



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5660 • Fax (916) 322-0886

June 27, 2011

Mr. Doug Humphreys

REDACTED

RE: **Warning Letter**
FPPC No. 10/580, Ben Manley, Doug Humphreys

Dear Mr. Humphreys:

The Fair Political Practices Commission (“Commission”) enforces the provisions of the Political Reform Act (the “Act”) found in Government Code Section 81000, and following. The Commission received a complaint alleging that you failed to timely file your Statement of Economic Interests (“SEI”) for the 2009 calendar year. The Commission has decided to close this case with this warning letter.

The FPPC has completed its investigation of the facts in this case. Specifically, the FPPC found that you did file your SEI, but did so after the applicable deadline.

Under Section 87207, the name and address of the source of income must be disclosed. And Regulation 18723 (b)(2), members of city counsels must file there annual statements of economic interest by April First.

Although you did not file your SEI timely, you did file the document prior to being contacted by the Commission’s Technical Assistance Division, therefore we determined that further enforcement action was not warranted.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed

with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

Please feel free to contact me with any questions you may have regarding this letter.

Sincerely,

R E D A C T E D

Zachary W. Norton
Commission Counsel
Enforcement Division