## Fair Political Practices Commission Filing Schedule for State Officeholders and Candidates Not Listed on the March 3, 2020 Ballot

| Deadline   | Period            | Form                     | Notes  |
|--|-------------------|--------------------------|--|
| Jan 31, 2020**<br>Semi-Annual                                | * – 12/31/19      | <u>460</u>               | <ul><li>All committees must file this statement.</li><li>May be filed on January 23, 2020.</li></ul>   |
| Within 10<br>Business Days<br>\$5,000 Report                 | Ongoing           | 497                      | <ul> <li>Only e-filers file this report:</li> <li>File if a contribution of \$5,000 or more is received from a single source.</li> <li>E-file only. No paper is copy required.</li> <li>File within 10 business days of receipt of contribution.</li> </ul>  |
| Within 10 Days<br>Independent<br>Expenditure<br>Verification | Ongoing           | 462                      | <ul> <li>Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required.</li> <li>Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure.</li> </ul>  |
| Within 24 Hours Election Cycle Reports                       | 12/4/19 – 3/3/20  | <u>496</u><br><u>497</u> | <ul> <li>E-file only. No paper copy is required.</li> <li>496: File if an independent expenditure of \$1,000 or more, in the aggregate, is made in connection with a candidate or ballot measure being voted on the March 3 ballot.</li> <li>497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the March 3 ballot, or if a contribution is made to a political party committee.</li> </ul> |
| Jan 23, 2020<br>1 <sup>st</sup> Pre-Election                 | 1/1/20 – 1/18/20  | <u>460</u>               | <ul> <li>File this statement if contributions or independent expenditures of \$500 or more are made in<br/>connection with the March 3 election.</li> </ul>  |
| Feb 20, 2020<br>2 <sup>nd</sup> Pre-Election                 | 1/19/20 – 2/15/20 | <u>460</u>               | <ul> <li>File this statement if contributions or independent expenditures of \$500 or more are made in<br/>connection with the March 3 election.</li> </ul>  |
| Jul 31, 2020<br>Semi-Annual                                  | * - 6/30/20       | <u>460</u>               | All committees must file this statement.   |

## **Fair Political Practices Commission**

## **Additional Reports**

Depending on committee activity, one or more of the following reports may also be required:

- Payments Related to a State Ballot Measure (E-Filers only): File Form 496 (24-Hour/10 Day Independent Expenditure Report) or Form 497 (24-Hour/10 Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required.
- <u>Form 511</u>: File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-Filers also file online.
- Form E-530: File within 48 hours of making a payment of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state
  candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

## **Additional Notes:**

- \* Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- \*\*Deadlines: Due to the election being held early in the year, the deadline for the first pre-election statement for calendar year 2020 is earlier than the deadline for the semi-annual statement for calendar year 2019. A candidate/committee active in both calendar years may file the 2019 statement on January 23, 2020.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-Hour/10 Day Independent Expenditure Reports (Form 496) or to 24-Hour/10 Day Contribution Reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week.
- Where to File: State committees file reports with the Secretary of State. Only candidate controlled committees that are <u>not</u> e-filers should file paper copies with the elections official at the candidate's county of domicile.
- E-Filer: A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- Form 460: All state committees, including e-filers, must also file paper reports. Paper filings may be filed by first class mail unless otherwise noted.
- Forms 496 and 497: These reports filed online only.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- State Contribution Limits: Refer to the contribution limits chart on the FPPC website.
- Public Documents: All statements are public documents.
- Resources: Campaign manuals and other instructional materials are available <a href="here">here</a>. Or, visit <a href="here">www.fppc.ca.gov</a> > Learn > Campaign Rules.