



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

November 12, 2014

Ben Allen

Friends of Ben Allen for School Board 2012



Rogr Thornton, Treasurer

Friends of Ben Allen for School Board 2012



Warning Letter: Case No. 14/576; Friends of Ben Allen for School Board 2012

Dear Messrs. Allen and Thornton:

The Fair Political Practices Commission (FPPC) enforces the provisions of the Political Reform Act (the "Act") contained in Government Code sections 81000 through 91014. The FPPC's Enforcement Division is in receipt of a non-filer referral from the Secretary of State that alleged you failed to file campaign disclosure statements in connection with Friends of Ben Allen for School Board 2012. The Enforcement Division has completed its investigation and found that you failed to file a statement covering January 1, 2014 – March 17, 2014 (due March 24, 2014).

Under Section 84200.5, elected officers, candidates, and committees are required to file two preelection statements for the time periods and by the due dates as set forth in Sections 84200.7 and 84200.8. Your actions violated the Act because you failed to file the abovementioned statement by the specified deadline. However, since you do not have an enforcement history and you obtained compliance by filing the missing campaign disclosure statements, we are closing your case with this warning letter. This information will be retained and may be considered should a future enforcement action become necessary.

Although the FPPC is closing its case at this time, you are still required to file all outstanding statements with the Secretary of State and continue filing until you officially terminate your committee. You will be responsible for any late filing fees assessed by your filing officer. (§ 91013.) If your committee is no longer active, you may want to terminate as well. Please note: any future failure to timely file your required statements will automatically be brought to our attention and will result in monetary penalties.

A warning letter is an FPPC case resolution without administrative prosecution; however, this resolution does not provide you with the opportunity for a probable cause or administrative hearing. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of your case. If we do not receive such notification, this warning letter will be posted on the FPPC's website.

Should you have any questions regarding this letter, contact Kellan Patterson at 916-322-7050.

GSW:tf:kp

Sincerely,



Gary S. Winuk
Chief, Enforcement Division

cc: The Secretary of State