

**Fair Political Practices Commission
State Candidate Controlled Committees
Committees Primarily Formed to Support/Oppose Candidates for State Office
Assembly District 4 Special General Election
Being Voted on May 3, 2011**

Deadline	Period	Form	Notes
Within 24 Hours <i>90-Day Election Cycle Report</i>	3/9/11–5/3/11	496 497	<ul style="list-style-type: none"> ▪ E-Filers only - file online - no paper filing ▪ 497: Candidates file if a contribution of \$1,000 or more is received from a single source. Not required for committees primarily formed to support or oppose state candidates. ▪ 496: Committees primarily formed to support or oppose a state candidate must file if an independent expenditure of \$1,000 or more is made.
Apr 21, 2011 <i>Pre-Election</i>	2/20/11 – 4/16/11	460	<ul style="list-style-type: none"> ▪ Online and paper filing ▪ File personal delivery or guaranteed overnight service only.
Within 24 Hours <i>Late Reports</i>	4/17/11 – 5/2/11	496 497	<ul style="list-style-type: none"> ▪ Online only. No paper filings. ▪ 496: File if independent expenditures of \$1,000 or more are made. Candidates: Do not file for expenditures made on your own committee's behalf. ▪ 497: File if a contribution of \$1,000 or more is received. ▪ 497: File if a contribution of \$1,000 or more is made to a political party committee. ▪ The recipient of a late in-kind contribution must file a late contribution report within 48 hours from the time the in-kind or non-monetary contribution is received. ▪ Deadline: File within 24 hours except the deadline for a 497 due April 23 or 24 is extended to April 25, 2011.
Within 10 Business Days <i>\$5,000 Report</i>	Ongoing – File after 5/3/11	497	<ul style="list-style-type: none"> ▪ E-Filers only - file online – no paper filing. ▪ File if a contribution of \$5,000 or more is received from a single source. ▪ This report is not required for committees primarily formed to support or oppose state candidates.
Aug 1, 2011 <i>Semi-Annual</i>	4/17/11 – 6/30/11	460	<ul style="list-style-type: none"> ▪ The July 31 deadline falls on Sunday, so the deadline is extended to the next business day. ▪ Online and paper filing.

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- **New Rules Effective January 1, 2011:**
 - **E-Filing Threshold at \$25,000:** Beginning January 1, 2011 the threshold for which a state committee must E-file statements with the Secretary of State is lowered from \$50,000 to **\$25,000**. To determine the \$25,000 threshold, count contributions received or expenditures made beginning January 1, 2000.
 - **File Late Reports Online:** California law requires candidate, ballot measure and political party committees to file late reports within 24 hours if the committee makes or receives contributions or makes independent expenditures of \$1,000 or more 16 days before an election.
Committees required to file these late reports with the Secretary of State must now file online, no paper copies are required. This is true even if the committee has not met the \$25,000 threshold that requires regular campaign disclosure reports to be filed online.
 - **Fewer Copies of Statements:** State committees will no longer file paper copies of statements at the counties of San Francisco and Los Angeles. This is true whether the committee is a paper or E-filer.
 - **County of Domicile:** State candidate committees that E-file with the Secretary of State will no longer file a copy with the candidate's county of domicile unless the state candidate has a local committee. State candidate committees that do not E-file continue to file paper copies at SOS and with the local filing officer.
- **E-Filers:** For purposes of this filing schedule, an e-filer is a state committee that has met the e-filing threshold discussed above.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to Form 497 due April 30 or May 1 or to any Form 496 report. Such reports must be filed within 24 hours regardless of the day of the week. Late statements are subject to a \$10 per day late fine.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Method of Delivery:** All paper filings are to be filed by personal delivery or first class mail unless otherwise noted.
- **Prohibition on Candidate Independent Expenditures:** A controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates.
- **Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to contribution limits. Refer to the contribution limit chart on the FPPC website.
- All statements are public documents.
- For important information, refer to www.fppc.ca.gov and click on the Candidates and Committees section. Use [Campaign Manual 1](#).