contract between the Health and Human Services Agency Data Center and Shooting Star Solutions, Inc. at a time when he was negotiating, or had an arrangement concerning, prospective future employment with Shooting Star Solutions, Inc.

SPENCER, JO-ELLEN, 2011/0532 (2011)

87300 - 1 count
\$200

Jo-Ellen Spencer, Board or Commission member for the City of Oakland, failed to file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

SPERAW, OLIVER, SPERAW FOR STATE SENATE AND GEORGE MURCHISON, 1980/0014 (1980)

81011 - 1 count
84203 - 1 count
84210 - 3 counts
84214 - 1 count
84300 - 1 count
84303 - 1 count
\$1,500

State Senator Ollie Speraw committed violations when he was a candidate for the 31st Senate District in a special election to fill the vacancy of former Senator George Deukmejian.

Speraw and his campaign committee failed to timely file the second pre-election campaign statement, to report in-kind contributions, late contributions, expenditures of \$6,588 to subvendors, and receipt of a cash contribution of \$200.

SPERLING, JOHN G, 2005/0493 (2009)

84203(a) & 84605(b) - 1 count
84211(k) - 1 count
\$6,000

In October 2004, John G. Sperling, a resident of Phoenix, Arizona, failed to timely disclose a \$350,000 late contribution in a late contribution report. Respondent also failed to timely disclose contributions on a semi-annual campaign statement filed for the reporting period July 1, 2004 through December 31, 2004.

SPERLING, PETER, 2006/0614 (2006)

84200 - 1 count
\$800

Peter Sperling of Phoenix, Arizona, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

SPERLING, PETER, 2010/0067 (2010)

84200(b) - 1 count
84605(a) - 1 count
\$8,000

Peter Sperling, a resident of Arizona, did not properly report contributions totaling \$6,000,000 (approximately 64% of the total monetary contributions received by the committee) to a committee primarily formed to support Proposition 7. Respondent failed to timely file a paper format semi-annual campaign statement, by the January 31, 2009 due date, for the reporting period July 1, 2008, through December 31, 2008, and failed to file an electronic

format semi-annual campaign statement, by the January 31, 2009, due date, for the reporting period July 1, 2008, through December 31, 2008.

SPORTS MALL TASK FORCE AND GORDON REYNOLDS, TREASURER, 1996/0671 (1998)

84101 - 1 count
84204 - 1 count
\$2,500

Sports Mall Task Force, an unregistered committee in the City of Campbell, and its treasurer, Gordon Reynolds, sent out mailers in support of two candidates and raised approximately \$20,000 but failed to timely register as a committee after being advised by the city clerk that they may have qualified as a committee. In addition, they failed to file a late independent expenditure report during 1996.

ST. ANN HOSPICE HOME CARE, INC, 2004/0816 (2005)

84200 - 1 count
\$400

St. Ann Hospice Home Care, Inc. of Glendale failed to file a major donor campaign statement in 2003.

STADTLER, MATTHEW, 2011/1048 (2012)

84606 - 1 count
\$200

Matthew Stadler, a board member on the Los Angeles county San Gabriel Unified School District Governing Board, failed to file a Form 470, Campaign Statement - Short Form, in a timely manner for the reporting period January 1, 2011, through December 31, 2011, by the August 1, 2011, due date.

STADUM, ROBERT, 2006/1158 (2010)

87100 - 1 count
\$3,000

Robert Stadum, a member of the Hi-Desert Water District Board of Directors, made a governmental decision in which he had a financial interest.

STAJNER, IZTOK, 2011/0981 (2012)

87300 - 1 count
\$200

Iztok Stajner, a member of the Discovery Charter School in the county of Santa Clara, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

STAMEROFF, NICHOLAS, 1988/0382 (1990)

87402 - 2 counts
\$4,000

Nicholas Stameroff, a former state auditor, violated the "revolving door" restrictions in 1987 when, as a private auditor, he represented two taxpayers in cases on which he worked while employed by the state Board of Equalization. Stameroff, while employed by the state Board of

Equalization, conducted a business tax audit of the DeMarco U Save Super Market in Santa Rosa in 1986. The audit resulted in an additional \$30,000 tax assessment against the market. Stameroff, within a month of leaving state service in March 1987, offered to represent market owner Joseph DeMarco in an appeal of the \$30,000 assessment to the state Board of Equalization. He subsequently used the volunteer services of his brother, George, to proceed with the appeal although he prepared the documents used by his brother. The second violation involved a state audit of the Diet Center of Petaluma in January 1986. While with the state Board of Equalization, Stameroff was disqualified from the assignment after finding that his brother had prepared the company's tax returns. Three months after leaving state service, Stameroff was retained by the owner of Diet Center of Petaluma to represent her in an appeal of the taxes assessed by the state Board of Equalization. The assessment had been based on an issue discovered by Stameroff as a state tax auditor. Nicholas Stameroff, a former state auditor, violated the "revolving door" restrictions in 1987 when, as a private auditor, he represented two taxpayers in cases on which he worked while employed by the state Board of Equalization. Stameroff, while employed by the state Board of Equalization, conducted a business tax audit of the DeMarco U Save Super Market in Santa Rosa in 1986. The audit resulted in an additional \$30,000 tax assessment against the market. Stameroff, within a month of leaving state service in March 1987, offered to represent market owner Joseph DeMarco in an appeal of the \$30,000 assessment to the state Board of Equalization. He subsequently used the volunteer services of his brother, George, to proceed with the appeal although he prepared the documents used by his brother. The second violation involved a state audit of the Diet Center of Petaluma in January 1986. While with the state Board of Equalization, Stameroff was disqualified from the assignment after finding that his brother had prepared the company's tax returns. Three months after leaving state service, Stameroff was retained by the owner of Diet Center of Petaluma to represent her in an appeal of the taxes assessed by the state Board of Equalization. The assessment had been based on an issue discovered by Stameroff as a state tax auditor.

STANDARD PACIFIC CORPORATION, 1998/0482 (1999)

84200 - 1 count
\$1,250

Standard Pacific Corporation of Costa Mesa failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

STANFORD FINANCIAL GROUP COMPANY, 2002/0779 (2003)

84203 - 1 count
\$3,500

Stanford Financial Group Company of Los Angeles failed to file a late contribution report in 2002.

STANLEY BLACK AND BLACK EQUITIES, 2006/0091 (2006)

84200 - 1 count
\$586

Stanley Black and Black Equities of Beverly Hills failed to timely file a semi-annual campaign statement in 2001.

STANLEY, CHRISTIE, 2007/0112 (2007)

87300 - 1 count
\$300

Christie Stanley, Assistant District Attorney for the county of Santa Barbara, failed to timely disclose information regarding an investment interest on her 2002 annual statement of economic interests, filed on or about March 21, 2003.

STARBUCKS COFFEE COMPANY, 2011/0087 (2011)

86115 - 1 count
\$200

Lobbyist employer Starbucks Coffee Company failed to timely file one quarterly statement for the reporting period of July 1, 2010, through September 30, 2010. During this time, Starbucks Coffee Company spent \$22,500 on lobbying activity.

STARRH & STARRH COTTON GROWERS, 2001/0040 (2001)

84200 - 1 count
\$600

Starrh & Starrh Cotton Growers of Shafter failed to file a major donor campaign statement in 2000.

STARS BEHAVIORAL HEALTH GROUP, 2005/0574 (2005)

84203 - 1 count
\$1,809

Stars Behavioral Health Group of Oakland failed to file a late contribution report in 2004.

STATE BAR OF CALIFORNIA, 1997/0125 (1998)

83116.5 & 86205 - 1 count
\$2,000

The State Bar of California, a public corporation established in the judicial branch of government for the purpose of performing governmental functions in the administration of justice, entered into a contract with a lobbyist which included a bonus clause contingent upon the enactment of proposed legislation.

STATE FARM INSURANCE COMPANIES, 2006/0398 (2006)

84605 - 1 count
\$2,500

State Farm Insurance Companies qualified as a major donor committee in the first six months of 2005, and failed to file a semi-annual campaign statement electronically.

STATE FARM INSURANCE COMPANIES, 2006/0704 (2007)

84605 - 1 count
\$3,000

State Farm Insurance Companies failed to timely file a major donor campaign statement electronically.

STATE PUBLIC AFFAIRS COMMITTEE - JUNIOR LEAGUES OF CALIFORNIA AND PENBERA, WENDY, 2010/0366 (2012)

86115, 86117 & 84605 - 2 counts
\$2,000

State Public Affairs Committee - Junior Leagues of California, a California lobbyist employer, and Wendy Penbera, the Responsible Officer, failed to timely file two Lobbyist Employer Reports between October 1, 2009 and March 31, 2010, failing to disclose total payments made for lobbying services in the amount of \$11,708.27.

STEAMFITTERS & REFRIGERATION U.A. LOCAL 250 P.A.C

, 2005/0253 (2006)

84203 - 9 counts
\$1,800

Steamfitters & Refrigeration U.A. Local 250 P.A.C. of Gardena failed to timely disclose late contributions totaling \$6,500 in 2001 and \$5,500 in 2002.

STEFFAN, LAURENCE, AND COMMITTEE TO ELECT LAURENCE H. STEFFAN EL DORADO COUNTY SUPERIOR COURT JUDGE DEPARTMENT 2, 1998/0330 (1999)

84104 - 1 count
\$1,500

Laurence Steffan, an unsuccessful candidate for El Dorado county Superior Court Judge Department 2 in 1996 and his controlled committee, Committee to Elect Laurence H. Steffan an El Dorado county Superior Court Judge Department 2, failed to maintain records necessary to prepare campaign statements whereby a mandatory audit of Steffan's campaign could be conducted.

STEIN, HELEN, 1984/0132 (1985)

84101 - 1 count
87200 - 1 count
\$500

Helen Stein, a campaign treasurer for the Westside United Democratic Campaign Committee, failed to file campaign disclosure reports.

STEINBRECHER & ASSOCIATES, 2001/0041 (2001)

84200 - 1 count
\$600

Steinbrecher & Associates of Encino failed to file a major donor campaign statement in 2000.

STEPHANIE AND CARTER MCCLELLAND FOUNDATION, 2001/0045 (2001)

84200 - 1 count

\$600

The Stephanie and Carter McClelland Foundation of New York, New York, failed to file a major donor campaign statement in 2000.

STERMAN, JEFFREY, 2005/0467 (2005)

87300 - 1 count
\$100

Jeffrey Sterman, a member of the San Francisco Health Plan Governing Board, failed to timely file a 2004 annual statement of economic interests.

STERN, HUNTER, 2010/1039 (2011)

87300 - 1 count
\$200

Hunter Stern, a member of the Marin county Workforce Investment Board, failed to timely file his 2009 annual Statement of Economic Interests.

STERN, MARC, 1999/0377 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Marc Stern of Los Angeles failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

STEVENS, CHRISTOPHER, CAMBRIDGE COMMUNITIES, LLC AND AFFILIATED ENTITIES, 2006/0261 (2000)

84200 - 2 counts
\$800

Christopher Stevens, Cambridge Communities, LLC and affiliated entities of Sacramento failed to timely file semi-annual campaign statements in 2004 and 2005.

STEVENS, MIKE, ET AL., 2013/0240 (2013)

84200.5 - 1 count
\$200

Mike Stevens, Committee to Re-Elect Councilman Mike Stevens 2013, and Mike Stevens as treasurer, failed to timely file the second pre-election Form 460 Campaign Statement covering the period February 17, 2013, through March 16, 2013, due March 21, 2012, with the City of Inglewood.

STEWART, DEBRA, 2003/0726 (2004)

87300 - 1 count
\$100

Debra Stewart failed to timely file a 2002 annual statement of economic interests.

STEWART, RUSSELL, 2004/0497 (2004)

87203 - 1 count
\$100

Russell Stewart failed to timely file a 2003 annual statement of economic interests.

STEYER, THOMAS, 2002/0717 (2002)

84203 - 1 count
\$3,500

Thomas Steyer of San Francisco failed to file a late contribution report in 2002.

STINCHCOMBE, KAI, AND VOTE FOR KAI - ASSEMBLY 2010, 2010/0652 (2012)

84200(a) - 2 counts
\$4,000

Kai Stinchcombe was a candidate for California State Assembly District 21 in the June 8, 2010, primary election; however, he withdrew as a candidate in approximately December 2009. Vote for Kai - Assembly 2010 (Respondent Committee) was Respondent Stinchcombe's candidate controlled committee. At all relevant times, Respondent Stinchcombe was the treasurer of Respondent Committee. Respondents failed to file semi-annual campaign statements in a timely manner for the reporting period of July 1, 2009, through December 31, 2009, by the February 1, 2010, due date, and for the reporting periods of January 1, 2010, through June 30, 2010, due on or before August 2, 2010, and July 1, 2010, through December 31, 2010, due on or before February 1, 2011.

STOKER, MIKE, STOKER FOR ASSEMBLY 2012, AND TRENT BENEDETTI, 2012/0090 (2012)

84211(f) - 2 counts
84211(k) - 1 count
85316(a) - 1 count
\$6,000

Mike Stoker ran for State Assembly in 2010 but lost in the General Election. Stoker for Assembly 2012 is Mr. Stoker's campaign committee and Trent Benedetti was the committee's treasurer at all times relevant in this case. In 2010, they failed to timely report contributor occupation and employer information on a total of 23 contributions of \$100 or more. They also failed to report 12 campaign expenditures of \$100 or more and accepted four campaign contributions after the election which exceeded the net debts outstanding from the campaign.

STONE, AUBRY, 2008/0028 (2009)

87300 & 87302(b) - 1 count
\$600

Aubry Stone, a member of the California Commission on Economic Development, failed to timely file an annual Statement of Economic Interests.

STONE, JEFFREY E. AND COMMITTEE TO ELECT JEFF STONE-66TH ASSEMBLY DISTRICT, 2002/1065 (2006)

84104 - 1 count
\$2,000

Jeffrey E. Stone was an unsuccessful candidate for the California State Assembly, 66th District in the March 7, 2000 primary election. Committee to elect Jeff Stone-66th Assembly District was his controlled committee. Respondents failed to maintain detailed accounts, records, bills and receipts that were necessary to prepare an odd-year campaign statement for the reporting period July 1, 1999 through September 30, 1999, filed on or about October 12, 1999.

STONER, JOET, 2006/1065 (2009)

89503 - 1 count
\$460

Joet Stoner, while serving as a council member for the City of Arvin, accepted a gift from a single source in the amount of \$550, exceeding the \$360 gift limit for 2005.

STONEWALL DEMOCRATIC CLUB OF GREATER SACRAMENTO/CROUCH, WILLIAM GUY/WELTON, CHRISTOPHER, 2012/0103 (2013)

84104 & 18401 - 1 count
84200.5(d) & 84200.7(b) - 1 count
84204.5 - 1 count
\$5,000

Stonewall Democratic Club of Greater Sacramento qualified as a county general purpose committee in 2002, and in 2003 qualified as a state general purpose committee. From July 17, 2006 through July 22, 2008, William Guy Crouch was treasurer of Respondent Committee, and from July 23, 2008 through July 12, 2011, Christopher Welton was treasurer of Respondent Committee. This matter was referred to the Enforcement Division as the result of an FTB audit. In this matter, Respondents failed to maintain he detailed accounts, records, bills, and receipts necessary to prepare campaign statements for reporting periods in calendar year 2008, failed to file a pre-election campaign statement by the October 23, 2008 due date, for the reporting period of October 1 through October 18, 2008, and failed to file a ballot measure contribution report within 10 business days of making a contribution on October 8, 2008 totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure.

STONEWALL YOUNG DEMOCRATS OF SAN DIEGO AND RYAN DARSEY, 2012/0821 (2013)

84200 - 1 count
\$200

Stonewall Young Democrats of San Diego and its Treasurer, Ryan Darsey, failed to timely file the Form 460 campaign statement covering the period January 1, 2012 through June 30, 2012, due July 31, 2012, with the county of San Diego Registrar of Voters.

STOOS, JOHN, 1985/0252 (1986)

82039 - 1 count
\$500

John Stoos, a former Western Regional Director of American Life Lobbying, Inc., qualified as a lobbyist in 1984 and failed to register or file lobbyist reports with the

Secretary of State in connection with his activities concerning abortion legislation.

STOP DANNEMEYER/NO ON 102, AND ROBERT WARD, TREASURER, 1990/0530 (1991)

84203 - 4 counts
\$2,000

Stop Dannemeyer/No on 102, a campaign committee, and Robert Ward, its treasurer, failed to file late contribution reports for contributions totaling \$10,500 received during the last two weeks before the 1988 election.

STRADLING, YOCCA, CARLSON & RAUTH APC, 2001/0238 (2001)

84200 - 1 count
\$400

Stradling, Yocca, Carlson & Rauth APC of Newport Beach failed to file a major donor campaign statement in 2000.

STRATEGIC SOLUTIONS ADVISORS AND FRANK MOLINA, 2009/0807 (2011)

86113 & 86114 - 12 counts
\$30,000

Frank Molina, a registered California lobbyist and Strategic Solutions Advisors, a California lobbying firm, failed to timely file quarterly lobbyist and lobbying firm reports from January 1, 2007, through December 31, 2009.

STRICKLAND, AUDRA, 2009/0899 (2010)

87207 - 1 count
\$200

Assemblymember Audra Strickland failed to disclose on her 2008 Statement of Economic Interests a gift of concert tickets from Pechanga Band of Luiseño Mission Indians valued at \$89.50.

STRONG, WILLIAM L. AND SHELBY C, 2006/0849 (2007)

84200 - 1 count
\$800

William L. and Shelby C. Strong of Rancho Santa Fe failed to timely file a semi-annual campaign statement disclosing contributions totaling \$15,000.

STRUTHERS, NEIL, 2011/0982 (2011)

87300 - 1 count
\$200

Neil Struthers, a Santa Clara county Workforce Investment board member, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, by the April 1, 2011, due date.

STS VENTURES LLC AND MATT GRAY, 2011/0086 (2011)

86115 - 2 counts
\$400

Lobbyist employer STS Ventures LLC failed to timely file two reports of lobbyist employer for the reporting periods ending September 30, 2010, and December 31, 2010.

STUART, BRUCE, 2000/0501 (2000)

84203 - 1 count
\$2,000

Bruce Stuart of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

STURDEVANT LAW FIRM, A PROFESSIONAL CORPORATION, 2005/0712 (2007)

84203 - 1 count
\$3,500

Sturdevant Law Firm, A Professional Corporation of San Francisco, failed to timely disclose a late contribution totaling \$150,000.

SUDA, TATSUYA, 2010/1007 (2011)

87300 - 4 counts
\$14,000

Tatsuya Suda, Professor of Computer Science and a principal investigator with the University of California, Irvine, failed to disclose on the Statement of Economic Interests he filed in conjunction with an application for a research project, his interests in a non-governmental funding source that provided grants for his projects.

SUDBERRY, THOMAS W., JR. AND SUDBERRY PROPERTIES, 2007/0198 (2007)

84200 - 2 counts
\$953

Thomas W. Sudberry, Jr. and Sudberry Properties, Inc. of San Diego failed to timely file semi-annual campaign statements disclosing contributions totaling \$39,175 in 2005.

SUDHAKAR COMPANY, INC, 2003/0554 (2004)

84200 - 1 count
\$2,000

Sudhakar Company, Inc. failed to file a major donor campaign statement in 2002.

SUE ASSOCIATES AND AMY SUE, AKA ON-MEI SIN, 1990/0813 (1993)

84302 - 46 counts
\$40,000

Sue Associates, an Oakland-based architecture and planning firm, and co-owner Amy Sue, committed campaign disclosure violations by acting as intermediaries when they laundered campaign contributions made to four candidates for Oakland City Council totaling \$56,000. The true source of these contributions was William Dallas and his firm, Lucas Dallas, Inc., who were involved in a multi-million dollar development proposal with the City of Oakland. Sue Associates had a written agreement with

Lucas Dallas, Inc., to handle the architectural work if the project went forward.

SULLIVAN SOLAR POWER, 2012/0834 (2013)

86115, 86117, & 84605 - 4 counts
\$4,000

Sullivan Solar Power, a California lobbyist employer, and Daniel Sullivan, Sullivan Solar Power's President and Responsible Officer, failed to timely file Lobbyist Employer Reports (Form 635) covering the periods October 1, 2011, through September 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$90,000.

SULLIVAN, CHRIS T, 2005/0810 (2006)

84200 - 1 count
\$400

Chris T. Sullivan of Tampa, Florida, failed to timely file a semi-annual campaign statement in 2004.

SULLIVAN, JOHN, 1999/0619 (2000)

87200 & 87203 - 1 count
\$500

John Sullivan, member, Half Moon Bay Planning Commission, failed to timely file his 1998 annual statement of economic interests.

SUN HEALTHCARE GROUP, 1999/0376 (2000)

84200 - 1 count
\$400

Sun Healthcare Group, located in Albuquerque, New Mexico, failed to timely file a major donor campaign statement for contributions made to Dave Stirling for Attorney General Exploratory Committee; Friends of Dave Cox Committee; Dickerson for Assembly Committee; Californians for Gray Davis Committee; Lungren Committee for Common Sense Conservatism; and Pat Bates for Assembly Committee in 1998.

SUN, ZEHRA, 2011/0812 (2012)

87300 - 1 count
\$200

Zehra Sun has been a member of the San Marino-Crowell Public Library Board of Trustees since March 15, 2010. Respondent failed to timely file a Statement of Economic Interests for the January 1, 2010, through December 31, 2010, reporting period, due April 1, 2011.

SUNOVION PHARMACEUTICALS, INC. AND ANTHONY MAGNETTI, 2012/0963 (2013)

84200(a) - 1 count
\$523

Sunovion Pharmaceuticals, Inc., and its responsible officer, Anthony Magnetti, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,422 in 2012.

SUNRIDER CORPORATION, DBA SUNRIDER INTERNATIONAL, 1990/0575 (1992)

84300 & 84301 - 11 counts
\$22,000

Sunrider Corporation, a health and beauty care manufacturer, laundered 11 campaign contributions in 1990 to Angela "Bay" Buchanan, Republican candidate for State Treasurer, and Matthew Fong, Republican candidate for State Controller.

Sunrider Corporation is owned by Tei-Fu Chen and his wife. The Chens also own and operate T. F. Chen Products.

On May 7 and 8, 1990, five Sunrider distributors and the sister of Mrs. Chen's executive assistant wrote checks totaling \$5,000 to Buchanan for Treasurer, all of whom were reimbursed for their contributions by Sunrider.

On September 25, 1990, five Sunrider distributors made contributions of \$3,500 to the Matthew Fong for Controller Committee. All five were reimbursed by Sunrider.

SUPERIOR NISSAN OF CARSON, MISSION HILLS, PUENTE HILLS AND RANCHO SANTA MARGARITA, 2006/0536 (2006)

84200 - 1 count
\$800

Superior Nissan of Carson, Mission Hills, Puente Hills and Rancho Santa Margarita, located in Newport Beach, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

SUPPORT OUR SCHOOLS, AND FREDDA MILLER, 1997/0494 (2001)

84200.5 & 84200.8 - 2 counts
84211 - 1 count
84203 - 1 count
\$6,000

Support Our Schools, a committee primarily formed in 1996 to support the qualification and passage of Measure A, a Calaveras Unified School District bond measure, and its treasurer, Fredda Miller, failed to file pre-election campaign statements and a late contribution report and failed to disclose contributors of \$100 or more on its first pre-election statement in 1997.

SUTTER COUNTY REPUBLICAN CENTRAL COMMITTEE/ELLIOT, STEPHEN, 2013/0535 (2013)

84200 & 84200.5 - 3 counts
\$600

Sutter County Republican Central Committee, and its Treasurer, Stephen Elliot, failed to timely file three campaign statements covering the periods January 1, 2012, through June 30, 2012, due on July 31, 2012, July 1, 2012, through September 30, 2012, due on October 5, 2012, and October 1, 2012, through December 31, 2012, due January 31, 2013, with the Secretary of State.

SUTTER HEALTH, 1997/0581 (1998)

84200 - 1 count

\$1,500

Sutter Health, a non-profit corporation, contributed \$75,000 to a statewide committee in support of an initiative on the November 1996 ballot and failed to timely file a major donor campaign statement reporting this contribution.

SUTTER HEALTH CENTRAL, 1997/0581 (1998)

84200 - 1 count
\$1,500

Sutter Health Central and its affiliated entities contributed \$19,760 to a statewide committee in support of an initiative on the November 1996 ballot and failed to timely file a major donor campaign statement reporting the contributions.

SVANDA, GARY, 2009/0706 (2010)

87207 - 1 count
\$200

Madera City Councilmember Gary Svanda failed to disclose on his 2008 annual Statement of Economic Interests a gift of travel from the Forthfork Mono Indian Tribe, in connection with testimony before the U. S. Senate Committee on Indian Affairs valued at \$2,160.99.

SWA GROUP, 1996/0036 (1996)

84300 & 84301 - 25 counts
\$40,000

The SWA Group, a planning and landscape architecture firm, laundered a total of 25 campaign contributions to candidates for the San Joaquin county Board of Supervisors from 1992-1995.

SWEELEY, SUSAN, 2013/0387 (2013)

87207 - 1 count
\$200

Susan Sweeley, as a Member of the Mountain View-Los Altos Union High School Governing Board, failed to timely disclose gift(s) of: one meal valued at \$187.15 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

SWETTE, BRIAN, 2010/0901 (2010)

84200(a) - 1 count
\$400

Brian Swette of Pebble Beach, failed to timely file a semi-annual campaign statement disclosing a contribution totaling \$25,900 in 2009.

SWETTE, KELLY, 2010/0902 (2010)

84200(a) - 1 count
\$400

Kelly Swette of Pebble Beach, failed to timely file a semi-annual campaign statement disclosing a contribution totaling \$14,100 in 2009.

SYNERGICS TELEMETRY, LLC, 2002/0718 (2003)

84203 - 2 counts

\$3,300

Synergics Telemetry, LLC, a limited liability corporation engaged in the telecommunications business, headquartered in Annapolis, Maryland, failed to disclose two late contributions in properly filed late contribution reports in 2002.

SYNTEX USA LLC, 2004/0797 (2005)

84200 - 2 counts
\$800

Syntex USA LLC of Palo Alto failed to file major donor campaign statements in 2002.

TABOR, DANIEL K., TABOR FOR MAYOR 2010, AND KRISHNA TABOR, TREASURER, 2010/1025 (2012)

84200.5 - 6 counts
84200 - 1 count
84203(a) & 84203(b) - 1 count
\$32,000

Daniel K. Tabor was a candidate for mayor of the City of Inglewood in the June 8, 2010, special election, the August 31, 2010, run-off election, the November 2, 2010, general election, and the January 11, 2011, run-off election. Tabor for Mayor 2010 was his candidate-controlled committee, and Krishna Tabor was the committee's treasurer. They failed to timely file preelection statements for the March 18, 2010, through May 22, 2010, the July 1, 2010, through August 14, 2010, the August 15, 2010, through September 30, 2010, the October 1, 2010, through October 16, 2010, the October 17, 2010, through November 27, 2010, and the November 28, 2010, through December 25, 2010, reporting periods; failed to timely file a semiannual statement for the May 23, 2010, through June 30, 2010, reporting period; and failed to file late contribution reports within 24 hours of receiving nine late contributions, totaling approximately \$29,500, between August 15, 2010, and January 10, 2011.

TADINA, FREIDA, 1996/0424 (1998)

87100 - 1 count
\$1,000

Freida Tadina, member of the Mount Shasta Planning Commission, violated the conflict-of-interest provisions by using her official position to influence a planning commission recommendation involving the zoning of an area which included her property in 1996.

TAISEI CONSTRUCTION CORPORATION, 2003/0428 (2003)

84200 - 1 count
\$400

Taisei Construction Corporation of Cypress failed to file a major donor campaign statement in 2002.

TAKEDA PHARMACEUTICALS AMERICA; STEVEN KERMISCH, 2012/0762 (2012)

84200(a) - 1 count
\$1,043

The Takeda Pharmaceuticals America and Steven Kermisch, the responsible officer, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$64,342 in 2011.

TALTY, JOHN PAUL AND AFFILIATED ENTITIES, 2003/0459 (2003)

84200 & 84605 - 1 count
\$1,020

John Paul Talty and affiliated entities of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

TAN, TUN S, 1996/0541 (1997)

84308 - 1 count
\$1,500

Tun S. Tan, a Los Altos Hills resident, made a contribution to a Los Altos Hills Planning Commissioner and candidate for Los Altos Hills City Council only 24 days after the commissioner voted on Tan’s project involving a site development permit.

TAXPAYERS AGAINST CRIME AND VIOLENCE, SAMUEL SAMSON AND DONALD ALLEN, 1983/0365 (1986)

84200 - 1 count
84206 - 1 count
84211 - 3 counts
84306 - 1 count
\$10,000

Taxpayers Against Crime and Violence was formed in 1983 to support a proposed increase in local property taxes to fund a new Santa Clara county jail. The committee raised over \$114,000 to support Measure A before the November 8, 1983, election, but failed to file any disclosure statements until a week after the election.

The committee treasurer Samuel Samson, a certified public accountant, failed to keep adequate records of the committee transactions and did very little to determine the reporting deadlines and disclosure requirements of the law. Donald Allen, the committee chairman, failed to inform Samson until August 1984 of a \$4,000 loan received on the eve of the election.

TAXPAYERS AGAINST FRIVOLOUS LAWSUITS, 1998/0137 (1998 CIVIL LAWSUIT)

84211 - 2 counts
\$4,000

Taxpayers Against Frivolous Lawsuits, a committee primarily formed to oppose Proposition 211 in the November 1996 general election, failed to disclose accrued expenses during the first and second pre-election periods.

TAXPAYERS FOR BETTER EDUCATION PAC AND F. LAURENCE SCOTT, JR, 1999/0092 (1999)

84203 - 2 counts
\$2,000

Taxpayers for Better Education PAC, a general purpose committee formed in 1996, and its treasurer, F. Laurence Scott, Jr., failed to file late contribution reports for eleven contributions made during late reporting period.

TAXPAYERS FOR COMMON SENSE, WITH MAJOR FUNDING FROM THE ALCOHOL BEVERAGE INDUSTRY AND THE BEER INSTITUTE, A COMMITTEE AGAINST PROPOSITION 134 AND RICHARD MANTER, TREASURER, 1992/0514 (1994 CIVIL LAWSUIT)

84211
84303
\$85,000

Taxpayers for Common Sense, a campaign committee funded primarily by the alcoholic beverage industry to oppose Proposition 134 and its treasurer Richard Manter failed to accurately itemize \$5 million in advertising expenditures to subvendors on its disclosure statements during 1990. The committee also failed to promptly report a \$97,000 in-kind contribution.

TAXPAYERS TO LIMIT CAMPAIGN SPENDING, 1989/0350 (1992)

84203 - 1 count
\$1,500

Taxpayers to Limit Campaign Spending was a committee primarily formed to support Proposition 68 in the June 1988 primary election. It failed to file a late contribution report listing six late contributions that it had received totaling \$32,000.

TAYLOR WOODROW HOMES, 1998/0484 (1999)

84200 - 1 count
\$1,250

Taylor Woodrow Homes of Laguna Hills failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

TAYLOR, BRUCE, 1999/0620 (2000)

87203 - 1 count
\$500

Bruce Taylor, member of the Sanger City Council, failed to timely file his 1998 annual statement of economic interests.

TAYLOR, J. HOLLEY, 2007/0288 (2007)

84203 - 1 count
\$1,500

J. Holley Taylor of Gainesville, Florida, failed to timely disclose late contributions totaling \$10,000.

TAYLOR, PALMER, 2007/0493 (2007)

87300 - 1 count
\$200

Palmer Taylor, Associate Vice Chancellor, Health Sciences, University of California, San Diego, failed to timely file his 2006 annual statement of economic interests.

TAYLOR, RON, 2013/0661 (2013)

87207 - 1 count
\$200

Ron Taylor, as the Superintendent for the Feather River Community College District, failed to timely disclose gift(s) of: one meal valued at \$153.86 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

TAYLOR, WILLIAM, 2010/0590 (2011)

87300 - 4 counts
\$12,000

William Taylor, Clinical Professor of Surgery and a principal investigator with the University of California, San Diego, failed to disclose on the Statement of Economic Interests he filed in conjunction with an application for a research project, his interests in a non-governmental funding source that provided grants for his projects.

T-BIRD RESTAURANTS COMMITTEE OPPOSING PROPOSITION 72, AND MIKKEL CHRISTENSEN, 2005/0806 (2008)

84101 - 1 count
84200.5 & 84200.7 - 1 count
85309 - 1 count
\$6,000

T-Bird Restaurant Group, Inc. located in La Jolla, received \$2,000 contributions from 57 of its 60 franchise restaurants. The \$114,000 collected was then contributed the next day to Californians Against Government Run Healthcare, A Committee Against Proposition 72 for the November 2, 2004 election. T-Bird registered as a sponsored, primarily formed ballot measure committee under the name T-Bird Restaurants Committee Opposing Proposition 72 with Mikkel Christensen listed at all times as the treasurer. Respondents failed to file a statement of organization within 10 days of qualifying as a committee, failed to timely file a pre-election campaign statement, and failed to disclose 32 contributions of \$1,000 or more within 24 hours of receipt in an online campaign report.

TBP ARCHITECTURE, 2003/0349 (2003)

84200 - 2 counts
\$1,468

TBP Architecture of Newport Beach failed to file major donor campaign statements in 2002.

TC CONSTRUCTION COMPANY, INC, 2006/0367 (2006)

84200 - 1 count
\$400

TC Construction Company, Inc. of Santee failed to timely file a semi-annual campaign statement disclosing contributions totaling \$2,500 in 2004.

TED JONES FORD, INC., DBA KEN GRODY FORD, 2006/0300 (2006)

84200 - 2 counts
\$800

Ted Jones Ford, Inc., dba Ken Grody Ford of Buena Park failed to timely file semi-annual campaign statements disclosing contributions totaling \$16,250 in 2004.

TEMPLEMAN, EDWARD J, 2004/0157 (2004)

84203 - 1 count
\$1,500

Edward J. Templeman failed to file a late contribution report in 2003.

TERLET, ARIANE, DDS, 2003/0860 (2004)

87300 - 1 count
\$500

Ariane Terlet failed to timely file a 2002 annual statement of economic interests.

TESTA, ALFRED, 1999/0794 (2000)

87203 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Alfred Testa, a pro tem judge for the Los Angeles Municipal Court, who failed to timely file a 1998 annual statement of economic interests.

THAI, CHUONG, 2013/0733 (2013)

87207 - 1 count
\$200

Chuong Thai, as the Director of Finance/Treasurer for the City of South Pasadena, failed to timely disclose gift(s) of: two meals totaling \$106.72 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

THE SURPLUS LINE ASSOCIATION OF CALIFORNIA AND JOY ERVEN, 2012/0835 (2013)

86115, 86117, & 84605 - 1 count
\$1,000

The Surplus Line Association of California, a California lobbyist employer, and The Surplus Line Association of California's Responsible Officer, Joy Erven, failed to timely file the Lobbyist Employer Report Form 635 covering the period January 1, 2012 through March 31, 2012, due April 30, 2012, failing to disclose total payments made for lobbying services in the amount of \$50,000.

THEBAULT, J. BRIAN, 2003/0587 (2004)

84200 - 1 count
\$1,500

J. Brian Thebault failed to file a major donor campaign statement in 2002.

THERMO ECOTEK CORPORATION, 1998/0727 (1999)

84200 - 2 counts
84203 - 1 count

\$5,000

Thermo Ecotek Corporation, located in Waltham, Massachusetts, failed to file semi-annual campaign statements in 1997 and 1998 and failed to timely file a late contribution report in 1998.

THOMAS CURTIS, M.D., INC, 2006/0311 (2006)

84200 - 1 count
\$400

Thomas Curtis, M.D., Inc. of Van Nuys failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

THOMAS D. HORMEL TRUST, 2007/0716 (2008)

84200 - 2 counts
\$1,600

Thomas D. Hormel Trust of Pacific Palisades failed to timely file two semi-annual campaign statements disclosing contributions totaling \$35,000.

THOMAS D. HORMEL TRUST, 2007/0715 (2008)

84203 - 1 count
\$1,500

Thomas D. Hormel Trust of Pacific Palisades failed to timely disclose a late contribution totaling \$10,000.

THOMAS, C. ANTHONY, AKA TONY THOMAS, 2003/0490 (2003)

84200 - 1 count
\$800

C. Anthony Thomas aka Tony Thomas of Los Angeles failed to file a major donor campaign statement in 2002.

THOMASON, JON COLBURN, 1996/0037 (1997)

84300 & 84301 - 39 counts
84200 - 3 counts
\$84,000

Jon Colburn Thomason, a Fresno developer, laundered 39 campaign contributions from 1990 through 1993 to various Fresno city and county officials and failed to file major donor campaign statements.

THOMPSON, DEBBIE, 2006/1044 (2010)

87300 & 87302(b) - 1 count
\$5,000

Debbie Thompson served with SMUD as an Energy Specialist, Energy Specialist I, and Energy Specialist II. The positions of Energy Specialist I and II are designated positions in the SMUD Conflict of Interest Code. Debbie Thompson failed to file a leaving office Statement of Economic Interests for her position as Energy Specialist I.

THOMPSON, FRANCINE AND CARL, 2004/0158 (2004)

84203 - 1 count
\$1,800

Francine and Carl Thompson failed to file a late contribution report in 2003.

THOMPSON, MARK, 1992/0071 (1997)

83116.5 & 84102 - 1 count
83116.5 & 84200 - 1 count
83116.5 & 84203 - 1 count
86116.5 & 84204 - 1 count
\$6,000

Mark Thompson, Chief of Staff to Assemblyman Paul Horcher, and who initiated the formation of the Southern California Taxpayers Committee (SCTC), a controlled committee of Assemblyman Paul Horcher, whose sole purpose was to produce and send a negative political mailer in 1991, caused SCTC's failures to properly disclose information about the controlling candidate on its statement of organization, to timely and properly file a late independent expenditure report, to file a semi-annual campaign statement in 1991, and to file a late contribution report.

THOMPSON, MARK, 2009/0025 (2009)

87300 - 1 count
\$400

Mark Thompson, an alternate commissioner of the California Pepper Commission, failed to timely file a 2007 annual Statement of Economic Interests.

THOMPSON, MICHAEL R, 1996/0149 (1998)

84200 - 1 count
\$2,000

Michael R. Thompson, Southern Regional Manager for Granite Construction Company located in Riverside county, failed to timely file a major donor campaign statement in 1996 to disclose a loan he made that was used to gather signatures for a statewide gaming initiative.

THOMPSON, STACY, 2011/0533 (2011)

87300 - 1 count
\$200

Stacy Thompson, an Oakland Head Start board member, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2009, through December 31, 2009, due April 1, 2010.

THOMSON, BERNARD HERSCHEL, AKA SKIP THOMSON, 1996/0497 (1998)

89510 & 89513 - 1 count
84211 - 1 count
\$4,000

Bernard Herschel Thomson, aka Skip Thomson, a member of the Solano county Board of Supervisors, received excessive loan repayments from his controlled committee in 1995. Thomson also failed to correctly report the balance of cash and cash equivalents on hand at the beginning and the end of the reporting period on his campaign statements in 1994 and 1995.

THOROUGHBRED OWNERS OF CALIFORNIA PURSE SUPPORT FUND, 2007/0181 (2007)

84203 - 1 count
\$3,500

Thoroughbred Owners of California Purse Support Fund of Del Mar failed to timely disclose late contributions totaling \$50,000.

THORSTENSON, SANDY, 2013/0642 (2013)

87207 - 2 counts
\$400

Sandy Thorstenson, as the Superintendent of the Whittier Union High School District, failed to timely disclose gift(s) of: two meals totaling \$130.30 on her 2009 Statement of Economic Interests; and two meals totaling \$163.38 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

THOUSAND OAKS TOYOTA, 2006/0312 (2006)

84200 - 1 count
\$400

Thousand Oaks Toyota of Thousand Oaks failed to timely file a semi-annual campaign statement in 2004.

THURMOND, KARL, 2003/0861 (2004)

87300 - 1 count
\$100

Karl Thurmond failed to timely file a 2002 annual statement of economic interests.

TIDES FOUNDATION, 2004/0088 (2007)

84203 - 1 count
\$1,500

Tides Foundation of San Francisco failed to timely disclose a late contribution totaling \$10,000.

TIG SPECIALTY INSURANCE, 2002/1048 (2003)

84203 - 1 count
\$1,500

TIG Specialty Insurance of Irving, Texas, failed to file a late contribution report in 2002.

TIM RAZZARI MOTORS, INC., RAZZARI NISSAN, INC., RAZZARI DODGE, INC., AND RAZZARI VISALIA, INC, 2006/0451 (2006)

84200 - 1 count
\$800

Tim Razzari Motors, Inc., Razzari Nissan, Inc., Razzari Dodge, Inc., and Razzari Visalia, Inc. of Merced failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2003.

TIME WARNER, INC. AND AFFILIATED ENTITIES, 2007/0717 (2008)

84203 - 19 counts
\$4,950

Time Warner, Inc. and Affiliated Entities of New York, New York, failed to timely disclose late contributions totaling \$33,000.

TITO, DENNIS A, 2002/0720 (2002)

84203 - 1 count
\$1,500

Dennis A. Tito of Pacific Palisades failed to file a late contribution report in 2002.

TME - AFSCME LOCAL 1117 POLITICAL ACTION COMMITTEE, 2002/0158 (2006)

84305 - 2 counts
\$4,500

TME - AFSCME Local 1117 Political Action Committee, a state general purpose recipient committee sponsored by TME-AFSCME Local 1117 of Torrance sent two campaign mailers to Torrance voters prior to the March 5, 2002 Torrance municipal election that did not contain proper sender identification.

TODD-GALLARDO, ELIZABETH, 2007/0544 (2010)

84200 - 3 counts
\$7,500

Elizabeth Todd-Gallardo, a member of the Sausalito/Marin City School District Board of Trustees from November 2006 to November 2008, failed to file three consecutive semi-annual campaign statements by their July 31, 2007, January 31, 2008, and July 31, 2009, due dates.

TOGNAZZINI, TERRY AND RR TOG, L.P., D.B.A. RED ROOF INNS SOUTHERN CALIFORNIA, 2003/0617 (2005)

84301 - 18 counts
\$68,000

Terry Tognazzini, who has franchise agreements with the Accor hotel group, owns and operates numerous hotel properties in Southern California. One of Tognazzini's companies is RR Tog, L.P., doing business as Red Roof Inns Southern California. In 2002, Terry Tognazzini and Red Roof Inns Southern California made campaign contributions to local candidates and officials in the names of the employees of Red Roof Inns Southern California, instead of the company's own name.

TOM GILMORE & ASSOCIATES, LLC,, 2002/1049 (2004)

84200 - 1 count
84203 - 2 counts
\$7,000

Tom Gilmore & Associates, LLC failed to disclose two late contributions in properly filed late contribution reports and failed to file a semi-annual campaign statement.

TOM MURPHY AND AFFILIATED ENTITIES, 2003/0469 (2003)

84200 - 1 count
\$793

Tom Murphy and affiliated entities of San Francisco failed to file a major donor campaign statement in 2000.

TONG, JAMES, 1991/0376 (1993)

84301 - 2 counts
\$4,000

James Tong, a businessman who works in the City of Fremont, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989.

TONG, JAMES AND CHARTER PROPERTIES, 2006/0615 (2006)

84200 - 5 counts
84605 - 3 counts
\$26,000

James Tong and Charter Properties qualified as a major donor committee in the first six months of 2002, 2004 and in the second six months of 2005, and failed to file eight semi-annual campaign statements for those periods of time.

TONG, SHONG-CHING, 2010/0449 (2011)

84200.5(g)(2) & 84200.8 - 1 count
84203.5 - 2 counts
84204 - 1 count
84200(b) - 1 count
84305(a) & (b) - 1 count
\$5,250

Respondent made independent expenditures in opposition to a candidate for Arcadia City Council in the election that was held on April 13, 2010. In so doing, he became an independent expenditure committee, but he failed to file: (i) a pre-election statement by the due date of April 1, 2010; (ii) two supplemental independent expenditure reports by the due dates of April 1, 2010, and August 2, 2010; (iii) a late independent expenditure report by the due date of April 7, 2010, and (iv) a semi-annual statement by the due date of August 2, 2010. Also, on or about April 6, 2010, Respondent sent a mass mailing without displaying the name, address, and city of the sender on the outside of each piece of mail.

TONY STRICKLAND FOR STATE SENATE, 2008/0681 (2010)

84305 - 1 count
\$3,000

Tony Strickland, a member of the California State Senate, and his candidate-controlled committee, Strickland for State Senate, sent out a mass mailing without the name of the Respondent's committee on the outside of the mailing.

TORINO, BRETT, 1990/0341 (1995)

84300 & 84301 - 9 counts
\$15,000

Brett Torino, a real estate developer from Las Vegas, Nevada, laundered contributions in the names of individuals associated with his business partners and their

employees to Carl Guardino's unsuccessful campaign for Santa Clara county Board of Supervisors in 1990.

TORJESEN, JOHN C. AND JOHN C. TORJESEN & ASSOCIATES, 2006/1160 (2009)

84200(b) - 2 counts
\$5,000

John C. Torjesen and John C. Torjesen & Associates, PC, qualified as a "major donor committee" under the Act. Respondents failed to file two semi-annual campaign statements.

TORLAKSON, TOM, 2009/0901 (2010)

87207 - 1 count
\$200

Assemblymember Tom Torlakson failed to disclose on his 2008 Statement of Economic Interests a gift of a ticket to the Sacramento Kings from AT&T valued at \$120.99.

TORLAKSON, TOM, TOM TORLAKSON FOR SENATE, AND MICHAEL PASTRICK, 2000/0358 (2001)

84203 - 1 count
\$1,750

Tom Torlakson, a successful candidate for senate, Tom Torlakson for Senate, his controlled committee, and Michael Pastrick, its treasurer, failed to timely file a late contribution report in 2000.

TOROK, DEBBIE, 2013/0388 (2013)

87207 - 2 counts
\$400

Debbie Torok, as a Member of the Mountain View-Los Altos Union High School Governing Board, failed to timely disclose gift(s) of: one meal valued at \$187.15 on her 2010 Statement of Economic Interests; and one meal valued at \$133.41 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

TORR, MICHAEL L, 2001/0626 (2003)

87300 - 1 count
\$1,000

Michael Torr, member of the governing board of the Sweetwater Springs Water District in Sonoma county, failed to timely file a 2001 annual statement of economic interests.

TORR, MICHAEL L, 2004/0589 (2007)

87300 - 2 counts
\$6,000

Michael Torr, a designated employee of the Sweetwater Springs Water District, failed to timely file an annual statement of economic interests for 2003 and 2004.

TORRANCE EMERGENCY PHYSICIANS INC, 2006/0940 (2007)

84200 - 1 count

\$800

Torrance Emergency Physicians, Inc. of Redondo Beach failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,000.

TORRES, ANDRES R, 2000/0192 (2000)

87200 - 1 count
\$500

Andres R. Torres, a planning commissioner in the City of San Fernando, failed to timely file an assuming office statement of economic interests in 1999.

TORRES, ARMIDA, 2009/0816 (2010)

87300 & 87302(b) - 2 counts
\$4,000

Armida Torres, Commissioner of the Chula Vista Growth Management Oversight Commission, failed to file an assuming office Statement of Economic Interests due May 21, 2009, and an annual Statement of Economic Interests for 2009 due April 1, 2010.

TORRES, ART AND FRIENDS OF ART TORRES COMMITTEE, 1989/0188 (1989)

84211 - 1 count
84213 - 1 count
\$4,000

A mandatory state audit of the Art Torres Committee found that at least 62 contributors of \$100 or more failed to be properly disclosed on campaign statements filed for the first six months of 1985. The failure to itemize 62 campaign contributions resulted in \$37,950 going unreported on the committee's statement. The unitemized and unreported contributions accounted for approximately 29% of the total contributions received by the committee for that period. Senator Torres failed to adequately ensure that his committee treasurer used reasonable diligence in preparing the campaign statement, resulting in a violation of the law.

TORRES, HELEN, 2009/0049 (2009)

87300 - 1 count
\$200

Helen Torres, Commissioner for California Volunteers, failed to timely file a 2007 Statement of Economic Interests.

TORRES, MARISELA, 2000/0576 (2001)

87203 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Marisela Torres, a San Fernando City Planning Commissioner, who failed to timely file her 1999 annual statement of economic interests.

TORRES, RAFAEL, 2002/0455 (2004)

87202 - 1 count
87203 - 2 counts

\$5,000

Rafael Torres failed to timely file an assuming office, 2001 annual, and 2002 annual statement of economic interests.

TORRES, RICHARD, 2006/1066 (2009)

89503 - 1 count
\$426

Richard Torres, while serving as city administrator for the City of Montebello and an administrative committee member for the Southeast Animal Control Authority, accepted a gift from a single source in the amount of \$516, exceeding the \$360 gift limit for 2005.

TORRES, SAL, FRIENDS OF SAL TORRES, AND LESLIE TORRES, TREASURER, 2011/0234 (2012)

84200 - 2 counts
\$400

Sal Torres, his candidate controlled committee, Friends of Sal Torres, and Leslie Torres, the committee's treasurer, failed to file two semi-annual campaign statements in a timely manner for the reporting periods of July 1, 2010, through December 31, 2010, due January 31, 2011; and July 1, 2011, through December 31, 2011, due January 31, 2012.

TORRES, SAL/FRIENDS OF SAL TORRES/TORRES, LESLIE, 2013/0064 (2013)

84200(a) - 1 count
\$1,000

Sal Torres, a candidate for re-election to the Daly City Council in the November 6, 2012 election, and his candidate controlled committee, Friends of Sal Torres, failed to timely file a semi-annual campaign statement covering the period October 21, 2012, through December 31, 2012, due January 31, 2013.

TORRICO, ALBERTO, ET AL., 2011/0944 (2013)

84211(k) & 84303 - 1 count
\$1,000

Alberto O. Torrico, an unsuccessful candidate for Attorney General in the June 8, 2010 Statewide Primary Election, Alberto Torrico for Attorney General 2010, his candidate controlled committee, and James R. Santos, the committee's treasurer, failed to timely report subvendor information for payments totaling approximately \$465,531 on Form 460 Campaign Statements filed for the reporting periods ending March 17, 2010, May 22, 2010, and June 30, 2010.

TOSTE, PAUL, 1995/0397 (1999)

87100 - 2 counts
\$3,000

Paul Toste, a Kerman City Councilman, participated and voted in governmental decisions in 1995 to approve development of a parcel of property located adjacent to his commercial property in Kerman.

TOUHEY, MICHAEL, TOUHEY FOR UPPER SAN GABRIEL VALLEY MWD- (DIV 4) 2012, AND DEBBIE TOUHEY, 2013/0017 (2013)

84200.5 - 1 count
\$200

Michael Touhey, Touhey for Upper San Gabriel Valley MWD-(Div 4) 2012, and its Treasurer, Debbie Touhey, failed to timely file the pre-election Form 460 campaign statement covering the period October 1, 2012 through October 20, 2012, due on October 25, 2012, with the Los Angeles county Registrar of Voters.

TOVAR, FRED, 2013/0349 (2013)

87207 - 1 count
\$200

Fred Tovar, as a Member of the Gilroy Unified School District Board, failed to timely disclose gift(s) of: two meals totaling \$217.58 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

TOVAR, IRENE, 1994/0584 (1996)

84200 - 14 counts
\$10,371

Irene Tovar, a former candidate for the Los Angeles City Council, failed to timely file semi-annual campaign statements from 1993-1996.

TOWNSEND, ARNOLD, 1989/0394 (1991)

87302 - 1 count
\$2,000

Arnold Townsend, associate consultant with the Assembly, failed to timely file a statement of economic interests despite repeated notices of his reporting obligations. Townsend ignored numerous warnings and opportunities to voluntarily comply and did not file his annual statement of economic interests until seven months after the deadline.

TOWNSEND, ARNOLD, 1990/0905 (1992)

87300 - 2 counts
\$4,000

Arnold Townsend, an associate consultant with the Assembly, failed to timely file an annual and leaving office statement of economic interests.

TOWNSEND, GARY, 2012/0291 (2012)

87207 - 1 count
\$200

Gary Townsend, Chief Deputy Assessor for the county of Los Angeles, failed to disclose an interest in a source of income on his Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

TOWNSEND, MONROE S., JR, 1995/0216 (1996)

84300 & 84302 - 4 counts
\$6,000

Monroe S. Townsend, Jr., worked for Ahmanson Commercial Development Co. and was involved in development project in San Francisco known as the "Pacific Center." In 1992, Townsend submitted a company check to the Committee to Elect Frank Jordan and acted as an intermediary in attributing the check as contributions from four other individuals.

TOWNSEND-MERINO, KATIE, 2011/0031 (2011)

87300 - 1 count
\$200

Katie Townsend-Merino, Vice-President of the Foothill-DeAnza Community College District, failed to timely file her 2009 annual Statement of Economic Interests.

TRADITIONAL VALUES COALITION, 1992/0330 (1992)

84300 - 1 count
\$2,000

Traditional Values Coalition, a conservative lobbying group, made a \$6,000 contribution to San Franciscans for Common Sense Against Proposition K using a cashier's check that did not have their name on it. This check was reported as an anonymous contribution by San Franciscans for Common Sense Against Proposition K.

TRADITIONAL VALUES COALITION AND REV. LOUIS P. SHELDON, 1999/0084 (2001)

86110 - 1 count
86113 - 1 count
86115 - 1 count
\$1,000

Traditional Values Coalition, a non-profit legislative action group in Anaheim and qualified lobbyist employer, and its founder and Chairman, as well as compensated lobbyist, Rev. Louis P. Sheldon, failed to timely file lobbying disclosure reports and failed to maintain records in 1996.

TRAINA, TREVOR D, 2003/0429 (2003)

84200 - 1 count
\$400

Trevor D. Traina of San Francisco failed to file a major donor campaign statement in 2002.

TRAN, BRUCE Q./BRUCE TRAN FOR MAYOR OF WESTMINSTER 2010, 2012/0180 (2013)

84200 - 1 count
\$1,000

Bruce Q. Tran, an unsuccessful candidate for Mayor of the City of Westminster in the November 2, 2010 election, and his controlled committee, failed to timely file a form 460 Recipient Committee Campaign Statement covering the period October 17, 2010, through December 31, 2010, due January 31, 2011.

TRANSCORE, INC, 2001/0559 (2003)

86117 - 1 count
\$2,000

Transcore, Inc., a national ground transportation services company headquartered in Pennsylvania, failed to timely file a quarterly lobbyist employer report in 2000.

TRAPAC, INC, 2007/0775 (2008)

84200 - 1 count
\$400

TraPac, Inc. qualified as a major donor committee in the second half of 2006, but failed to timely file a major donor statement, Form 461, on January 31, 2007.

TRAVIS, NICHOLAS, 2011/0937 (2011)

87203 - 1 count
\$200

Nicholas Travis, City of Sunnyvale Planning Commissioner, failed to timely file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, by the April 1, 2011, due date.

TREADWAY, PAUL, AND THE COMMITTEE TO ELECT PAUL A. TREADWAY, 2000/0183 (2002)

84200 - 1 count
\$1,000

Paul Treadway, an elected member of the governing board of the Chaffey Community College District in San Bernardino county, and his controlled committee, Committee to Elect Paul A. Treadway, failed to file a semi-annual campaign statement in 2000.

TRETEN, RUDIE, 1989/0088 (1991)

87100 - 1 count
87300 - 1 count
\$1,500

Rudie Tretten, Laguna Salada School District Board Member, committed violations of the conflict-of-interest provisions concerning a lease approval involving Pacifica Community Television, Inc., (PCT) who was a source of income to him. Dr. Tretten made loans to PCT and then failed to report the repayments on his annual statement of economic interests.

TRIAS, TONY AND TONY TRIAS FOR ASSEMBLY COMMITTEE, 1988/0489 (1991)

84200.5 - 2 counts
84203 - 1 count
\$1,500

Tony Trias, a Republican candidate in the 46th assembly race in the November, 1988 election, and the Tony Trias for Assembly Committee, failed to timely file two pre-election campaign statements and a late contribution report.

TRIMARK PACIFIC HOMES, 2003/0430 (2003)

84200 - 1 count
\$400

Trimark Pacific Homes of Westlake Village failed to file a major donor campaign statement in 2002.

TRIPP, DAVID, 2011/0839 (2011)

87300 - 1 count
\$200

David Tripp, a board member for the California Independent Living Council, failed to timely file a Statement of Economic Interests covering the reporting period January 1, 2010, through December 31, 2010, by the April 1, 2011, due date.

TRIUMPH MORTGAGE, INC, 2005/0816 (2006)

84203 - 1 count
\$1,500

Triumph Mortgage, Inc. of Irvine failed to timely disclose a late contribution totaling \$10,000.

TRIUMPH MORTGAGE, INC, 2005/0815 (2006)

84200 - 1 count
\$400

Triumph Mortgage, Inc. of Irvine failed to timely file a semi-annual campaign statement in 2004.

TRUJILLO, LARRY, 2009/0287 (2009)

87300 - 1 count
\$200

Larry Trujillo, Commissioner for the Mental Health Oversight Commission, failed to timely file a 2007 annual Statement of Economic Interests with the FPPC's Technical Assistance Division.

TSAO, MIRANDA, 2004/0163 (2004)

84200 - 1 count
\$5,000

Miranda Tsao failed to file a semi-annual campaign statement.

TSE FAMILY ASSOCIATION, YIM N. LOUIE, AKA LOUIE DEAR, YIM NUN LOUIE DER, AND LOUIE DER, PRESIDENT, GWING DER, TREASURER, AND KAI MING DEAR, AKA RONALD DEAR, 1994/0460 (1995)

84301 - 7 counts
84302 - 9 counts
\$28,800

Tse Family Association, located in San Francisco, Yim N. Louie, aka Louie Dear, Yim Nun Louie Der, and Louie Der, president, and Gwing Der, treasurer, laundered contributions in the names of relatives and other individuals to the 1991 mayoral campaign of Tom Hsieh. Kai Ming Dear acted as an intermediary for these and other illegal contributions.

TUCKER, CURTIS, JR, 1991/0605 (1993)

89517 - 6 counts
\$10,000

Assemblyman Curtis Tucker, Jr., violated the "personal use" provisions when he used campaign funds to pay for

rent on a Sacramento apartment in 1990, which was rented in his name. During that time, Tucker also received an assembly per diem for housing which he used for other expenses.

TUCKER, CURTIS, JR, 1994/0185 (1996)

89517 - 5 counts
\$10,000

Assemblyman Curtis Tucker, Jr., violated the “personal use” provisions by using campaign funds to pay rent on his apartment/house rental in Sacramento.

TULARE COUNTY DEPUTY SHERIFF’S ASSOCIATION POLITICAL ACTION COMMITTEE, 1994/0536 (1997)

84200 & 84200.5 - 5 counts
84203 - 1 count
\$8,000

Tulare county Deputy Sheriff’s Association Political Action Committee failed to file and timely file campaign statements from 1992 through 1994.

TULE RIVER INDIAN TRIBE, 2010/0608 (2011)

86115 - 7 counts
\$7,000

Tule River Indian Tribe, a California lobbyist employer, failed to timely file seven lobbyist employer reports, from January 1, 2007 through September 30, 2007, and from October 1, 2009 through September 30, 2010, resulting in a failure to report total payments made for lobbying services in the amount of \$58,500.

TUMAMAIT-STENSLIE, JULIE, 2010/1104 (2011)

87300 - 1 count
\$200

Julie Tumamait-Stenslie, a commissioner of the Native American Heritage Commission, failed to file a 2009 annual Statement of Economic Interests.

TUNEUP MASTERS, INC. OF DELAWARE, 1987/0368 (1988)

84200 - 2 counts
\$1,000

Tuneup Masters, Inc. of Delaware failed to timely file two major donor campaign statements for contributions totaling \$19,000 in 1986.

TUOLUMNE COUNTY DEMOCRATIC CENTRAL COMMITTEE, AND JOANN M. RASCON, TREASURER, 2011/0285 (2011)

84200 - 1 count
\$200

The Tuolumne county Democratic Central Committee, and its treasurer, Joann M. Rascon, failed to timely file one semi-annual campaign statement for the reporting period July 1, 2010, through December 31, 2010.

TUOLUMNE COUNTY REPUBLICAN CENTRAL COMMITTEE, JO DAY, TREASURER, AND CHARLES DAY, CHAIRMAN, 2010/0638 (2012)

84200 - 2 counts
\$400

Tuolumne county Republican Central Committee was a state general purpose committee with Respondent Jo Day serving as treasurer, and Charles Day serving as chairman. Respondents failed to file two semi-annual campaign statements in a timely manner, one for the reporting period January 1, 2008, through June 30, 2008, due July 31, 2008, and one for the reporting period July 1, 2008, through December 31, 2008, due February 2, 2009.

TURLEY, PAUL, 2007/0025 (2007)

84200 - 1 count
\$800

Paul Turley of Granada Hills failed to timely file semi-annual campaign statements disclosing contributions totaling \$10,000 in 2004.

TURNER CONSTRUCTION COMPANY, 2005/0123 (2005)

84203 - 1 count
\$1,500

Turner Construction Company of Oakland failed to file a late contribution report in 2004.

TURNER, JEROLD L., COMMITTEE TO ELECT JEROLD L. TURNER SUPERIOR COURT JUDGE, AND JOSEPH F. ETIENNE, TREASURER, 1994/0240 (1995)

84203 - 2 counts
84211 & 84303 - 1 count
\$2,500

Jerold L. Turner, successful candidate for Judge of the Kern county Superior Court in 1992, his committee, and its treasurer, Joseph F. Etienne, failed to file late contribution reports for \$11,850 and did not report subvendor expenditures amounting to \$15,208 on campaign reports.

TURNER, LYLE, 2002/1050 (2003)

84203 - 2 counts
\$1,728

Lyle Turner of Rancho Santa Fe failed to file late contribution reports in 2002.

TURNER, RALPH, 1997/0660 (1998)

84300 & 84301 - 3 counts
\$5,400

Ralph Turner, owner of a manufacturing company located in Ramona, made contributions in the names of others to the campaign of San Diego county Supervisor Dianne Jacob in 1995 without disclosing the true source of the funds.

TURNER, SAM, 2008/0704 (2009)

87300- 1 count
\$200

Sam Turner, Sonoma Traffic Safety Committee member, failed to timely file a 2007 annual Statement of Economic Interests.

TUTOR, RONALD N, 2002/0721 (2002)

84203 - 1 count
\$1,500

Ronald N. Tutor of Hidden Hills failed to file a late contribution report in 2002.

TWIN MED, INC, 2003/0553 (2004)

84200 - 1 count
\$2,000

Twin Med, Inc. failed to file a major donor campaign statement in 2002.

U.S. BANCORP, 2005/0575 (2005)

84203 - 1 count
\$1,500

U.S. Bancorp of Minneapolis, Minnesota, failed to file a late contribution report in 2004.

UAW REGION 6 POLITICAL ACTION COMMITTEE AND HOWARD DICKERSON, TREASURER, 1992/0067 (1994)

84203 - 2 counts
84211 - 7 counts
\$18,000

UAW Region 6 is a regional division of the United Automobile, Aerospace and Agricultural Workers of America, an international labor union. Its political action committee and its treasurer, Howard Dickerson, failed to timely report campaign contributions made in 1990 amounting to \$57,000. In addition, late contribution reports were not filed for contributions made of \$25,000.

UFCW REGION 8 STATE COUNCIL, 2000/0513 (2000)

84203 - 1 count
\$2,000

UFCW Region 8 State Council of North Hollywood failed to file a late contribution report during the March 7, 2000, primary election.

ULLOA, JUAN, ULLOA FOR JUDGE COMMITTEE, AND HENRY MONROY, JR, 1996/0077 (1997)

84104 - 1 count
84203 - 1 count
84300 - 1 count
\$3,500

Juan Ulloa, a successful candidate for Imperial county Superior Court Judge in the 1994 election, and his committee, Ulloa for Judge Committee, and its treasurer, Henry Monroy, Jr., a certified public accountant, failed to maintain adequate records for loans received and for

occupation and employer information for contributions received, received cash contributions, and failed to timely report a late contribution received. These violations were uncovered through a Franchise Tax Board audit of the committee.

ULLRICH, WILLIAM, 1990/0614 (1992)

87100 - 1 count
\$2,000

William Ullrich, Bradbury City Planning Commissioner, violated the conflict-of-interest provisions when he participated in approving an application to subdivide property in Bradbury owned by parties to whom he had entered into an agreement with respect to real property located elsewhere in Duarte.

ULTRAMAR DIAMOND SHAMROCK CORPORATION, 2005/0876 (2006)

84200 - 2 counts
\$800

Ultramar Diamond Shamrock Corporation of Mill Valley failed to timely file a semi-annual campaign statement in 2001.

UMAX TECHNOLOGIES, 1999/0394 (2000)

84200 - 1 count
\$400

Umax Technologies, located in Fremont, failed to timely file a major donor campaign statement in 1998.

UMB CORPORATION, 2003/0457 (2003)

84200 & 84605 - 1 count
\$904

UMB Corporation of San Francisco failed to file a major donor campaign statement in paper and electronic format in 2000.

UMBERG, TOM, TOM UMBERG FOR ATTORNEY GENERAL, AND SUSAN NAPLES, TREASURER, 1996/0610 (1998)

84203 - 5 counts
\$9,000

Tom Umberg, candidate for Attorney General in 1994, his controlled committee, Tom Umberg for Attorney General, and its treasurer, Susan Naples, failed to file five late contribution reports in 1994.

UNION OF AMERICAN PHYSICIANS & DENTISTS MEDICAL DEFENSE FUND ELECTORAL, AND PETER A. STATTI, M.D, 2001/0399 (2002)

84211 - 2 counts
\$2,000

Union of American Physicians & Dentists Medical Defense Fund Electoral, a general purpose recipient committee in Oakland, and its treasurer, Peter A. Statti, M.D., failed to itemize individual contributions on campaign statements filed in 1997 and 1998.

UNITARIAN UNIVERSALIST LEGISLATIVE MINISTRY ACTION NETWORK PAC; SILVIO NARDONI, TREASURER, 2010/0241 (2010)

84203 - 1 count
\$1,092

Unitarian Universalist Legislative Ministry Action Network PAC and its treasurer, Silvio Nardoni, failed to timely file a late contribution report.

UNITED ASSOCIATION POLITICAL ACTION FUND, AND MARTIN J. MADDALONI, TREASURER, 2001/0244 (2002)

84203 - 2 counts
\$4,000

United Association Political Action Fund, a general purpose committee sponsored by the United Association of Journeymen & Apprentices of the Plumbing & Pipe Fitting Industry of the United States and Canada, and its treasurer, Martin J. Maddaloni, failed to file two late contribution reports in 1998.

UNITED DEMOCRATIC CAMPAIGN COMMITTEE AND WILLARD MURRAY, 1988/0400 (1992)

84218 - 6 counts
84220 - 16 counts
84305.5 - 9 counts
\$16,700

The Commission accepted an administrative law judge’s findings that Assemblyman Willard Murray, treasurer and executive officer of the United Democratic Campaign Committee, failed to file campaign statements in 1988 and 1989 disclosing payments for the production of slate mailers; failed to file late payment reports for payments for slate mailers received during the final two weeks before the June and November 1988 elections; and failed to put correct sender identification and/or “notice to voters” information on slate mailers.

UNITED DEMOCRATIC CAMPAIGN COMMITTEE, WILLARD MURRAY, AND KEVIN MURRAY, TREASURER, 1994/0685 (1998)

84218 - 8 counts
84220 - 12 counts
84305.5 - 5 counts
\$38,000

The Commission rejected the proposed decision of an administrative law judge and issued its Decision and Order against United Democratic Campaign Committee, a slate mailer organization, Willard Murray, its founder and managing editor, and Kevin Murray, its treasurer, who failed to timely file semi-annual campaign statements, failed to timely report receipt of late payments, and failed to designate with an asterisk candidates and ballot measures which paid to appear in a slate mailer.

UNITED DEMOCRATS OF VALLEJO AND JOHN R. LEWIS, TREASURER, 2010/0320 (2011)

84200 - 1 count

\$200

United Democrats of Vallejo with John R. Lewis as treasurer failed to file a semiannual statement, for the reporting period ending December 31, 2009.

UNITED FACULTY OF GROSSMONT CUYAMACA PAC AND MELVIN AMOV, 200/0595 (2003)

84200 - 4 counts
\$4,000

United Faculty of Grossmont Cuyamaca PAC of El Cajon, a county general purpose recipient committee, and its treasurer, Melvin Amov, failed to file semi-annual campaign statements in 1998 and 1999.

UNITED FACULTY POLITICAL ACTION COMMITTEE (PAC) NORTH ORANGE COUNTY COMMUNITY COLLEGE DISTRICT AND QUEEN PETERSON, 2012/0961 (2013)

84200.5 & 84203.5 - 2 counts
\$400

United Faculty Political Action Committee (PAC) North Orange county Community College District and its Treasurer, Queen Peterson, failed to timely file the Form 460 campaign statement covering the period July 1, 2012 through October 20, 2012, as well as the Supplemental Independent Expenditure Report covering the period October 17, 2012, both due October 25, 2012, with the Orange county Registrar of Voters.

UNITED FOOD AND COMMERCIAL WORKERS ISSUE EDUCATION FUND, JOHN PEREZ, AND JOHN SPERRY, 2001/0388 (2003)

84203 - 1 count
84203.5 - 3 counts
84204 - 8 counts
84211 - 2 counts
\$23,000

United Food and Commercial Workers Issue Education Fund, a state general purpose committee, and its treasurers, John Perez and John Sperry, failed in 1998 and 2000 to timely disclose eight late independent expenditures, failed to timely file three supplemental independent expenditure reports, failed to properly report contributions received of \$100 or more on a pre-election campaign statement, failed to properly disclose miscellaneous receipts on a pre-election campaign statement, and failed to timely disclose a late contribution.

UNITED FOOD AND COMMERCIAL WORKERS LOCAL, 198/0451 (1992)

84203 - 1 count
84203.5 - 2 counts
84204 - 1 count
\$6,500

The United Food and Commercial Workers Local 135, located in San Diego, failed to timely file two independent expenditure reports, one late independent expenditure report, and one late contribution report in 1989 and 1990 to disclose their support of local candidates.

UNITED PUBLIC EMPLOYEES LOCAL 790 COPE, NON-CANDIDATE COMMITTEE, UNITED PUBLIC EMPLOYEES LOCAL 790 COPE, CANDIDATE COMMITTEE, AND JOSEPHINE MOONEY, 2001/0248 (2003)

84203 - 3 counts
\$1,500

United Public Employees Local 790 COPE, Non-Candidate Committee, United Public Employees Local 790 COPE, Candidate Committee, both state general purpose recipient committees headquartered in Oakland, and its treasurer, Josephine Mooney, failed to disclose three late contributions in 1998.

UNITED RENTALS, INC, 2003/0431 (2003)

84200 - 1 count
\$1,300

United Rentals, Inc., located in Greenwich, Connecticut, failed to file a major donor campaign statement in 2002.

UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION, 2006/0313 (2006)

84200 - 1 count
\$400

University of California Berkeley Foundation failed to timely file a semi-annual campaign statement in 2004.

UNLIMITED CONSTRUCTION AND PAUL LITSCHER, 1999/0273 (2000)

84301 - 19 counts
\$34,200

Unlimited Construction, located in Ontario, and its owner, Paul L. Litscher, laundered campaign contributions to three candidates for the Ontario City Council from 1995 through 1996.

UPTON, JOHN, WILLIAM CONLON, AND THE COMMITTEE TO ELECT JOHN UPTON, 1997/0367 (1998)

84203 - 1 count
84211 - 2 counts
84300 - 1 count
\$4,500

John Upton, a successful candidate for supervisor in El Dorado county in 1994, the Committee to Elect John Upton, and its treasurer, William Conlon, accepted cash contributions of \$100 or more totaling \$1,000, failed to list expenditures for subvendors, failed to file late contribution reports, and failed to list occupation and employer information for contributors. An audit was conducted by the Franchise Tax Board for the years 1990 through 1994.

URANGA, ROBERT, 2013/0561 (2013)

87207 - 1 count
\$200

Robert Uranga, as a Member of the Long Beach Community College Board, failed to timely disclose gift(s) of: golf and two meals totaling \$406.35 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

URIBES, MARTHA, 2002/0106 (2003)

87300 - 2 counts
\$400

Martha Uribes, former executive secretary for the Assembly and later press secretary for the State Senate, failed to file both an assuming office and leaving office statement of economic interests in 2001.

USCB, INC, 2006/0178 (2003)

84200 - 1 count
\$400

USCB, Inc. of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$11,600 in 2004.

USSERY, WILFRED, WIL USSERY FOR BART, AND MAXINE USSERY, 1992/0012 (1994)

84200 - 5 counts
84200.7 - 1 count
\$11,500

Bay Area Rapid Transit Director Wilfred Ussery, his controlled committee Wil Ussery for BART, and treasurer for the committee, Maxine Ussery, failed to timely file six campaign reports covering 1989 through 1992.

UTT, ROGER, AND ROGER UTT CAMPAIGN SAN DIEGO CITY COUNCIL, 1994/0152 (1995)

84200 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Roger Utt, a candidate for San Diego City Council, and his committee, who failed to file two campaign statements in 1993 and 1994 despite numerous requests from the filing officer and the Commission.

V. EMAILING COMPANY, 2005/0133 (2005)

84203 - 1 count
\$1,785

V. E Mailing Company of Washington, D.C., failed to file a late contribution report in 2004.

VAIL DRILLING COMPANY, 2006/0464 (2009)

84200(b) & 84605(a) - 1 count
84203 - 1 count
\$6,500

Vail Drilling Company, a major donor committee, failed to timely disclose a \$500,000 late contribution made in connection with a November 2005 election; and failed to timely file a semi-annual campaign statement for the reporting period January 1, 2005 through December 31, 2005.

VALANI, BADRU, 2012/0430 (2012)

85301(a) - 1 count
\$2,000

Badru Valani contributed in excess of the \$3,900 contribution limit to a candidate for elective state office by contributing \$5,500 to State Assembly candidate Robert Livengood's unsuccessful 2010 primary election campaign.

VALDES, CHARLES P., COMMITTEE TO RE-ELECT CHUCK VALDES, AND BILLY J. HUGHES, 2007/0391 (2009)

85301(a) - 5 counts
\$12,500

Charles P. Valdes, a member of the California Public Employees Retirement Board, Committee to Re-Elect Chuck Valdes, and Billy J. Hughes, Treasurer for the Committee to Re-Elect Chuck Valdes, accepted contributions in excess of the \$3,300 contribution limit.

VALENTE, LARRY, AND FRIENDS OF LARRY VALENTE COMMITTEE, 1988/0552 (1989)

84305 - 1 count
\$1,500

Larry Valente, a candidate for Poway City Council in 1988, failed to provide proper sender identification on a mass mailing entitled "Republican Update," which was sent near the end of his campaign and paid for by the Valente committee. The mailer, entitled "Republican Record," was designed to resemble a mailer sent by the San Diego Republican Party and featured the same logo, colors, and type used by this group. The front of the Valente mailer stated "Correction Material Please Insert in Republican Record" and was designed to mislead the voters into believing this was an official endorsement.

VALI COOPER & ASSOCIATES, INC, 2002/0326 (2002)

84203 - 1 count
\$2,000

Vali Cooper & Associates, Inc. of Pt. Richmond failed to file a late contribution report in 2000.

VALLES, JUDITH, 2009/0809 (2010)

89503 - 2 counts
\$400

Judith Valles, former mayor of the City of San Bernardino, acceptance of membership dues from Arrowhead country Club, exceeded the 2004 gift limit of \$340 and the 2005 gift limit of \$360.

VALLEY HARVESTING & PACKING, INC. AND AFFILIATED ENTITIES INCLUDING FRESH HARVEST, INC, 2006/0347 (2006)

84200 - 1 count
\$400

Valley Harvesting & Packing, Inc. and affiliated entities including Fresh Harvest, Inc. of Heber failed to timely file a semi-annual campaign statement disclosing contributions totaling \$6,000 in 2004.

VALLEY PATHOLOGY MEDICAL ASSOCIATES, INC, 2006/0566 (2006)

84200 - 1 count
\$800

Valley Pathology Medical Associates, Inc. of La Jolla failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

VALLEY RADIOLOGY CONSULTANTS MEDICAL GROUP, INC, 2006/0563 (2006)

84200 - 1 count
\$900

Valley Radiology Consultants Medical Group, Inc. of Escondido failed to timely file a semi-annual campaign statement disclosing contributions totaling \$50,000 in 2004.

VALLEY VIEW CASINO, 2003/0342 (2003)

84200 - 1 count
\$400

Valley View Casino of Valley Center failed to file a major donor campaign statement in 2002.

VAN DE HOEK, ROBERT, AND VAN DE HOEK FOR MALIBU COUNCIL, 2001/0098 (2002)

84200 - 1 count
\$800

Robert Van de Hoek, an unsuccessful candidate for a partial term on the Malibu City Council in 2000, and his controlled committee, Van de Hoek for Malibu Council, failed to timely file a semi-annual campaign statement in 2001.

VAN KONYNENBURG, CLAIRE A, 2007/0289 (2007)

84203 - 1 count
\$1,500

Claire A. Van Konynenburg of Pacific Palisades failed to timely disclose late contributions totaling \$10,000.

VAN KONYNENBURG, D. MICHAEL, 2007/0301 (2007)

84203 - 1 count
\$1,500

D. Michael Van Konynenburg of Pacific Palisades failed to timely disclose late contributions totaling \$10,000.

VAN KONYNENBURG, D. MICHAEL, 2007/0323 (2007)

84200 - 1 count
\$400

D. Michael Van Konynenburg of Pacific Palisades failed to timely file a semi-annual campaign statement disclosing contributions totaling \$13,000 in 2006.

VAN VALKENBURG, REX, 2007/0453 (2008)

87300 - 1 count
\$200

Rex Van Valkenburg, West Sacramento Redevelopment Project Area Committee member, failed to timely file his 2006 annual statement of economic interests.

VAN VELSON, TROY, 2011/0938 (2011)

87203 - 1 count
\$200

Troy Van Velson, City of Corcoran Planning Commissioner, failed to file a Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, by the April 1, 2011.

VAN WAGNER COMMUNICATIONS, LLC, 2003/0432 (2003)

84200 - 1 count
\$400

Van Wagner Communications, LLC of Studio City failed to file a major donor campaign statement in 2002.

VAN WINGERDEN, WALTER, 2000/0759 (2001)

87300 & 87302 - 1 count
\$200

Walter Van Wingerden, California Cut Flower Commissioner, failed to timely file a 1999 annual statement of economic interests.

VANDERVOORT, J. JOSEPH, 1989/0471 (1990)

84301 - 5 counts
\$10,000

J. Joseph Vandervoort, a former Butte county public defender, laundered five campaign contributions through employees to a supervisor in August 1988. Vandervoort, at the time of the violation, was a contract public defender. He reimbursed five employees in his law office who had made contributions totaling \$450 to incumbent Haskel "Bank" McInturf.

VAQUERO ENERGY, INC, 2006/0314 (2006)

84200 - 1 count
\$400

Vaquero Energy, Inc. of Bakersfield failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

VARDIABASIS, DEMOSTHENES, 2010/1108 (2011)

87300 - 1 count
\$200

Demosthenes Vardiabasis, a commissioner of the Economic Development Commission, failed to file a 2009 annual Statement of Economic Interests.

VARELA, JOHN, 1996/0142 (1998)

87100 - 1 count
\$1,500

John Varela, Morgan Hill City Councilman, violated the conflict-of-interest provisions when he voted on a decision to allocate grant funds to a non-profit organization that was a source of income to his wife in 1993, 1994, and 1995.

VARGAS, JUAN, VARGAS 2000, AND DEANNA LIEBERGOT, 2001/0699 (2005)

84105 - 1 count
84203 - 3 counts
\$6,000

Juan Vargas, a successful candidate for assembly, 79th District, and his controlled committee, Vargas 2000, and its treasurer, Deanna Liebergot, failed to disclose late contributions in 2000, and failed to send major donor notification letters to contributors of \$5,000 or more in 1999 and 2000.

VASCONCELLOS, JOHN, FRIENDS OF JOHN VASCONCELLOS, AND GLORIA ROSE OTT, TREASURER, 1994/0187 (1995)

84203 - 5 counts
84211 - 7 counts
\$15,000

John Vasconcellos, member of the assembly, his controlled committee and its treasurer Gloria Rose Ott, failed to timely file late contribution reports for contributions received totaling \$95,058. The campaign also under reported payments made to its treasurer by \$42,500.

VASQUEZ, EDWARD, 2013/0714 (2013)

87207 - 1 count
\$200

Edward Vasquez, as the Director of the Central Basin Municipal Water District, failed to timely disclose gift(s) of: one meal valued at \$122.32 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

VASQUEZ, JOHN, 1988/0140 (1999)

87202 & 87203 - 1 count
\$750

John Vasquez, an appointed Solano county Planning Commissioner, failed to file a statement of economic interests in 1987.

VASQUEZ, JONATHAN, 2013/0728 (2013)

87207 - 1 count
\$200

Jonathan Vasquez, as the Superintendent of the Los Nietos School District, failed to timely disclose gift(s) of: one meal valued at \$132.92 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

VASQUEZ-RAMOS, ARMANDO, 1988/0293 (1990)

87300 - 2 counts

\$2,000

Armando Vasquez-Ramos, a commissioner of the California State University and Colleges Headquarters Building Authority in Long Beach, failed to file 1987 and 1988 annual statements of economic interests.

VASQUEZ-RAMOS, ARMANDO, 1990/0643 (1991)

87300 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Armando Vasquez-Ramos, former commissioner with the California State University and Colleges Headquarters Building Authority in Long Beach, who failed to file a 1989 annual statement of economic interests by the April 1, 1990, deadline and a leaving office statement of economic interests within 30 days of leaving office.

VAZQUEZ FOR NATIONAL SCHOOL DISTRICT 2010; ELIZABETH VAZQUEZ; CARLOS M. SOLORZANO, JR, 2011/1061 (2012)

84200 - 2 counts
\$400

Vazquez for National School District 2010, Elizabeth Vazquez and Carlos M. Solorzano, Jr., Treasurer, failed to file two semiannual campaign statements in a timely manner for the reporting periods of January 1, 2011, through June 30, 2011, due August 1, 2011, and July 1, 2011, through December 31, 2011, due January 31, 2012.

VEATCH, HENRY, 2006/0084 (2011)

87100 - 1 count
\$3,000

Henry Veatch made a governmental decision as a member of the Alpine county Board of Supervisors to allocate funding to one of his sources of income, the Alpine Children's Center.

VELLANOWETH, FERNANDO, 2000/0066 (2001)

89512.5 - 35 counts
\$35,000

Fernando Vellanoweth of Sacramento and treasurer of the Workers' Compensation Defense Attorneys' Association Education Fund (PAC) and assistant treasurer for the Los Angeles Court Reporters PAC (LAPAC) from 1982 to 1996, caused PAC and LAPAC to make expenditures of campaign funds which conferred a substantial personal benefit on him between 1993 and 1996.

VENTURA BLUE COMMITTEE AND SANDRA KINSLER, 2012/0506 (2012)

84305.5(a)(2), (4) - 1 count
\$1,500

Ventura Blue Committee is a slate mailer organization. Sandra Kinsler was, at all time relevant in this matter, the treasurer of the organization. They sent out a slate mailer that did not contain the "Notice to Voters" language required by Government Code section 84305.5, subdivision

(a)(2) and did not include asterisks to indicate those candidates who paid to appear on the mailer.

VENTURA COUNTY MEDICAL ASSOCIATION/CARR, MARY, 2013/0308 (2013)

84200 - 8 counts
\$1,600

Ventura County Medical Association PAC, and its Treasurer, Mary Carr, failed to timely file eight consecutive recipient committee campaign disclosure statements from January of 2009, through December of 2012.

VENTURE CATALYST INCORPORATED, 2000/0418 (2000)

84203 - 1 count
\$2,000

Venture Catalyst Incorporated of San Diego failed to file a late contribution report during the March 7, 2000, primary election.

VERBOON, MILSTEIN & PETER, 2005/0576 (2006)

84203 - 12 counts
\$3,300

Verboon, Milstein & Peter of Santa Monica failed to timely disclose late contributions totaling \$22,000 in 2004.

VERDUGO, DENNIS, 2007/0755 (2010)

87300 - 1 count
\$2,500

Diana Verdugo, a designated employee of the Department of Consumer Affairs as a member of the California Department of Consumer Affairs, Speech-Language Pathology and Audiology Board failed to timely file her 2006 Statement of Economic Interests.

VERDUGO, DIANA, 2006/0985 (2007)

87302 - 1 count
\$100

Diana Verdugo, Speech-Language Pathology and Audiology board member, failed to timely file her 2005 annual statement of economic interests.

VERNER, LIIPFERT, BERNHARD, MCPHERSON & HAND, CHARTERED, 2003/0433 (2003)

84200 - 2 counts
\$800

Verner, Liipfert, Bernhard, McPherson & Hand, Chartered of Washington, D.C., failed to file major donor campaign statements in 2002.

VIDLER WATER COMPANY, INC, 2001/0046 (2001)

84200 - 1 count
\$400

Vidler Water Company, Inc. of La Jolla failed to file a major donor campaign statement in 2000.

VILLAFRANCA, ANTHONY, AND FRIENDS TO ELECT TONY VILLAFRANCA, 1999/0297 (2002)

84101 - 1 count
84200 - 1 count
\$1,200

Anthony Villafranca, unsuccessful candidate for National City City Council, and his controlled committee, Friends to Elect Tony Villafranca, failed to timely file a statement of organization and a semi-annual campaign statement in 1998.

VILLAGE BUILDERS 98 AND AFFILIATED ENTITIES, 2003/0468 (2003)

84200 - 1 count
\$400

Village Builders 98 and affiliated entities of San Francisco failed to file a major donor campaign statement in 2000.

VILLANUEVA, DANIEL D, 2003/0495 (2003)

84200 & 84605 - 1 count
\$6,000

Daniel D. Villanueva of Los Angeles failed to file a major donor campaign statement in paper and electronic formats in 2002.

VILLANUEVA, DANIEL D, 2004/0159 (2004)

84203 - 1 count
\$1,500

Daniel D. Villanueva failed to file a late contribution report in 2003.

VILLANUEVA, DANNY, 2013/0877 (2013)

87207 - 1 count
\$200

Danny Villanueva, as the Assistant Director of Business Advisory Services for the Los Angeles county Office of Education, failed to timely disclose gift(s) of: four meals totaling \$409.12 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

VILLARAIGOSA, ANTONIO, 2010/0579 (2011)

87203 - 21 counts
\$21,000

Antonio Villaraigosa, Mayor of the City of Los Angeles, failed to report 21 gifts, each in the amount of fifty dollars or more on his 2006, 2007, 2008 and 2009 annual Statements of Economic Interests, which were due by April 1 of each subsequent year.

VILLASENOR, MARIA, 2002/0403 (2003)

87300 - 1 count
\$200

Maria Villasenor, Civic Arts Commission member, City of Berkeley, failed to timely file a 2001 annual statement of economic interests.

VILLINES, MICHAEL, 2009/0903 (2010)

87207 - 1 count
\$200

Assemblymember Michael Villines failed to report a gift of tickets to a Sacramento Kings game, refreshments, and a parking pass paid by AT&T and valued at \$256.98 on his 2008 Statement of Economic Interests.

VINCENT, EDWARD, 1987/0127 (1990)

84203 - 3 counts
84211 - 4 counts
84305 - 1 count
\$16,000

Inglewood Mayor Edward Vincent committed campaign disclosure violations in connection with an April 1987 local election. Four violations involved Vincent's failure to disclose contributions made by his committee in support of a local ballot measure, Proposition 1, which would have nearly tripled the mayor's \$18,000 salary. The contributions totaling more than \$2,300 to the "Yes on Proposition 1 Committee" resulted from payments for the production and distribution of two mass mailings, urging passage of the unsuccessful local ballot measure. Two violations involved Vincent's failure to disclose contributions made during the 1987 election of Ervin "Tony" Thomas, a candidate for Inglewood City Council. The contributions of more than \$7,500 resulted from payments for the production and distribution of seven mailers in support of Thomas. The contributions included a payment of more than \$2,000 during the late contribution period. Another violation involved Vincent's failure to provide the required sender identification on a mass mailing from the Inglewood mayor's office.

VINCENT, EDWARD, 1995/0186 (1996)

89505 - 2 counts
\$2,000

Edward Vincent, mayor of Inglewood and alternate commissioner on the California Coastal Commission and commissioner on the California World Trade Commission, exceeded the gift limit in 1994.

VINEYARD, RICHARD, 1990/0205 (1991)

84211 - 1 count
84300 - 1 count
84305 - 2 counts
\$8,000

Richard Vineyard, candidate for Hawaiian Gardens City Council, committed violations of the mass mailing provisions in connection with two mailers which failed to identify him as the sender. In order to conceal his connection to the mailer, Vineyard paid for the mailer in cash and failed to report the mailer expenditures on his campaign statements.

VINTZE, ROGER, 2010/0532 (2010)

87300 - 1 count
\$200

Roger Vintze, Senior Hazardous Substance Scientist for the Department of Toxic Substances, failed to timely file a 2009 annual Statement of Economic Interests.

VIRAG, WAYNE, 2006/0339 (2009)

87206 - 2 counts
87300 - 1 count
\$2,600

Wayne Virag, former director of the Siskiyou county Planning Department, failed to disclose interests in real property on his 2004 and 2005 Statements of Economic Interests, and failed to file a leaving office Statement of Economic Interests.

VISA U.S.A., INC, 2007/0278 (2007)

84203 - 2 counts
\$3,750

Visa U.S.A., Inc. of Washington, DC, failed to timely disclose late contributions totaling \$25,000.

VISIONQUEST INDUSTRIES, INC, 2002/0724 (2002)

84203 - 1 count
\$1,500

Visionquest Industries, Inc. of Irvine failed to file a late contribution report in 2002.

VITAS INNOVATIVE HOSPICE CARE AND RONALD FRIED, 2011/0133 (2012)

86115 - 2 counts
\$600

Vitas Innovative Hospice Care, a registered California lobbyist employer, and Ronald Fried, Senior Vice President and responsible filing officer, failed to file Lobbyist Employer Reports in a timely manner for reporting periods July 1, 2010, through September 30, 2010, due by November 1, 2010, and October 1, 2010, through December 31, 2010, due January 31, 2011.

VOLLERING, ANTHONY, 2010/0541 (2010)

87300 - 3 counts
\$600

Anthony Vollering, an alternate commissioner for the Cut Flower Commission, failed to timely file an assuming Office Statement of Economic Interests, a 2008 annual Statement of Economic Interests, and a 2009 annual Statement of Economic Interests.

VOTER GUIDE SLATE MAIL AND BEVERLY SEEDBORG, 2009/0209 (2011)

84218(a) - 1 count
\$2,000

Voter Guide Slate Mail, a slate mailer organization, and Beverly Seedborg, treasurer of Voter Guide Slate Mail received \$111,286 and expended \$342,785 to produce slate mailers during the semi-annual reporting period of October 19, 2008, through December 31, 2008. Respondents failed to file a semi-annual campaign statement by the February 2,

2009, due date, for the reporting period of October 19, 2008, through December 31, 2008.

VOTER INFORMATION GUIDE AND TRACEY POMERANCE-POIRIER, 2010/0978 (2012)

84219(h)(5) - 1 count
\$2,000

Voter Information Guide is a slate mailer organization and Tracey Pomerance-Poirier is the organization's treasurer. For the reporting periods ending June 30 and December 31, 2010, Respondents failed to report subvendor information in a timely manner for payments totaling approximately \$407,400.

VOTER REVOLT TO CUT INSURANCE RATES AND WILLIAM WESTERMEYER, TREASURER, 1998/0333 (2000)

84104 - 1 count
\$2,000

Voter Revolt to Cut Insurance Rates, a primarily formed recipient committee located in Santa Monica, and its treasurer, William Westermeyer, failed to maintain detailed accounts necessary to prepare campaign statements from 1994 to 1996.

VOTERS FOR A NEW CALIFORNIA AND JOAQUIN ROSS, 2010/0470 (2013)

85301(a) - 1 count
84211(b), (i), & (k) - 1 count
\$6,500

Voters for a New California, purported to be a general purpose committee for the purpose of making independent expenditures to support Latino candidates. Joaquin Ross was a principal officer of the committee, and at the same time was a paid general campaign manager for Luis Alejo, a successful candidate for the California State Assembly. In approximately May 2010, Voters for a New California and Joaquin Ross made an over-the-limit non-monetary contribution in support of Luis Alejo's candidacy for the California State Assembly in the form of three mass mailings, which cost approximately \$28,892. Also, Voters for a New California and Joaquin Ross filed a false Form 460 campaign statement with the Secretary of State for the reporting period ending May 22, 2010, which concealed the over-the-limit contribution described above by falsely reporting it as an independent expenditure.

VOTERS FOR HONESTY AND INTEGRITY IN POLITICS, AND RICARDO A. TORRES, II, 2006/0342 (2006)

84204 - 1 count
\$1,500

Voters for Honesty and Integrity in Politics, a state general purpose recipient committee, and its treasurer, Ricardo A. Torres, II, failed to properly disclose required contributor information on a late independent expenditure report.

VURDIEN, RAJEN, 2013/0247 (2013)

87207 - 2 counts

\$400

Rajen Vurdien, as the President of the North Orange county Community College District, failed to timely disclose gift(s) of: one meal valued at \$153.86 on his 2010 Statement of Economic Interests; and one meal valued at \$180.00 on his 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

VYDRA, SCOTT, 1999/0295 (2000)

87300 - 1 count
\$500

Scott Vydra, field representative with the Assembly, failed to timely file his 1997 annual statement of economic interests.

WACKENHUT CORRECTIONS CORPORATION, 2003/0434 (2003)

84200 - 1 count
\$400

Wackenhut Corrections Corporation of Boca Raton, Florida, failed to file a major donor campaign statement in 2002.

WAGLE, MARY-JANE, 2007/0307 (2007)

84203 - 2 counts
\$1,958

Mary-Jane Wagle of Los Angeles failed to timely disclose late contributions totaling \$13,050.

WAGLE, MARY-JANE, 2007/0308 (2007)

84200 - 1 count
\$400

Mary-Jane Wagle of Los Angeles failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,908 in 2005.

WAGNER, ERIS H., AND ERIS WAGNER FOR SUPERIOR COURT JUDGE, 2000/0851 (2001)

84200.5 & 84200.7 - 1 count
84203 - 1 count
\$2,500

Eris H. Wagner, successful candidate for Superior Court Judge of Humboldt county, and her controlled committee, Eris Wagner for Superior Court Judge, failed to file a pre-election campaign statement and a late contribution report in 1998.

WAHL, J. REGINALD, 1979/0042 (1980)

86105 - 1 count
86107 - 1 count
\$450

J. Reginald Wahl, a registered lobbyist for the Association of American Publishers, failed to report and itemize expenditures for lobbying activity on his lobbyist report. He also failed to list the expenses and the full name and official positions of the persons who were furnished food

and beverages. This case was initiated as a result of an audit by the Franchise Tax Board.

WAHL, LARRY C, 1999/0097 (2004)

84308 - 4 counts
87203 & 87206 - 3 counts
\$12,000

Larry C. Wahl failed to disclose investments and real property interests of his wife. Mr. Wahl failed to disclose campaign contributions and failed to disqualify himself from making decisions involving the contributors of the unreported contributions.

WAI, BONNIE, FRIENDS OF BONNIE WAI FOR CITY COUNCIL, AND JOSEPH WONG, TREASURER, 1992/0286 (1995)

84104 - 1 count
84203 - 1 count
84211 - 4 counts
84300 & 84211 - 2 counts
84300 - 2 counts
\$12,500

Bonnie Wai, candidate for Monterey Park City Council, her committee, Friends of Bonnie Wai for City Council, and its treasurer and Ms. Wai's husband, Joseph Wong, failed to itemize numerous monetary contributions, loans, and expenditures from 1991-1992. The campaign also received illegal cash contributions and made illegal cash expenditures. Finally, the campaign failed to maintain records for cash contributions.

WAITT, TED, 2001/0134 (2001)

84200 - 1 count
\$2,000

Ted Waitt of La Jolla failed to file a major donor campaign statement in 2000.

WALDMAN, STUART, FRIENDS OF STUART WALDMAN, AND KINDE DURKEE, 2010/0643 (2011)

84211(k) - 1 count
84211(k) & 84303 - 1 count
85201(d)(e) - 1 count
\$8,000

Stuart Waldman was an unsuccessful candidate for the California State Assembly, Fortieth District, in the 2008 primary election. Friends of Stuart Waldman was his controlled committee, and Kinde Durkee was the committee treasurer. From January 1, 2006, through June 30, 2008, Respondent Waldman failed to deposit personal funds totaling approximately \$76,000 into his committee's single, designated campaign bank account prior to expenditure. Also, for the reporting periods from January 1, 2006, through June 30, 2008, respondents failed to correctly report campaign expenditures totaling approximately \$76,000 on filed campaign statements. Additionally, respondents failed to timely report subvendor information for payments totaling approximately \$173,013 on the semi-annual campaign statement filed for the period ending June 30, 2008.

WALKER, DENNIS, 1994/0237 (1996)

87401 - 5 counts
 87402 - 1 count
 \$9,000

Dennis Walker, a former employee of the state Department of Motor Vehicles (DMV), separated from state service in 1990 to work for Tandem Computers, Inc. Walker subsequently violated the revolving door provisions when he assisted in representing Tandem in one of DMV's information technology projects, the Data Base Redevelopment Project, the same proceeding in which he participated while he was employed as the Project Director and EDP Division Deputy Chief for the DMV.

WALKER, LARRY, WALKER FOR SUPERVISOR COMMITTEE, GARY AYALA, RUBEN AYALA, AND AYALA DINNER COMMITTEE, 1986/0435 (1988)

84203 - 2 counts
 84305 - 1 count
 \$2,250

San Bernardino county Supervisor Larry Walker, campaign consultant Gary Ayala and Senator Ruben Ayala committed campaign reporting and mass mailing violations. The mass mailing violations by Mr. Walker and Gary Ayala involved failure to properly identify the candidate as the source of a Howard Jarvis endorsement letter sent to 24,000 Republican households. The pair also was cited for failing to file a late contribution report for a \$10,000 non-monetary contribution received from Senator Ruben Ayala's campaign committee. The Ruben Ayala Committee failed to report the value of the postage for an Ayala letter endorsing Larry Walker.

WALKUP, MELODIA, KELLY & ECHEVERRIA, 2000/0419 (2000)

84203 - 1 count
 \$2,000

Walkup, Melodia, Kelly & Echeverria of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

WALLACE, KENNETH, 2001/0093 (2005)

87100 - 2 counts
 \$3,500

Kenneth Wallace, a member of the board of directors for the Midway Heights county Water District, participated in the making of governmental decisions in which he had a financial interest in 2000.

WALLIS, BRUCE M, 1991/0512 (1994)

87100 - 1 count
 \$1,750

Bruce Wallis, a former member of the Hemet Unified School District Board, violated the conflict-of-interest provisions when he voted on a motion directly involving a

client of his law firm. Wallis' pro rata share of income from that client during the 12 months preceding the vote exceeded \$250. Wallis voted in favor of moving forward with the possible purchase by the school district of a parcel of property owned by his client. The gross purchase price being considered for the property would have been in the range of \$2 million. Ultimately, the school district did not purchase the property in question.

WALTERS, BRIAN, 2012/0735 (2013)

84200 - 1 count
 \$200

Brian Walters, a board member for the Newhall School District, failed to timely file a Short Form Campaign Statement (Form 470) covering the 2012 calendar year, due July 31, 2012.

WALTERS, ROBERT G., BOB WALTERS FOR SUPERVISOR, AND LEONARD W. ATKINSON, 2006/0516 (2008)

84303 - 1 count
 \$2,500

Robert G. Walters, an unsuccessful candidate for the Sacramento county Board of Supervisors, his controlled committee, Bob Walters for Supervisor, and its treasurer, Leonard W. Atkinson, failed to report subvendor information for payments totaling \$202,481 on campaign statements filed for reporting periods in 2003 and 2004.

WALTHER, ROGER O, 1996/0415 (1996)

84300 & 84301 - 6 counts
 \$10,800

Roger O. Walther, a resident of the City of San Francisco and owner of ELS Educational Services, Inc., laundered contributions made to San Francisco Mayor Frank Jordan prior to the November 1995 general election and the December 1995 run-off election.

WANG, HAN-YING, 2003/0546 (2004)

84200 - 1 count
 \$1,500

Han-Ying Wang failed to file a major donor campaign statement in 2002.

WANG, JEFFREY, 2009/0460 (2010)

87300 - 3 counts
 \$10,500

Jeffrey Wang, Associate Professor and a principal investigator with the University of California, Los Angeles, David Geffen School of Medicine, failed to disclose on his Statement of Economic Interests, the non-governmental funding sources providing grants for medical research he conducted at UCLA.

WANG, NICHOLAS, 1987/0495 (1988)

84200 - 2 counts
 \$1,500

Nicholas Wang of Los Angeles failed to timely file two major donor campaign statements for contributions totaling \$34,756 in 1985 and 1986.

WANTA, PATRICK, 1998/0551 (2000)

87300 - 2 counts
\$800

Patrick Wanta, former appointed member of the Loan and Grant Committee of the California Department of Housing and Community Development, failed to timely file his 1997 and 1998 annual statements of economic interests.

WARD, LARRY, LARRY WARD FOR ASSEMBLY COMMITTEE, AND CHRISTINE HINTON, 1987/0458 (1990)

81004 - 2 counts
84211 - 3 counts
84213 - 2 counts
\$1,300

Larry Ward, unsuccessful candidate for the assembly in 1986, and his committee, committed violations involving the reporting of false contributions and expenditures. The candidate and his committee inflated campaign receipts by \$20,000 in its May campaign statement and claimed \$17,590 in fictitious expenditures in its August filing. Committee treasurer, Christine Hinton, also improperly verified that the campaign statements were true and complete without viewing any legally required supporting documentation. The candidate contributed to the violation by directing his treasurer to make the false entries.

WARD, TIM, 2005/0652 (2008)

87100 - 1 count
\$3,000

Tim Ward was a member of the City of Lafayette Design Review Commission and also an architect, with ownership interest in Ward-Young Associates, an architectural planning and design company doing business in Lafayette. Ward participated in making a governmental decision which had a material financial effect on his source of income, Ward-Young Architects.

WARMERDAM, BILL, 2003/0529 (2004)

87300 - 1 count
\$100

Bill Warmerdam failed to timely file a 2002 annual statement of economic interests.

WARNER, CHRISTOPHER, 1999/0811 (2000)

87300 & 87302 - 1 count
\$200

Christopher Warner, board member of the San Francisco Conservation and Development Commission, failed to timely file his 1998 annual statement of economic interests.

WASHBURN, GARY M, 1993/0262 (1995)

87100 - 2 counts
87200 & 87207 - 3 counts

\$4,000

Gary M. Washburn, mayor of Lake Elsinore, failed to disclose loans received on his statements of economic interests from 1990 through 1994. During this time, Mr. Washburn participated in decisions directly involving the source of one of the loans. He also failed to report income from the sale of property on his statements of economic interests.

WASHINGTON MUTUAL BANK, FA, 2003/0831 (2004)

84203 - 3 counts
\$3,000

Washington Mutual Bank, FA failed to file late contribution reports in 2002.

WASHINGTON, CARL, CARL WASHINGTON FOR ASSEMBLY, AND PAM GOODWIN, TREASURER, 2000/0362 (2001)

84211 - 1 count
\$1,000

Carl Washington, successful Paramount candidate for assembly in 1998, his controlled committee, Carl Washington for Assembly, and Pam Goodwin, its treasurer, failed to timely disclose a contribution on a semi-annual campaign statement in 1998.

WASSERMAN, CASEY, 2004/0766 (2005)

84203 - 1 count
\$3,000

Casey Wasserman of Los Angeles failed to file a late contribution report in 2003.

WASSON-SMITH, JAN, 2000/0380 (2002)

87300 - 2 counts
\$2,000

Jan Wasson-Smith, general manager of the Anderson Valley Community Services District in Mendocino county, failed to timely file her 1998 and 1999 annual statements of economic interests.

WATERS, DAN K., 2010/0485 (2011)

87100 - 1 count
\$2,500

Dan Waters, Customer Services Supervisor for the Community Development Department for the City of Sacramento, participated in a governmental decision, the granting of an application for amended entertainment permit conditions, in which he had a material financial interest.

WATERS, NORMAN S, 1991/0406 (1992)

89519 - 1 count
\$1,000

Norman Waters, former state assemblyman, failed to properly dispose of video equipment that had been purchased by his campaign committee. After losing in the

November 1990 election, respondent kept the equipment at his personal residence instead of disposing of it as permitted by law.

WATERSON, THOMAS, 2003/0715 (2004)

87300 - 1 count
\$100

Thomas Waterson failed to timely file a 2002 annual statement of economic interests.

WATERSTON, ROBERT, 2007/0274 (2010)

87100 - 2 counts
\$7,000

Robert Waterston, Chairman of the Local Agency Formation Commission for Fresno county, made two governmental decisions in which he had a financial interest.

WATROUS, ROBERT, 2002/0549 (2003)

87300 - 2 counts
\$400

Robert Watrous, member of the California Board of Registered Nursing for the Department of Consumer Affairs, failed to timely file an assuming office and a 2001 annual statement of economic interests.

WATROUS, ROBERT, 2007/0760 (2009)

87300- 1 count
\$400

Robert Watrous, Registered Nursing Board member, California Department of Consumer Affairs, failed to timely file a 2006 annual Statement of Economic Interests.

WATSON, DIANE E, 1988/0066 (1988)

87203 - 1 count
\$2,000

Los Angeles Senator Diane E. Watson failed to provide timely disclosure of loans on her statement of economic interests. The loans were obtained in 1987 from sources other than commercial lending institutions for home improvement costs. One loan was from a real estate and consulting firm, while the other was a \$5,000 loan from Willie Hausey, a longtime friend and registered lobbyist who represented health care interests.

WATSON, GUADALUPE "LUPE" RAMOS, 2012/0489 (2013)

87100 - 1 count
\$4,000

Guadalupe "Lupe" Ramos Watson, a member of the City of Indio City Council, made a governmental decision in which she had a financial interest, by voting to authorize a lease agreement between the Redevelopment Agency for the City of Indo and KEB Enterprises, LLC, for teh lease of the Indio Transportation Center site, which was located within 500 feet of real property in which Ramos Watson held an economic interest of \$2,000 or more.

WATSON, THOMAS, WATSON FOR SUPERVISOR, FRED KARLEN, AND GEORGE ARZOO, 1990/0080 (1990)

84104 - 2 counts
84211 - 2 counts
84213 - 2 counts
84300 - 1 count
\$14,000

Thomas Watson, candidate for Riverside county Supervisor, his controlled committee, and campaign treasurers failed to disclose a Riverside county developer as the true source of two large cash contributions.

The contributions in 1986 -- \$20,000 in April and \$11,000 in May -- were made by developer Louis Laramore to the Watson for Supervisor Committee during the candidate's unsuccessful primary campaign in Riverside county. The developer made his contributions through Watson's paid political consultant, John Furtak, who "misinformed" the committee treasurers about the true source of the funds.

Committee treasurers, Fred Karlen and George Arzoo acted improperly because, based on other information available to them, they had reason to know that the funds came from some source other than a \$99 per person fundraiser. The treasurers also violated the law by receiving cash contributions of \$100 or more and failed to maintain the detailed records necessary to prepare the campaign statements in question and properly disclose contributions.

WEAR, BYRON, 2000/0391 (2004)

87200 & 87207 - 3 counts
\$7,000

Byron Wear, San Diego City Council member, failed to disclose an outstanding loan on his 1998, 1999, and 2000 annual statements of economic interests.

WEAVER, DAVID, DAVID WEAVER FOR GLENDALE CITY COUNCIL, AND ERLINDA WEAVER, 2005/0272 (2009)

84104 - 1 count
84300(a) - 1 count
89503(a) - 1 count
\$9,000

David Weaver has been a member of the Glendale City Council since 1997 and was a successful candidate for Glendale City Council in the April 2005, local election. "David Weaver for Glendale City Council" was his controlled recipient committee during the 2005 Glendale City Council election and Erlinda Weaver was the treasurer of Respondent Committee. Respondents Weaver, Committee, and Erlinda Weaver violated the Political Reform Act by receiving four cash contributions of \$100 or more; failed to maintain the detailed accounts, records, bills, and receipts necessary to prepare the pre-election campaign statement for the reporting period of January 1, 2005, through February 19, 2005, to establish that the campaign statement was properly filed, and to comply with the campaign reporting provisions of the Act; Respondent Weaver violated the Act by accepting a gift in excess of the annual gift limit.

WEAVER, FLOYD H, 1995/0183 (1995)

84211 - 1 count
89512 - 1 count
\$4,000

Floyd Weaver, a member of the Stockton City Council, used campaign funds to buy personal life insurance. He also failed to report the expenditures for the life insurance on his campaign statements.

WEBB SURVIVOR'S TRUST, 2003/0435 (2003)

84200 - 1 count
\$400

Webb Survivor's Trust of Beverly Hills failed to file a major donor campaign statement in 2002.

WEBB, STEPHEN, AND THE CITIZENS TO ELECT STEPHEN WEBB, 1988/0152 (1990)

84211 - 1 count
87100 - 5 counts
\$12,000

Stephen Webb, former Moreno Valley City Councilmember, committed conflict-of-interest and campaign reporting violations. Five of the six counts involved conflicts-of-interest violations stemming from Webb's votes in January and February 1986 to approve two development projects, Moreno Valley Ranch and Hidden Springs, which were likely to materially benefit a source of income.

Webb had a financial interest in the decisions requiring disqualification because of income received from Moreno Valley Cablevision. Webb, a general partner with an eight percent ownership interest, received between \$2,000 and \$3,000 a month in 1985 and 1986 from the cable television company. Approval of the housing projects was likely to benefit Webb's company, which was one of the only two firms with franchises to operate in Moreno Valley. Moreno Valley Cablevision eventually received contracts to install underground cable for part of the houses in both development projects.

The campaign reporting violation involved the failure of the candidate and his controlled committee, Citizens to Elect Stephen Webb, to properly cumulate and itemize four \$99 contributions received the same day in September 1985 from Dale Griffin personally or through his companies.

WEBSTER, KEITH, 2009/0228 (2010)

87200 & 87203 - 1 count
\$200

Keith Webster, Anderson City Councilman, failed to timely disclose the River Run Cove Land Development Company as a source of income on an annual Statement of Economic Interests for the reporting period of January 1, 2008 through December 31, 2008.

WEBVAN GROUP, INC, 2001/0047 (2001)

84200 - 1 count
\$400

Webvan Group, Inc. of Foster City failed to file a major donor campaign statement in 2000.

WEG, KENNETH E, 2002/1053 (2003)

84203 - 1 count
\$1,500

Kenneth E. Weg of Princeton, New Jersey, failed to file a late contribution report in 2002.

WEIDER PUBLICATIONS, INC, 2003/0551 (2004)

84200 - 1 count
84605 - 1 count
\$6,000

Weider Publications, Inc. failed to file in paper or electronic format a major donor campaign statement in 2002.

WEINBERG, DENNIS, 2001/0048 (2001)

84200 - 1 count
\$400

Dennis Weinberg of Camarillo failed to file a major donor campaign statement in 2000.

WEINSTEIN, HARVEY, 2003/0588 (2003)

84200 - 1 count
\$1,650

Harvey Weinstein of New York, New York, failed to file a major donor campaign statement in 2002.

WELSH, CHRIS, 2012/0552 (2013)

87300 - 1 count
\$400

Chris Welsh, Orange county Airport Commissioner, failed to timely file the 2011 Annual Statement of Economic Interests covering the period January 1, 2011 through December 31, 2011, due April 2, 2012.

WEN, JACKSON, 2003/0343 (2003)

84200 - 1 count
\$400

Jackson Wen of West Covina failed to file a major donor campaign statement in 2002.

WENDY'S OF THE PACIFIC, INC, 2006/0693 (2006)

84200 - 1 count
\$400

Wendy's of the Pacific, Inc., located in Modesto, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$10,000 in 2004.

WENTE, PHILIP, 1987/0057 (1992)

87100 - 2 counts
87300 - 3 counts
\$9,000

Philip Wente, director of the Alameda county Flood Control and Water Conservation District, Zone 7, violated

conflict-of-interest disqualification and disclosure provisions. Wente, who is executive vice-president of Wente Brothers, voted on proposed capital improvements for the Zone 7 water distribution and treatment system that would benefit the Ruby Hills property first owned by his corporation and then by a developer who purchased the Ruby Hills Property from Wente Brothers. He also failed to disclose his interest in the Ruby Hills property on statements of economic interests filed in 1987 and 1989. In 1988, he failed to disclose the buyer of Ruby Hills as a source of income.

WENTLAND, DANIEL, 1997/0220 & 1999/0076 (2000)

87202 - 1 count
\$2,000

Daniel Wentland, Paradise Town Council member, failed to fully disclose his economic interests in an amendment to an assuming office statement of economic interests filed in 1997.

WES KEUSDER AND AFFILIATED ENTITIES, 2006/0356 (2006)

84200 - 3 counts
\$1,200

Wes Keusder and affiliated entities of Costa Mesa failed to timely file semi-annual campaign statements disclosing contributions totaling \$16,095 in 2004 and \$11,050 in 2005.

WESELOH & SONS CHEVROLET-HUMMER-HONDA, 2006/0358 (2006)

84200 - 1 count
\$400

Weseloh & Sons Chevrolet-Hummer-Honda of San Juan Capistrano failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,200 in 2003.

WESELOH CHEVROLET CO., INC, 2006/0315 (2006)

84200 - 1 count
\$400

Weseloh Chevrolet Co., Inc. of Carlsbad failed to timely file a semi-annual campaign statement disclosing contributions totaling \$12,500 in 2003.

WESSON, DONALD RAY, 2001/0550 (2003)

87203 - 1 count
\$300

Donald Ray Wesson, Delano Planning Commissioner, failed to timely file a 2001 annual statement of economic interests.

WEST KERN MACHINERY, INC, 2000/0420 (2002)

84200 - 1 count
\$400

West Kern Machinery, Inc., located in Buttonwillow, failed to file a major donor campaign statement in 2000.

WEST VALLEY MRF, 2007/0415 (2007)

84200 - 4 counts
\$1,600

West Valley MRF of Fontana failed to timely file semi-annual campaign statements disclosing contributions totaling \$35,250 that were due in 2004, 2005 and 2006.

WEST, JACKSON, 2013/0847 (2013)

87300 - 1 count
\$200

Jackson West, as a Member of the Sunshine Ordinance Task Force for the City and county of San Francisco, failed to timely file the 2012 Annual Statement of Economic Interests covering the period January 1, 2012, through December 31, 2012, due April 1, 2013.

WESTCO CAPITAL CORPORATION, AND WILMINGTON FINANCIAL CORPORATION, 1987/0369 (1988)

84200 - 1 count
\$1,750

Westco Capital Corporation of Culver City and an affiliated entity, Wilmington Financial Corporation, failed to file a major donor campaign statement disclosing a total of \$110,000 contributed to the Curb Committee. Only a single campaign statement was required because they are affiliated entities.

WESTCO COMMUNITY BUILDERS, INC, 2001/0239 (2001)

84200 - 2 counts
\$800

Westco Community Builders, Inc. of San Leandro failed to file two major donor campaign statements in 2000.

WESTERLUND, LAWRENCE AND FRIENDS OF LARRY WESTERLUND FOR CITY COUNCIL 2008, 2012/0605 (2012)

89512 - 1 count
\$1,000

Larry Westerlund was a city councilman for the City of Fresno. He also is a licensed attorney. Friends of Larry Westerlund for City Council 2008 is his campaign committee. Mr. Westerlund expended funds from his campaign bank account to pay his State Bar dues for the years 2010 and 2012, and his Fresno county Bar Association dues for 2011.

WESTERN ALLIANCE OF FARMWORKER ADVOCATES, INC, 2001/0560 (2003)

84605 - 4 counts
86117 - 12 counts
\$22,000

Western Alliance of Farmworker Advocates, Inc., a non-profit public benefit corporation and registered lobbyist employer in Santa Rosa, failed to timely file lobbyist

employer reports and electronic lobbyist employer reports in 1999, 2000, and 2001.

WESTERN MOBILEHOME ASSOCIATION POLITICAL ACTION COMMITTEE, 1992/0407 (1994)

84203.5 - 1 count
84304 - 4 counts
\$7,000

Western Mobilehome Association Political Action Committee (PAC) made four late independent expenditures totaling \$10,000 for which it failed to timely file four late independent expenditure reports just prior to the September 17, 1991, San Diego primary election for city council. The PAC also failed to file independent expenditure reports for two independent expenditures totaling \$5,000.

WESTERN PACIFIC HOUSING-EDGEWOOD 45 LLC, 2001/0233 (2001)

84200 - 1 count
\$400

Western Pacific Housing-Edgewood 45 LLC of Pleasanton failed to file a major donor campaign statement in 2000.

WESTLY, STEVE, WESTLY FOR GOVERNOR, AND KINDE DURKEE, 2006/0892 (2009)

84200.7(a)(2), 84211(k) & 84303 - 29 counts
84211(b)(i)(k) - 3 counts
\$104,500

Steve Westly, a candidate for Governor, Westly for Governor, and Kinde Durkee, Treasurer for the Westly for Governor committee, failed to report or timely report required subvendor information for payments made on behalf of Westly for Governor to various subvendors; failed to report accrued expenses; and failed to report expenditures paid to Durkee & Associates.

WESTSIDE REPUBLICANS OF LOS ANGELES AND ROBERT PEDERSEN, CHAIRMAN, 2011/1047 (2012)

84200 - 1 count
\$200

Los Angeles county recipient committee Westside Republicans of Los Angeles with Robert Pedersen, Chairman, failed to file the Form 460 campaign statement covering the period January 1, 2011, through June 30, 2011, due August 1, 2011, in a timely manner with the county of Los Angeles.

WHATLEY DRAKE, LLC, 2005/0578 (2005)

84203 - 2 counts
\$1,500

Whatley Drake, LLC of Birmingham, Alabama, failed to file late contribution reports in 2004.

WHITE, CAROLEE, 2004/0160 (2004)

84203 - 1 count
\$1,500

Carolee White failed to file a late contribution report in 2003.

WHITE, DALE, 2006/0423 (2006)

87203 - 1 count
\$100

Dale White, a member of the Grass Valley Planning Commission, failed to timely file a 2004 annual statement of economic interests.

WHITE, JOSEPH, 2013/0384 (2013)

87207 - 1 count
\$200

Joseph White, as the Assistant Superintendent for Mountain View-Los Altos Union High School District, failed to timely disclose gift(s) of: four meals totaling \$338.15 on his 2009 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

WHITEMAN, CINDY, 2013/0636 (2013)

87207 - 2 counts
\$400

Cindy Whiteman, as the Chief Business Officer for the Hughson Unified School District, failed to timely disclose gift(s) of: three meals totaling \$118.87 on her 2009 Statement of Economic Interests; and one meal valued at \$218.00 on her 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

WHITFIELD, BRENDA, 2000/0147 (2001)

87300 & 87302 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Brenda Whitfield, a Los Angeles county Housing Commissioner, who failed to timely file her assuming office statement of economic interests in 1999.

WHITMAN, ANDREW, 2005/0432 (2005)

87203 - 1 count
\$100

Andrew Whitman, a member of the city of Ojai Planning Commission, failed to timely file a 2004 annual statement of economic interests.

WHITNEY DOTSON FOR EAST BAY REGIONAL PARKS DISTRICT AND IFEOMA ADAMS, 2010/0226 (2011)

84200 - 1 count
\$200

Whitney Dotson, Whitney Dotson For East Bay Regional Parks District, and Treasurer Ifeoma Adams failed to timely file a semi-annual report.

WIDMAR, RALPH, RALPH WIDMAR FOR CITY COUNCIL COMMITTEE, AND HENRY RUHNKE, 2008/0247 (2010)

84211(b)(k) - 3 counts

\$5,000

Ralph Widmar was a candidate for the Monterey City Council on November 7, 2006, and Ralph Widmar for City Council Committee was his controlled committee. Henry Ruhnke was the committee treasurer. For the reporting periods ending September 30, 2006, October 21, 2006, and December 31, 2006, Respondents failed to disclose the total amount of expenditures made and required expenditure information.

WIEN TJES, BARBARA, 2007/0780 (2008)

87300 - 1 count
\$200

Barbara Wientjes, Health Council of Marin county board member, failed to timely file her 2006 annual statement of economic interests.

WILCOX, DON L., 2009/0906 (2010)

87207 - 1 count
\$200

Don L. Wilcox, Chief of Staff to Senator Gloria Negrete McLeod, failed to disclose on his 2008 Statement of Economic Interests a gift of meals from The Irvine Company valued at \$189.75.

WILDLIFE CENTER AND O'LEARY, LINDY, 2010/0367 (2012)

86115, 86117 & 84605 - 5 counts
\$5,000

Wildlife Center, a California lobbyist employer, and Lindy O'Leary, the Responsible Officer, failed to timely file five Lobbyist Employer Reports between October 1, 2009 and December 31, 2010, failing to disclose total payments made for lobbying services in the amount of \$65,910.76.

WILDMAN, DONAHUE, 2004/0161 (2004)

84203 - 1 count
\$1,500

Donahue Wildman failed to file a late contribution report in 2003.

WILKES, BRENT, 2003/0791 (2007)

84300 - 1 count
84301 - 1 count
\$4,000

Brent Wilkes, the owner and chief executive officer of a business storage company located in Poway, was the true source of two campaign contributions totaling \$500, made to the Ron Roberts for Mayor Committee in San Diego. The contributions were made in the name of one of Wilkes' employees and her husband.

WILLCO ASSOCIATES, JOHN J. WILL, AND STEVE WILL, 1992/0543 (1993)

84300 - 15 counts
84301 - 10 counts
\$40,000

Willco Associates, a partnership located in Santa Maria, and its two partners, John J. Will, and Steve Will, laundered contributions made to several Santa Barbara and San Luis Obispo county Board of Supervisor campaigns and one state assembly campaign from 1989 through 1992. John J. Will and Steve Will and their numerous business enterprises are engaged in various construction-related activities.

WILLIAM BOLTHOUSE FARMS, INC, 2001/0178 (2001)

84200 - 1 count
\$600

William Bolthouse Farms, Inc. of Bakersfield failed to file a major donor campaign statement in 2000.

WILLIAMS SCOTSMAN, INC, 2003/0345 (2003)

84200 - 1 count
\$581

Williams Scotsman, Inc. of Vacaville failed to file a major donor campaign statement in 2002.

WILLIAMS, ANNETTE M, 2003/0344 (2003)

84200 - 1 count
\$400

Annette M. Williams of Grand Terrace failed to file a major donor campaign statement in 2002.

WILLIAMS, EUGENE, 2003/0550 (2004)

84200 - 1 count
\$2,000

Eugene Williams failed to file a major donor campaign statement in 2002.

WILLIAMS, GEORGE E., WILLIAMS FOR SUPERVISOR, AND GLORIA F. CAVENEE, 2003/0027 (2005)

84211 - 2 counts
\$2,000

George Williams, an unsuccessful candidate for the Placer county Board of Supervisors in the March 7, 2000 primary election, his controlled committee, Williams for Supervisor, and its treasurer, Gloria Cavenee, failed to report required information regarding contributions of \$100 or more on two pre-election campaign statements.

WILLIAMS, HAROLD B, 2003/0832 (2005)

84200 - 1 count
84203 - 1 count
\$8,550

Harold B. Williams of San Diego failed to disclose a late contribution in 2002, and failed to file a semi-annual campaign statement in 2003.

WILLIAMS, TERESA, 2013/0623 (2013)

87207 - 1 count
\$200

Teresa Williams, as the Superintendent for the Moorpark Unified School District, failed to timely disclose gift(s) of: one meal valued at \$102.06 on her 2011 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

WILLIAMS, TRINA, 2012/0736 (2013)

84200 - 1 count
\$200

Trina Williams, Board Member for the Inglewood Unified School District, failed to file a semi-annual Form 470 Campaign Statement covering the 2012 calendar year, due July 31, 2012.

WILLIAMSON, DAVID, 2002/0404 (2003)

87300 - 1 count
\$1,200

David Williamson, member of the Energy Commission for the City of Berkeley, failed to timely file a 2001 annual statement of economic interests.

WILLIAMSON, TERRI, COMMITTEE TO RE-ELECT TERRI WILLIAMSON, AND VERONICA PASCHALL, 2001/0413 (2004)

84200 - 3 counts
87203 - 1 count
\$3,000

Terri Williamson, the Committee to Re-Elect Terri Williamson, and Veronica Paschall failed to file semi-annual campaign statements, and Williamson failed to timely file an annual statement of economic interests.

WILLIS, WARREN P. AND WARREN WILLIS FOR SENATE 2010, 2012/0089 (2012)

84101(a) - 1 count
84200.5(a) & 84200.7(a) - 1 count
85307 - 1 Count
\$10,000

Warren P. Willis was a successful candidate for California State Senate, 30th District, in the June 8, 2010 primary election and he was an unsuccessful candidate in the November 2, 2010 general election. Warren Willis for Senate 2010 was Mr. Willis' candidate controlled committee. At all relevant times, Mr. Willis was treasurer of his committee. This matter arose out of an audit performed by the Political Reform Audit Program of the Franchise Tax Board (FTB) for the period of January 1, 2010, through December 31, 2010. Mr. Willis and his committee failed to file a statement of organization by the April 19, 2010 due date; failed to file a pre-election campaign statement by the May 27, 2010 due date, for the reporting period of January 1 through May 22, 2010; and the committee accepted and Mr. Willis made two personal loans his committee, in the amount of \$15,000 each, which caused the outstanding balance of personal loans made by Mr. Willis to his committee to exceed the \$100,000 threshold by \$25,000.

WILLON, BETH, 2009/0333 (2010)

87300- 1 count
\$500

Beth Willon, a former chief of staff with the California State Senate and a former principal consultant with the California State Assembly, failed to file a leaving office Statement of Economic Interests by the May 30, 2006 and August 4, 2008, due dates.

WILSON, CHRISTOPHER G., COMMITTEE TO ELECT CHRISTOPHER G. WILSON JUDGE OF THE SUPERIOR COURT, AND LELONA R. SONGY, 2000/0824 (2002)

84104 - 1 count
84203 - 2 counts
84211 & 84303 - 1 count
84300 - 1 count
\$6,000

Christopher G. Wilson, a successful candidate for the Humboldt Superior Court in 1998, his controlled committee, Committee to Elect Christopher G. Wilson Judge of the Superior Court, and its treasurer, Lelona R. Songy, failed to file late contribution reports, received prohibited cash contributions or \$100 or more, failed to report subvendor information on campaign statements, and failed to maintain adequate records in 1998.

WILSON, CRAIG, FRIENDS OF CRAIG WILSON, AND DAVID HAWKINS, TREASURER, 1998/0304 (2000)

84211 - 1 count
84303 - 1 count
\$2,000

Craig Wilson, unsuccessful candidate for the State Board of Equalization in 1998, his controlled committee, Friends of Craig Wilson, and David Hawkins, its treasurer, failed to disclose subvendor information for expenditures and failed to properly disclose the consideration received for expenditures in 1998.

WILSON, LIONEL J., MAYOR'S COMMITTEE FOR OAKLAND, HAROLD A. WILSON, TREASURER, AND WARREN B. WILSON, 1990/0813 (1994)

84203 - 4 counts
84211 - 24 counts
84300 - 1 count
84301 & 84300 - 2 counts
\$40,000

Lionel Wilson, mayor of the City of Oakland from 1977 to 1990, unsuccessfully ran for reelection in 1990. Mayor's Committee for Oakland was Wilson's campaign committee and his brother Harold Wilson served as the committee treasurer. During 1990, Mayor's Committee for Oakland failed to report receipt of a \$2,400 contribution, failed to timely file late contribution reports for contributions totaling \$38,000 and failed to diligently collect and report occupation and employer information about campaign contributions totaling \$33,000 that principally arrived in two large bunches. It was subsequently determined the true source of this \$33,000 was William Dallas or Lucas Dallas,

Inc. Finally, Harold Wilson made an illegal cash contribution of \$225 to another candidate and Warren Wilson laundered two \$1,000 campaign contributions to his brother by reimbursing his secretary and daughter for issuing checks to the committee.

WILSON, PETE, PETE WILSON FOR GOVERNOR COMMITTEE, F. LAURENCE SCOTT, TREASURER, AND TARGET ENTERPRISES, 1992/0492 (1992 CIVIL LAWSUIT)

84105
84203
84211
84303
\$100,000

Pete Wilson, a successful candidate for governor in 1990, his committee, the committee treasurer, and the committee’s media firm failed to timely report \$7.1 million which was paid to various media subvendors. However, payments to the media firm were properly reported. The committee also failed to notify 244 major contributors of \$5,000 or more of their own possible filing requirements. Late contributions totaling \$105,698, and contributions totaling \$14,000 were not properly reported. Accrued expenses totaling \$126,884 were not timely reported.

WILSON, WILLIAM, III, 2004/0162 (2004)

84203 - 1 count
\$1,500

William Wilson, III failed to file a late contribution report in 2003.

WINDSOR FINANCIAL CORP, 1987/0299 (1989)

84200 - 1 count
\$2,000

Windsor Financial Corporation failed to timely file major donor campaign statements in connection with more than \$75,000 in contributions made in 1986.

WINDWAY CAPITAL, 1998/0293 (1998)

84200 - 1 count
84203 - 1 count
\$4,000

Windway Capital, located in Sheboygan, Michigan, failed to file a late contribution report for contributions made in 1998. In addition, Windway Capital failed to file a semi-annual campaign statement for contributions made in 1996.

WINEGAR, MATTHEW G., 2012/0309 (2012)

87300 & 87302 - 1 count
\$1,000

Matthew G. Winegar, Development Services Director for the City of Oxnard, received a gift exceeding the reporting threshold of \$50 in the year 2007, and failed to report this gift on his annual Statements of Economic Interests for reporting period, January 1, 2007, through December 31, 2007, due April 1, 2008.

WINGS WEST AIRLINES, INC, 1987/0300 (1989)

84200 - 1 count
\$1,000

Wings West Airlines failed to file a major donor campaign statement for contributions totaling \$11,250 made to six state candidates in 1986.

WINNING DIRECTIONS, 2006/0369 (2006)

84200 - 1 count
\$400

Winning Directions of South San Francisco failed to timely file a semi-annual campaign statement disclosing contributions totaling \$16,150 in 2004.

WINTEC, LTD, 1992/0110 (1994)

84300 & 84301 - 7 counts
\$14,000

The Commission issued a Default Decision and Order against Wintec, Ltd., a wind energy partnership, which laundered seven campaign contributions totaling \$7,000 to the campaign of Riverside county Supervisor Norton Younglove in Spring 1990.

WISNICKI, KATHLEEN, VOTE WISNICKI, AND COLLEEN BAUM, 2010/0095 (2010)

84200 - 3 counts
\$1,200

Kathleen Wisnicki was a candidate for Malibu City Council in 2008, Respondent Vote Wisnicki was her controlled committee, and Respondent Colleen Baum was the committee treasurer. Respondents failed to timely file three semi-annual campaign statements for the periods ending June 30, 2008, December 31, 2008, and June 30, 2009.

WITTCOFF, ROMA B, 2007/0272 (2007)

84200 - 1 count
\$1,800

Roma B. Wittcoff of Scottsdale, Arizona, failed to timely file a semi-annual campaign statement disclosing contributions totaling \$100,000 in 2005.

WOLFSHEIMER, ABBE, AND THE ABBE WOLFSHEIMER RE-ELECTION COMMITTEE, 1989/0417 (1992)

84305 - 11 counts
87100 - 1 count
\$20,000

San Diego City Councilwoman Abbe Wolfsheimer violated mass mailing provisions by failing to put proper sender identification on the outside of 11 mass mailings sent out during the three months prior to the November 1989 election. The councilwoman also violated conflict-of-interest provisions by voting to recommend that “final best offers” be obtained from all bidders in a bidding process where she had an investment interest in one of the bidders, GTE Corporation.

WOLINSKY, VIVIANA, 2007/0491 (2010)

87300 - 1 count
\$2,000

Viviana Wolinsky, Patent Attorney/Agent with the University of California Lawrence Berkeley National Laboratory, failed to file a 2006 Statement of Economic Interests by the April 2, 2007, deadline.

WOLINSKY, VIVIANNA, 2004/0416 (2005)

87203 - 1 count
\$250

Vivianna Wolinsky, a licensing manager for the Lawrence Berkeley National Laboratory, failed to timely file a 2003 annual statement of economic interests.

WOLK, LOIS, 2009/0907 (2010)

87207 - 2 counts
\$400

Senator Lois Wolk failed to disclose on her 2008 Statement of Economic Interests a gift of a hotel accommodation from the Consumer Attorneys of California valued at \$151.51, and a gift of a hotel accommodation from the Northern California Regional Council Small Contributor Committee valued at \$151.51.

WOLKEN, PETER AND JUDITH, 2002/0324 (2002)

84203 - 1 count
\$2,000

Peter and Judith Wolken of Los Altos Hills failed to file a late contribution report in 2000.

WOLKOWITZ, MARLA, 2000/0146 (2000)

87302 - 1 count
\$400

Marla Wolkowitz, an elected member of the governing board of the Culver City Unified School District, failed to timely file her assuming office statement of economic interests in 1999.

WOLLACK, RICHARD, 2006/0007 (2006)

84200 - 1 count
\$400

Richard Wollack of Napa failed to timely file a semi-annual campaign in 2002.

WOLSKI, ARMIN, 2010/0996 (2011)

87300 - 1 count
\$200

Armin Wolski, a member of the Board of Examiners for the City and county of San Francisco's Department of Building Inspection, failed to timely file a 2009 annual Statement of Economic Interests.

WOMAR, INC, 2006/0537 (2006)

84200 - 2 counts
\$1,600

Womar, Inc. of Pleasanton failed to timely file semi-annual campaign statements disclosing contributions totaling \$13,500 in 2004.

WOMEN'S POLITICAL COMMITTEE AND NANCY S. BRAKENSIEK, TREASURER, 1997/0371 (1998)

84211 - 14 counts
\$15,000

Women's Political Committee, a general purpose committee located in Los Angeles, and Nancy S. Brakensiek, its treasurer, failed to disclose information for individual contributors of \$100 or more on campaign statements filed between 1993 and 1996.

WOMEN'S NATIONAL POLITICAL CAUCUS SAN GABRIEL VALLEY, AND DEBRA HURD, TREASURER, 2010/0321 (2011)

84200 - 1 count
\$200

Women's National Political Caucus San Gabriel Valley and its treasurer, Debra Hurd, failed to file a semi-annual campaign statement for the July 1, 2010, through December 31, 2010, reporting period, due January 31, 2011.

WOMEN'S VOTER GUIDE AND TRACEY POMERANCE-POIRIER, 2010/1023 (2012)

84219(e) - 1 count
\$2,000

Women's Voter Guide is a slate mailer organization and Tracey Pomerance-Poirier is the organization's treasurer. In 2010, Respondent Women's Voter Guide produced and sent slate mailers supporting various candidates and ballot measures being voted on in the general election. Some of these candidates and ballot measures did not pay for the support of the slate mailers. Respondents were required to disclose this on a campaign statement filed for the period ending December 31, 2010, but they failed to provide this required disclosure as to seven candidates and one ballot measure.

WONG, JOHN, 1991/0376 (1993)

84301 - 2 counts
\$3,500

John Wong, a Fremont developer, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989.

WONG, MANSON, 1997/0655 (2002)

84301 - 8 counts
\$16,000

The Commission adopted an administrative law judge's proposed decision in the matter of Manson Wong, a San Francisco businessman, who laundered campaign contributions to a candidate for San Francisco mayor in 1995.

WOO, MICHAEL, 1988/0417 (1990)

84308 - 1 count
\$2,000

Michael Woo, Los Angeles City Councilmember, committed a conflict-of-interest violation in 1987 while serving as an appointed member of the Los Angeles county Transportation Commission. Woo failed to disclose campaign contributions of \$250 or more received during the preceding 12 months from a party seeking a noncompetitive bidding contract and failed to disqualify himself from the decision affecting the campaign contributor. Woo did not disclose the receipt of a campaign contribution from Gruen Associates at a meeting on February 11, 1987, prior to the vote, and did not disqualify himself from the proceeding.

WOO, MICHAEL, AND MIKE WOO FOR MAYOR RUNOFF COMMITTEE, 1996/0406 (1997)

84203 - 13 counts
\$13,000

Michael Woo, candidate for the mayor of the City of Los Angeles in 1993, and Mike Woo for Mayor Runoff, his controlled committee, failed to timely file late contribution reports.

WOODWARD, JOCELYN, 2012/0527 (2013)

87203 - 1 count
\$4,000

Jocelyn Woodard, was a Member of the County of Los Angeles Commission on HIV from April 2011 through July 2013. As a Member of the County of Los Angeles Commission on HIV, Respondent was required to file an Annual Statement of Economic Interests for calendar year 2011. In this matter, Respondent failed to file a 2011 Annual Statement of Economic Interests (Form 700) by the April 2, 2012 deadline.

WOODWARD, ROY, 1988/0448 (1990)

87100 - 3 counts
\$6,000

Roy Woodward, Santee City Councilmember, committed three conflict-of-interest violations in 1987. The violations stemmed from the councilmember's attempts to use his official position to influence a decision by the California Transportation Commission which was likely to materially affect his real property and business interests.

The state Transportation Commission was asked to extend Route 62 on an alignment that included an offramp on the street where the councilmember owned real property. The fair market value of the three pieces of commercial property in which Mr. Woodward held interests were in excess of \$700,000. The extension would result in an increase to the rental income from the councilmember's real property interests, the broker's commission from his real estate business, and the income from a restaurant that he partially owned.

WOODY, MICHAEL ERIN, 1997/0289 (1999)

87203 - 4 counts

\$3,000

Michael Erin Woody, a member of the Fresno City Council, failed to disclose all of his sources of income on his 1993 through 1996 annual statements of economic interests.

WOOLSTRUM, RICHARD, FRIENDS OF RICHARD WOOLSTRUM, AND RANDI MEYER, 1986/0342 (1987)

84305 - 1 count
\$2,000

Richard Woolstrum, a candidate for the 4th district supervisorial race in San Joaquin county in 1986, Randi Meyer and the Friends of Richard Woolstrum campaign committee failed to properly identify the sender of a mass mailing.

Two weeks prior to the June primary, Woolstrum retained Randi Meyer as a political consultant and campaign manager for his controlled committee. Meyer is the owner and operator of a Stockton print shop. Meyer produced a mass mailing which, although paid for by Woolstrum's committee, failed to identify the sender on the outside of the mailer. Meyer claimed that the omission of sender identification was due to an error in the printing process, but that she chose to ignore the error due to time pressures.

WORLEY HAGEN, TRACY, 2008/0068 (2010)

87207 - 3 counts
\$600

Tracy Worley Hagen, a former member of the Tustin City Council, failed to report three gifts in excess of \$50 on her 2005 Statement of Economic Interests; a gift of a dinner from the Industrial Realty Group in the amount of \$200, a dinner from the Waste Management Group in the amount of \$187, and dinner from the Comcast Cable Company in the amount of \$75.

WORTHEN, JAMES, 1993/0074 (1997)

87100 - 3 counts
87203 - 5 counts
\$9,000

James Worthen, a Eureka City Councilman, violated the conflict-of-interest provisions by participating in two decisions of the Eureka Redevelopment Agency which indirectly affected a source of income to him and further attempted to influence a governmental decision in which he had a financial interest in 1992. Worthen further failed to report payments received for travel reimbursements from a business in which he had a financial interest on his 1991 through 1994 statements of economic interests and failed to disclose income received from this business on his 1994 statement of economic interests.

WRIGHT, CATHIE, CATHIE WRIGHT FOR ASSEMBLY COMMITTEE, AND THOMASINA CRIGER, 1981/0016 (1982)

84210, 84214, & 84216 - 4 counts
84300 - 1 count

87207 - 3 counts
\$5,000

Assemblywoman Cathie Wright, who was mayor of Simi Valley prior to her election to the assembly, failed to disclose the true source and amount of contributions on a campaign statement, received cash contributions, and failed to disclose income on her statement of economic interests.

WRIGHT, MICHAEL R., 2011/0290 (2011)

87300 - 1 count
\$200

Michael R. Wright, Commissioner for the City of Sonoma Parking and Traffic Commission, failed to timely file an annual Statement of Economic Interests for the reporting period of January 1, 2010, through December 31, 2010, due April 1, 2011.

WRIGHT, RICHARD, 1995/0213 (1998)

87100 - 1 count
\$2,000

The Commission issued a Default Decision and Order against Richard Wright, President of Bakersfield Community College, who violated the conflict-of-interest provisions when he used staff members' computers at a seminar held in Lake Tahoe in 1994 by Vitek. Wright had a financial interest in this company due to his ownership interest and position as an officer.

WRIGHT, RODERICK D., SENATOR, 2012/0399 (2012)

87207 - 1 count
\$200

Roderick D. Wright, as a California State Senator, failed to disclose a gift of travel valued at \$13,045.46 received from Legislative Leadership Institute on his 2009 Annual Statement of Economic Interests, covering the period January 1, 2009 through December 31, 2009.

WRIGHT, SHARON AND JANET CONDRON, 1996/0162 (1998)

87100 - 8 counts
87200 & 87207 - 2 counts
\$17,500

Sharon Wright and Janet Condron, Santa Rosa City Councilmembers, made, participated in, or attempted to influence governmental decisions that involved the Santa Rosa Chamber of Commerce, a source of income to them. In addition, Wright failed to disclose receipt of income from the Chamber and the Sonoma county Alliance on her 1993 and 1994 statements of economic interests.

WYATT, CHARLES, 2011/0846 (2011)

87300 - 1 count
\$200

Charles Wyatt, a board member for the California Mining and Geology Board, failed to timely file a Statement of Economic Interests covering the reporting period January 1, 2010, through December 31, 2010, due April 1, 2011.

WYNE, KARL, 2006/0086 (2009)

87460(a) - 1 count
\$2,000

Karl Wyne, an elected member of the Joshua Basin Water District Board of Directors, received two personal loans totaling \$3,000 from an employee of the Joshua Basin Water District.

WYNN, STEPHEN A, 2003/0549 (2003)

84200 - 1 count
\$400

Stephen A. Wynn of Las Vegas, Nevada, failed to file a major donor campaign statement in 2000.

YAMAGATA, HIROMICHI, 2003/0549 (2004)

84200 - 1 count
\$2,500

Hiromichi Yamagata failed to file a major donor campaign statement in 2002.

YANG, GEOFFREY Y, 2002/1054 (2003)

84203 - 1 count
\$3,500

Geoffrey Y. Yang of Menlo Park failed to file a late contribution report in 2002.

YARMOUTH GROUP, INC. AND THE YARMOUTH GROUP PROPERTY MANAGEMENT, INC, 1993/0337 (1994)

84300 & 84301 - 51 counts
\$92,000

Between October 1989 and March 1993, the Yarmouth Group, Inc., and the Yarmouth Group Property Management, Inc., laundered 51 contributions totaling \$13,500 to city council persons in San Diego, San Jose, and Los Angeles. All of the contributions were made in the names of Yarmouth employees rather than in the respondents' names. Pursuant to local ordinance, each of the three cities where the contributions were laundered had campaign contribution limits in effect.

YELLOWSTONE DEVELOPMENT, LLC, 2004/0322 (2004)

84200 - 1 count
84203 - 1 count
84605 - 2 counts
\$16,000

Yellowstone Development, LLC, failed to timely file a semi-annual campaign statement, failed to disclose a late contribution in a properly filed late contribution report, failed to disclose a late contribution in a properly filed electronic late contribution report, and failed to file an electronic semi-annual campaign statement.

YELVINGTON, ALVAR, 1979/0021 (1980)

86102 - 2 counts

86105 - 1 count
 86107 - 2 counts
 86110 - 16 counts
 \$2,000

Lobbyist Alvar Yelvington failed to timely file lobbyist disclosure reports with the Secretary of State's office disclosing more than \$9,000 in general lobbying expenses. He also illegally paid many expenses in cash, and on 32 occasions, purchased food or drink without disclosing the beneficiaries.

YES ON 111 AND 108, AND THOMAS W. HILTACHK, TREASURER, 1991/0501 (1992)

84211 - 2 counts
 \$3,000

Yes on 111 and 108, a committee formed to support Proposition 111, The Traffic Congestion Relief and Spending Limitation Act of 1990, and Proposition 108, The Passenger Rail and Clean Air Act of 1990, failed to properly itemize six contributions totaling \$103,000 that were received during May, 1990.

YES ON 37 FOR YOUR RIGHT TO KNOW IF YOUR FOOD HAS BEEN GENETICALLY ENGINEERED/SUPPORTED BY CONSUMER ADVOCATES, MAKERS OF ORGANIC PRODUCTS AND CALIFORNIA FARMERS, 2012/0984 (2013)

84507 & 18450.4 - 1 count
 \$1,500

Yes on 37 for Your Right to Know if Your Food Has Been Genetically Engineered. Supported by Consumer Advocates, Makers of Organic Products and California Farmers authorized and paid for video advertisements in support of Proposition 37, but the required disclosure statements as to the committee name and donors of \$50,000 or more were not presented in a clear and conspicuous manner.

YES ON A (COMMITTEE FOR MEASURE A), AND ADELE STOLER, 2000/0225 (2000)

84200 - 2 counts
 \$1,000

Yes on A (Committee for Measure A), supporting a bond measure for the Long Beach Unified School District in 1999, and Adele Stoler, its treasurer, failed to timely file semi-annual campaign statements.

YES ON C IN EL SEGUNDO, AND CARL JACOBSON, 1997/0312 (1999)

84200.8 - 2 counts
 84203 - 2 counts
 \$6,000

Yes on C in El Segundo, a committee primarily formed in 1997 to support a local bond measure, and its treasurer, Carl Jacobson, failed to file campaign statements and late contribution reports before the election in 1997.

YES ON MEASURE "A" QUALITY EDUCATION PROJECT, AND LEE AND BEDDO, INC., CAMPAIGN CONSULTANTS, 1991/0590 (1993)

84200.8 - 1 count
 84203 - 6 counts
 \$8,000

Yes on Measure A/Quality Education Project (aka Yes on Measure A for Quality Classroom Education), a committee organized to support San Jose Unified School District Measure A, a bond measure on the November 1991 ballot, and the firm of Lee and Beddo, Inc., the committee's campaign consultant, failed to timely file their second pre-election campaign statement and six required late contribution reports (LCRS) with a cumulative total of contributions amounting to \$14,250.

YES ON MEASURE F AND PRESTON H. WISNER, 2002/0012 (2009)

84200.5(c) & 84200.8(b) - 1 count
 \$750

Committee for Yes on Measure F was a recipient ballot measure committee primarily formed to support Proposition F, a local bond measure. Preston H. Wisner served as treasurer of Respondent Committee. Respondents failed to file a second pre-election campaign statement.

YES ON MEASURE G, COMMITTEE FOR MEASURE B: MEASURE B FOR BERRYESSA, AND LAWRENCE S. NICHOLS, 1998/0597 (2005)

84200 - 17 counts
 84200.8 - 2 counts
 84211 - 6 counts
 84211 & 84303 - 1 count
 \$26,000

Yes on Measure G and Committee for Measure B: Measure B for Berryessa were committees primarily formed to support a bond measure in the Berryessa Union School District in Santa Clara county. Respondent Lawrence S. Nichols, aka Larry Nichols, was the treasurer for both committees. Yes on Measure G and Nichols failed to itemize contributions in campaign statements, failed to file, or timely file, semi-annual campaign statements, and failed to report subvendor information in a campaign statement. The Committee for Measure B: Measure B for Berryessa, and Nichols failed to timely file pre-election campaign statements, failed to itemize contributions in campaign statements, and failed to file, or timely file, semi-annual campaign statements.

YES ON PROP 35 - TAXPAYERS FOR FAIR COMPETITION, 2001/0695 (2002)

84203 - 1 count
 \$1,500

Yes on Prop 35 - Taxpayers for Fair Competition of Sacramento failed to file a late contribution report in 2000.

YES ON PROP. 2 COMMITTEE, 1979/0082 (1982)

84305 - 2 counts
 \$4,000

Yes on Prop. 2 Committee misidentified the sender on approximately 483,988 campaign mailers containing an endorsement from Howard Jarvis. The political mailers were identified as coming from “Californians for Lower Interest Rates: A Project of the California Tax Reduction Movement.” Inside the mislabeled envelopes was the message from Howard Jarvis, endorsing Proposition 2.

YES ON PROPOSITION A, 2012/0301 (2012)

84503 - 1 count
\$2,500

Yes on Proposition A, was a 2012 primarily formed ballot measure committee supporting Proposition A, which was placed on the June 5, 2012, ballot in the City of San Diego. Respondent failed to provide written disclosure for a period of at least five seconds with a reasonable degree of color contrast between the background and text of the disclosure statement on a television advertisement.

YES ON PROPOSITION B, 2010/0932 (2011)

84503 - 1 count
\$2,000

Yes on Proposition B was formed in 2010 as a primarily formed ballot measure committee supporting Proposition B, which was placed on the November 2010 ballot in the City of San Francisco. Respondent failed to provide written disclosure for a period of at least five seconds, identifying persons whose contributions were \$50,000 or more on a television advertisement.

YEW, JOE, 2013/0369 (2013)

87207 - 1 count
\$200

Joe Yew, as City Treasurer for the City of Oakland, failed to timely disclose gift(s) of: one meal valued at \$101.67 on his 2010 Statement of Economic Interests. All gifts were from E.J. De La Rosa & Co., Inc.

YOLO COUNTY DEMOCRATIC CENTRAL COMMITTEE LOCAL ACCOUNT, BOB BOCKWINKEL AND WILLIAM JULIAN II, 2008/0357 (2011)

84200(a) - 5 counts
84200.5(f), 84200.7 & 84200.8 - 2 counts
\$13,500

During 2007 and 2008, Respondents Yolo county Democratic Central Committee Local Account, Bob Bockwinkel and William Julian II failed to timely file required pre-election campaign statements.

YOLO COUNTY REPUBLICAN CENTRAL COMMITTEE AND WILLIAM HIMMELMAN, TREASURER, 1999/0813 (2001)

84200 - 2 counts
84211 - 2 counts
\$7,000

Yolo county Republican Central Committee, a general purpose committee in Davis, and William Himmelman, its

treasurer, failed to timely file two campaign statements and also failed to disclose contributor and payee information in 1999.

YORBA LINDA WATER DISTRICT AND BEVERAGE, MICHAEL, 2011/0499 (2012)

89001 - 1 count
\$2,000

Yorba Linda Water District and Michael Beverage, an elected board member of the District, used public funds to mail a newsletter that featured Michael Beverage to 22,271 members of the District.

YOSHINOYA WEST, INC., D.B.A. BEEF BOWL RESTAURANTS, 2005/0707 (2006)

84200 - 1 count
\$400

Yoshinoya West, Inc. d.b.a. Beef Bowl Restaurants of Torrance failed to timely file a semi-annual campaign statement in 2004.

YOUNG, BRUCE, 63RD VOTER REGISTRATION COMMITTEE, 63RD VOTER COMMITTEE, AND SOUTHEAST AREA POLITICAL ACTION COMMITTEE, 1982/0001 (1983)

84200 & 84213 - 12 counts
84305 - 3 counts
\$13,000

Assemblyman Bruce Young failed to properly disclose his control of three separate campaign committees between 1979 and 1982 and failed to identify one of the committees as the true sender of three campaign pieces mailed during the Lakewood City Council elections in early 1982. One of the three mailings sent to Lakewood voters, a hit piece, linked two city council candidates to the “radical” politics of Tom Hayden.

Since the committees were not designated as controlled committees, they only filed semi-annual statements and did not file disclosure statements before the elections. This allowed Assemblyman Young to conceal the fact that he was soliciting and receiving contributions from political action committees representing industries which had sought Young’s legislative support.

YOUNGLOVE, NORTON, YOUNGLOVE FOR SUPERVISOR, DONALD THOMAS, AND WILLIAM BONNETT, III, 1988/0529 (1990)

84211 - 3 counts
84213 - 3 counts
\$7,500

Norton Younglove, Riverside Supervisor, committed campaign reporting violations when he failed to properly cumulate and identify contributors of \$100 or more and failed to verify campaign statements in 1985 and 1986.

YOUNT, DOUGLAS, 2013/0108 (2013)

87207 - 2 counts
\$400

Douglas Tount, as Development Services Director for the City of Marina, failed to timely disclose gift(s) of: six meals totaling \$139.64 on his 2008 Annual Statement of Economic Interests; and nine meals totaling \$263.05 on his 2009 Annual Statement of Economic Interests. All gifts were from Shea Properties.

YOUSSEF, NABIH, 1999/0336 (2000)

87300 - 2 counts
\$1,200

Nabih Youssef, former member of the State Building Standards Commission, failed to timely file his 1997 annual statement of economic interests and a leaving office statement of economic interests.

YU, MICHELLE, 2003/0846 (2004)

87300 - 1 count
\$100

Michelle Yu failed to timely file a 2002 annual statement of economic interests.

YU, ROBERT, 2003/0346 (2003)

84200 - 1 count
\$400

Robert Yu of Alhambra failed to file a major donor campaign statement in 2002.

Z VALET, INC, 2003/0347 (2003)

84200 - 1 count
\$400

Z Valet, Inc. of Los Angeles failed to file a major donor campaign statement in 2002.

Z VALET, INC, 2003/0833 (2004)

84203 - 1 count
\$2,250

Z Valet, Inc. failed to file a late contribution report in 2002.

Z-A MANAGEMENT, 2006/0417 (2006)

84200 - 1 count
\$800

Z-A Management of Beverly Hills failed to timely file a semi-annual campaign statement disclosing contributions totaling \$20,000 in 2004.

ZAHARIAS, DEAN, 1995/0364 (1997)

87203 - 2 counts
\$4,000

The Commission issued a Default Decision and Order against Dean Zaharias, Planning Commissioner for the City of Sonora, who failed to file annual statements of economic interests in 1994 and 1995. In addition, the Commission ordered late filing penalties of \$200 to be imposed.

ZAHARIAS, DEAN, 1999/0543 (2001)

87203 - 3 counts

\$3,000

Dean Zaharias, member of the Sonora Planning Commission, failed to timely file his 1997, 1998, and 1999 annual statements of economic interests.

ZASLOFF, JONATHAN, 2003/0688 (2004)

87300 - 1 count
\$100

Jonathan Zasloff failed to timely file a 2002 annual statement of economic interests.

ZAYAS-MART, MADELEINE, 2011/0940 (2012)

87203 - 1 count
\$200

Madeleine Zayas-Mart, a member of the Oakland Planning Commission, failed to file a timely 2010 annual Statement of Economic Interests.

ZEPEDA, FRED, COMMITTEE TO ELECT FRED ZEPEDA, AND MIKE CALDERON, 1996/0554 (1997)

84200 - 1 count
84214 - 1 count
\$2,000

Fred Zepeda, unsuccessful candidate for Pasadena City Council in 1995, The Committee to Elect Fred Zepeda, his controlled committee, and its treasurer, Mike Calderon, failed to timely file semi-annual campaign statements and termination statements.

ZILKHA, SELIM K, 2002/0780 (2002)

84203 - 1 count
\$3,500

Selim K. Zilkha of Los Angeles failed to file a late contribution report in 2002.

ZOLLER, SHARRON, 2001/0265 (2001)

87300 - 1 count
\$300

Sharron Zoller, an elementary school principal of the Potter Valley Community Unified School District, failed to timely file a 2000 annual statement of economic interests.

ZUCKER, GILLIAN, 2008/0397 (2009)

87300 - 2 counts
\$600

Gillian Zucker, California Travel and Tourism Commission member, failed to timely file a 2006 annual Statement of Economic Interests and a 2007 annual Statement of Economic Interests.

ZURICH COMMERCIAL, 1999/0507 (2000)

84200 - 1 count
84203 - 1 count
\$1,000

Zurich Commercial, located in Baltimore, Maryland, failed to timely file a late contribution report and a major donor campaign statement disclosing a \$12,100 contribution.

ZURITA, DELORES, COMMITTEE TO ELECT DELORES ZURITA, AND SATRA DEE ZURITA, TREASURER, 2000/0143 (2001)

84200 - 2 counts

84200.5 - 2 counts

84203 - 1 count

84211 - 3 counts

84300 - 2 counts

\$10,000

Delores Zurita, successful candidate for Compton City Council in 1999, her controlled committee, Committee to Elect Delores Zurita, and its treasurer, Satra Dee Zurita, failed to timely file pre-election campaign statements, failed to file a late contribution report, failed to file semi-annual campaign statements, failed to disclose contributions and contributor information, and made cash expenditures during 1998 and 1999.