



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

July 16, 2009

Mr. Rick Hauptman

REDACTED

**Re: Warning Letter
FPPC No. 090394; Rick Hauptman, Treasurer**

Dear Mr. Hauptman:

The Fair Political Practices Commission ("Commission") enforces the provisions of the Political Reform Act (the "Act") found in Government Code Section 81000, and following. The Act requires that candidates and committees file campaign statements at periodic intervals.

The Enforcement Division of the Commission has determined that you and your committee failed to file a campaign disclosure statement for the period ending March 17, 2008, which was due on March 24, 2008, with the City and County of San Francisco Ethics Commission.

We have decided to close this case. Your failure to file the required campaign disclosure statement is a violation of the Act. (Section 84200.5) This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on future conduct or newly discovered information.

You must immediately file this campaign disclosure statement with the City and County of San Francisco Ethics Commission.

You are obligated to continue filing campaign disclosure statements until you officially terminate your committee. Failure to do so is a violation of the Act. If your committee is no longer active, you may want to terminate your committee at this time as well. Please be advised that after every filing deadline, your local filing officer will refer all non-filers to the Enforcement Division. Any future failure to file your campaign statements will automatically be brought to our attention.

Failure to comply with the provisions of the Act in the future may result in an enforcement action against you, including monetary penalties of up to \$5,000 for each violation.

Mr. Rick Hauptman
July 16, 2009
Page 2

Although the Commission is not seeking a penalty in the current matter, you are still responsible for any late filing fees assessed by your filing officer. (Section 91013.)

If you disagree with our determination that you violated the Act, please contact Adrienne Korchmaros in writing postmarked within 14 days of the date of this letter.

The Commission publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Technical Assistance Division at 1-866-275-3772. Please also visit our website at www.fppc.ca.gov.

If you have questions regarding this matter, please contact Adrienne Korchmaros at (916) 322-8241.

Sincerely,



Gary S. Winuk
Chief, Enforcement Division

GSW:AK:fp

cc: John St. Croix, Executive Director, Ethics Commission City and County of San Francisco