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CITY OF RICHMOND, CA	

COVER PAGE  
FAIR POLITICAL PRACTICES COMMISSION  
2013 APR 12 11:49  
NATHANIEL

Please type or print in ink.

NAME OF FILER (LAST)

BATES

(FIRST)

2013 APR 12 11:49  
NATHANIEL

(MIDDLE)

R

CITY CLERK'S OFFICE  
CITY OF RICHMOND, CA

**1. Office, Agency, or Court**

Agency Name

CITY OF RICHMOND

Division, Board, Department, District, if applicable

COUNCIL

Your Position

COUNCILMAN

TP

► If filing for multiple positions, list below or on an attachment.

Agency: WEST CO AGENCY/WEST COUNTY IWMB

Position: DIRECTOR

**2. Jurisdiction of Office (Check at least one box)**

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County \_\_\_\_\_

County of \_\_\_\_\_

City of RICHMOND

Other \_\_\_\_\_

**3. Type of Statement (Check at least one box)**

**Annual:** The period covered is January 1, 2012, through December 31, 2012.

**Leaving Office:** Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2012.

The period covered is January 1, 2012, through the date of leaving office.

**Assuming Office:** Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

**Candidate:** Election year \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

**4. Schedule Summary**

Check applicable schedules or "None."

► Total number of pages including this cover page: \_\_\_\_\_

**Schedule A-1 - Investments** – schedule attached

**Schedule C - Income, Loans, & Business Positions** – schedule attached

**Schedule A-2 - Investments** – schedule attached

**Schedule D - Income – Gifts** – schedule attached

**Schedule B - Real Property** – schedule attached

**Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or-

**None** - No reportable interests on any schedule

5. [REDACTED]

I have used all reasonable diligence in preparing this statement. The information herein and in any attached schedules is true and complete. I acknowledge and understand that I am subject to the provisions of the Political Reform Act of 1974, as amended, and I certify under penalty of perjury under the laws of the State of California that the information provided is true and complete.

Date Signed 02/19/2013  
(month, day, year)

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

**BATES, NATHANIEL R**

▶ NAME OF BUSINESS ENTITY  
**POLARIS MINERALS, INC (POLMF)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
06 / 02 / 12           /     / 12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**APPLE, INC (AAPL)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
04 / 30 / 12           /     / 12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**NRG ENERGY, INC (NRG)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
06 / 12 / 12           /     / 12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**DOMARK INTERNATIONAL, INC (DOMK)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 21 / 12           /     / 12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**TESLA MOTORS, INC (TSLA)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 02 / 12           /     / 12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**FACE BOOK (FB)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)

Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 18 / 12           /     / 12  
ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
*Do not attach brokerage or financial statements.*

Name  
**BATES, NATHANIEL R**

▶ NAME OF BUSINESS ENTITY  
**GRAPHITE CORP (GRPH)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12 / 04 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**IMPERIAL RES (IPRC)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 12 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**IMING CORP (IMGN)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12 / 19 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SANDRIDGE MISSISSIPPIAN (SDT)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 11 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INTEL CORP (INTC)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
09 / 04 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SUPERWIRE (SUPI)**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**COMMON STOCK**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_ (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 03 / 12      \_\_\_\_\_ / \_\_\_\_ / 12  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_