



FAIR POLITICAL PRACTICES COMMISSION

118 J Street • Suite 670 • Sacramento, CA 95814-2329

(916) 322-5000 • Fax (916) 322-0886

March 10, 2013

✓ Dave Jones

✓ Dave Jones for Insurance Commissioner 2010 committee

**REDACTED**

James R. Santos, Treasurer

Dave Jones for Insurance Commissioner 2010 committee

**REDACTED**

**RE: Warning Letter**

**In the Matter of Dave Jones, Dave Jones for Insurance Commissioner 2010 committee, James R. Santos Treasurer  
FPPC No. 12/222**

Dear Messrs. Jones and Santos:

The Fair Political Practices Commission (the "FPPC") enforces the provisions of the Political Reform Act (the "Act"), found in Government Code section 81000, *et seq.* This letter is in response to an audit report referred to us by the Franchise Tax Board. The audit covered the period January 1, 2008 through December 31, 2010.

The audit found that your committee failed to report some subvendor information for payments made for media advertisements. Section 84303 of the Act requires that any payment of \$500 or more made by a contractor of the committee be reported as if it were made directly by the committee.

Failure to disclose the subvendor information was a violation of the Act. However, the dollar amount was not large in relation to the overall campaign activity. Additionally the committee did properly disclose the majority of its subvendor payments. Therefore, we have decided to close this case with a warning letter.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly

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<sup>1</sup> The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

Please feel free to contact me at (916) 322-5796 with any questions you may have regarding this letter.

Sincerely,

**REDACTED**

Galena West  
Senior Commission Counsel  
Enforcement Division