

**Fair Political Practices Commission**  
**Filing Schedule for**  
**State General Purpose Recipient Committees (Except Political Party Committees)**  
**January thru June 2014 (See notes – Special Election)**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Jan 31, 2014</b> <i>Semi-Annual</i>	thru – 12/31/13	<a href="#">450</a> or <a href="#">460</a> & possibly <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>450 or 460:</b> All committees must file this report.</li> <li>▪ <b>465:</b> File if independent expenditures totaling \$1,000 or more are made during the period.</li> </ul>
<b>Within 10 Days</b> <i>Independent Expenditure Verification</i>	Ongoing	<a href="#">462</a>	<ul style="list-style-type: none"> <li>▪ Committees making independent expenditures (“IEs”) must file this form with the FPPC.</li> <li>▪ Committees file only one Form 462, each election, for each candidate or measure supported or opposed by an independent expenditure.</li> <li>▪ Email only - no paper copy.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	3/5/14 – 6/3/14	496	<ul style="list-style-type: none"> <li>▪ E-File only – no paper copy.</li> <li>▪ <b>496:</b> File if an independent expenditure of \$1,000 or more is made in connection with a state candidate or ballot measure being voted on the June 3 ballot. (Closes June 2 for local elections and June 3 for state elections.)</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	3/5/14 – 6/2/14	497	<ul style="list-style-type: none"> <li>▪ <b>497:</b> File if a contribution of \$1,000 or more is made in the aggregate in connection with a candidate or ballot measure being voted on the June 3 ballot or to a political party committee</li> <li>▪ <b>497:</b> State Measures. A contribution to a state primarily formed ballot measure committee of \$1,000 or more is due within 24 hours. (See page 2 for \$5,000 reports.)</li> </ul> <p>*See notes for special election SD 23.</p>
<b>Mar 24, 2014</b> <i>Pre-Election</i>	1/1/14 – 3/17/14	<a href="#">450</a> or <a href="#">460</a> & possibly <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>450 or 460:</b> File if contributions or independent expenditures totaling \$500 or more are made.</li> <li>▪ <b>465:</b> File if independent expenditures totaling \$1,000 or more are made.</li> <li>▪ The March 22 deadline falls on Saturday, so the deadline is extended to the next business day.</li> </ul>
<b>May 22, 2014</b> <i>Pre-Election</i>	3/18/14 – 5/17/14	<a href="#">450</a> or <a href="#">460</a> & possibly <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>450 or 460:</b> File if contributions or independent expenditures totaling \$500 or more are made.</li> <li>▪ <b>465:</b> File if independent expenditures totaling \$1,000 or more are made. Paper copies must be filed by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Jul 31, 2014</b> <i>Semi-Annual</i>	thru – 6/30/14	<a href="#">450</a> or <a href="#">460</a> & possibly <a href="#">465</a>	<ul style="list-style-type: none"> <li>▪ <b>450 or 460:</b> All committees must file this report.</li> <li>▪ <b>465:</b> File if independent expenditures totaling \$1,000 or more are made from May 18 through June 2.</li> </ul>

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## Additional Reports

<b>Within 10 Business Days</b> <i>\$5,000 Report</i> <i>State Ballot Measure Payments</i>	Ongoing	496 497	<ul style="list-style-type: none"> <li>▪ <b>E-Filers only:</b> <ul style="list-style-type: none"> <li>○ <b>496:</b> Report each independent expenditure totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure.</li> <li>○ <b>497:</b> Report each contribution totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure.</li> <li>○ <b>Period:</b> List all new contributions of \$100 or more received by the committee that have not been previously reported up through the date of the \$5,000 or more payment.</li> </ul> </li> </ul>
<b>Within 10 Business Days of the Expenditure</b> <i>Paid Spokesperson Report</i>	Ongoing	<a href="#">511</a>	<ul style="list-style-type: none"> <li>▪ <b>Paper and E-Filers:</b> <ul style="list-style-type: none"> <li>▪ File if an expenditure totaling \$5,000 or more is made to an individual to appear in an advertisement to support or oppose a ballot measure on a paper report. E-Filers also file online.</li> </ul> </li> </ul>
<b>Within 48 Hours</b> <i>Issue Advocacy Report</i>	4/19/14 - 6/2/14	E-530	<ul style="list-style-type: none"> <li>▪ <b>E-Filers only:</b> <ul style="list-style-type: none"> <li>▪ File if a payment or promise of payment of \$50,000 or more is made during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy required.</li> </ul> </li> </ul>

- **Form 496:** 24-hour independent expenditure reports must be filed through June 3 for state candidates/measures and June 2 for local candidates/measures.
- **Form 497:** Payments in connection with a state measure. Government Code Sections 82036, 84203 and 84204.5 address reporting of payments in connection with state ballot measures. Sections 82036 and 84203 require a Form 497 to be filed within 24 hours for contributions **made** to a primarily formed state ballot measure committee 90 days before an election. During the 90 days before an election, Section 84204.5 reporting provisions overlap and include payments made to a primarily formed state ballot measure committee and other general purpose committees that use the funds for a state ballot measure. When payments are \$5,000 or more, new donors to the committee must be disclosed within 10 business days. Applies to committees that are E-filers. See form instructions and FPPC Regulation 18466.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more since January 1, 2000.
- **Paper Reports:** All state committees must file an original and one copy in paper format by personal delivery or first class mail unless otherwise noted. An E-Filer also files an online/electronic report.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.

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- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to *any* 24-hour independent expenditure reports (Form 496) or to those 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. A \$10 per day late fine may be assessed on both the paper and electronic report resulting in a \$20/day fine.
- **Local Elections:** 24-hour independent expenditure or contribution reports (Forms 496 & 497) must be filed if independent expenditures or contributions totaling \$1,000 or more are made during the 90-day period prior to an election. Independent expenditures of \$1,000 or more also require the filing of Form 465. Additionally, supplemental pre-election campaign statements (Form 495) may be required if contributions totaling \$10,000 or more are made in connection with an election. Consult the filing schedule for the election.
- **Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials are available at [www.fppc.ca.gov](http://www.fppc.ca.gov), click on the [Campaign](#) link.
- **Senate District 23 Special Election:** Committees making contributions or IEs in connection with this election must file the Forms 497/496 during the 90 day election cycle—beginning December 25, 2013. The Form 465, if applicable, is required on the pre-election filing deadlines. Consult the state candidate filing schedule for dates.