Fair Political Practices Commission

Filing Schedule for Candidates and Controlled Committees for Local Office Listed on the November 2, 2021 Ballot

Deadline	Period	Form	Notes
Aug 2, 2021 Semi-Annual	* – 06/30/21	<u>460</u> or <u>470</u>	 Each candidate listed on the ballot must file Form 460 or Form 470 (see below). Form 470: Candidates who filed candidacy papers on or before June 30, who do not have open committees, and who will not raise or spend \$2,000 or more in 2021, file Form 470.
Within 24 Hours Contribution Reports	8/4/21 – 11/2/21	<u>497</u>	 File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more in the aggregate is made to or in connection with <i>another</i> candidate or measure being voted upon November 2, 2021. The recipient of a non-monetary contribution of \$1,000 or more in the aggregate must file a Form 497 within 48 hours from the time the contribution is received. File by personal delivery, e-mail, guaranteed overnight service, or fax. The committee may also file online, if available.
Sept 23, 2021 1 st Pre-Election	7/1/21 – 9/18/21	<u>460</u> or <u>470</u>	Each candidate listed on the ballot must file Form 460 or Form 470 (see below).
Oct 21, 2021 2 nd Pre-Election	9/19/21 – 10/16/21	<u>460</u>	 All committees must file this statement. File by personal delivery or guaranteed overnight service. The committee may also file online, if available.
Jan 31, 2022 Semi-Annual	10/17/21 – 12/31/21	<u>460</u>	 All committees must file Form 460 unless the committee filed termination Forms 410 and 460 before Dec 31, 2021.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Local Ordinance: Always check on whether additional local rules apply.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- Method of Delivery: All paper filings may be filed by first class mail unless otherwise noted. A paper copy of a statement may not be required if a local agency requires online filing pursuant to a local ordinance.
- Form 501: All candidates must file Form 501 (Candidate Intention Statement) before soliciting/receiving contributions.

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- Form 460: Candidates who have raised/spent \$2,000 or more file the Form 460. The Form 410 (Statement of Organization) must also be filed once \$2,000 or more has been raised/spent.
- Form 470: Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2021 and do not have an open committee must file Form 470 on or before September 23, 2021. If, later during the calendar year, the candidate raises or spends \$2,000 or more, a Form 470 Supplement and a Form 410 must be filed.
- Independent Expenditures: Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates or ballot measures also file:
 - \circ <u>462</u>: This form must be e-mailed to the FPPC within 10 days.
 - <u>496</u>: This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's or measure's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.
- After the Election: Reporting requirements will depend on whether the candidate is successful and whether a campaign committee is open. See <u>Campaign</u> <u>Disclosure Manual 2</u> for additional information.
- Public Documents: All statements are public documents.
- Resources: Campaign manuals and other instructional materials are available here. Or, visit www.fppc.ca.gov > Learn > Campaign Rules.