

**Fair Political Practices Commission  
Filing Schedule for  
CalPERS Candidate Controlled Committees  
Committees Primarily Formed to Support/Oppose CalPERS Candidates  
In Connection with the CalPERS September 30, 2019 Election & December 9, 2019 Runoff Election**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Within 10 Business Days</b> <i>\$5,000 Report</i>	<b>Ongoing</b> File any time other than 90-day election cycle	497	<ul style="list-style-type: none"> <li>E-filers only - file if a contribution of \$5,000 or more is received. No paper copy is required.</li> <li>\$5,000 reports are only required to be filed by candidate controlled committees.</li> </ul>
<b>Within 10 Days</b> <i>Independent Expenditure Verification</i>	<b>Ongoing</b>	<a href="#">462</a>	<ul style="list-style-type: none"> <li>Committees making independent expenditures must file this form with the FPPC—E-mail only, no paper copy is required.</li> <li>Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	<b>7/2/19 – 9/30/19</b>  <b>9/10/19– 12/9/19</b> <i>(for runoff)</i>	496 497	<ul style="list-style-type: none"> <li>E-File only – no paper copy.</li> <li><b>496:</b> Primarily formed committees file if independent expenditures totaling \$1,000 or more are <i>made</i>. Candidates: Do not file for expenditures made on your own committee’s behalf.</li> <li><b>497:</b> File if contributions totaling \$1,000 or more are <i>received</i> from a single source.</li> <li><b>497:</b> File if contributions totaling \$1,000 or more are <i>made</i> to a candidate listed on the ballot.</li> <li>The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the contribution is <i>received</i>.</li> </ul>
<b>Jul 31, 2019</b> <i>Semi-Annual</i>	<b>1/1/19 – 6/30/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li><b>460:</b> Paper filing and online for E-filers.</li> </ul>
<b>Aug 28, 2019</b> <i>1<sup>st</sup> Pre-Election</i>	<b>7/1/19 – 8/25/19</b>	<a href="#">460</a> or <a href="#">470</a>	<ul style="list-style-type: none"> <li>Each candidate listed on the ballot must file Form 460 or Form 470 (see notes below).</li> <li><b>460:</b> Paper filing and online for E-filers.</li> </ul>
<b>Sep 30, 2019</b> <i>2<sup>nd</sup> Pre-Election</i>	<b>8/26/19 – 9/25/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li><b>460:</b> Paper filing and online for E-filers.</li> <li>Paper filings must be sent by personal delivery or guaranteed overnight service only.</li> <li>The September 28 filing deadline falls on a weekend/holiday, so the deadline is extended to the next business day.</li> </ul>
<b>Dec 9, 2019</b> <i>Pre-Election (Runoff)</i>	<b>9/26/19 – 12/4/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li><b>460:</b> Paper filing and online for E-filers.</li> <li>Paper filings must be sent by personal delivery or guaranteed overnight service only.</li> <li>The December 7 filing deadline falls on a weekend/holiday, so the deadline is extended to the next business day.</li> </ul>

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Jan 31, 2020 Semi-Annual	12/5/19 – 12/31/19	<a href="#">460</a>	▪ <b>460:</b> Paper filing and online for E-filers.
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### Additional Notes:

- **E-File:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must file paper reports with the Secretary of State. A copy must also be e-mailed to CalPERS at [Board\\_Election\\_Coordinator@calpers.ca.gov](mailto:Board_Election_Coordinator@calpers.ca.gov).
- **Form 460:** Candidates who have raised/spent \$2,000 or more file the Form 460. The Form 410 (Statement of Organization) must also be filed once \$2,000 or more has been raised/spent.
- **Form 470:** Candidates who do not have an open committee and do not raise or spend \$2,000 may file Form 470 on or before August 28, 2019. If, later during the calendar year, a campaign committee must be opened, a Form 470 Supplement and a Form 410 must be filed.
- **Forms 496 and 497:** All reports filed online only with the Secretary of State and e-mailed to CalPERS at [Board\\_Election\\_Coordinator@calpers.ca.gov](mailto:Board_Election_Coordinator@calpers.ca.gov).
- **Multiple Candidate Controlled Committees:** All committees controlled by a CalPERS candidate must file statements on each filing deadline, in addition to the committee formed for this election.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour/10-day independent expenditure reports (Form 496) or to 24-hour/10-day contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. A \$10 per day late fine may be assessed on both the paper and electronic report resulting in a \$20 per day fine.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Contribution Limits:** Contributions to CalPERS candidates are subject to contribution limits of \$4,700 per election. Committees that make contributions to CalPERS candidates are subject to contribution limits of \$7,800 per calendar year.
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials available at [www.fppc.ca.gov](http://www.fppc.ca.gov), click on the [Campaign Rules](#) link.
- **Voting Periods:** Begin August 30, 2019 and November 8, 2019.
- **Section 84200.9** sets pre-election deadlines.