



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5600 • Fax (916) 322-0886

March 23, 2010

✓ Ms. Pam O'Connor
Friends of Pam O'Connor

REDACTED

Mr. Alfredo Caravelli
Treasurer
Friends of Pam O'Connor

REDACTED

RE: Warning Letter Re: FPPC No. 10/127, Pam O'Connor, Friends of Pam O'Connor,
and Alfredo Caravelli, Treasurer

Dear Ms. O'Connor and Mr. Caravelli:

The Fair Political Practices Commission (the "FPPC") enforces the provisions of the Political Reform Act (the "Act"),¹ found in Government Code section 81000, et seq. This letter is in response to a complaint filed against you that alleged you failed to file a semi-annual campaign statement disclosing contributions received and expenditures made (copy enclosed).

The FPPC has completed its investigation of the facts in this case. The Act requires that candidates and their committees file campaign statements at periodic intervals. Specifically the Act provides that elected officers, candidates, and committees shall file semi-annual statements. (Section 84200.)

The FPPC found that you and your committee failed to timely file a semi-annual campaign statement for the period of July 1, 2009 through December 31, 2009 by the February 1, 2010 deadline. Since we have now learned that you have filed your semi-annual statement, we are closing this matter.

Your actions violated the Act because you failed to timely file the semi-annual statement by the specified deadline.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in sections 18110 through 18997 of Title 9 of the California Code of Regulations. All regulatory references are to Title 9, unless otherwise indicated. Page 1 of 1

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This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

You are obligated to continue filing campaign statements until you officially terminate your committee. Failure to do so is a violation of the Act. Please be advised that after every filing deadline, your local filing officer will refer all non-filers to the Enforcement Division. Any future failure to file your campaign statements will automatically be brought to our attention.

Although the FPPC is not seeking a penalty in the current matter, you are still responsible for any late filing fees assessed by your filing officer. (Section 91013.)

The FPPC publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or a manual, or guidance regarding your obligations, please call the FPPC's Technical Assistance Division at 1-866-275-3772. Please also visit our website at www.fppc.ca.gov.

Please feel free to contact Teri Rindahl at (916) 327-2018, with any questions you may have regarding this letter.

Sincerely,

REDACTED

Gary S. Winuk, Chief
Enforcement Division

GSW/tr

cc. Pro Se