



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

September 3, 2014

Mr. Keith Stroup

### Warning Letter: Case No. 14/144; NORML PAC

Dear Mr. Stroup:

The Fair Political Practices Commission (FPPC) enforces the provisions of the Political Reform Act (Act)<sup>1</sup>. This letter is in response to a non-filer enforcement referral from the California Secretary of State's office. The FPPC has completed its investigation and found that you failed to file semi-annual statements (Form 460<sup>2</sup>) for the following periods:

- July 1<sup>st</sup>, 2012-December 31<sup>st</sup>, 2012 (due January 31<sup>st</sup>, 2013)
- January 1<sup>st</sup>, 2013-June 30<sup>th</sup>, 2013 (due July 31<sup>st</sup>, 2013)
- July 1<sup>st</sup>, 2013-December 31<sup>st</sup>, 2013 (due January 31<sup>st</sup>, 2014)

Section 84200 provides that candidates and committees must file semiannual campaign statements. Your actions violated the Act because you failed to file the abovementioned statements by the specified deadline. However, since your committee does not have an enforcement history and you are taking corrective action, we are closing your case with this warning letter. This information will be retained and may be considered should a future enforcement action become necessary.

Although the FPPC is closing its case at this time, you are still required to file any outstanding statements with the California Secretary of State's office and continue filing until you officially terminate your committee. You will be responsible for any late filing fees assessed by your filing officer. (§ 91013.) If your committee is no longer active, you may want to terminate as well. Please note: any future failure to timely file your required statements will automatically be brought to our attention and will result in monetary penalties.

A warning letter is an FPPC case resolution without administrative prosecution; however, this resolution does not provide you with the opportunity for a probable cause or administrative hearing. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of your case. If we do not receive such notification, this warning letter will be posted on the FPPC's website.

Should you have any questions regarding this letter, contact Kellan Patterson at (916) 322-7050.

Sincerely,

A black rectangular redaction box covering the signature of Gary S. Winuk.

Gary S. Winuk  
Chief, Enforcement Division

cc: California Secretary of State Office

<sup>1</sup> The Act is contained in Government Code sections 81000 through 91014. The regulations of the FPPC are contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations.

<sup>2</sup> Electronic link to Form 460: <http://www.fppc.ca.gov/forms/1-05forms/460.pdf>