

MAR 1 2011



AD

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A Public Document

NAME (LAST)	(FIRST)	(MIDDLE)
LOWENTHAL	Alan	S
MAILING ADDRESS	STREET	CITY
		STATE
		ZIP CODE

1. Office, Agency, or Court

Name of Office, Agency, or Court: CALIFORNIA State Senate

Division, Board, District, if applicable: 27

Your Position: SENATOR

If filing for multiple positions, list additional agency(ies)/ position(s): (Attach a separate sheet if necessary.)

Agency:

Position:

2. Jurisdiction of Office (Check at least one box)

- State
- County of _____
- City of _____
- Multi-County _____
- Other _____

3. Type of Statement (Check at least one box)

Assuming Office/Initial Date: ___/___/___

Annual: The period covered is January 1, 2009, through December 31, 2009.

-or-

The period covered is ___/___/___, through December 31, 2009.

Leaving Office Date Left: ___/___/___ (Check one)

The period covered is January 1, 2009, through the date of leaving office.

-or-

The period covered is ___/___/___, through the date of leaving office.

Candidate Election Year: _____

4. Schedule Summary

Total number of pages including this cover page: 2

Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 Yes - schedule attached Investments (Less than 10% Ownership)

Schedule A-2 Yes - schedule attached Investments (10% or Greater Ownership)

Schedule B Yes - schedule attached Real Property

Schedule C Yes - schedule attached Income, Loans, & Business Positions (Income Other than Gifts and Travel Payments)

Schedule D Yes - schedule attached Income - Gifts

Schedule E Yes - schedule attached Income - Travel Payments

-OR-

No reportable interests on any schedule

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 1 2011

Signature (d)(5)

SCHEDULE A-1 **EB**
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

▶ **NAME OF BUSINESS ENTITY**
L-3 Communications Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AEROSPACE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 11 / 2 / 09
ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
Allied Waste North America

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
WASTE REMOVAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 9 / 30 / 09
ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

▶ **NAME OF BUSINESS ENTITY**
OMNICON Group Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Holdings Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 8 / 16 / 09
ACQUIRED DISPOSED

Verification

Print Name Alan S. Lowenthal

Office, Agency or Court CALIFORNIA STATE SENATE

Statement Type 2009/2010 Annual Assuming Leaving
 Annual Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 1, 2011

Signature (d)(5)

Comments: _____

DD

STATEMENT OF ECONOMIC INTERESTS
RECEIVED
FAIR POLITICAL PRACTICES COMMISSION
COVER PAGE

Please type or print in ink.



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NAME (LAST) LOWENTHAL	(FIRST) ALAN	(MIDDLE) S.	DAYTIME TELEPHONE NUMBER (d)(5)
MAILING ADDRESS (Business Address Acceptable) (d)(5)	STREET	CITY	STATE
			ZIP CODE
			OPTIONAL: E-MAIL ADDRESS

1. Office, Agency, or Court

Name of Office, Agency, or Court:
CALIFORNIA STATE SENATE

Division, Board, District, if applicable:
27

Your Position:
SENATOR

▶ If filing for multiple positions, list additional agency(ies)/ position(s): (Attach a separate sheet if necessary.)

Agency: _____

Position: _____

2. Jurisdiction of Office (Check at least one box)

State

County of _____

City of _____

Multi-County _____

Other _____

3. Type of Statement (Check at least one box)

Assuming Office/Initial Date: ____/____/____

Annual: The period covered is January 1, 2009, through December 31, 2009.

-or-

The period covered is ____/____/____, through December 31, 2009.

Leaving Office Date Left: ____/____/____ (Check one)

The period covered is January 1, 2009, through the date of leaving office.

-or-

The period covered is ____/____/____, through the date of leaving office.

Candidate Election Year: _____

4. Schedule Summary

▶ Total number of pages including this cover page: 23

▶ Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 Yes - schedule attached
Investments (Less than 10% Ownership)

Schedule A-2 Yes - schedule attached
Investments (10% or Greater Ownership)

Schedule B Yes - schedule attached
Real Property

Schedule C Yes - schedule attached
Income, Loans, & Business Positions (Income Other than Gifts and Travel Payments)

Schedule D Yes - schedule attached
Income - Gifts

Schedule E Yes - schedule attached
Income - Gifts - Travel Payments

-or-

No reportable interests on any schedule

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 2/28/10
(month, day, year)

Signature (d)(5)

**SCHEDULE A-1
Investments**

**Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)**

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
~~AT&T~~ Alcon, Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Eye Care

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/18/09 1/1/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Banco Santander Central Hispano

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
BANKING GROUP

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/4/09 1/1/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
American Tower Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCIAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/09 1/1/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BANCO SANTANDER - SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
BANK

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/7/09 1/1/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Atlas Energy Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/3/09 1/1/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BAXTER International, Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Health Care

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/9/09 1/1/09
ACQUIRED DISPOSED

Comments: _____

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
BHP Billiton PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Global resources

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/22/09 / / 09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Charles Schwab Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9/17/09 / / 09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CANADIAN NATURAL RESOURCES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL & GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/4/09 / / 09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ENBRIDGE Energy Management

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ENERGY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CATERPILLAR, Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
HEAVY DUTY EQUIPMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9/23/09 / / 09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Expeditors Intl. of Wash. Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name
ALAN LOWENTHAL

▶ NAME OF BUSINESS ENTITY
FPL GROUP Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / /09 / /09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
International Business Machine (IBM)

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
technology, computer

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/14/09 / /09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Goldman Sachs Group Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Finance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/1/09 / /09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ITC Holdings Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electricity transmission

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / /09 / /09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
HSBC Holdings PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Finance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/29/09 / /09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
J P Morgan Chase & Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Finance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/1/09 / /09
 ACQUIRED DISPOSED

Comments: IBM STOCK previously reported less than \$2,000 (March 1, 2009)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
Johnson + Johnson

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceutical

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/30/09 1/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Partnerre Ltd.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
re-insurance

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/20/09 1/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
KINDER MORGAN Mgmt, LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/09 1/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
PRAXAIR Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
INDUSTRIAL GASES

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/24/09 1/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
MEDCO Health Solutions

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MEDICAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/9/09 1/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
RANGE RESOURCES Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL + GAS EXPLORATION

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/10/09 1/1/09
 ACQUIRED DISPOSED

Comments:

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
WESTERN UNION

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MONEY TRANSFER

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
TEEKAY TANKERS, LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL TANKERS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Seaspan Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Shipping

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
VORNADO Realty TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Real estate investment trust

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
5/6 / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Suncos Energy

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
MEDTRONIC Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MEDICAL TECHNOLOGY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CONVERTIBLE BOND
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/8 / 09 _____ / _____ / 09
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
VORNADO Realty TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CVT Senior Debentures
REAL ESTATE INVESTMENT TRUST

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CONVERTIBLE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
GSC HLDGS CORP / GAME STOP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
INVESTMENT CORP.

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/23/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BRASCAN Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ASSET MANAGEMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
HEWLETT PACKARD Co

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
COMPUTER

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CROWN CASTLE Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
WIRELESS COMMUNICATION

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/29/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
KANSAS City SOUTHERN RAILWAY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
RAILROAD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Alan LOEWENTHAL

NAME OF BUSINESS ENTITY
Plains All American Pipeline

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pipelines

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6, 18, 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
SPRINT Cap Corp NT

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
telecommunications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8, 19, 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
RANGE Resources Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10, 30, 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Staples Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Office Furniture

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7, 28, 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
SLM CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Student Loans

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CORPORATE BOND
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9, 24, 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
TeeKay Shipping Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Shipping

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9, 21, 09 / / 09
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
Time Warner Cable Inc.
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CABLE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SLM Corp
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Student loans

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/3/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WESTERN UNION
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MONEY TRANSFER

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
MEDCO Health Solutions
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PRESCRIPTION Management

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/9/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
XTO Energy, Inc
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BHP Billiton PLC
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Global Resources

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/28/09 ____/____/09
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name Alan Lowenthal

NAME OF BUSINESS ENTITY
BHP Billiton LTD *Sponsored ADR*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Global Resources

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/9/09 12/28/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Omnicom Group Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Holding Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
_____/_____/09 2/9/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
KINDER MORGAN Energy Partners

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
_____/_____/09 ____/____/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Phillip Morris Intl. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
tobacco products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
_____/_____/09 2/27/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Trustees of Cal State University

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Municipal Bond

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/6/09 ____/____/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
L-3 Communications Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AEROSPACE PRODUCTS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/3/09 ____/____/09
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name ALAN LOWENTHAL

NAME OF BUSINESS ENTITY: Altria Group Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: tobacco + wine products
FAIR MARKET VALUE: \$2,000 - \$10,000
NATURE OF INVESTMENT: Other Corporate Bond
IF APPLICABLE, LIST DATE: 1/1/09 ACQUIRED, 3/3/09 DISPOSED

NAME OF BUSINESS ENTITY: Morgan Stanley
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: Finance
FAIR MARKET VALUE: \$2,000 - \$10,000
NATURE OF INVESTMENT: Stock
IF APPLICABLE, LIST DATE: 4/1/09 ACQUIRED, 4/27/09 DISPOSED

NAME OF BUSINESS ENTITY: Suncor Energy
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: Energy
FAIR MARKET VALUE: \$10,001 - \$100,000
NATURE OF INVESTMENT: Stock
IF APPLICABLE, LIST DATE: 1/1/09 ACQUIRED, 3/23/09 DISPOSED

NAME OF BUSINESS ENTITY: Capital One Financial Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: Finance
FAIR MARKET VALUE: \$10,001 - \$100,000
NATURE OF INVESTMENT: Stock
IF APPLICABLE, LIST DATE: 4/15/09 ACQUIRED, 5/29/09 DISPOSED

NAME OF BUSINESS ENTITY: Allied Waste North America
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: Waste Removal
FAIR MARKET VALUE: \$2,000 - \$10,000
NATURE OF INVESTMENT: Stock
IF APPLICABLE, LIST DATE: 3/27/09 ACQUIRED, 1/1/09 DISPOSED

NAME OF BUSINESS ENTITY: Lockheed Martin Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY: Space / Defense
FAIR MARKET VALUE: \$10,001 - \$100,000
NATURE OF INVESTMENT: Stock
IF APPLICABLE, LIST DATE: 4/16/09 ACQUIRED, 10/23/09 DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name Alan Lowenthal

NAME OF BUSINESS ENTITY
Potash Corp of Saskatchewan
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fertilizer Products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
5/4/09 10/23/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Kansas City Southern
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
RAILROAD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
_____/_____/09 5/29/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
General Electric Co.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
_____/_____/09 5/8/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Qualcomm Inc
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
telecommunications

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/16/09 9/18/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Wells Fargo + Co
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
BANK

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
5/8/09 6/29/09
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Omnicom Group Inc
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Holding Co.

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/18/09 ____/____/09
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name
Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
Emc Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
INFORMATION SYSTEMS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 8/4/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DISNEY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ENTERTAINMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Petroleo Brasileiro SA (PBR)

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL PRODUCER

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 10/26/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
KIMCO REALTY CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
real estate Shopping Centers

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 1/25/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
MONSANTO Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
chemical

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 10/29/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Abbott LABORATORIES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
HEALTH CARE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 4/23/09
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Alan Lowenthal

NAME OF BUSINESS ENTITY
Denbury Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL & GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 2/4/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Allegheny Energy Supply

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 9/8/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
COVANCE Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceutical

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 2/2/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Dean Food

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Food Processor

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 5/15/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
MEDTRONIC Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MEDICAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Convertible Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 9/28/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
COMCAST CABLE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CABLE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 9/28/09
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ NAME OF BUSINESS ENTITY
LUBRIZOL Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
LUBRICANTS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/29/09 10/1/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/09 ____/____/09
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ 1. BUSINESS ENTITY OR TRUST

Alan Lowenthal, Ph.D Psych Practice
Name
2809 E. 1st St. Long Beach CA 90803
Address (Business Address Acceptable) I do not claim property as tax deduction. I do not practice Psych.
Check one Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Psychology office in residence

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

NATURE OF INVESTMENT
 Sole Proprietorship Partnership Other

YOUR BUSINESS POSITION Psychologist

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY TRUST)

- \$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold Yrs. remaining Other
 Check box if additional schedules reporting investments or real property are attached

Comments:

▶ 1. BUSINESS ENTITY OR TRUST

Name
Address (Business Address Acceptable)
Check one Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

NATURE OF INVESTMENT
 Sole Proprietorship Partnership Other

YOUR BUSINESS POSITION

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY TRUST)

- \$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
ACQUIRED DISPOSED

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold Yrs. remaining Other
 Check box if additional schedules reporting investments or real property are attached

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ 1. INCOME RECEIVED	▶ 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME <u>State of California</u>	NAME OF SOURCE OF INCOME
ADDRESS (Business Address Acceptable) <u>STATE CAPITAL, SACRAMENTO</u>	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE <u>Cal. Community Colleges Board of Gov.</u>	BUSINESS ACTIVITY, IF ANY, OF SOURCE
YOUR BUSINESS POSITION <u>PRESIDENT OF BOARD'S SPOUSE</u>	YOUR BUSINESS POSITION
GROSS INCOME RECEIVED <input type="checkbox"/> \$500 - \$1,000 <input checked="" type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000	GROSS INCOME RECEIVED <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED <input type="checkbox"/> Salary <input checked="" type="checkbox"/> Spouse's or registered domestic partner's income <input type="checkbox"/> Loan repayment <u>meeting payments</u> <input type="checkbox"/> Sale of _____ <small>(Property, car, boat, etc.)</small> <input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more <input type="checkbox"/> Other _____ <small>(Describe)</small>	CONSIDERATION FOR WHICH INCOME WAS RECEIVED <input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income <input type="checkbox"/> Loan repayment <input type="checkbox"/> Sale of _____ <small>(Property, car, boat, etc.)</small> <input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more <input type="checkbox"/> Other _____ <small>(Describe)</small>

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER	INTEREST RATE	TERM (Months/Years)
_____	_____ % <input type="checkbox"/> None	_____
ADDRESS (Business Address Acceptable)		

BUSINESS ACTIVITY, IF ANY, OF LENDER	SECURITY FOR LOAN	
_____	<input type="checkbox"/> None <input type="checkbox"/> Personal residence	
	<input type="checkbox"/> Real Property _____	<small>Street address</small>
		<small>City</small>
HIGHEST BALANCE DURING REPORTING PERIOD	<input type="checkbox"/> Guarantor _____	
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> Other _____	<small>(Describe)</small>
<input type="checkbox"/> \$1,001 - \$10,000		
<input type="checkbox"/> \$10,001 - \$100,000		
<input type="checkbox"/> OVER \$100,000		

Comments: _____

**SCHEDULE D
Income - Gifts**

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

▶ NAME OF SOURCE
*** MAERSK Inc**

ADDRESS (Business Address Acceptable)
P.O. Box 880, MADISON, N.J.

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Shipping

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/19/09</u>	<u>\$ 114.67</u>	<u>Dinner</u>
<u>* 9/26/09 - 9/30/09</u>	<u>\$ 305.33</u>	<u>GROUND TRANSP. meals</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
International City Theatre

ADDRESS (Business Address Acceptable)
P.O. Box 32069, Long Beach, CA 90802

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Theatre ticket + Dinner

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>2/2/09</u>	<u>\$ 125</u>	<u>Dinner + show</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
IRIDIUM

ADDRESS (Business Address Acceptable)
Auda. Camino de Santiago, MADRID Spain

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Infrastructure Development Co.

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10/5/09</u>	<u>\$ 99.50</u>	<u>Lunch</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Capital Athletic Club

ADDRESS (Business Address Acceptable)
1515 8th St. Sacramento, Ca

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Gym

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1/4/09</u>	<u>\$ 297</u>	<u>Gym membership</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
Parliament of Catalonia

ADDRESS (Business Address Acceptable)
Parc de la Ciutadella, Barcelona, Spain

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Government of Catalonia

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10/16/09 - 10/12/09</u>	<u>\$ 4,609.00</u>	<u>GROUND TRANSPORT meals, ACTIVITIES</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE
CALIFORNIA Citrus Mutual

ADDRESS (Business Address Acceptable)
512 N. Kaweah Ave, Exeter, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
AGRICULTURE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/16/09</u>	<u>\$ 5.50</u>	<u>ORANGES</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: * California State Senate trip to Denmark. Gifts from Maersk OVER \$420 were refunded to Maersk. Refund of \$1785.82 sent 10/23/09
See attached letter from MAERSK

**SCHEDULE D
Income - Gifts**

Name
Alan Lowenthal

▶ NAME OF SOURCE
CALIFORNIA New Car Dealer
ADDRESS (Business Address Acceptable)
1415 L Street # 700, Sacramento
BUSINESS ACTIVITY, IF ANY, OF SOURCE
New car dealers

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/24/09</u>	<u>\$ 99.¹⁸</u>	<u>Reception/Dinner</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE
Steinberg for Senate 2010
ADDRESS (Business Address Acceptable)
1100 O Street, #200, Sacramento, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
State Senator

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/3/09</u>	<u>\$ 71.23</u>	<u>SENATE DEMOCRATIC CAUCUS Dinner</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE
Tech America
ADDRESS (Business Address Acceptable)
1215 K Street, #2140, Sacramento, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
technology

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5/13/09</u>	<u>\$ 10.⁹⁹</u>	<u>Chocolates</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE
Steinberg For Senate 2010
ADDRESS (Business Address Acceptable)
1100 O Street, #200, Sacramento, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
State Senator

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/3/09</u>	<u>\$ 33.48</u>	<u>SENATE DEMOCRATIC CAUCUS Dinner</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE
LOS ANGELES County Fed. of Labor
ADDRESS (Business Address Acceptable)
777 S. FIGUEROA, # 4050, L.A., CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
LABOR ORGANIZATION

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3/17/09</u>	<u>\$ 50</u>	<u>Dinner</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE
SOUTHERN CALIFORNIA Edison
ADDRESS (Business Address Acceptable)
P.O. BOX 800, ROSEMead, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
ELECTRICITY

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12/17/09</u>	<u>\$ 16.50</u>	<u>Holiday Ornament</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

Comments: _____

SCHEDULE D
Income - Gifts

Name
Alan Lowenthal

▶ NAME OF SOURCE
McCourt GROUP

ADDRESS (Business Address Acceptable)
9420 WILSHIRE Blvd, #300 Beverly Hills

BUSINESS ACTIVITY, IF ANY, OF SOURCE
LOS ANGELES DODGERS

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10/15/09</u>	<u>\$215</u>	<u>BASEBALL GAME</u>
* <u>10/16/09</u>	<u>\$200</u>	<u>BASEBALL GAME</u>
<u> / / </u>	<u> \$ </u>	<u> </u>

▶ NAME OF SOURCE
Personal Care Products Council

ADDRESS (Business Address Acceptable)
1101 17th Street, NW300, Washington DC

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Business Advocacy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4/1/09</u>	<u>\$124.⁸¹</u>	<u>GIFT BAG</u>
<u> / / </u>	<u> \$ </u>	<u> </u>
<u> / / </u>	<u> \$ </u>	<u> </u>

▶ NAME OF SOURCE
JAPANESE CHAMBER of Commerce of Northern CA.

ADDRESS (Business Address Acceptable)
1874 S. GRANT ST, #760, SAN MATEO, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Business Advocacy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/24/09</u>	<u>\$57.⁸¹</u>	<u>Dinner</u>
<u> / / </u>	<u> \$ </u>	<u> </u>
<u> / / </u>	<u> \$ </u>	<u> </u>

▶ NAME OF SOURCE
BP America

ADDRESS (Business Address Acceptable)
1201 K Street, #1900, Sacramento CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
OIL COMPANY

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9/16/09</u>	<u>\$67.⁷⁸</u>	<u>Dinner</u>
<u> / / </u>	<u> \$ </u>	<u> </u>
<u> / / </u>	<u> \$ </u>	<u> </u>

▶ NAME OF SOURCE
JAPANESE Chamber of Commerce of SOUTHERN CALIF

ADDRESS (Business Address Acceptable)
244 San Pedro St, LOS ANGELES CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
BUSINESS ADVOCACY

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/24/09</u>	<u>\$57.⁸¹</u>	<u>Dinner</u>
<u> / / </u>	<u> \$ </u>	<u> </u>
<u> / / </u>	<u> \$ </u>	<u> </u>

▶ NAME OF SOURCE
WALT DISNEY Co.

ADDRESS (Business Address Acceptable)
Anaheim, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
ENTERTAINMENT

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6/2/09</u>	<u>\$25.24</u>	<u>SCREENING OF UP</u>
<u> / / </u>	<u> \$ </u>	<u> </u>
<u> / / </u>	<u> \$ </u>	<u> </u>

Comments: * Check sent to McCourt group for \$ 15^{XX/100} for parking at Dodger Stadium on 10/16/09

SCHEDULE D
Income - Gifts

Name
Alan Lowenthal

▶ NAME OF SOURCE
* Port of Long Beach

ADDRESS (Business Address Acceptable)
925 Harbor Plaza, Long Beach

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Global Trade

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4, 19, 09</u>	<u>\$ 420</u>	<u>tickets GRAND Prix of LB</u>
	\$	
	\$	

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

* Comments: my 3 quests (Deborah Lowenthal, Josh Lowenthal, ERICA Garcia) as well as \$30 attributed to me, for a total of \$1380 were paid from my personal funds to the Port of Long Beach on 3/1/10

SCHEDULE E
Income – Gifts
Travel Payments, Advances,
and Reimbursements

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
Alan Lowenthal

- **Reminder – you must mark the gift or income box.**
- **You are not required to report income from government agencies.**

▶ NAME OF SOURCE
CITY OF LOS ANGELES
ADDRESS (Business Address Acceptable)
1400 K Street, #208, ~~20~~
CITY AND STATE
SACRAMENTO, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE
MAYOR'S OFFICE OF LEGISLATIVE RELATIONS
DATE(S): 1/1/09-12/31/09 AMT: \$ 600
(if applicable)
TYPE OF PAYMENT: (must check one) Gift Income
DESCRIPTION: PARKING FOR OFFICIAL
Business at LOS ANGELES AIRPORT (LAX)

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

CITY AND STATE

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$ _____
(if applicable)
TYPE OF PAYMENT: (must check one) Gift Income
DESCRIPTION: _____

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

CITY AND STATE

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$ _____
(if applicable)
TYPE OF PAYMENT: (must check one) Gift Income
DESCRIPTION: _____

▶ NAME OF SOURCE

ADDRESS (Business Address Acceptable)

CITY AND STATE

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$ _____
(if applicable)
TYPE OF PAYMENT: (must check one) Gift Income
DESCRIPTION: _____

Comments: _____

APR 22 2010 *AD*

RECEIVED
FAIR POLITICAL PRACTICES COMMISSION
SCHEDULE A-1 *EB*
Investments

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
AMENDMENT

10 APR 26 AM 9:00
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Phillip Morris Intl. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Tobacco Products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 2/27/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Expeditors International of Washington

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Global Logistics

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
BRASCAN Corporation

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ASSET MANAGEMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/11/09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 09 / / 09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Time Warner Cable Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CABLE Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/27/09 / / 09
 ACQUIRED DISPOSED

Verification

Print Name Alan LOWENTHAL

Office, Agency or Court CALIFORNIA State Senate

Statement Type 2009/2010 Annual Assuming Leaving
 (yr) Annual Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 4/22/2010

Signature (d)(5)

Comments: _____

RECEIVED
FAIR POLITICAL
PRACTICES COMMISSION
10 APR 26 AM 8:06



APR 22 2010

SCHEDULE A-1 Investments

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
AMENDMENT

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Phillip Morris Intl. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Tobacco Products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 2/27/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Expeditors International of

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Washington

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
BRASCAN Corporation

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ASSET MANAGEMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/11/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Time Warner Cable Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CABLE Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)

Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/27/09 _____/_____/09
 ACQUIRED DISPOSED

Verification

Print Name Alan Lowenthal

Office, Agency or Court CALIFORNIA State Senate

Statement Type 2009/2010 Annual Assuming Leaving
 (yr) Annual Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 4/22/2010

Signature (d)(5)

Comments:

RECEIVED
FAIR POLITICAL
PRACTICES COMMISSION

10 APR 26 AM 8:06

SCHEDULE A-1 Investments



APR 22 2010

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

AMENDMENT

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Phillip Morris Intl Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Tobacco Products

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 2/27/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Expeditors International of Washington

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Global Logistics

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
BRASCAN Corporation

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ASSET MANAGEMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/11/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/09 _____/_____/09
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Time Warner Cable Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CABLE Company

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Corporate Bond
(Describe)
 Partnership Income of \$0 - \$500
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/27/09 _____/_____/09
 ACQUIRED DISPOSED

Verification

Print Name Alan Lowenthal

Office, Agency or Court CALIFORNIA State Senate

Statement Type 2009/2010 Annual Assuming Leaving
 _____ Annual Candidate
(yr)

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I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 4/22/2010

Signature (d)(5)

Comments: _____

MAERSK INC.



October 19, 2009

The Honorable Alan Lowenthal
California State Senate

(d)(5)
[Redacted]

Dear Senator Lowenthal:

On behalf of Maersk Inc., I would like to thank you for taking the time to visit Denmark and A.P. Moller-Maersk. We hope that you found your time to be informative and productive. As you know, the gift limit in California per single source per calendar year is \$420. As a result, Maersk Inc is required by law to request and receive a refund for the amount over the limit within 30 days of the gift, which for this purpose is by October 27, 2009.

The total gift expense per source is \$2091.15 (details included for your reference and records). We also have to include the average expense for the dinner we shared in Washington DC last January 19th (\$114.67). That brings the total to \$2205.82. \$2205.82 minus \$420 limit equals \$1785.82.

Your total refund amount is \$1785.82 which should be made payable to Maersk Inc and mailed to my attention at:

Maersk Inc.
P.O. Box 880
Madison, NJ 07940-0880

Again, as always, your time, support, and friendship is greatly appreciated.

Sincerely,

(d)(5)
[Redacted Signature]

Douglas P. Morganite
Director - State Government Relations