



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

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July 22, 2013

Thomas Vandenberg  
Schneider National, Inc.

# REDACTED

Warning Letter: Case No. 13/491: Schneider National, Inc: Thomas Vandenberg

Dear Mr. Vandenberg:

The Fair Political Practices Commission (FPPC) enforces the provisions of the Political Reform Act (Act)<sup>1</sup>. This letter is in response to a non-filer enforcement referral from the California Secretary of State. The FPPC has completed its investigation and found that you failed to timely file your Lobbyist Employer Forms (Form 635<sup>2</sup>) for Quarter 7 and 8 for the 2011-2012 Session.

Section 86115 of the Act provides that lobbyist employers must file periodic reports. Section 82039.5 of the Act defines a lobbyist employer as an entity that either "[e]mploys one or more lobbyists for economic consideration, other than reimbursement for reasonable travel expenses, for the purpose of influencing legislative or administrative action" or "[c]ontracts for the services of a lobbying firm for economic consideration, other than reimbursement for reasonable travel expense, for the purpose of influencing legislative or administrative action." Section 86117(a) provides that these reports "shall be filed during the month following each calendar quarter." The above-mentioned reports are filed by submitting a completed Form 635 to the California Secretary of State.

Your actions violated the Act because you failed to file the abovementioned statements by the specified deadlines. However, since you do not have a history of violating the Act and because the amount of lobbying activity unreported was relatively minimal, we are closing your case with this warning letter. This information will be retained and may be considered should a future enforcement action become necessary.

Although the FPPC is closing its case at this time, you are still required to file all outstanding statements with the California Secretary of State and continue filing until you officially terminate your committee. You will be responsible for any late filing fees assessed by your filing officer. (§ 91013.) If your committee is no longer active, you may want to terminate as well. Please note: any future failure to timely file your required statements will likely result in monetary penalties.

<sup>1</sup> The Act is contained in Government Code sections 81000 through 91014. The regulations of the FPPC are contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations.

<sup>2</sup> Electronic Link to Form 635: <http://www.fppc.ca.gov/Pdf/635.pdf>  
GSW (fiers)

A warning letter is an FPPC case resolution without administrative prosecution; however, this resolution does not provide you with the opportunity for a probable cause or administrative hearing. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of your case. If we do not receive such notification, this warning letter will be posted on the FPPC's website.

Should you have any questions regarding this letter, contact Tracey Frazier at (916) 327-2019.

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Gary S. Winuk  
Chief, Enforcement Division

cc: Secretary of State, California