



California Fair Political Practices Commission

April 20, 1989

Lynne Andersen
Legislative Assistant
Assemblymember Filante's Office
State Capitol, Room 5135
Sacramento, CA 95814

Re: Letter No. 89-234

Dear Ms. Andersen:

Your letter requesting advice under the Political Reform Act was received on April 18, 1989 by the Fair Political Practices Commission. If you have any questions about your advice request, you may contact John McLean an attorney in the Legal Division, directly at (916) 322-5901.

We try to answer all advice requests promptly. Therefore, unless your request poses particularly complex legal questions, or more information is needed, you should expect a response within 21 working days if your request seeks formal written advice. If more information is needed, the person assigned to prepare a response to your request will contact you shortly to advise you as to information needed. If your request is for informal assistance, we will answer it as quickly as we can. (See Commission Regulation 18329 (2 Cal. Code of Regs. Sec. 18329).)

You also should be aware that your letter and our response are public records which may be disclosed to the public upon receipt of a proper request for disclosure.

Very truly yours,

A handwritten signature in cursive script that reads "Kathryn E. Donovan".

Kathryn E. Donovan
Acting General Counsel

KED:plh

INTEROFFICE MEMORANDUM

*Copy
to 1130 4/18/89*

Date: 17-Apr-1989 04:14pm PDT
From: LYNNE ANDERSEN
ANDERSEN_LY AT A1 AT AC09
Dept:
Tel No:

TO: Debbie Spagnoli (SPAGNOLI_DE AT A1 AT AMIN1)
Subject: regcard

89-234

MEMORANDUM

TO: John McLean
Fair Political Practices Commission
FROM: Lynne Andersen, Legislative Assistant
DATE: April 17, 1989
RE: 9th Assembly District Small Business Conference

Enclosed is a pamphlet, to be produced at state expense, for our 1989 Small Business Conference to be held in San Rafael on May 13, 1989. The pamphlet has been approved under the public meeting exemption by Pat Foster in the Legislative Counsel's office.

I would like approval by the FPPC, in writing, under the public meeting exemption. The pamphlet would be sent to approximately 12,000 small business owners in the 9th A.D. I would also like to clarify a few parts of the pamphlet:

- 1) The pamphlet would be three-fold, mailed bulk rate in the member's envelopes. The front page indicates that the conference is sponsored by the member. If approved, can an Assembly and/or State seal be used?
- 2) The agenda page lists three small business topics the

member will address, in addition to question and answers on additional legislative matters.

- 3) The "Open Letter" expands on some of those small business issues.
- 4) The registration card lists the Marin County Chamber of Commerce as the return card recipient, as recommended by the Legislative Counsel's Office.
- 5) The cost of the conference covers room rental and refreshments only.

Thank you for your review. I would like an answer as soon as possible, as the conference date is rapidly approaching. Please contact me with any questions you may have at 5-7827.

1989 SMALL BUSINESS CONFERENCE

Sponsored by

**ASSEMBLYMAN BILL FILANTE, M.D.
9TH ASSEMBLY DISTRICT**

In cooperation with

Marin and Sonoma County Chambers of Commerce

Saturday, May 13, 1989
8:15 a.m. to 12:30 p.m.

Dominican College
Caleruega Hall
1520 Grand Avenue
San Rafael

AGENDA

- 8:00 a.m. COFFEE AND REGISTRATION
8:30 a.m. LEGISLATIVE UPDATE:
ASSEMBLYMAN BILL FILANTE, M.D.

Discuss these topics and more
with Bill:

- 1) Mandated Health Benefits
- 2) Workers Compensation
- 3) Parental Leave

- 8:45 a.m. "Productivity and Profitability
In the Marketplace"
-Mimi Long, Telemarketing Manager
Pacific Bell
- 9:15 a.m. "Solutions to the Workers Comp
Debate"
-Roy Truelsen, Vice President of
Legislation and Legal Counsel,
Consolidated Freightways
- 9:45 a.m. Questions and Answers
- 10:00 a.m. Break/Information Faire
- 10:30 a.m. "Personal Computers and Office
Automation"
-Joe Brilando, Vice-President
of Marketing and Strategy,
Ashton-Tate Corp., Anaheim
- 11:00 a.m. "America, Inc.: Formula for
Success in the Pacific Century"
-Michael Serwetz, President
Pacific Century Associates &
World-Wide Apparel Services
- 11:30 a.m. Panel discussion
- 12:30 a.m. Closing

**AN OPEN LETTER TO ALL 9TH A.D
SMALL BUSINESSES:**

It is my pleasure to invite you to a conference designed for the small business owner.

I am bringing together speakers and panelists on a variety of topics to help in development of your business, help in computer technology, and a discussion on the workers compensation and mandated health insurance debates currently raging in Sacramento.

Here in the North Bay, small business accounts for much of our economic prosperity. However, these same small firms feel the worst pinch from excessive government regulation.

Mandated health insurance for employers of five people or more is a real possibility this year. As chairman of a legislative task force, I am working on a solution with people most affected, namely employees, insurers, health care groups and consumers.

In order to find the best plan to provide health care without bankrupting small business, I need your input. Please fill out and return the attached RSVP card. I look forward to seeing you on May 13th.

Sincerely,

BILL FILLANNE, M.D.

INFORMATION FAIRE

Come and Meet Representatives From:

California State University

State Department of General Services

State Department of Transportation

State Board of Equalization

State Employment Development
Department

State Department of Corrections

State Franchise Tax Board

U.S. Small Business Administration

Aston-Tate Corporation, Anaheim

Pacific Bell

World-Wide Apparel Services

Pacific Century Associates

REGISTRATION CARD

YES! I WOULD LIKE TO ATTEND THE SMALL BUSINESS CONFERENCE
ON SATURDAY, MAY 13, 1989.

ENCLOSED IS MY CHECK FOR \$10.00, TO COVER CONFERENCE
MATERIALS AND REFRESHMENTS. PLEASE MAKE CHECK
PAYABLE TO THE "SMALL BUSINESS CONFERENCE".

NAME _____

ADDRESS _____

PHONE _____

Mail to: Small Business Conference, Marin County Chamber of Commerce,
30 North San Pedro Road #150, San Rafael, CA 94903
For further information call: 415)479-4920, 707)762-5706,
or 707)938-8157

From the North: From Hwy. 101,
take Downtown San Rafael exit
to 5th Avenue, left on 5th to
Grand Avenue, left on Grand to
Locust, right on Locust,
straight to Caleruega Hall.

From the South: From Hwy. 101,
take Irwin Street exit to 5th
Avenue, right on 5th to Grand,
left on Grand to Locust, right
on Locust, straight to
Caleruega Hall.

I N T E R O F F I C E M E M O R A N D U M

FPPC
Apr 18 11:33 AM '89

Date: 17-Apr-1989 04:14pm PDT
From: LYNNE ANDERSEN
ANDERSEN_LY AT AL AT AC09
Dept:
Tel No:

TO: Debbie Spagnoli (SPAGNOLI_DE AT AL AT AMIN1)

Subject: regard

MEMORANDUM

TO: John McLean
Fair Political Practices Commission

FROM: Lynne Andersen, Legislative Assistant

DATE: April 17, 1989

RE: 9th Assembly District Small Business Conference

Enclosed is a pamphlet, to be produced at state expense, for our 1989 Small Business Conference to be held in San Rafael on May 13, 1989. The pamphlet has been approved under the public meeting exemption by Pat Foster in the Legislative Counsel's office.

I would like approval by the FPPC, in writing, under the public meeting exemption. The pamphlet would be sent to approximately 12,000 small business owners in the 9th A.D. I would also like to clarify a few parts of the pamphlet:

- 1) The pamphlet would be three-fold, mailed bulk rate in the member's envelopes. The front page indicates that the conference is sponsored by the member. If approved, can an Assembly and/or State seal be used?
- 2) The agenda page lists three small business topics the

member will address, in addition to question and answers on additional legislative matters.

- 3) The "Open Letter" expands on some of those small business issues.
- 4) The registration card lists the Marin County Chamber of Commerce as the return card recipient, as recommended by the Legislative Counsel's Office.
- 5) The cost of the conference covers room rental and refreshments only.

Thank you for your review. I would like an answer as soon as possible, as the conference date is rapidly approaching. Please contact me with any questions you may have at 5-7827.

1989 SMALL BUSINESS CONFERENCE

Sponsored by

**ASSEMBLYMAN BILL FILANTE, M.D.
9TH ASSEMBLY DISTRICT**

In cooperation with

Marin and Sonoma County Chambers of Commerce

Saturday, May 13, 1989
8:15 a.m. to 12:30 p.m.

Dominican College
Caleruega Hall
1520 Grand Avenue
San Rafael

AGENDA

- 8:00 a.m. COFFEE AND REGISTRATION
8:30 a.m. LEGISLATIVE UPDATE:
ASSEMBLYMAN BILL FILANTE, M.D.

Discuss these topics and more
with Bill:

- 1) Mandated Health Benefits
- 2) Workers Compensation
- 3) Parental Leave

- 8:45 a.m. "Productivity and Profitability
In the Marketplace"
-Mimi Long, Telemarketing Manager
Pacific Bell
- 9:15 a.m. "Solutions to the Workers Comp
Debate"
-Roy Truelsen, Vice President of
Legislation and Legal Counsel,
Consolidated Freightways
- 9:45 a.m. Questions and Answers
- 10:00 a.m. Break/Information Faire
- 10:30 a.m. "Personal Computers and Office
Automation"
-Joe Brilando, Vice-President
of Marketing and Strategy,
Ashton-Tate Corp., Anaheim
- 11:00 a.m. "America, Inc.: Formula for
Success in the Pacific Century"
-Michael Serwetz, President
Pacific Century Associates &
World-Wide Apparel Services
- 11:30 a.m. Panel discussion
- 12:30 a.m. Closing

**AN OPEN LETTER TO ALL 9TH A.D
SMALL BUSINESSES:**

It is my pleasure to invite you to a conference designed for the small business owner.

I am bringing together speakers and panelists on a variety of topics to help in development of your business, help in computer technology, and a discussion on the workers compensation and mandated health insurance debates currently raging in Sacramento.

Here in the North Bay, small business accounts for much of our economic prosperity. However, these same small firms feel the worst pinch from excessive government regulation.

Mandated health insurance for employers of five people or more is a real possibility this year. As chairman of a legislative task force, I am working on a solution with people most affected, namely employees, insurers, health care groups and consumers.

In order to find the best plan to provide health care without bankrupting small business, I need your input. Please fill out and return the attached RSVP card. I look forward to seeing you on May 13th.

Sincerely,

BILL FIDANNE, M.D.

INFORMATION FAIRE

Come and Meet Representatives From:

California State University

State Department of General Services

State Department of Transportation

State Board of Equalization

State Employment Development
Department

State Department of Corrections

State Franchise Tax Board

U.S. Small Business Administration

Aston-Tate Corporation, Anaheim

Pacific Bell

World-Wide Apparel Services

Pacific Century Associates

REGISTRATION CARD

YES! I WOULD LIKE TO ATTEND THE SMALL BUSINESS CONFERENCE
ON SATURDAY, MAY 13, 1989.

ENCLOSED IS MY CHECK FOR \$10.00, TO COVER CONFERENCE
MATERIALS AND REFRESHMENTS. PLEASE MAKE CHECK
PAYABLE TO THE "SMALL BUSINESS CONFERENCE".

NAME _____

ADDRESS _____

PHONE _____

Mail to: Small Business Conference, Marin County Chamber of Commerce,
30 North San Pedro Road #150, San Rafael, CA 94903
For further information call: 415)479-4920, 707)762-5706,
or 707)938-8157

From the North: From Hwy. 101, take Downtown San Rafael exit to 5th Avenue, left on 5th to Grand Avenue, left on Grand to Locust, right on Locust, straight to Caleruega Hall.

From the South: From Hwy. 101, take Irwin Street exit to 5th Avenue, right on 5th to Grand, left on Grand to Locust, right on Locust, straight to Caleruega Hall.



88-234

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES

June 15, 1988

California Fair Political Practices
Commission
428 J Street, Suite 800
P.O. Box 807
Sacramento, CA 95804-0807

Attention: Legal Division

To whom it may concern:

As recommended by Linda Moureaux of your Technical Assistance and Analysis Division, per our telephone conversation of June 14, 1988, the State Council on Developmental Disabilities (Council) requests an "advice letter" on the following situation:

Council members include the Secretary of the Health and Welfare Agency, Director of Developmental Services, Director of Rehabilitation and Superintendent of Public Instruction (Section 4520-4523 of the Welfare & Institutions Code). At present, representatives and/or alternates have been designated:

| <u>MEMBER</u> | <u>REPRESENTATIVE</u> | <u>ALTERNATE</u> |
|--|---|------------------------------------|
| Secretary of the Health & Welfare Agency Clifford L. Allenby | Barbara Hooker Assistant Secretary | |
| Director of Developmental Services Gary D. Macomber | | Al Lee Chief Deputy Director |
| Director of Rehabilitation P. Cecilio Fontanoza | Merrill Jacobs Chief Deputy Director | |
| Superintendent of Public Instruction Bill Honig | Kathryn Summers Special Education | |

JUN 21 11 09 AM '88



1507-21st STREET - ROOM 320 • SACRAMENTO, CA 95814 • TELEPHONE (916) 322-8481

FPPC
June 15, 1988
Page 2

Each of the designated representatives/alternates have voting privileges at Council meetings.

Due to numerous commitments, the Secretary of the Health and Welfare Agency, Director of Rehabilitation and Superintendent of Public Instruction have not attended past Council meetings. It is not known, at this time, when and if these individuals will personally attend future meetings.

Basically, the question is who should file? See enclosed letter dated June 15, 1988, addressed to Ms. Brown, Technical Assistance and Analysis Division.

Your attention to this matter is appreciated. If further information is needed, please do not hesitate to contact me at (916) 322-8481.

Sincerely,



ARLENE A. NISHIMURA
Executive Secretary

AAN:aan

Enclosure

cc: Clifford L. Allenby
James F. Bellotti



STATE COUNCIL ON DEVELOPMENTAL DISABILITIES

June 15, 1988

Betty M. Brown
Legal Documents Examiner
Technical Assistance and Analysis Division
Fair Political Practices Commission
428 J Street, Suite 800
P. O. Box 807
Sacramento, CA 95804-0807

Name: CLIFFORD L. ALLENBY
Re: Statement of Economic Interests
Type of Statement: Assuming Office
Date of Statement Due: March 3, 1988

Dear Ms. Brown:

As per my telephone conversation with Linda Moureaux of your staff on June 14, 1988, the following is an explanation as to why Mr. Clifford L. Allenby's Form 730 Assuming Office statement was not filed in a timely manner:

The Secretary of the Health and Welfare Agency (HWA) is, by statute (Section 4520-4523 of the Welfare & Institutions Code), a member of the State Council on Developmental Disabilities (SCDD). Mr. Allenby was appointed as Agency Secretary in 1986. Ms. Barbara Hooker, Assistant HWA Secretary, represents the HWA Secretary with voting privileges at all Council meetings. Prior to Mr. Allenby's assuming office, Ms. Hooker was the HWA Secretary's representative.

On January 12, 1987, I requested Mr. Allenby file a Form 730 as a member of SCDD. Shortly thereafter, I recall receiving a phone call from a member of Mr. Allenby's staff stating that Mr. Allenby did not need to file as Ms. Barbara Hooker was his designated representative. I then called FPPC requesting clarification and spoke to you on January 14, 1987. My notes indicate that you explained: 1) Only representatives file; and 2) Alternates and Directors must both file. Based on this information, I did not pursue Mr. Allenby's Form 730 as Ms. Hooker was his designated representative.

On January 29, 1988, I spoke with Lynn Martin of your staff, and it was explained to me that all members must file a Form 730,



1507-21st STREET - ROOM 320 • SACRAMENTO, CA 95814 • TELEPHONE (916) 322-8481



California Fair Political Practices Commission

June 21, 1988

Arlene A. Nishimura
State Council on Developmental
Disabilities
1507 - 21st Street, Room 320
Sacramento, CA 95814

Re: 88-234

Dear Ms. Nishimura:

Your letter requesting advice under the Political Reform Act was received on June 21, 1988 by the Fair Political Practices Commission. If you have any questions about your advice request, you may contact Jeanette Turvill, in the Legal Division, directly at (916) 322-5901.

- We try to answer all advice requests promptly. Therefore, unless your request poses particularly complex legal questions, or more information is needed, you should expect a response within 21 working days if your request seeks formal written advice. If more information is needed, we will contact you shortly to advise you as to the information needed. If your request is for informal assistance, we will answer it as quickly as we can. (See Commission Regulation 18329 (2 Cal. Code of Regs. Sec. 18329).)

You also should be aware that your letter and our response are public records which may be disclosed to the public upon receipt of a proper request for disclosure.

Very truly yours,

A handwritten signature in cursive script that reads "Diane M. Griffiths".

Diane M. Griffiths
General Counsel

DMG:plh