



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

June 18, 2015

James Spencer

James Spencer for State Senate 2014

[REDACTED]

[REDACTED]

RE: **Warning Letter**

FPPC No. 15/187, James Spencer, James Spencer for State Senate 2014 ✓

Dear Mr. Spencer:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") enforces the provisions of the Political Reform Act (the "Act").¹ This letter is in response to a referral from your filing officer that alleged you failed to file a required campaign statement.

The Enforcement Division has completed its investigation of the facts in this case. Specifically, we found that you failed to file a copy of your termination campaign statement with the Los Angeles County Registrar of Voters. The Act provides that candidates and their committees file statements at periodic intervals. Specifically, the Act requires that candidates and their committees shall file required campaign statements with their county of domicile. (Gov. Code § 84215.)

Your actions violated the Act because you failed to file your termination campaign statement with the Los Angeles County Registrar of Voters and only filed the missing copy after contact from the Enforcement Division. However, since you have now filed the statement and have no prior Enforcement history, we are closing our file on this matter.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Toll-Free Advice Line at 1-866-275-3772 or visit our website at www.fppc.ca.gov.

Please feel free to contact me at (916) 322-5660 with any questions you may have regarding this letter.

Sincerely,

A black rectangular redaction box covering the signature of Galena West.

/ Galena West, Chief
Enforcement Division

cc: Los Angeles County Registrar of Voters

GW:gfm