

**Fair Political Practices Commission**  
**Filing Schedule for**  
**CalPERS Candidate Controlled Committees**  
**Committees Primarily Formed to Support/Oppose CalPERS Candidates**  
**In Connection with the CalPERS September 27, 2013, Election & December 6, 2013, Runoff Election**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Within 24 Hours</b> <i>Contribution/Independent Expenditure Reports</i>	<b>6/29/13 – 9/27/13</b>  <b>9/28/13 – 12/6/13</b> <i>(for runoff)</i>	<a href="#">462</a> 496 497	<ul style="list-style-type: none"> <li>▪ E-File only – no paper copy.</li> <li>▪ <b>462:</b> Primarily formed committees making independent expenditures (“IEs”) must file this form with the FPPC. (File Form 462 within 10 days.)</li> <li>▪ <b>496:</b> Primarily formed committees file if IEs totaling \$1,000 or more are <i>made</i>. Candidates: Do not file for expenditures made on your own committee’s behalf.</li> <li>▪ <b>497:</b> File if contributions totaling \$1,000 or more are <i>received</i>.</li> <li>▪ <b>497:</b> File if contributions totaling \$1,000 or more are <i>made</i> to a political party committee or a candidate listed on the ballot.</li> <li>▪ The recipient of a non-monetary contribution during this period must file a Form 497 within 48 hours from the time the contribution is <i>received</i>.</li> </ul>
<b>Jul 31, 2013</b> <i>Semi-annual</i>	<b>1/1/13 – 6/30/13</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>460:</b> Paper filing and online for E-filers.</li> <li>▪ <b>465:</b> Primarily formed committees file if the committee made independent expenditures of \$1,000 or more in the period covered by the statement.</li> </ul>
<b>Aug 28, 2013</b> <i>Pre-Election</i>	<b>7/1/13 – 8/25/13</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>460:</b> Paper filing and online for E-filers.</li> <li>▪ <b>465:</b> Primarily formed committees file if the committee made independent expenditures of \$1,000 or more in the period covered by the statement.</li> <li>▪ Paper filings must be sent by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Sept 25, 2013</b> <i>Pre-Election</i>	<b>8/26/13 – 9/22/13</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>460:</b> Paper filing and online for E-filers.</li> <li>▪ <b>465:</b> Primarily formed committees file if the committee made independent expenditures of \$1,000 or more in the period covered by the statement.</li> </ul>
<b>Dec 4, 2013</b> <i>Pre-Election (Runoff)</i>	<b>9/23/13 – 12/1/13</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>460:</b> Paper filing and online for E-filers.</li> <li>▪ <b>465:</b> Primarily formed committees file if the committee made independent expenditures of \$1,000 or more in the period covered by the statement.</li> <li>▪ Paper filings must be sent by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Jan 31, 2014</b> <i>Semi-Annual</i>	<b>12/2/13 – 12/31/13</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>460:</b> Paper filing and online for E-filers.</li> <li>▪ <b>465:</b> Primarily formed committees file if the committee made independent expenditures of \$1,000 or more in the period covered by the statement.</li> </ul>
<b>Within 10 Business Days</b> <i>\$5,000 Report</i>	<b>Ongoing</b> File any time other than 90-day election cycle.	497	<ul style="list-style-type: none"> <li>▪ E-File only - no paper copy.</li> <li>▪ E-filers only - file if a contribution of \$5,000 or more is received.</li> <li>▪ Not required for committees primarily formed for a CalPERS candidate.</li> </ul>

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- **462:** Effective January 1, 2013, a committee making an independent expenditure of \$1,000 or more must file a verification form with the FPPC. The form may be [accessed here](#).
- **E-Filer:** A state committee that has received or made expenditures totaling \$25,000 or more since January 1, 2000.
- **Form 460:** All state committees must file paper reports. An E-Filer also files an online/electronic report.
- **Pre-Election Reports:** The paper Form 460 must be filed by personal delivery or guaranteed overnight delivery. Gov't Code Section 84200.9.
- **Form 496/497:** All reports filed online only with the Secretary of State and CalPERS.
- **Where to File:** Committees file reports with the Secretary of State and e-mail to CalPERS at [ECOM\\_TAD@calpers.ca.gov](mailto:ECOM_TAD@calpers.ca.gov).
- **Candidate Controlled Committees:** All committees controlled by a CalPERS candidate must file statements on each filing deadline, in addition to the committee formed for these elections.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour independent expenditure reports (Form 496) or to 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. Statements filed after the deadline are subject to a \$10 per day late fine.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Contribution Limits:** Contributions to CalPERS candidates are subject to contribution limits of \$4,100 per election. Committees that make contributions to CalPERS candidates are subject to contribution limits of \$6,800 per calendar year. Committees that only make independent expenditures are not subject to contribution limits.
- **Prohibition on Candidate Independent Expenditures:** A controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates.
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials available at [www.fppc.ca.gov](http://www.fppc.ca.gov), click on the [Campaign](#) link.