



FAIR POLITICAL PRACTICES COMMISSION

128 J Street • Suite 620 • Sacramento, CA 95814-2329

Phone: (916) 322-5600 • Fax: (916) 322-0886

March 18, 2013

Scott Haggerty
Alameda County Supervisor, First District

REDACTED

Re: Warning Letter
FPPC No. 12/946: Scott Haggerty

Dear Mr. Haggerty:

The Fair Political Practices Commission (the "FPPC") enforces the provisions of the Political Reform Act (the "Act"),¹ found in Government Code section 81000, et seq. This letter is in response to a complaint filed against you that alleged you failed to disclose loans on your Statement of Economic Interests, Form 700 ("SEI"). We are closing this matter with a warning letter.

The FPPC has completed its investigation of the facts in this case. The Act provides that public officials listed in Government Code section 87200 are required to file an SEI disclosing their investments, interests in real property, and any income, including loans. Section 87207 requires the disclosure of the name and address of each source of income aggregating five hundred dollars or more.

Your actions violated Section 87207 of the Act because you failed to disclose loans from two individuals each aggregating five hundred dollars or more on your SEIs.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation. However, because you filed amendments to disclose these loans as soon as you were aware of the omissions, we are closing this matter with a warning letter.

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

The FPPC publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or a manual, or guidance regarding your obligations, please call the FPPC's Technical Assistance Division at 1-866-275-3772. Please also visit our website at www.fppc.ca.gov.

Please feel free to contact Teri Rindahl at 916.327.2018 with any questions you may have regarding this letter.

Sincerely,

REDACTED

Gary S. Winuk
Chief, Enforcement Division

GSW/tr

Cc: John "Chris" Gray
Dave Cortese, Van Keulen & Van Keulen