

STATEMENT OF ECONOMIC INTERESTS

RECEIVED FAIR POLITICAL PRACTICES COMMISSION COVER PAGE

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Lo

Emily

1. Office, Agency, or Court

Agency Name

Division, Board, Department, District, if applicable

Your Position

City of Saratoga

Vice Mayor

If filing for multiple positions, list below or on an attachment.

Agency: JPA Santa Clara Library District

Position: Board Member

2. Jurisdiction of Office (Check at least one box)

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County

County of Santa Clara

City of Saratoga

Other

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2012, through December 31, 2012.

Leaving Office: Date Left (Check one)

-or-

The period covered is through December 31, 2012.

The period covered is January 1, 2012, through the date of leaving office.

Assuming Office: Date assumed

The period covered is through the date of leaving office.

Candidate: Election year and office sought, if different than Part 1:

4. Schedule Summary

Check applicable schedules or "None."

Total number of pages including this cover page:

Schedule A-1 - Investments - schedule attached

Schedule C - Income, Loans, & Business Positions - schedule attached

Schedule A-2 - Investments - schedule attached

Schedule D - Income - Gifts - schedule attached

Schedule B - Real Property - schedule attached

Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5.



I have used all reasonable diligence in preparing this statement. I hereby certify that the information reported herein and in any attached schedules is true and complete. I acknowledge that this statement and any attached schedules are public documents.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/23/2013 (month, day, year)

(File the originally signed statement with your filing official.)

See attached sheet

# SCHEDULE A-1 Investments

## Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
EMILY LO

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

---

FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

---

FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

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FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

---

FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

---

FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

---

FAIR MARKET VALUE

\$2,000 - \$10,000       \$10,001 - \$100,000

\$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT

Stock       Other \_\_\_\_\_ (Describe)

Partnership       Income Received of \$0 - \$499

Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12

ACQUIRED                  DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
EMILY LO

**▶ 1. BUSINESS ENTITY OR TRUST**

**Motivation Plus (Home Based business)**

Name  
19830 Lanark Lane, Saratoga, CA 95070  
 Address (Business Address Acceptable)

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**Promotional Products**

<b>FAIR MARKET VALUE</b>	<b>IF APPLICABLE, LIST DATE:</b>
<input type="checkbox"/> \$0 - \$1,999	<u>    </u> / <u>    </u> / <u>12</u> <u>    </u> / <u>    </u> / <u>12</u>
<input checked="" type="checkbox"/> \$2,000 - \$10,000	ACQUIRED                      DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

**NATURE OF INVESTMENT**  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
 \_\_\_\_\_

Description of Business Activity or City or Other Precise Location of Real Property  
 \_\_\_\_\_

<b>FAIR MARKET VALUE</b>	<b>IF APPLICABLE, LIST DATE:</b>
<input type="checkbox"/> \$2,000 - \$10,000	<u>    </u> / <u>    </u> / <u>12</u> <u>    </u> / <u>    </u> / <u>12</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED                      DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

**NATURE OF INTEREST**  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

**▶ 1. BUSINESS ENTITY OR TRUST**

Name  
 \_\_\_\_\_  
 Address (Business Address Acceptable)  
 \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

<b>FAIR MARKET VALUE</b>	<b>IF APPLICABLE, LIST DATE:</b>
<input type="checkbox"/> \$0 - \$1,999	<u>    </u> / <u>    </u> / <u>12</u> <u>    </u> / <u>    </u> / <u>12</u>
<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED                      DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000	
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

**NATURE OF INVESTMENT**  
 Partnership     Sole Proprietorship     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                 OVER \$100,000  
 \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property  
 \_\_\_\_\_

Description of Business Activity or City or Other Precise Location of Real Property  
 \_\_\_\_\_

<b>FAIR MARKET VALUE</b>	<b>IF APPLICABLE, LIST DATE:</b>
<input type="checkbox"/> \$2,000 - \$10,000	<u>    </u> / <u>    </u> / <u>12</u> <u>    </u> / <u>    </u> / <u>12</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED                      DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000	
<input type="checkbox"/> Over \$1,000,000	

**NATURE OF INTEREST**  
 Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_



**SCHEDULE D**  
**Income - Gifts**

Name \_\_\_\_\_

▶ NAME OF SOURCE *(Not an Acronym)*  
**Hong Kong Economic and Trade Office**

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
**Spring reception**

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
02 / / 12	\$ 128.14	reception and souvenir
/ /	\$	
/ /	\$	

▶ NAME OF SOURCE *(Not an Acronym)*  
 \_\_\_\_\_

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 \_\_\_\_\_

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	\$	
/ /	\$	
/ /	\$	

▶ NAME OF SOURCE *(Not an Acronym)*  
 \_\_\_\_\_

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 \_\_\_\_\_

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	\$	
/ /	\$	
/ /	\$	

▶ NAME OF SOURCE *(Not an Acronym)*  
 \_\_\_\_\_

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 \_\_\_\_\_

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	\$	
/ /	\$	
/ /	\$	

▶ NAME OF SOURCE *(Not an Acronym)*  
 \_\_\_\_\_

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 \_\_\_\_\_

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	\$	
/ /	\$	
/ /	\$	

▶ NAME OF SOURCE *(Not an Acronym)*  
 \_\_\_\_\_

ADDRESS *(Business Address Acceptable)*  
 \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 \_\_\_\_\_

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	\$	
/ /	\$	
/ /	\$	

Comments: \_\_\_\_\_

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

Name  
Emily Lo

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "Other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
ICICI BAND LTD SPD ADR	Financial- Foreign Regional Banks	\$2,000 - \$10,000	Stock	12/27/2009- 2/9/2011	
INDUSTRIAL AND COMMERCL BNK OF CHN	Financial	\$2,000 - \$10,000	Stock	3/25/2011	
ING GP NV SP5D ADR	Financial- Life Insurance	\$10,001 - \$100,000	Stock	9/4/2009-9/19/2011	
INTEL CORP	Technology- Semiconductor- Broad Line	\$10,001 - \$100,000	Stock	9/17/2008- 12/15/2011	
INTL BUSINESS MACHINES CORP IBM	Technology- Diversified Computer Systems	\$2,000 - \$10,000	Stock	2/5/2007	
INTL PAPER CO	Consumer Goods- Paper	\$2,000 - \$10,000	Stock	8/31/2009-9/1/2009	
ISHARES MSCI EAFE INDEX FUND	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	8/11/2010	
ISHARES S&P 500 INDEX FUND	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	8/11/2010	
ITUA UNBANCO BANCO HOLD SA SPONSORED ADR	Financial Services	\$10,001 - \$100,000	Stock	3/25/2011- 11/22/2011	
JOHNSON AND JOHNSON COM	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	11/8/2005- 12/20/2011	
JPMORGAN CHASE & CO	Financial- Money Center Banks	\$10,001 - \$100,000	Stock	8/9/2005-5/16/2011	
KAO CORP SPD ADR	Consumer Goods- Cleaning products- Japan	\$2,000 - \$10,000	Stock	12/19/2006- 2/9/2011	
KDDI CORP SHS	Technology- telecommunications	\$2,000 - \$10,000	Stock	4/23/2010- 5/11/2011	
KEPPEL LTD SPONS ADR	Financials- holding and management company	\$2,000 - \$10,000	Stock	4/22/2009-2/9/2011	
KIMBERLY CLARK	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	9/26/2006-2/9/2011	
KINGFISHER PLC SP ADR	Consumer Goods- Home improvement supplies	\$2,000 - \$10,000	Stock	3/25/2011	
KOMATSU NEW NEW SPNSDADR	Industrials- mining and construction equipment manufacturer	\$2,000 - \$10,000	Stock	3/25/2011	
KRAFT FOODS INC VA CL A	Consumer Goods- Food- Major Diversified	\$10,001 - \$100,000	Stock	10/5/2005-2/9/2011	
KROGER CO	Services- Grocery Stores	\$10,001 - \$100,000	Stock	10/3/2011	
L-3 COMMUNICTNS HLDGS	Industrial Goods- Aerospace/Defense Products & Services	\$2,000 - \$10,000	Stock	10/2/2007- 10/3/2007	
LAUDER ESTEE COS INC A	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	8/12/2010- 9/21/2011	
LENNAR CORP CL A	Industrial Goods- Residential Construction	\$10,001 - \$100,000	Stock	11/4/2008-8/3/2011	
LI & FUNG LTD-UNSP ADR	Consumer Goods- supply chain management	\$2,000 - \$10,000	Stock	9/2/2011-9/20/2011	
LIMITED BRANDS INC	Services- Apparel Stores	\$2,000 - \$10,000	Stock	5/10/2010- 5/11/2010	
LOCKHEED MARTIN CORP	Industrial Goods- Aerospace/Defense Products & Services	\$10,001 - \$100,000	Stock	7/11/2011	
LULULEMON ATHLETICA INC	Consumer Goods- Textile- Apparel Clothing	\$2,000 - \$10,000	Stock	11/22/2011- 11/23/2011	
LVMH MOET HENNESSY ADR	Consumer Goods- apparel and accessories	\$10,001 - \$100,000	Stock	4/24/2009- 9/23/2011	
MARATHON OIL CORP	Basic Materials- Oil & gas Refining & Marketing	\$10,001 - \$100,000	Stock	10/17/2005- 7/7/2011	
MATERIALS SELECT SECTOR SPDR FUND	Financial- Closed-End Fund-Equity	\$10,001 - \$100,000	Stock	9/25/2008- 9/19/2011	
MCDONALDS CORP COM	Services- Restaurant	\$10,001 - \$100,000	Stock	7/6/2010-9/27/2011	
MEAD JOHNSON NUTRITION CO	Consumer Goods- Processed and Packaged Goods	\$10,001 - \$100,000	Stock	8/2/2010- 12/29/2011	
MEADWESTVACO CORP	Consumer Goods- Packaging & Containers	\$10,001 - \$100,000	Stock	1/19/2007-2/9/2011	
MEDTRONIC INC COM	Healthcare- Medical Appliances & Equipment	\$2,000 - \$10,000	Stock	12/30/2011	
MERCADOLIBRE INC	Services- Business Services	\$2,000 - \$10,000	Stock	1/19/2011- 9/28/2011	
MICROSOFT CORP	Technology- Application Software	\$10,001 - \$100,000	Stock	11/8/2005- 7/11/2011	
MINIBUSI CP SPNSRD ADR	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	3/7/2008-2/9/2011	
MINIBUSI UFJ FINL GRP INC	Financial- Money Center Banks	\$10,001 - \$100,000	Stock	3/25/2011- 12/2/2011	
MONSANTO CO NEW DEL COM	Basic Materials- Agricultural Chemicals	\$10,001 - \$100,000	Stock	7/2/2010-7/5/2011	
MOTOROLA SOLUTIONS INC	Technology- Communication Equipment	\$10,001 - \$100,000	Stock	9/7/2010-1/24/2011	
MYLAN INC	Healthcare- Drugs- Generic	\$2,000 - \$10,000	Stock	8/23/2011- 12/27/2011	
NABORS INDUSTRIES LTD	Basic Materials- Oil & Gas Drilling & Exploration	\$10,001 - \$100,000	Stock	12/1/2009-8/4/2011	
NATIONAL-OILWELL VARCO INC	Basic Materials- Oil & Gas Equipment and Services	\$10,001 - \$100,000	Stock	7/21/2011- 12/28/2011	
NATL AUSTRALIA B ADR	Financials - Bank	\$2,000 - \$10,000	Stock	9/21/2011- 11/23/2011	
NESTLE S A REP RG SH ADR	Consumer Goods- Specialty Foods	\$10,001 - \$100,000	Stock	10/4/2005- 3/25/2011	
NIKE INC CL B	Consumer Goods- Textile - Apparel Footwear & Accessories	\$10,001 - \$100,000	Stock	7/17/2008-7/5/2011	
NOVARTIS ADR	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	7/12/2006- 12/8/2011	
NOVO NORDISK A S ADR	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	4/22/2009- 3/25/2011	
OCCIDENTAL PETE CORP CAL	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	3/21/2011- 9/27/2011	
O'REILLY AUTOMOTIVE INC	Services- Auto Parts Stores	\$10,001 - \$100,000	Stock	6/16/2011-8/9/2011	
PERNOD RICARD EUR PAR ORDINARY	Consumer Goods- Alcoholic Beverages	\$10,001 - \$100,000	Stock	3/16/2011	
PFIZER INC	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	4/24/2003-8/9/2011	
PHILIP MORRIS INTL INC	Consumer Goods- Cigarettes	\$10,001 - \$100,000	Stock	3/16/2011- 12/3/2011	
POTASH CORP SASKATCHEWAN	Basic Materials- Agricultural Chemicals	\$2,000 - \$10,000	Stock	7/2/2010- 11/30/2011	
POWERSHARES OQQ TR UNITS SER 1	Index Fund - Nasdaq 100	\$100,001 - \$1,000,000	Stock	8/11/2010	
PPG INDUSTRIES INC SHS	Basic Materials- Specialty Chemicals	\$10,001 - \$100,000	Stock	7/21/2010-7/5/2011	
PRAXAIR	Basic Materials- Synthetics	\$10,001 - \$100,000	Stock	5/15/2007-7/5/2011	
PRECISION CASTPARTS	Industrial goods- Metal Fabrication	\$10,001 - \$100,000	Stock	7/23/2010-7/5/2011	
PRICELINE COM INC	Services- General Entertainment	\$10,001 - \$100,000	Stock	5/12/2010- 8/31/2011	
PROCTER & GAMBLE CO	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	1/20/2005- 3/16/2011	
PUBLICIS GROUPE SPON ADR	Advertising and Communications Company	\$2,000 - \$10,000	Stock	1/20/2011- 3/25/2011	
QUALCOMM INC	Technology- Communication Equipment	\$10,001 - \$100,000	Stock	11/4/2011- 12/28/2011	
RAYTHEON CO DELAWARE NEW	Industrial Goods- Aerospace/Defense- Major Diversified	\$10,001 - \$100,000	Stock	6/5/2006-12/5/2011	
RECKITT BENCKISER GR ADR	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	2/4/2009-3/25/2011	

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
Name  
Emily Lo

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
COACH INC	Consumer Goods- Textile- Apparel Footwear and Accessories	\$10,001 - \$100,000	Stock	6/9/2011-7/5/2011	
COCA COLA COM	Consumer Goods- Beverages-Soft Drinks	\$2,000 - \$10,000	Stock	11/24/2008	
COGNIZANT TECH SOLUTIONS A	Technology- Business Software and Services	\$2,000 - \$10,000	Stock	9/28/2011	
COLGATE PALMOLIVE	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	3/16/2011	
COMCAST CORP NEW CL A	Services- CATV Systems	\$10,001 - \$100,000	Stock	12/2/2008-2/9/2011	
CONOCOPHILLIPS	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	5/6/2008-9/15/2008	
CONSUMER DISCRETIONARY SPDR	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	3/27/2007-8/2/2011	
CONTINENTAL RESOURCES	Basic Materials- Independent Oil & Gas	\$2,000 - \$10,000	Stock	3/23/2011-7/5/2011	
CORNING INC	Technology- Diversified Electronics	\$2,000 - \$10,000	Stock	8/30/2010-3/14/2011	
CREDIT SUISSE GP SP ADR	Financial- Foreign Money Center Banks	\$2,000 - \$10,000	Stock	2/12/2010-9/19/2011	
CUMMINS INC COM	Industrial Goods- Diversified Machinery	\$10,001 - \$100,000	Stock	7/15/2010-9/27/2011	
DANA HLDG CORP	Consumer Goods- Auto Parts	\$2,000 - \$10,000	Stock	12/14/2010-8/8/2011	
DANA HER CORP DEL COM	Conglomerates- Conglomerates	\$10,001 - \$100,000	Stock	5/4/2010-9/1/2011	
DANONE-SPONS ADR	Consumer Goods- Food- Major Diversified	\$10,001 - \$100,000	Stock	10/8/2008-11/23/2011	
DASSULT SYS SA SPN ADR	Technology- Technical & Systems Software	\$2,000 - \$10,000	Stock	7/20/2010-3/25/2011	
DECKERS OUTDOORS CORP	Consumer Goods- Textile- Apparel Footwear and Accessories	\$2,000 - \$10,000	Stock	12/28/2011-12/29/2011	
DEERE CO	Industrial Goods- Farm & Construction Machinery	\$10,001 - \$100,000	Stock	3/16/2011	
DELL INC	Technology- Personal Computers	\$10,001 - \$100,000	Stock	12/27/2007-12/27/2011	
DENSO CP UNSPONSORED ADR	Services- Electronic systems	\$2,000 - \$10,000	Stock	10/14/2010-2/9/2011	
DEVON ENERGY CORP NEW	Basic Materials- Independent Oil & Gas	\$10,001 - \$100,000	Stock	6/23/2009-2/9/2011	
DIAGEO GBP PAR ORDINARY	Consumer Goods- Beverages	\$10,001 - \$100,000	Stock	3/16/2011	
DISCOVER FINL SVCS	Financial- Credit Services	\$10,001 - \$100,000	Stock	4/4/2011-7/11/2011	
DOLLAR TREE INC	Services- Discount, Variety Stores	\$2,000 - \$10,000	Stock	12/23/2011-12/28/2011	
DOW CHEMICAL CO	Basic Materials- Chemicals- Major Diversified	\$10,001 - \$100,000	Stock	9/22/2010-7/25/2011	
DR PEPPER SNAPPLE GROUP INC	Consumer Goods- Beverages- Soft Drinks	\$2,000 - \$10,000	Stock	7/14/2010-7/20/2010	
EAST JAPAN RY CO ADR	Consumer Services - Travel & Tourism	\$2,000 - \$10,000	Stock	1/15/2009-2/9/2011	
EATON CORP	Industrial Goods- Industrial Electrical Equipment	\$10,001 - \$100,000	Stock	4/21/2011-10/14/2011	
ELI LILLY & CO	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	2/7/2011-2/8/2011	
EMBRAER S A SPONSRD ADR	Industrial Goods- Aerospace/Defense Products & Services	\$2,000 - \$10,000	Stock	3/25/2011-8/10/2011	
ENERGIZER HLDGS INC COM	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	4/6/2011-11/23/2011	
ENERSIS S A SPON ADR	Utilities- Foreign Utilities	\$2,000 - \$10,000	Stock	6/21/2011-12/23/2011	
ENSCO PLC-SPON ADR	Basic Materials- Oil & Gas Drilling & Exploration	\$10,001 - \$100,000	Stock	10/20/2009-9/8/2011	
ERICSSON LM TEL CL B ADR SEK 10 NEW	Technology- Communication Equipment	\$2,000 - \$10,000	Stock	3/26/2010-2/9/2011	
EXXON MOBIL CORP COM	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	11/8/2005-11/6/2006	
FANUC LTD-UNSP	Technology- Robotics	\$10,001 - \$100,000	Stock	6/5/2009-3/16/2011	
FIXED INCOME SHARES SERIES C F CL INSTL	Fixed Income Investment	\$100,001 - \$1,000,000	Bond	7/23/2010-2/22/2011	
FIXED INCOME SHARES SERIES M F CL INSTL	Fixed Income Investment	\$100,001 - \$1,000,000	Bond	7/23/2010-02/22/2011	
FIXED INCOME SHARES SERIES R	Fixed Income Investment	\$100,001 - \$1,000,000	Bond	7/23/2010-3/25/2011	
FLSMIDTH AND CO A/S ADR	Industrial Goods- System supplier	\$2,000 - \$10,000	Stock	5/11/2011	
FLUOR CORP NEW DEL COM	Industrial Goods- Heavy Construction	\$2,000 - \$10,000	Stock	5/10/2011-5/12/2011	
FRESENIUS MEDICAL CARE AG & CO SPON ADR	Healthcare- Specialized Health Services	\$2,000 - \$10,000	Stock	3/25/2011	
GAP INC DELAWARE	Services- Apparel Stores	\$10,001 - \$100,000	Stock	7/25/2007-8/8/2011	
GENERAL ELECTRIC	Industrial Goods- Diversified Machinery	\$10,001 - \$100,000	Stock	1/20/2005-12/27/2011	
GENERAL MOTORS CO COMMON SHARES	Consumer Goods- Auto Manufacturers- Major	\$10,001 - \$100,000	Stock	3/16/2011	
GENL DYNAMICS CORP COM	Industrial Goods- Aerospace/Defense Products & Services	\$2,000 - \$10,000	Stock	8/22/2011	
GOOGLE INC CL A	Technology- internet Information Providers	\$10,001 - \$100,000	Stock	2/9/2009-11/11/2011	
HALLIBURTON COMPANY	Basic Materials- Oil & Gas Equipment and Services	\$10,001 - \$100,000	Stock	11/23/2010-12/28/2011	
HANG LUNG PROPERTIES ADR	Financials - Real Estate Holding & Development	\$2,000 - \$10,000	Stock	3/25/2011	
HDFC BANK LTD ADR	Financial- Foreign Regional Banks	\$2,000 - \$10,000	Stock	11/25/2008-2/9/2011	
HEALTH CARE SELECT SPDR	Financials	\$100,001 - \$1,000,000	Stock	8/14/2009-8/2/2011	
HEINEKEN NV ADR	Consumer Non-Cyclical- Beverages	\$2,000 - \$10,000	Stock	2/2/2007-2/9/2011	
HENKEL AG AND CO KGAA DUESSELDORF	Consumer Goods - Nondurable Household Products	\$10,001 - \$100,000	Stock	3/16/2011	
HENNES AND MAURITZ AB-ADR	Consumer Goods- Apparel	\$10,001 - \$100,000	Stock	3/25/2011-4/11/2011	
HOLOGIC INC	Healthcare- Medical Appliances & Equipment	\$10,001 - \$100,000	Stock	4/24/2008-12/27/2011	
HOME DEPOT INC	Services- Home Improvement Stores	\$10,001 - \$100,000	Stock	3/17/2008-12/27/2011	
HONDA MOTOR ADR NEW	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	1/31/2011-12/12/2011	
HONEYWELL INTL INC DEL	Industrial Goods- Aerospace/Defense Products & Services	\$10,001 - \$100,000	Stock	10/3/2005-2/9/2011	
HONG KONG EXCHANGES AND CLEARING LTD SHS	Financial Services	\$2,000 - \$10,000	Stock	3/25/2011	
HSBC HLDG PLC SP ADR	Financial- Foreign Money Center Banks	\$2,000 - \$10,000	Stock	4/8/2009-2/9/2011	
HUTCHISON WHAMPOA ADR	Technologies- Telecommunications	\$2,000 - \$10,000	Stock	8/19/2009-2/9/2011	

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
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				ACQUIRED	DISPOSED
A.P. MOLLER - MAERSK A/S	Transportation/ Energy	\$10,001 - \$100,000	Stock	3/16/2011	
ACCENTURE PLC SHS	Consulting	\$10,001 - \$100,000	Stock	9/16/2011- 12/27/2011	
ADIDAS AG	Apparel Manufacturing	\$10,001 - \$100,000	Stock	3/16/2011	
ADIDAS AG SPONSORED ADR	Apparel Manufacturing	\$2,000 - \$10,000	Stock	5/04/2011- 8/11/2011	
AECOM COMPANY LTD ADR	Manufacturing	\$2,000 - \$10,000	Stock	1/21/2011-2/9/2011	
AES CORP	Utilities	\$2,000 - \$10,000	Stock	6/29/2011- 11/23/2011	
AETNA INC NEW	Health care Benefit Company	\$10,001 - \$100,000	Stock	9/28/2009- 4/14/2010	
AGILENT TECHNOLOGIES INC	Manufacturer of measurement instruments- technology	\$10,001 - \$100,000	Stock	1/18/2011	
AIR LIQUIDE ADR	Energy	\$2,000 - \$10,000	Stock	9/06/2007-2/9/2011	
ANZO NOBEL N Y SPNSD ADR	Consumer Goods- Paint	\$2,000 - \$10,000	Stock	01/02/2008- 02/09/2011	
ALCOA INC	Materials	\$2,000 - \$10,000	Stock	10/2/2009-8/3/2011	
ALLERGAN INC	Drug manufacturers	\$10,001 - \$100,000	Stock	8/25/2011- 9/28/2011	
AMER EXPRESS COMPANY	Financial-Credit Services	\$10,001 - \$100,000	Stock	1/24/2008- 10/20/2011	
AMERIPRISE FINL INC	Financial-Asset Management	\$10,001 - \$100,000	Stock	7/24/2009- 8/31/2011	
AMERISOURCEBERGEN CORP	Services-Drugs Wholesale	\$2,000 - \$10,000	Stock	11/8/2005- 2/23/2009	
AMGEN INC COM PV	Healthcare- Biotechnology	\$10,001 - \$100,000	Stock	1/26/2009- 11/16/2010	
AMN ELEC POWER CO	Utilities-Electric Utilities	\$10,001 - \$100,000	Stock	8/09/2005-2/9/2011	
ANDARKO PETE CORP	Basic Materials- Independent Oil & Gas	\$2,000 - \$10,000	Stock	6/3/2010-9/30/2011	
ANHEUSER-BUSCH INBEV NV	Consumer Goods- Beverages- Alcoholic	\$10,001 - \$100,000	Stock	3/16/2011	
APPLE INC	Technology- Personal Computers	\$10,001 - \$100,000	Stock	2/7/2009- 12/23/2011	
APPLIED MATERIAL INC	Technology- Semiconductor Equipment & Materials	\$2,000 - \$10,000	Stock	3/21/2011- 4/11/2011	
ARM HLDGS PLC SPD ADR	Technology- Semiconductor-Specialized	\$2,000 - \$10,000	Stock	3/25/2011	
ASML HDGE N.V. NEW YORK	Technology- Semiconductor Equipment & Materials	\$2,000 - \$10,000	Stock	8/11/2011	
ASSA ABLOY AB ADR	Consumer Goods- Security systems	\$2,000 - \$10,000	Stock	2/09/2010- 7/14/2011	
AT&T INC	Technology-Telecom Services- Domestic	\$10,001 - \$100,000	Stock	12/18/2006- 2/9/2011	
AUTOZONE INC NEVADA COM	Services- Auto Parts Stores	\$2,000 - \$10,000	Stock	8/31/2011- 9/21/2011	
AVON PROD INC	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	3/16/2011	
BAIDU INC SPON ADR	Technology- internet Information Providers	\$10,001 - \$100,000	Stock	7/01/2010- 8/31/2011	
BAKER HUGHES INC	Basic Materials- Oil & Gas Equipment and Services	\$10,001 - \$100,000	Stock	7/10/2009- 9/28/2011	
BARCLAYS PLC ADR	Financials- Banking	\$2,000 - \$10,000	Stock	5/20/2010-2/9/2011	
BAYER AG SP ADR	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	11/02/2006- 9/20/2011	
BAYERISCHE MOTORENWERKE AG BMW SHS	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	6/03/2010- 12/13/2011	
BERKSHIRE HATHAWAY INC DEL CL B NEW	Conglomerates- Conglomerates	\$2,000 - \$10,000	Stock	3/8/2010-3/17/2010	
BG GROUP PLC SPON ADR	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	7/21/2008- 12/21/2011	
BHP BILLITON LTD ADR	Basic Materials- Industrial Metals & Minerals	\$2,000 - \$10,000	Stock	3/16/2011	
BIF CA MUNICIPAL MONEY	Financials- Banking	\$10,001 - \$100,000	Stock	6/17/2011- 12/29/2011	
BIOGEN IDEC INC	Healthcare- Biotechnology	\$10,001 - \$100,000	Stock	6/23/2011- 11/23/2011	
BNP PARIBAS SPONSORD ADR	Financial- Global Banking Group	\$2,000 - \$10,000	Stock	8/22/2008- 03/08/2011	
BP PLC SPON ADR	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	7/17/2010- 4/8/2011	
BRISTOL-MYERS SQUIBB CO	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	2/28/2011- 12/27/2011	
BRITISH AMERICAN TOBACCO .25GBP PAR ORDINARY	Consumer Goods- Tobacco Products- international	\$10,001 - \$100,000	Stock	3/16/2011	
CA INC	Technology- Application Software	\$10,001 - \$100,000	Stock	4/28/2009- 12/15/2011	
CANADIAN NATL RAILWAY CO	Services- Railroads	\$2,000 - \$10,000	Stock	3/25/2011	
CANADIAN NATURAL RES LTD	Basic Materials- Independent Oil & Gas	\$2,000 - \$10,000	Stock	3/25/2011	
CANON INC ADR REP5SH	Consumer Goods- Photographic Equipment and Supplies	\$10,001 - \$100,000	Stock	9/11/2007- 8/11/2011	
CAPITAL ONE FINL	Financial- Credit Services	\$2,000 - \$10,000	Stock	4/05/2010- 04/07/2010	
CARDINAL HEALTH INC OHIO	Services- Drugs Wholesale	\$10,001 - \$100,000	Stock	12/29/2009- 1/4/2010	
CARLSBERG AS-B 20.DKK PAR ORDINARY	Consumer Goods- Brewing Company	\$10,001 - \$100,000	Stock	3/16/2011	
CARNIVAL CORP PAIRED SHS	Services- Resorts and Casinos	\$2,000 - \$10,000	Stock	3/25/2011	
CASINO GUICHARD PERACHON 1.53EUR PAR ORDINARY	Services- Grocery Stores	\$10,001 - \$100,000	Stock	3/16/2011	
CBS CORP NEW CL B	Services- Broadcasting- TV	\$2,000 - \$10,000	Stock	5/6/2010-2/09/2011	
CF INDS HLDGS INC	Basic Materials- Agricultural Chemicals	\$10,001 - \$100,000	Stock	9/6/2011-9/7/2011	
CHECK POINT SOFTWARE TECH	Technology- Security Software & Services	\$10,001 - \$100,000	Stock	8/18/2011- 12/22/2011	
CHEVRON CORP	Basic Materials- Major Integrated Oil & Gas	\$10,001 - \$100,000	Stock	6/10/2005-2/9/2011	
CHINA CONSTRUCT UNSPND	Financial - Banks	\$2,000 - \$10,000	Stock	4/16/2010- 12/07/2011	
CHINA UNICOM HONG KONG	Technology- Wireless Communications	\$2,000 - \$10,000	Stock	6/2/2009-5/15/2011	
CISCO SYSTEMS INC COM	Technology- Networking & Communication Devices	\$10,001 - \$100,000	Stock	7/20/2011- 12/21/2011	
CITIGROUP INC COM NEW	Financial- Money Center Banks	\$2,000 - \$10,000	Stock	11/21/2011- 12/21/2011	
CLIFFS NATURAL RESOURCES INC	Basic Materials- Steel & Iron	\$10,001 - \$100,000	Stock	5/2/2011- 10/26/2011	
CNOOC LTD ADR	Basic Materials- Independent Oil & Gas	\$2,000 - \$10,000	Stock	3/25/2011- 8/10/2011	

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UNITEDHEALTH GROUP INC	Healthcare- Healthcare plans	\$2,000 - \$10,000	Stock	3/2/2009	5/9/2011
US BAN CORP (NEW)	Financial- Regional- Midwest Banks	\$10,001 - \$100,000	Stock	12/3/2008- 7/23/2010	4/14/2011- 8/22/2011
US TSY 2.375% JUL 31 20	US Treasury Note	\$10,001 - \$100,000	Bond	8/13/2010- 8/17/2010	2/4/2011
US TSY 2.375% OCT 31 20	US Treasury Note	\$2,000 - \$10,000	Bond	7/26/2010	2/11/2011
US TSY 2.500% JUN 30 20	US Treasury Note	\$2,000 - \$10,000	Bond	8/19/2010	2/10/2011
US TSY 4.000% FEB 15 20	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010	2/1/2011
VANGUARD INFORMATION TECH ETF	Financial Services	\$2,000 - \$10,000	Stock	11/18/2009	01/11/2011- 9/19/2011
VANGUARD TELECOMM SRVCS ETF	Financial Services	\$2,000 - \$10,000	Stock	11/18/2009	4/12/2011-8/8/2011
VERIZON COMMUNICATNS COM	Technology- Telecom Services- Domestic	\$2,000 - \$10,000	Stock	11/24/2008- 4/13/2009	10/3/2011
VESTAS WIND SYTS AS ADR	Wind Turbines	\$2,000 - \$10,000	Stock	3/25/2011	5/12/2011
VODAFONE GROU PLC SP ADR	Technology- Wireless Communications	\$2,000 - \$10,000	Stock	7/28/2008- 9/26/2008	3/25/2011
WAL-MART STORES INC	Consumer Goods- Grocery and personal products	\$2,000 - \$10,000	Stock	5/5/2008-5/7/2008	2/28/2011
WASTE MANAGEMENT INC NEW	Industrial Goods- Waste Management	\$2,000 - \$10,000	Stock	3/29/2006-2/9/2011	3/1/2011
WATSON PHARMACEUTICALS	Pharmaceuticals	\$10,001 - \$100,000	Stock	1/19/2007-2/9/2011	2/15/2011- 3/31/2011
WELLS FARGO & CO NEW DEL	Financial- Money Center Banks	\$10,001 - \$100,000	Stock	6/28/2010-1/5/2011	4/13/2011- 4/15/2011
WILLIAMS COMPANIES DEL	Natural Gas Exploration	\$2,000 - \$10,000	Stock	7/12/2010- 8/16/2010	7/11/2011
WM MORRISON SUPERMARKETS	Consumer Staples - food	\$2,000 - \$10,000	Stock	10/30/2009- 11/30/2010	3/25/2011
WPP PLC ADR	Communications Services	\$2,000 - \$10,000	Stock	5/12/2006- 9/25/2008	1/19/2011- 1/20/2011
XSTRATA PLC-ADR	Mining Group	\$2,000 - \$10,000	Stock	3/10/2010- 8/19/2010	3/25/2011
YAHOO INC	Technology- internet Information Providers	\$2,000 - \$10,000	Stock	8/14/2009-2/9/2011	5/12/2011- 12/22/2011
YAHOO JAPAN CORP SHS	Online Services	\$2,000 - \$10,000	Stock	1/26/2010- 1/31/2011	3/25/2011

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				ACQUIRED	DISPOSED
PEPSICO INC	Consumer Goods- Beverages	\$2,000 - \$10,000	Stock	10/6/2008-10/7/2008	1/24/2011
PETROLEO BRAS VTG SPD ADR	Integrated energy company	\$2,000 - \$10,000	Stock	2/23/2011	8/23/2011
PNC FINCL SERVICES GROUP	Financial Services	\$10,001 - \$100,000	Stock	4/21/2010-12/29/2010	7/19/2011-8/22/2011
PPG INDUSTRIES INC SHS	Basic Materials- Specialty Chemicals	\$10,001 - \$100,000	Stock	8/28/2009-7/21/2010	8/24/2011-12/14/2011
PRAXAIR INC	Basic Materials- Synthetics	\$2,000 - \$10,000	Stock	1/13/2006-5/15/2007	7/21/2011
PRICELINE COM INC	Services- General Entertainment	\$2,000 - \$10,000	Stock	4/14/2010-5/12/2010	9/16/2011
PRUDENTIAL PLC ADR	Financial Services	\$2,000 - \$10,000	Stock	3/19/2009-11/18/2010	3/25/2011
PT INDOSAT DBK ADR	Telecommunications provider	\$2,000 - \$10,000	Stock	4/6/2010-2/9/2010	5/10/2011-5/12/2011
R R DONNELLEY SONS	Publishing	\$2,000 - \$10,000	Stock	3/15/2010-3/29/2010	7/11/2011-7/12/2011
RED HAT INC	Software and Software Services	\$10,001 - \$100,000	Stock	7/19/2011-9/28/2011	12/21/2011-12/22/2011
REXAM PLC- NEW NEW ADR	Global packaging company	\$2,000 - \$10,000	Stock	2/8/2011-3/2/2011	3/25/2011
RICOH CO LTD NEW ADR	Office equipment	\$2,000 - \$10,000	Stock	1/22/2009-2/9/2011	7/12/2011-7/13/2011
ROCKWELL AUTOMATION INC	Power, Control and Information Systems	\$10,001 - \$100,000	Stock	2/22/2011-7/5/2011	8/22/2011-10/10/2011
ROGERS COMMUNICATIONS B	Communications Provider	\$2,000 - \$10,000	Stock	11/9/2009-5/3/2010	3/25/2011
ROSS STORES INC COM	Consumer Goods- Discount Department Stores	\$2,000 - \$10,000	Stock	1/25/2010	3/21/2011
ROWAN COMPANIES INC	Offshore drilling services	\$2,000 - \$10,000	Stock	8/17/2009-8/18/2009	1/18/2011-1/20-2011
ROYAL DUTCH SHELL PLC SPONS ADR A	Gasoline and Oil Company	\$10,001 - \$100,000	Stock	7/10/2008-3/25/2011	3/25/2011-12/12/2011
SALESFORCE COM INC	Online Software Services	\$10,001 - \$100,000	Stock	8/25/2010-7/5/2011	3/14/2011-12/21/2011
SAMPO PLC SHS	Insurance	\$2,000 - \$10,000	Stock	7/26/2010-8/20/2010	3/25/2011
SANDISK CORP INC	Flash Memory Manufacturer	\$10,001 - \$100,000	Stock	5/3/2010-8/2/2010	2/7/2011-6/6/2011
SANOPI ADR	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	10/28/2009-1/15/2010	9/20/2011-12/08/2011
SANOPI AVENTIS SPON ADR	Healthcare- Drug Manufacturers	\$10,001 - \$100,000	Stock	8/25/2004-11/5/2011	3/25/2011
SARA LEE CORP COM	Consumer Services - food	\$2,000 - \$10,000	Stock	6/21/2010-6/22/2010	12/7/2011
SCHLUMBERGER LTD	Oil Services	\$2,000 - \$10,000	Stock	2/25/2010-2/9/2011	2/22/2011-3/10-2011
SECTOR SPDR CONSMRS STPL	Exchange Traded Fund	\$10,001 - \$100,000	Stock	3/27/2007-8/14/2009	2/18/2011-8/8/2011
SECTOR SPDR ENERGY	Exchange Traded Fund	\$10,001 - \$100,000	Stock	3/27/2007	1/11/2011-4/12/2011
SECTOR SPDR INDUSTRIAL	Exchange Traded Fund	\$2,000 - \$10,000	Stock	9/25/2008	1/11/2011-4/12/2011
SECTOR SPDR UTILITIES	Exchange Traded Fund	\$10,001 - \$100,000	Stock	3/27/2007-7/12/2011	3/14/2011-9/19/2011
SINGAPORE TELECOMM LT AD	Telecommunications provider	\$2,000 - \$10,000	Stock	8/8/2007-3/25/2009	2/23/2011-2/24/2011
SOUTHERN COPPER CORP	Basic Materials- Copper	\$2,000 - \$10,000	Stock	3/25/2011	4/20/2011
SUMITOMO MITSUI-UNSPONS ADR	Financial- Foreign Regional Banks	\$10,001 - \$100,000	Stock	1/16/2008-1/20/2010	3/25/2011-9/6/2011
SUN HG KAI PPTY SPSP ADR	Property Development	\$2,000 - \$10,000	Stock	6/25/2009-11/23/2010	3/25/2011
SWISS REINS SPNSD ADR	Financial Services	\$10,001 - \$100,000	Stock	4/16/2010-2/9/2011	2/22/2011-3/8/2011
TAIWAN S MANUFACTURING ADR	Technology- Semiconductor- Integrated Circuits	\$2,000 - \$10,000	Stock	12/15/2008-9/17/2009	11/30/2011
TARGET CORP COM	Consumer Goods- Department/Grocery stores	\$10,001 - \$100,000	Stock	12/7/2009-1/12/2011	1/18/2011-8/8/2011
TE CONNECTIVITY LTD REG SHS	Technology- Diversified Electronics	\$2,000 - \$10,000	Stock	10/06/2006-8/28/2007	7/13/2011-12/23/2011
TECHNIP SP ADR	services- Oil and Gas industry	\$2,000 - \$10,000	Stock	9/24/2010-12/17/2010	3/25/2011
TELSTRA CORP ADR	Telecommunications provider	\$2,000 - \$10,000	Stock	11/29/2010-2/18/2011	3/25/2011
TERADATA CORP DEL	Database Software	\$2,000 - \$10,000	Stock	1/7/2011	1/31/2011-2/2/2011
TESCO PLC SPNRD ADR	Consumer Goods- Grocery stores	\$2,000 - \$10,000	Stock	3/16/2006-3/25/2011	10/12/2011-12/13/2011
TIFFANY & CO NEW	Services- Jewelry Stores	\$10,001 - \$100,000	Stock	1/13/2010-7/28/2010	7/27/2011-12/3/2011
TIME WARNER INC SHS	Services- Entertainment- Diversified	\$2,000 - \$10,000	Stock	1/24/2008-3/1/2011	2/2/2011-8/23/2011
TITANIUM METALS CORP NEW	Metals Manufacturer	\$10,001 - \$100,000	Stock	6/7/2010-6/8/2010	3/2/2011-5/3/2011
TJX COS INC NEW	Services- Department Stores	\$10,001 - \$100,000	Stock	12/14/2009-5/27/2010	2/7/2011-11/17/2011
TOTAL S.A. SP ADR	Integrated energy company	\$2,000 - \$10,000	Stock	4/24/2003-6/6/2006	3/25/2011
TULLOW OIL PLC SHS	Oil and Natural Gas Exploration	\$2,000 - \$10,000	Stock	12/09/2009-11/22/2010	3/25/2011
TYCO INTL LTD NAMEN-AKT	Industrial Goods- Diversified Machinery	\$2,000 - \$10,000	Stock	10/6/2006-11/6/2007	4/14/2011-6/17/2011
U.S. TRSY INFLATION NOTE 2.00% JAN 15 2014	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010	1/18/2011-4/15/2011
U.S. TRSY INFLATION NOTE 2.375% APRIL 15 2011	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010	1/18/2011-4/15/2011
U.S. TRSY INFLATION NOTE 3.00% JULY 15 2012	US Treasury Note	\$100,001 - \$1,000,000	Bond	7/26/2010-12/1/2011	11/14/2011
U.S. TRSY INFLATION NOTE 3.5% JAN 15 2011	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010	1/18/2011
U.S. TSY INFLATION BOND 3.875% APR 15 2029	US Treasury Bond	\$10,001 - \$100,000	Bond	7/26/2010	1/18/2011
UBS AG REG	Financial Services	\$2,000 - \$10,000	Stock	10/21/2009-9/23/2010	3/25/2011
UNILEVER PLC NEW ADR	Consumer Goods- Personal Products	\$2,000 - \$10,000	Stock	11/8/2005-9/24/2008	3/25/2011
UNION PACIFIC CORP	Services- Railroads	\$10,001 - \$100,000	Stock	4/3/2006-10/14/2008	3/2/2011-8/19/2011
UNITED STS STL CORP NEW	Industrial Goods- Steel	\$2,000 - \$10,000	Stock	12/2/2008-4/27/2011	2/18/2011-10/21/2011

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
Name  
Emily Lo

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
EOG RESOURCES INC	Oil and Natural Gas	\$10,001 - \$100,000	Stock	2/28/2009-7/23/2010	6/16/2011-7-11-2011
ESPRIT HOLDING LTD SP ADR	Retail Fashion	\$2,000 - \$10,000	Stock	2/12/2010-2/9/2011	4/11/2011
FANUC LTD-UNSP	Technology- robotics	\$2,000 - \$10,000	Stock	5/8/2009-6/5-2009	1/13/2011-8/18/2011
FEDEX CORP DELAWARE COM	Delivery Service	\$2,000 - \$10,000	Stock	3/29/2010-7/23/2010	1/31/2011-3/16/2011
FIFTH THIRD BANCORP	Financial Services	\$2,000 - \$10,000	Stock	8/22/2010-8/3/2010	5/2/2011
FLEXTRONICS INTL LTD	Technology- Printed Circuit Boards	\$4,000 - \$10,000	Stock	11/15/2006-2/9/2011	2/11/2011-6/9/2011
FLUOR CORP NEW DEL COM	Industrial Goods- Heavy Construction	\$2,000 - \$10,000	Stock	5/9/2011-5/10/2011	9/6/2011-9/7/2011
FNMA P88579 06%2038	Mortgage Bond	\$10,001 - \$100,000	Bond	7/27/2010	2/9/2011-2/14/2011
FORD MOTOR CO NEW	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	11/5/2010-11/18/2010	1/31/2011
FREEPRT-MCMRAN CPR & GOLD	Mining Group	\$10,001 - \$100,000	Stock	11/9/2010-3/14/2011	6/16/2011-8/31/2011
F5 NETWORKS INC COM	Network Appliances	\$10,001 - \$100,000	Stock	12/1/2010-7/5/2011	3/14/2011-7/21/2011
GAP INC DELAWARE	Services- Apparel Stores	\$2,000 - \$10,000	Stock	7/25/2007-11/17/2009	2/9/2011-2/28/2011
GENL DYNAMICS CORP COM	Industrial Goods- Aerospace/Defense Products & Services	\$10,001 - \$100,000	Stock	8/9/2005-1/5/2011	3/8/2011-7/20/2011
GLAXOSMITHKLINE PLC ADR	Pharmaceuticals	\$10,001 - \$100,000	Stock	1/21/2005-2/2/2010	3/25/2011
GOLDMAN SACHS GROUP INC	Financial Services	\$10,001 - \$100,000	Stock	5/13/2010-10/28/2010	5/25/2011-6/9/2011
GOOGLE INC CL A	Technology- Internet Information Providers	\$2,000 - \$10,000	Stock	7/15/2011	8/19/2011
GREEN MOUNTAIN COFFEE ROS	Consumer Services- Coffee	\$2,000 - \$10,000	Stock	4/5/2011-7/5/2011	1/12/2011
HALLIBURTON COMPANY	Basic Materials- Oil & Gas Equipment and Services	\$2,000 - \$10,000	Stock	11/18/2010-11/23/2010	6/16/2011-6/20-2011
HEALTH CARE SELECT SPDR	Exchange Traded Fund	\$10,001 - \$100,000	Stock	4/24/2009-8/14/2009	3/14/2011-9/19/2011
HONDA MOTOR ADR NEW	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	6/15/2010-3/16/2011	3/25/2011
HOYA CORP ADR	Manufacturer of electronics related products, imaging products, healthcare products and medical products	\$2,000 - \$10,000	Stock	6/3/2005-2/27/2009	3/25/2011
HSBC HLDG PLC SP ADR	Financial- Foreign Money Center Banks	\$2,000 - \$10,000	Stock	6/17/2009-9/20/2010	3/10/2011-3/18/2011
IMPERIAL TOB GRP SPS ADR	Consumer Goods	\$2,000 - \$10,000	Stock	7/31/2008-3/2/2009	2/17/2011
INFORMA PLC UNSP ADR	Information Services	\$2,000 - \$10,000	Stock	1/27/2011-3/2/2011	3/23/2011
ING GP NV SPSP ADR	Financial- Life Insurance	\$2,000 - \$10,000	Stock	12/9/2005-10/26/2010	3/25/2011-11/22/2011
INTEL CORP	Technology- Semiconductor- Broad Line	\$2,000 - \$10,000	Stock	9/12/2008-3/2/2009	5/9/2011-11/7/2011
INTL BUSINESS MACHINES CORP IBM	Technology- Diversified Computer Systems	\$10,001 - \$100,000	Stock	1/19/2007-2/9/2011	2/16/2011-9/30/2011
INTL PAPER CO	Consumer Goods- Paper	\$2,000 - \$10,000	Stock	8/31/2009	1/18/2011
JS GROUP CORP SHS	Building Materials and Equipment	\$2,000 - \$10,000	Stock	1/24/2011-3/11/2011	3/25/2011
LIMITED BRANDS INC	Services- Apparel Stores	\$10,001 - \$100,000	Stock	5/3/2010-5/10/2010	5/9/2011-7/11/2011
LLOYDS BANKING GROUP PLC	Financial Services	\$2,000 - \$10,000	Stock	8/7/2009-11/8/2010	3/23/2011
LOGITECH INTERNATIONAL	Computer Equipment	\$2,000 - \$10,000	Stock	3/25/2011	9/27/2011-9/28/2011
LULULEMON ATHLETICA INC	Consumer Goods- Textile- Apparel Clothing	\$2,000 - \$10,000	Stock	3/25/2011	4/20/2011-3/3/2011
LVMH MOET HENNESSY ADR	Consumer Discretionary Goods	\$2,000 - \$10,000	Stock	1/13/2009-11/9/2009	1/20/2011-12/13/2011
MAN AG SHS	Mechanical Engineering	\$2,000 - \$10,000	Stock	3/26/2010-2/9/2011	6/22/2011-8/12/2011
MAN GROUP PLC-UNSPON	Financial Services	\$2,000 - \$10,000	Stock	3/25/2011	8/12/2011
MARATHON OIL CORP	Basic Materials- Oil & Gas Refining & Marketing	\$2,000 - \$10,000	Stock	10/17/2005	3/14/2011
MARATHON PETROLEUM CORP	Oil Exploration and Production	\$2,000 - \$10,000	Stock	10/17/2005-7/6/2009	7/6/2011-7/11/2011
MBIA INC COM	Financial- Property & Casualty Insurance	\$2,000 - \$10,000	Stock	9/30/2009-6/28/2011	1/11/2011-8/15/2011
MCDONALDS CORP COM	Services- Restaurant	\$10,001 - \$100,000	Stock	5/21/2007-7/6/2010	1/4/2011-3/11/2011
MEADWESTVACO CORP	Consumer Goods- Packaging & Containers	\$2,000 - \$10,000	Stock	10/3/2005-1/19/2007	2/11/2011-10/28-2011
MERCK AND CO INC SHS	Pharmaceuticals	\$2,000 - \$10,000	Stock	12/7/2009	1/18/2011-1/20/2011
MICROSOFT CORP	Technology- Application Software	\$2,000 - \$10,000	Stock	10/3/2005-11/8/2005	12/12/2011
MITSUBISHI ESTATE ADR	Diversified Industrial Activity	\$2,000 - \$10,000	Stock	5/1/2009-9/10/2009	3/25/2011
MOJAVE WTR AGY CA IMPT	Municipal Bond	\$10,001 - \$100,000	Bond	5/22/2006	7/26/2011
MONSANTO CO NEW DEL COM	Basic Materials- Agricultural Chemicals	\$10,001 - \$100,000	Stock	5/27/2010-7/2/2010	1/6/2011-10/12/2011
MORGAN STANLEY	Financial- Investment Brokerage- National	\$2,000 - \$10,000	Stock	1/13/2010-6/3/2011	9/22/2011-11/21/2011
MOTOROLA MOBILITY HOLDINGS INC SHS	Technology- Communication Equipment	\$10,001 - \$100,000	Stock	9/7/2007-8/3/2011	1/11/2011-8/23/2011
MOTOROLA SOLUTIONS INC	Technology- Communication Equipment	\$10,001 - \$100,000	Stock	9/27/2007-8/3/2011	10/28/2011-12/30/2011
NESTLE S A REP RG SH ADR	Consumer Goods- Specialty Foods	\$2,000 - \$10,000	Stock	10/5/2005-3/25/2011	1/18/2011-8/31/2011
NEW ORIENTAL EDUCATIO ADR	Private Educational Services in China	\$2,000 - \$10,000	Stock	3/25/2011	7/21/2011-11/30/2011
NEWS CORP CL A	Media	\$10,001 - \$100,000	Stock	7/12/2010-7/27/2010	2/7/2011-2/8/2011
NIDEC CORPORATION ADR	Manufacturer of electric motors	\$2,000 - \$10,000	Stock	7/12/2010-7/13/2010	1/31/2011
NIKE INC CL B	Consumer Goods- Textile- Apparel, Footwear and accessories	\$2,000 - \$10,000	Stock	7/10/2008-7/17/2008	3/25/2011
NORDSTROM INC	Retail Fashion	\$2,000 - \$10,000	Stock	11/16/2009-7/26/2010	1/31/2011-3/18/2011
NOVARTIS ADR	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	6/6/2006-7/12/2006	3/25/2011
OAO GAZPROM SPON ADR	Operation of gas pipeline businesses	\$2,000 - \$10,000	Stock	3/25/2011	9/13/2011
ORACLE CORP \$0.01 DEL	Software	\$10,001 - \$100,000	Stock	7/20/2010-7/5/2011	6/7/2011-12/21/2011
PARKER HANNIFIN CORP	Industrial Goods- Industrial Equipment & Components	\$2,000 - \$10,000	Stock	5/24/2010-6/1/2010	8/22/2011

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ALLSTATE CORP DEL COM	Financial- Property & Casualty Insurance	\$2,000 - \$10,000	Stock	11/10/2010-6/3/2011	7/20/2011
ALTERA CORP COM	Semiconductor Manufacturer	\$2,000 - \$10,000	Stock	11/8/2011-11/9/2011	10/31/2011
AMAZON COM INC COM	Online Merchant	\$10,001 - \$100,000	Stock	7/9/2009-8/31/2011	2/23/2011-12/1/2011
AMERICA MOVIL SAB DE CV	Wireless Services Provider	\$2,000 - \$10,000	Stock	3/25/2011	5/20/2011-5/27-2011
AMERICAN TOWER CORP CL A	Wireless and Broadcast Towers	\$10,001 - \$100,000	Stock	9/14/2009-7/21/2010	1/7/2011-1/12/2011
ANDARKO PETE CORP	Basic Materials- Independent Oil & Gas	\$10,001 - \$100,000	Stock	5/14/2010-10/8/2010	5/23/2011-8/6/2011
ANALOG DEVICES INC COM	High Performance Signal Processing Solutions	\$2,000 - \$10,000	Stock	1/18/2011	4/11/2011
ANHEUSER-BUSCH INBEV NV	Consumer Goods- Beverages- Alcoholic	\$2,000 - \$10,000	Stock	7/6/2009-11/22/2010	3/25/2011
APPLE INC	Technology- Personal Computers	\$10,001 - \$100,000	Stock	4/2/2009-7/13/2010	4/4/2011-11/22/2011
ARCELORMITTAL SA, LUXEMBOURG	Steel Manufacturer	\$2,000 - \$10,000	Stock	3/25/2011-5/4/2011	11/30/2011-12/12/2011
ASSA ABLOY AB ADR	Consumer Goods- Security systems	\$2,000 - \$10,000	Stock	2/8/2010-2/9/2010	3/25/2011
ATHEROS COMMUNICATIONS	Semiconductor Manufacturer	\$10,001 - \$100,000	Stock	4/15/2005	1/10/2011
ATLANTIA SPA SHS	Toll Road Operator	\$2,000 - \$10,000	Stock	1/18/2011-2/15/2011	3/25/2011
BAE SYS PLC SPN ADR	Defense Contractor	\$2,000 - \$10,000	Stock	4/16/2008-10/31/2008	2/1/2011-2/2/2011
BAIDU INC SPON ADR	Technology- internet Information Providers	\$10,001 - \$100,000	Stock	10/28/2009-7/1/2010	6/7/2011-8/19/2011
BAKER HUGHES INC	basic materials - oil & gas equipment services	\$2,000 - \$10,000	Stock	1/28/2009-7/6/2009	1/27/2011-7/22/2011
BANCO SANTANDER BRZL SA	Financial Services	\$2,000 - \$10,000	Stock	12/21/2009-2/9/2011	11/22/2011
BAYER AG SP ADR	Healthcare-Drug manufacturers	\$2,000 - \$10,000	Stock	4/25/2006-7/28/2011	9/7/2011-12/8/2011
BAYERISCHE MOTORENWERKE AG BMW SHS	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	2/9/2011-2/15/2011	3/25/2011
BG GROUP PLC SPON ADR	Basic Materials- Major Integrated Oil & Gas	\$2,000 - \$10,000	Stock	7/21/2008-2/11/2010	3/25/2011-4/8/2011
BHP BILLITON LTD ADR	Basic Materials- Industrial Metals & Minerals	\$10,001 - \$100,000	Stock	5/11/2009-2/25/2011	3/25/2011
BHP BILLITON PLC SP ADR	Basic Materials- Industrial Metals & Minerals	\$10,001 - \$100,000	Stock	6/7/2007-2/9/2011	2/11/2011-4/18/2011
BIG LOTS INC COM	Consumer Goods- Department Store	\$2,000 - \$10,000	Stock	12/31/2009-1/4/2010	1/5/2011
BNP PARIBAS SPONSOR ADR	Financial- Global Banking Group	\$2,000 - \$10,000	Stock	8/11/2005-3/25/2011	9/19/2011-11/23/2011
BRISTOL-MYERS SQUIBB CO	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	2/28/2011	10/3/2011
BRITISH AMN TOBACO SPADR	Consumer Goods- Tobacco Products- international	\$2,000 - \$10,000	Stock	1/25/2007-10/14/2008	3/25/2011
BROADCOM CORP CALIF CL A	Semiconductor Manufacturer	\$10,001 - \$100,000	Stock	9/24/2010-2/17/2011	4/4/2011-4/29/2011
CA INC	Software	\$2,000 - \$10,000	Stock	8/27/2007-8/31/2009	5/2/2011-5/13/2011
CALIFORNIA ST UNIV REV	Municipal Bond	\$10,001 - \$100,000	Bond	5/17/2006-10/29/2007	9/6/2011
CANON INC ADR REPSSH	Consumer Goods- Photographic Equipment and Supplies	\$2,000 - \$10,000	Stock	10/3/2005-9/11/2007	2/15/2011
CARDINAL HEALTH INC OHIO	Services- Drugs Wholesale	\$2,000 - \$10,000	Stock	12/29/2009	10/3/2011
CBS CORP NEW CL B	Services- Broadcasting - TV	\$2,000 - \$10,000	Stock	5/6/2010	5/2/2011-5/26/2011
CENTURY LINK INC SHS	Communications Solutions	\$10,001 - \$100,000	Stock	5/2/2006-5/26/2009	4/4/2011-4/8/2011
CHINA MERCHANTS-UNSPON	Port Investor and Operator	\$2,000 - \$10,000	Stock	3/23/2011	9/16/2011-9/20-2011
CHINA PETE CHEM SPN ADR	Oil and Natural Gas	\$2,000 - \$10,000	Stock	9/24/2010-2/9/2011	6/21/2011-6/22/2011
CHINA UNICOM HONG KONG	Technology- Wireless Communications	\$2,000 - \$10,000	Stock	10/29/2008-6/2/2009	9/20/2011
CISCO SYSTEMS INC COM	Technology- Networking & Communication Devices	\$2,000 - \$10,000	Stock	10/3/2005-3/13/2006	9/6/2011
CITIGROUP INC COM NEW	Financial- Money Center Banks	\$10,001 - \$100,000	Stock	6/28/2010-4/20/2011	5/25/2011-8/8/2011
CLIFFS NATURAL RESOURCES INC	Basic Materials- Steel & Iron	\$2,000 - \$10,000	Stock	5/2/2011	9/6/2011
COACH INC	Consumer Goods- Textile- Apparel, Footwear and accessories	\$2,000 - \$10,000	Stock	6/1/2011-6/9/2011	8/19/2011-8/23/2011
COCA COLA HELLENIC S ADS	Consumer Goods- Beverages	\$2,000 - \$10,000	Stock	3/25/2011	5/18/2011-5/20-2011
COMCAST CORP NEW CL A	Services- CATV Systems	\$2,000 - \$10,000	Stock	6/28/2010	5/10/2011-5/12/2011
COMPUTER SCIENCE CRP	Information Technology and Professional Services	\$2,000 - \$10,000	Stock	1/16/2008	6/6/2011
CONSUMER DISCRETIONARY SPDR	Exchange Traded Fund	\$2,000 - \$10,000	Stock	3/27/2007	5/6/2011-9/19/2011
CONTINENTAL RESOURCES	Basic Materials-Independent Oil & Gas	\$2,000 - \$10,000	Stock	3/16/2011-3/23/2011	8/24/2011-8/25/2011
CVS CAREMARK CORP	Services- Drug Stores	\$2,000 - \$10,000	Stock	10/10/2008-2/9/2011	3/31/2011
DAITO TR CONSTRUCTION CO	Construction	\$2,000 - \$10,000	Stock	6/25/2010-3/18/2011	3/25/2011
DANAHER CORP DEL COM	Conglomerates- Conglomerates	\$2,000 - \$10,000	Stock	3/11/2010-5/4/2010	8/22/2011-11/17/2011
DANONE SPONS ADR	Consumer Goods	\$2,000 - \$10,000	Stock	10/25/2010-8/3/2010	3/25/2011
DEVON ENERGY CORP NEW	Basic Materials- Independent Oil & Gas	\$2,000 - \$10,000	Stock	6/4/2009-6/17/2009	8/5/2011
DOW CHEMICAL CO	Basic Materials- Chemicals- Major Diversified	\$10,001 - \$100,000	Stock	7/30/2009-9/2/2010	3/4/2011-12/14/2011
DR PEPPER SNAPPLE GROUP INC	Consumer Goods- Beverages	\$2,000 - \$10,000	Stock	7/12/2010-7/14/2010	8/8/2011
EATON CORP	Industrial Goods- Industrial Electrical Equipment	\$10,001 - \$100,000	Stock	2/5/2010-3/21/2011	6/6/2011-11/17/2011
EDP ENERGIAS DE PORTUGAL	Electricity and gas operations	\$2,000 - \$10,000	Stock	5/11/2010-5/27/2010	11/9/2011-12/21/2011
ENI S P A SPONSORED ADR	Integrated energy company	\$2,000 - \$10,000	Stock	10/5/2005-4/16/2008	2/23/2011

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

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VODAFONE GROP PLC SP ADR	Technology-Wireless Communications	\$2,000 - \$10,000	Stock	12/22/2006- 11/25/2011	
VOLKSWAGEN A G ADR	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	3/25/2011	
VOLKSWAGEN A G SPONS ADR	Consumer Goods- Auto Manufacturers- Major	\$10,001 - \$100,000	Stock	3/16/2011	
WAL-MART DE MEX SPNADR V SA DE CV	Consumer Goods- Grocery and personal products	\$2,000 - \$10,000	Stock	3/25/2011	
WEATHERFORD INTL LTD. REG.	Basic Materials- Oil & Gas Equipment and Services	\$2,000 - \$10,000	Stock	8/15/2011- 09/28/2011	
WELLPOINT INC	Healthcare- Health Care Plans	\$2,000 - \$10,000	Stock	6/27/2007-3/4/2009	
WELLS FARGO & CO NEW SER J	Financial- Money Center Banks	\$2,000 - \$10,000	Stock	12/23/2008- 7/5/2011	
WELLS FARGO & CO NEW DEL	Financial- Money Center Banks	\$10,001 - \$100,000	Stock	5/18/2009- 12/22/2011	
WESTERN UN CO	Services- Business Services	\$10,001 - \$100,000	Stock	11/1/2010-5/9/2011	
WESTPAC BANKING ADR	Financial- Foreign Money Center Banks	\$2,000 - \$10,000	Stock	12/23/2009- 11/23/2011	
WYNN RESORTS LTD	Services- Resorts and Casinos	\$10,001 - \$100,000	Stock	7/14/2010- 12/27/2011	
XSTRATA PLC-ADR	Mining Group	\$10,001 - \$100,000	Stock	3/16/2011	
YAHOO INC	Technology- internet Information Providers	\$2,000 - \$10,000	Stock	2/9/2011-5/19/2011	
YANDEX N V CL A	Technology- internet Information Providers	\$2,000 - \$10,000	Stock	5/3/2011- 6/17/2011	
YOUKU INC ADR	Technology- internet Information Providers	\$2,000 - \$10,000	Stock	5/19/2011- 07/05/2011	
YUM BRANDS INC	Services- Restaurants	\$10,001 - \$100,000	Stock	9/2/2010-8/25/2011	
ALABAMA ST PUB SCH & COLLEGE AUTH RF DEC07 05.000%DEC01 15	Municipal Bond	\$10,001 - \$100,000	Bond	9/17/2009	
COAST ACCESS LLC CL C	Hedge Fund	\$2,000 - \$10,000	Partnership	3/1/2008	
FEDERAL NATL MTG ASSOC NOTES 00.75% DEC 18 2013	Mortgage Bond	\$10,001 - \$100,000	Bond	1/11/2011	
FHLMC G0 5188 05%2038 AMORTIZED FCR 37745	Mortgage Bond	\$10,001 - \$100,000	Bond	10/12/2011	
FLORIDA ST BRD ED LOTTERY REV SER F RF NOV10 05.000%JUL01 20	Municipal Bond	\$10,001 - \$100,000	Bond	11/3/2010	
FNMA P745275 05%2036 AMORTIZED FCR 35157	Mortgage Bond	\$10,001 - \$100,000	Bond	7/7/2010- 06/13/2011	
FNMA P889572 05 50%2038 AMORTIZED FCR 31739	Mortgage Bond	\$10,001 - \$100,000	Bond	2/8/2011	
FNMA P889579 06 50%2038 AMORTIZED FCR 29306	Mortgage Bond	\$10,001 - \$100,000	Bond	7/27/2010	
FNMA P889982 05 50%2038 AMORTIZED FCR 33670	Mortgage Bond	\$10,001 - \$100,000	Bond	7/27/2010	
FNMA P995672 04 50%2039 AMORTIZED FCR 55458	Mortgage Bond	\$10,001 - \$100,000	Bond	2/3/2011- 10/11/2011	
FNMA PAE 0392 05 50%2039 AMORTIZED FCR .65433	Mortgage Bond	\$2,000 - \$10,000	Bond	4/23/2011	
FNMA PAL0065 04 50%2041 AMORTIZED FCR .89497	Mortgage Bond	\$10,001 - \$100,000	Bond	3/17/2011	
KING CNTY WASH SWR REV RF JUL10 05.000%JAN01 23	Municipal Bond	\$10,001 - \$100,000	Bond	7/20/2010	
LOS ANGELES CALIF CMNTY COLLEGE DIST LT A RF AGM MAR05 05.000%AUG01 20	Municipal Bond	\$10,001 - \$100,000	Bond	6/14/2006	
MASS ST SBA DED SLS TAX REV SER A AMBAC MAR07 05.000%AUG15 18	Municipal Bond	\$10,001 - \$100,000	Bond	1/22/2010	
MET WASH DC ARPTS AT ARPT REV SER A RF JUL10 05.000%OCT01 25	Municipal Bond	\$10,001 - \$100,000	Bond	7/21/2010	
MILWAUKEE WIS SER A RF AGM OCT02 05 250%SEP01 14	Municipal Bond	\$100,001 - \$1,000,000	Bond	9/9/2009	
ML FORTRESS PARTNERS LLC CLASS A (N)	Hedge Fund	\$10,001 - \$100,000	Partnership	8/29/2007	
NEW YORK NY CITY HEALTH & HOSP CORP REV SER A RF OCT10 05.000%FEB15 30	Municipal Bond	\$10,001 - \$100,000	Bond	9/6/2011	
PAULSON RECOVERY PARTICIPATION FUND LLC CLASS A	Hedge Fund	\$2,000 - \$10,000	Partnership	4/1/2009	
PUERTO RICO COMWLTH SER B PRF16 AUG06 05.000%JUL01 35	Municipal Bond	\$10,001 - \$100,000	Bond	12/14/2007	
PUERTO RICO COMWLTH PUB IMPT SER A AGM JUN01 05.500%JUL01 17	Municipal Bond	\$10,001 - \$100,000	Bond	9/11/2009	
SCOTTSDALE AZ MUN PPTY CORP EXCISE TAX REV RF NOV06 05.000%JUL01 26	Municipal Bond	\$10,001 - \$100,000	Bond	8/17/2010	
U.S. TREASURY NOTE 0875% FEB 29 2012- US.NB12B	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010- 6/10/2011	
U.S. TREASURY NOTE 1.375% MAR 15 2013- US.NC13C	US Treasury Note	\$2,000 - \$10,000	Bond	7/26/2010- 6/10/2011	
U.S. TREASURY NOTE 1.500% JUL 31 2016- US.NE16G	US Treasury Note	\$10,001 - \$100,000	Bond	8/9/2011	
U.S. TREASURY NOTE 2.125% AUG 15 2021- US.NJ21H	US Treasury Note	\$10,001 - \$100,000	Bond	9/13/2011	
U.S. TRSRY INFLATION BOND 2.500% JAN 15 2029 INFL ADJ PRIN FCR 1.0537- 912810PZ5	US Treasury Bond	\$10,001 - \$100,000	Bond	7/13/2011- 07/27/2011	
U.S. TRSRY INFLATION BOND 3.615% APR 15 2028 INFL ADJ PRIN FCR 1.3987- US28A	US Treasury Bond	\$10,001 - \$100,000	Bond	6/10/2011	
U.S. TRSRY INFLATION NOTE 3% JUL 15 2012 INFL ADJ PRIN FCR 1.1631- 912828AF7	US Treasury Note	\$10,001 - \$100,000	Bond	7/26/2010	
U.S. TRSRY INFLATION NOTE 1.875% JUL 15 2015 INFL ADJ PRIN FCR 1.1631- 912828EA4	US Treasury Note	\$100,001 - \$1,000,000	Bond	1/21/2011	
U.S. TRSRY INFLATION NOTE 2.625% JUL 15 2017 INFL ADJ PRIN FCR 1.0915- 912828GX2	US Treasury Note	\$100,001 - \$1,000,000	Bond	11/4/2011	
U.S. TRSRY INFLATION NTE 1.250%JUL 15 2020-INFL ADJ PRIN FCR 1.0373 - 912828NM8	US Treasury Note	\$10,001 - \$100,000	Bond	1/21/2011	
U.S. TRSRY INFLATION BOND 3.875% APR 15 2029 INFL ADJ PRIN FCR 1.3761- TN 29	US Treasury Bond	\$10,001 - \$100,000	Bond	7/26/2010	
UNIVERSITY NEB FACS CORP DEFERRED MAINTENANCE DEC09 05.000%JUL 15 17	Municipal Bond	\$10,001 - \$100,000	Bond	1/14/2011	
WASHINGTON ST MOTOR 4.945%CI SER 03-C NPPG OCT02 00.000%JUN01 21	Municipal Bond	\$10,001 - \$100,000	Bond	8/9/2011	
WEST VA SCH BLDG AUTH EXCESS LOTTERY REV AUG08 05.000%JUL01 16	Municipal Bond	\$100,001 - \$1,000,000	Bond	9/11/2009	
ACME PACKET INC	Communications Solutions	\$2,000 - \$10,000	Stock	2/23/2011-7/5/2011	8/16/2011- 8/31/2011
AGILENT TECHNOLOGIES INC	Manufacturer of Measurement Instruments- Technology	\$10,001 - \$100,000	Stock	3/14/2011- 7/9/2011	8/22/2011-9/7/2011
AIA GROUP LTD SHS	Financial Services	\$2,000 - \$10,000	Stock	3/10/2011	3/25/2011
ALLEGHENY TECH INC	Industrial goods- Metal Fabrication	\$2,000 - \$10,000	Stock	8/26/2011	9/6/2011

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
Name  
Emily Lo

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
RIO TINTO PLC SPNSRD ADR	Basic Materials- Industrial Metals & Minerals	\$10,001 - \$100,000	Stock	4/12/2011-12/12/2011	
ROCHE HLDG LTD SPN ADR	Healthcare	\$10,001 - \$100,000	Stock	9/13/2006-2/9/2011	
ROLLS ROYCE GRP SPN ADR	Capital Goods- Aerospace and Defense	\$2,000 - \$10,000	Stock	6/28/2011-6/29/2011	
ROYAL DUTCH SHELL PLC SPONS ADR A	Gasoline and Oil Company	\$10,001 - \$100,000	Stock	7/10/2008-8/2/2011	
ROYAL KPN N V SP ADR	Telecommunications provider in The Netherlands	\$2,000 - \$10,000	Stock	5/4/2009-2/9/2011	
RYDER SYSTEM INC	business software manufacturers	\$2,000 - \$10,000	Stock	10/12/2009-10/14/2009	
SABMILLER PLC IZAR PAR ORDINARY	Consumer Goods- Beverages- brewers	\$10,001 - \$100,000	Stock	3/16/2011	
SABMILLER PLC SPON ADR	Consumer Goods- Beverages- brewers	\$2,000 - \$10,000	Stock	3/25/2011	
SAFARI ADR	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	1/15/2010-2/9/2011	
SAP AG SHS	Technology- Application Software	\$2,000 - \$10,000	Stock	9/9/2009-03/25/2011	
SBERBANK SPONSORED ADR	Bank-Russia	\$2,000 - \$10,000	Stock	9/13/2011	
SCHLUMBERGER LTD	Basic Materials- Oil & Gas Equipment and Services	\$2,000 - \$10,000	Stock	3/25/2011-9/23/2011	
SECTOR SPDR CONSMRS STPL	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	8/14/2009-9/19/2011	
SECTOR SPDR ENERGY	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	3/27/2007-9/19/2011	
SECTOR SPDR INDUSTRIAL	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	9/25/2008-9/19/2011	
SECTOR SPDR UTILITIES	Financial- Closed-End Fund-Equity	\$10,001 - \$100,000	Stock	3/27/2007-8/2/2011	
SEVEN AND I HOLDINGS CO LTD SHS	Holdings Company	\$2,000 - \$10,000	Stock	1/19/2012	
SIEMENS AG ADR	Technology- Telecom Services- Foreign	\$10,001 - \$100,000	Stock	1/24/2006-9/15/2011	
SMITH-NPHW PLC SPADR NEW	Healthcare- Medical Appliances & Equipment	\$2,000 - \$10,000	Stock	7/9/2010-2/9/2011	
SOUTHWEST AIRLNS CO	Services- Regional Airlines	\$2,000 - \$10,000	Stock	1/17/2010-11/2/2010	
SPRINT NEXTEL CORP	Technology- Wireless Communications	\$10,001 - \$100,000	Stock	7/20/2010-11/7/2011	
STANDARD CHARTERED .SUSD .SUSD PAR ORDINARY	Financial Services	\$10,001 - \$100,000	Stock	3/16/2011	
STARBUCKS CORP	Services- Specialty Eateries	\$10,001 - \$100,000	Stock	12/3/2010-9/21/2011	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE	Services- Lodging	\$2,000 - \$10,000	Stock	10/17/2008-7/5/2011	
STATE STREET CORP	Financial- Regional-Northeast Banks	\$10,001 - \$100,000	Stock	4/14/2010-9/30/2011	
SUMITOMO MITSUI UNSPONS ADR	Financial- Foreign Regional Banks	\$10,001 - \$100,000	Stock	9/23/2008-12/1/2011	
SWATCH GROUP AG UNSPOND ADR	Consumer Goods- Watches	\$2,000 - \$10,000	Stock	10/12/2011-12/15/2011	
SYMANTEC CORP COM	Technology- Security Software & Services	\$10,001 - \$100,000	Stock	4/23/2010-10/6/2011	
SYMRISE AG ADR	Consumer Goods- Personal Products	\$2,000 - \$10,000	Stock	9/4/2009-2/9/2011	
TAIWAN S MANUFACTURING ADR	Technology- Semiconductor- Integrated Circuits	\$2,000 - \$10,000	Stock	9/17/2009-2/9/2011	
TE CONNECTIVITY LTD REG SHS	Technology- Diversified Electronics	\$10,001 - \$100,000	Stock	8/28/2007-2/9/2011	
TECHNIP SP ADR	services- Oil and Gas industry	\$2,000 - \$10,000	Stock	11/9/2011-12/21/2011	
TECK RESOURCES LTD CLS B	Basic Materials- Industrial Metals & Minerals	\$2,000 - \$10,000	Stock	12/9/2009-12/1/2011	
TEMPLETON CHINA WORLD FUND CL C	Chinese Equity Focus Mutual Fund	\$100,001 - \$1,000,000	Stock	2/3/2011	
TENCENT HOLDINGS LTD ADR	Technology - Internet	\$2,000 - \$10,000	Stock	3/25/2011-11/23/2011	
TENET HEALTHCARE CORP	Healthcare- Hospitals	\$2,000 - \$10,000	Stock	12/4/2008-5/4/2011	
TEREX CORP DEL NEW COM	Industrial Goods- Farm & Construction Machinery	\$10,001 - \$100,000	Stock	6/7/2010-12/27/2011	
TESCO PLC SPNRD ADR	Consumer Goods- Grocery stores	\$2,000 - \$10,000	Stock	5/12/2006-3/25/2011	
TEVA PHARMACTCL INDS ADR	Healthcare- Drug Manufacturers	\$2,000 - \$10,000	Stock	3/25/2011	
TEXTRON INC	Industrial Goods- Aerospace/Defense- Major Diversified	\$10,001 - \$100,000	Stock	2/5/2010-12/8/2011	
THERMO FISHER SCIENTIFIC INC	Healthcare- Medical Instruments & Supplies	\$2,000 - \$10,000	Stock	10/4/2011-12/22/2011	
TIFANY & CO NEW	Services- Jewelry Stores	\$10,001 - \$100,000	Stock	7/28/2010-7/5/2011	
TIM PARTICIPACOS/S ADR SPONSORED	Technology- Wireless Communications	\$2,000 - \$10,000	Stock	9/20/2011	
TIME WARNER INC SHS	Services- Entertainment- Diversified	\$10,001 - \$100,000	Stock	1/24/2008-12/23/2011	
TK COS INC NEW	Services- Department Stores	\$10,001 - \$100,000	Stock	5/27/2010-7/5/2011	
TOKIO MARINE HOLDINGS INC, TOKYO ADR	Financial - Property & Casualty Insurance	\$2,000 - \$10,000	Stock	7/10/2009-2/9/2011	
TOYOTA MOTOR CORP ADR	Consumer Goods- Auto Manufacturers- Major	\$2,000 - \$10,000	Stock	3/25/2011	
TRAVELERS COS INC	Financial- Property & Casualty Insurance	\$10,001 - \$100,000	Stock	10/3/2005-2/9/2011	
TURKIYE GRTI BK SPN ADR	Financial - Bank	\$2,000 - \$10,000	Stock	3/25/2011	
TYCO INTL LTD NAMED-AKT	Industrial Goods- Diversified Machinery	\$10,001 - \$100,000	Stock	11/6/2007-2/9/2011	
UNILEVER NV .16EUR PAR ORDINARY	Consumer Goods- Personal Products	\$10,001 - \$100,000	Stock	3/16/2011-9/15/2011	
UNION PACIFIC CORP	Services- Railroads	\$10,001 - \$100,000	Stock	10/14/2008-12/27/2011	
UNITED HEALTH GROUP INC	Healthcare- Health Care Plans	\$10,001 - \$100,000	Stock	3/2/2009	
UNUM GROUP	Financial- Accident and Health Insurance	\$2,000 - \$10,000	Stock	12/1/2008-3/12/2009	
US BAN CORP (NEW)	Financial- Regional-Midwest Banks	\$10,001 - \$100,000	Stock	7/23/2010-12/21/2011	
VALERO ENERGY CORP NEW	Basic Materials- Oil & gas Refining & Marketing	\$10,001 - \$100,000	Stock	7/1/2008-12/12/2011	
VANGUARD FINANCIALS ETF	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	11/18/2009-9/19/2011	
VANGUARD INFORMATION TECH ETF	Financial- Closed-End Fund-Equity	\$100,001 - \$1,000,000	Stock	11/18/2009-8/2/2011	
VANGUARD TELECOMM SRVCS ETF	Financial- Closed-End Fund-Equity	\$10,001 - \$100,000	Stock	11/18/2009-9/19/2011	
VERIZON COMMUNICATNS COM	Technology- Telecom Services- Domestic	\$2,000 - \$10,000	Stock	4/13/2009-4/20/2009	
VISA INC CL A SHRS	Services- Business Services	\$2,000 - \$10,000	Stock	6/30/2011-8/25/2011	