



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

September 8, 2015

Kathleen Rothner
[REDACTED]
[REDACTED]

Warning Letter Re: FPPC No. 15/353; Kathleen Rothner, Respondent

Dear Ms. Rothner:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the "Act").¹ This letter is in response to a referral from the Beverly Hills City Clerk who alleged you failed to file your 2014 Annual Statement of Economic Interests ("SEI"). The Act requires that persons designated in an agency's conflict of interest code file an SEI when they assume office, annually thereafter, and within 30 days of leaving their position.

The Enforcement Division has completed its investigation of the facts in this case. Specifically, we found that you failed to timely file your Annual SEI by the April 1, 2015 deadline. However, since you have now filed that statement, and since the city clerk's office indicated you did not receive notifications or reminders to file because they had an invalid email address, we are closing our file.

Your failure to file the required statement is a violation of the Act. (Gov. Code § 87300.) This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Toll-Free Advice Line at 1-866-275-3772 or visit our website at www.fppc.ca.gov.

Please feel free to contact Jeanette Turvill at [REDACTED] with any questions you may have regarding this letter.

Sincerely,

[REDACTED]

Galena West, Chief
Enforcement Division

GW/jt
cc: City Clerk, City of Beverly Hills