

**Fair Political Practices Commission
Filing Schedule for
State Officeholders & State Candidates Not on 2012 Ballot
November 6, 2012 Filing Schedule**

Deadline	Period	Form	Notes
Within 10 Business Days <i>\$5,000 Report</i>	Ongoing	497	<ul style="list-style-type: none"> ▪ E-Filers only: <ul style="list-style-type: none"> ○ File if a contribution of \$5,000 or more is <i>received</i> from a single source.
Jul 31, 2012 <i>Semi-Annual</i>	thru - 6/30/12	460	<ul style="list-style-type: none"> ▪ All committees must file this report.
Oct 5, 2012 <i>Pre-Election</i>	7/1/12 - 9/30/12	460	<ul style="list-style-type: none"> ▪ File if any contributions or independent expenditures are <i>made</i>.
Oct 25, 2012 <i>Pre-Election</i>	10/1/12 - 10/20/12	460	<ul style="list-style-type: none"> ▪ File if any contributions or independent expenditures are <i>made</i>.
Within 24 Hours <i>16-Day Reports</i>	10/21/12 - 11/5/12	496 497	<ul style="list-style-type: none"> ▪ 496: File if independent expenditures totaling \$1,000 or more are <i>made</i> in connection with a measure listed on the November 6 ballot. ▪ 497: File if contributions totaling \$1,000 or more are <i>made</i> in connection with another candidate or measure listed on the November 6 ballot or to a political party committee. ▪ Deadlines: The deadline for a Form 497 reporting a contribution received on October 21 is October 22, and the deadline for a Form 497 due October 27 or 28 is extended to October 29. ▪ All committees must file online, including non-E-Filers.
Jan 31, 2013 <i>Semi-Annual</i>	thru - 12/31/12	460	<ul style="list-style-type: none"> ▪ All committees must file this report

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Additional Reports

Deadline	Period	Form	Notes
Within 10 Business Days of the Expenditure <i>\$5,000 Report</i>	Ongoing	496 497	<ul style="list-style-type: none"> ▪ E-Filers only: <ul style="list-style-type: none"> ○ 496: Report each independent expenditure totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. ○ 497: Report each contribution totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. ○ Period: List all new contributions of \$100 or more received by the committee that have not been previously reported up through the date of the \$5,000 or more payment.

- Notes:**
- **Form 465:** Committees that make independent expenditures of \$1,000 or more must also file Form 465. The form is due on the applicable pre-election deadline, or if made during the 16 days before the election, on the semi-annual deadline.
 - **Form 511:** Committees that make payments of \$5,000 or more to an individual to appear in a ballot measure advertisement must file Form 511. File a paper report. E-Filers also file online.
 - **Form E-530:** Committees that make a payment of \$50,000 or more for an issue advocacy advertisement must file Form E-530. Online only. No paper copy required.
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- **E-Filer:** A state committee that has received or made expenditures totaling \$25,000 or more since January 1, 2000.
 - **Forms 460, 465:** All state committees must file paper reports. An E-Filer also files an online/electronic report.
 - **Forms 496, 497:** All reports filed online only.
 - **Form 470:** Candidates/officeholders who do not have a committee and do not raise/spend \$1,000 in 2012 may file an annual Form 470 on or before July 31, 2012.
 - **Where to File:** File reports with the Secretary of State. Candidates/officeholders who are not E-Filers file paper copies with the Secretary of State and with the election official at the candidate/officeholder's county of domicile.
 - **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday or an official state holiday. This extension does not apply to Form 497 due November 3 or November 4, or to any Form 496 report. Such reports must be filed within 24 hours regardless of the day of the week. Late statements are subject to a \$10 per day late fine.
 - **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
 - **Paper Filings:** File paper copies by personal delivery or first class mail unless otherwise noted.
 - **Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to contribution limits. Refer to the contribution limit chart on the FPPC website.
 - **Prohibition on Candidate/Officeholder Independent Expenditures:** A controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates.
 - All statements are public documents.
 - For important information, refer to www.fppc.ca.gov and click on the Candidates and Committees section. Use [Campaign Manual 1](#).