Filing Schedule for Committees Primarily Formed to Support/Oppose Candidates for Assembly District 17 Who Will be Voted Upon at the February 15, 2022 and April 19, 2022 Elections for Assembly Districts 17 and 49 Special Primary and Special General Elections

Deadline	Period	Form	Notes
Jan 31, 2022** Semi-Annual	*- 12/31/21	<u>460</u>	All committees must file this statement.
Within 24 Hours Election Cycle Reports	11/17/21 – 2/15/22 (Special Primary) 1/19/22 – 4/19/22 (Special General)	<u>496</u> <u>497</u>	 496: File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a candidate or ballot measure being voted on the February 15 and/or April 19 ballots. 497: File if a contribution of \$1,000 or more in the aggregate is received from a single source. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the February 15 and/or April 19 ballots or made to a political party committee. The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the non-monetary contribution is received. E-file only. No paper copy is required
Within 3 Business Days Top Ten Contributor List	Ongoing Except during the 16 days before the election – see notes	<u>T-10</u>	 Committees primarily formed to support or oppose a state candidate that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. If the requirement is triggered within 16 days of the election, Form T-10 must be filed within 2 business days. File the Form T10 with the FPPC by email, send to T10@fppc.ca.gov. In the subject line include your committee ID number. No paper copy required.
Within 10 Days Independent Expenditure Verification	Ongoing	<u>462</u>	 Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure. File this form with the FPPC by e-mail only, no paper copy is required. Email to form462@fppc.ca.gov.
Jan 6, 2022** 1st Pre-Election (Special Primary)	1/1/22 – 1/1/22	<u>460</u> or <u>470</u>	 All committees must file Form 460 or Form 470 (see below). The first pre-election and second pre-election statements for the February 15 primary may be combined.
Feb 3, 2022** 2nd Pre-Election (Special Primary)	1/2/22 – 1/29/22	<u>460</u>	 All committees must file this statement. The first pre-election and second pre-election statements for the February 15 primary may be combined. Paper copies must be filed by personal delivery or guaranteed overnight service only.

Phone Advice: 1-866-ASK-FPPC

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Mar 10, 2022	1/30/22 – 3/5/22	<u>460</u>	Committees formed to support or oppose candidates who were unsuccessful in the February 15
1st Pre-Election (Special General)			 election do not file this statement. All other committees must file this statement.
Apr 7, 2022 2nd Pre-Election (Special General)	3/6/22 – 4/2/22	<u>460</u>	 Committees formed to support or oppose candidates who were unsuccessful in the February 15 election do not file this statement. All other committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Aug 1, 2022 Semi-Annual	* – 6/30/22	<u>460</u>	• All committees must file this statement unless the committee filed termination Forms 410 and 460 before June 30, 2022.

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Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- **Payments Related to a State Ballot Measure:** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required. Payments of this type may change the nature of a primarily formed candidate committee. Until the California Access Replacement System (CARS) is live, **only e-filers file this report**. Once the CARS system is live, all filers file this report online or electronically with the Secretary of State.
- Form <u>511</u>: File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). Until the California Access Replacement System (CARS) is live, e-filers must also file a paper copy. Once the CARS system is live, all state committees will file this report online or electronically with the Secretary of State, no paper copy will be required.
- Form <u>E-530</u>: File within 48 hours of making a payment or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- *Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- ****Deadlines**: Due to the date of the February 15, 2022 AD 17 Special Primary Election, the first pre-election and the second pre-election statements for the February 15, 2022 AD 17 Special Primary Election may be combined. The filing period for a combined pre-election statement is 1/1/22 through 1/29/22. The deadline for filing a combined pre-election statement is the deadline for the 2nd pre-election statement, February 3, 2022.
- Deadline Extensions: Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election. This extension never applies to a 24-Hour/10-Day Independent Expenditure Report (Form 496); such reports must be filed within 24 hours regardless of the day of the week.
- California Access Replacement System (CARS): The new California Access Replacement System (CARS) is currently in development with an
 undetermined go live date. Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with
 the Secretary of State.
- E-filer: Until the California Access Replacement System (CARS) is live, only state committees that have received contributions or made expenditures totaling \$25,000 or more e-file.
- Form 460: All state committees, including e-filers, must file paper reports.
- Paper Filings: Until the California Access Replacement System (CARS) is live, all paper filings may be filed by first class mail unless otherwise noted. Once the CARS system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.
- Forms 496 and 497: All reports filed online only.

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- Multipurpose Organizations (including non-profits): A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see the FPPC's fact sheet, Campaign Reporting Rules for Multipurpose Organizations.
- State Contribution Limits: Contributions to state candidates and to committees that make contributions to state candidates are subject to limits. Refer to the <u>contribution limits chart</u> on the FPPC website. Committees making "issue ads" featuring state candidates are also subject to contribution limits.
- **Penalties:** Late statements and reports are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement or report could result in an enforcement penalty of \$5,000 per violation.
- Public Documents: All statements and reports are public documents.
- Resources: Campaign manuals and other instructional materials are available on the <u>Campaign Rules</u> page. Or visit <u>www.fppc.ca.gov</u> > Learn > Campaign Rules.