Fair Political Practices Commission Filing Schedule for State Officeholders and Candidates Not Listed on the November 8, 2022 Ballot

Deadline	Period	Form	Notes
Aug 1, 2022 Semi-Annual	* - 6/30/22	<u>460</u>	All committees must file this statement.
Within 10 Business Days \$5,000 Report	Ongoing – file any time other than 90- day election cycle	497	 File if a contribution of \$5,000 or more is received from a single source. No paper copy is required. File within 10 business days of receipt of contribution. Until the California Access Replacement System (CARS) is live, only e-filers file this report. Once the system is live, all filers file this report if a contribution of \$5,000 or more is received from a single source.
Within 10 Days Independent Expenditure Verification	Ongoing	<u>462</u>	 Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure. Until the California Access Replacement System (CARS) is live, committees making independent expenditures must file this form with the FPPC by e-mail only, no paper copy is required. Once the CARS system is live, this report will no longer be required.
Within 24 Hours Election Cycle Reports	8/10/22 – 11/8/22	<u>496</u> <u>497</u>	 496: File if an independent expenditure of \$1,000 or more, in the aggregate, is made in connection with a candidate or ballot measure being voted on the November 8 ballot. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the November 8 ballot, or if a contribution is made to a political party committee. E-file only. No paper copy is required.
Sep 29, 2022 1st Pre-Election	7/1/22 – 9/24/22	<u>460</u>	 File this statement if contributions or independent expenditures of \$500 or more are made in connection with the November 8 election.
Oct 27, 2022 2nd Pre-Election	9/25/22 - 10/22/22	<u>460</u>	 File this statement if contributions or independent expenditures of \$500 or more are made in connection with the November 8 election.
Jan 31, 2023 Semi-Annual	* – 12/31/22	<u>460</u>	All committees must file this statement.

See next pages for additional reporting information.

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Fair Political Practices Commission

Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- Payments Related to a State Ballot Measure: File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required. Until the California Access Replacement System (CARS) is live, only e-filers file this report. Once the CARS system is live, all filers will file these reports online or electronically with the Secretary of State.
- Form <u>511</u>: File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). Until California Access Replacement System (CARS) is live, e-filers must also file a paper copy. Once the CARS system is live, all state committees will file this report online or electronically with the Secretary of State and no paper copy will be required.
- Form <u>E-530</u>: File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- *Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Reports (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- California Access Replacement System (CARS): The new Cal-Access system is expected to go live in 2021.
- Where to File: Until the California Access Replacement System (CARS) is live, state committees that are e-filers, file reports with the Secretary of State.

 Candidate controlled committees that are not e-filers file paper copies with the elections' official at the candidate's county of domicile. Once the CARS system is live, all state committees file reports and statements online or electronically with the Secretary of State.
- **E-filer:** Until the California Access Replacement System (CARS) is live, only state committees that have received contributions or made expenditures totaling \$25,000 or more e-file. Once the system is live, all state committees must file online or electronically with the Secretary of State.
- Form 460: All state committees, including e-filers, must also file paper reports. Paper filings may be filed by first class mail unless otherwise noted. Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.
- Forms 496 and 497: These reports filed online only.

Fair Political Practices Commission

- Penalties: Late statements and reports are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late Form 460). Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State and late e-filed statements and reports will be subject to a fine of \$10 per day. Failure to file a statement or report could result in an enforcement penalty of \$5,000 per violation.
- State Contribution Limits: Refer to the contribution limits chart on the FPPC website.
- Public Documents: All statements and reports are public documents.
- **Resources:** Campaign manuals and other instructional materials are available on the <u>Campaign Rules</u> page. Or, visit <u>www.fppc.ca.gov</u> > Learn > Campaign Rules.