

**Fair Political Practices Commission**  
**Filing Schedule for**  
**Candidates for Local Office**  
**Committees Primarily Formed to Support/Oppose Local Candidates**  
**Committees Primarily Formed to Support/Oppose Local Measures**  
**Being Voted Upon November 8, 2011**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Jan 31, 2011</b> <i>Semi-Annual</i>	<b>thru – 12/31/10</b>	<a href="#">460</a> <a href="#">470</a>	<ul style="list-style-type: none"> <li>▪ <b>Incumbents:</b> Form 460 is required if you had an open campaign committee in 2010. If you did not have an open committee and filed the Form 470 on or before July 31, 2010, this semi-annual statement is not required.</li> <li>▪ <b>Candidates:</b> An individual who filed candidacy papers in 2010 must file Form 460 or Form 470 to disclose activity for that year.</li> <li>▪ <b>Primarily Formed Candidate or Ballot Measure Committees:</b> A committee formed in 2010 to support or oppose a candidate or ballot measure being voted upon in the November 8, 2011, election must file Form 460.</li> </ul>
<b>May 2, 2011</b> <i>Quarterly</i>	<b>1/1/11 – 3/31/11</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ Only ballot measure committees must file this report.</li> <li>▪ The April 30 deadline falls on Saturday, so the deadline is extended to the next business day.</li> </ul>
<b>Aug 1, 2011</b> <i>Semi-Annual</i>	<b>1/1/11 – 6/30/11</b>	<a href="#">460</a> <a href="#">470</a>	<ul style="list-style-type: none"> <li>▪ <b>Incumbents and Candidates:</b> Form 460 is required if you had an open campaign committee in 2011. If you do not have an open committee, you must file the Form 470 on or before August 1, 2011.</li> <li>▪ <b>Primarily Formed Candidate or Ballot Measure Committees:</b> A committee formed to support or oppose a candidate or ballot measure being voted upon in the November 8, 2011, election must file Form 460.</li> <li>▪ The July 31 deadline falls on Sunday, so the deadline is extended to the next business day.</li> </ul>
<b>Sep 29, 2011</b> <i>Pre-Election</i>	<b>7/1/11 – 9/24/11</b>	<a href="#">460</a> <a href="#">470</a>	<ul style="list-style-type: none"> <li>▪ <b>Incumbents and Candidates:</b> An individual listed on the November 8, 2011, ballot must file Form 460 if he/she has an open committee or a Form 470 if he/she has no open committee and has not filed Form 470 in 2011.</li> <li>▪ <b>Primarily Formed Candidate or Ballot Measure Committees:</b> Committees must file Form 460.</li> </ul>
<b>Oct 27, 2011</b> <i>Pre-Election</i>	<b>9/25/11 – 10/22/11</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ <b>All committees</b> must file Form 460 by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Within 24 Hours</b> <i>Late Reports</i>	<b>10/23/11 – 11/7/11</b>	<a href="#">496</a> <a href="#">497</a>	<ul style="list-style-type: none"> <li>▪ <b>496:</b> File if independent expenditures of \$1,000 or more are made. <b>Candidates and primarily formed ballot measure committees: Do not file for expenditures made on your own committee's behalf.</b></li> <li>▪ <b>497:</b> File if a contribution of \$1,000 or more is received.</li> <li>▪ <b>497:</b> File if a contribution of \$1,000 or more is made to <i>another</i> candidate or <i>another</i> measure being voted on November 8, 2011.</li> <li>▪ <b>Deadline:</b> File within 24 hours except the deadline for a 497 due October 29 or 30 is extended to October 31, 2011.</li> <li>▪ The recipient of a late non-monetary contribution must file a late contribution report within 48 hours from the time the contribution is received.</li> <li>▪ File personal delivery, guaranteed overnight service, or fax.</li> </ul>

## Fair Political Practices Commission

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Jan 31, 2012</b> <i>Semi-Annual</i>	10/23/11 – 12/31/11	<a href="#">460</a>	▪ All committees must file this statement.

- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Method of Delivery:** All paper filings are to be filed by personal delivery or first class mail unless otherwise noted.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to Form 497 due November 5 or November 6 or any Form 496 report. Such reports must be filed within 24 hours regardless of the day of the week. Late statements are subject to a \$10 per day late fine.
- **Prohibition on Candidate Independent Expenditures:** A controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates.
- **Primarily Formed Ballot Measure Committees:** Prior to the semi-annual period in which the measure(s) supported or opposed is being voted on, committees must file quarterly campaign statements in addition to semi-annual statements. Following the election, quarterly statements are required if the committee makes contributions or expenditures for other ballot measures. Use [Campaign Disclosure Manual 3](#) or [contact the FPPC](#) for specific information.
- **Form 470:** Candidates who do not have a committee or do not raise/spend \$1,000 in 2011 may file Form 470 on August 1 or September 29, whichever is first once the individual qualifies as a candidate. Incumbents that receive over \$200 must file by August 1, 2011. If the Form 470 is filed and later a campaign committee must be opened, a Form 470 Supplement and the Form 460 must be filed.
- **Candidates:** After an election, reporting requirements will depend on whether the candidate is successful and whether a campaign committee is maintained.
- **Judges/ Unpaid Officeholders:** Elected officers whose salaries are less than \$200 per month and judges are not required to file semi-annual statements if no contributions were received or expenditures made during the 6 months covered by the semi-annual statement.
- Local jurisdictions may impose contribution limits and additional filing requirements.
- All statements are public documents.
- For important information, refer to [www.fppc.ca.gov](http://www.fppc.ca.gov) and click on the Candidates and Committees section. Candidates use [Campaign Manual 2](#).