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CALIFORNIA FORM 700  
FAIR POLITICAL PRACTICES COMMISSION  
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE

FILED  
Court of Appeal First Appellate District  
Date Received  
Official Use Only  
FEB 22 2013  
Diana Herbert, Clerk

Please type or print in ink.

NAME OF FILER (LAST) Pollak (FIRST) Stuart (MIDDLE) R.  
2013 MAR - 1 AM 10:35

1. Office, Agency, or Court

Agency Name: Court of Appeal  
Division, Board, Department, District, if applicable: First District, Division 3  
Your Position: Associate Justice

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_ Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

State  Judge or Court Commissioner (Statewide Jurisdiction)  
 Multi-County \_\_\_\_\_  County of \_\_\_\_\_  
 City of \_\_\_\_\_  Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

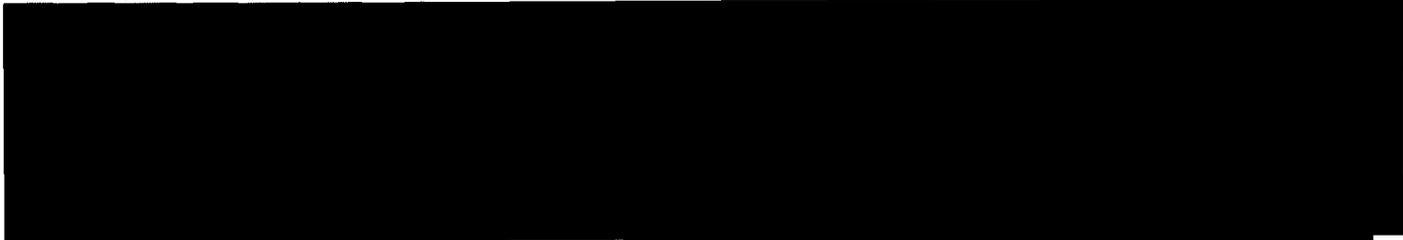
Annual: The period covered is January 1, 2012, through December 31, 2012.  
-or-  
The period covered is \_\_\_\_\_, through December 31, 2012.  
 Assuming Office: Date assumed \_\_\_\_\_  
 Leaving Office: Date Left \_\_\_\_\_ (Check one)  
○ The period covered is January 1, 2012, through the date of leaving office.  
○ The period covered is \_\_\_\_\_, through the date of leaving office.  
 Candidate: Election year \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 23

Schedule A-1 - Investments - schedule attached  
 Schedule A-2 - Investments - schedule attached  
 Schedule B - Real Property - schedule attached  
 Schedule C - Income, Loans, & Business Positions - schedule attached  
 Schedule D - Income - Gifts - schedule attached  
 Schedule E - Income - Gifts - Travel Payments - schedule attached  
-or-  
 None - No reportable interests on any schedule



herein and in any attached schedules is true and complete. I acknowledge and certify under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Date Signed 2/21/13  
(month, day, year)

**SCHEDULE A-1  
Investments**

Name  
Stuart R. Pollak

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

See attachment

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY \_\_\_\_\_

GENERAL DESCRIPTION OF BUSINESS ACTIVITY \_\_\_\_\_

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
     Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1 ATTACHMENT**

**CALIFORNIA FORM 700**

**STUART R. POLLAK**

Investments in stock

Adobe Systems	software	\$100,001-\$1,000,000	
Amazon.com, Inc.	internet bookstore	\$100,001-\$1,000,000	
AT&T	regional telephone	\$10,001-\$100,000	
Beam, Inc	tobacco	\$10,001-\$100,000	acquired 12/10/12
Berkshire Hathaway, Inc	conglomerate	\$10,001-\$100,000	acquired 3/2/12, disposed 8/9/12
Boston Scientific Corp.	medical equipment	\$2,000-\$10,000	acquired 3/7/12
BP, PLS	petroleum	\$10,001-\$100,000	acquired 12/10/12
Cavium, Inc.	communications	\$2,000-\$10,000	acquired 12/19/12
Charles Schwab Corp.	securities brokerage	\$10,001-\$100,000	
Chevron Corp.	petroleum	\$10,001-\$100,000	acquired 12/10/12
Cisco Systems, Inc.	computer networks products	\$10,001-\$100,000	
CSX Corp.	railroad-transportation	\$10,001-\$100,000	
EMC Corp.	internet software	\$10,001-\$100,000	acquired 3/7/12
Emulex Corp.	cluster interconnects	\$10,001-\$100,000	
Exxon Mobil Corp	petroleum	\$10,001-\$100,000	acquired 12/10/12
FEI Co.	computer hardware	\$10,001-\$100,000	acquired 3/7/12
Honeywell International, Inc.	aerospace & automotive	\$10,001-\$100,000	disposed 8/14/12
IBM	computers	\$100,001-\$1,000,000	
Intercp Network Services	internet connectivity	\$10,001-\$100,000	acquired 3/7/12
Johnson & Johnson	pharmaceutical	\$10,001-\$100,000	
Louisiana Pacific Corp.	timber products	\$10,001-\$100,000	acquired 12/10/12
Pfizer, Inc.	pharmaceuticals	\$10,001-\$100,000	
Plum Creek Timber Co	timber products	\$100,001-\$1,000,000	acquired 12/10/12
Qualcomm, Inc.	global positioning	\$10,001-\$100,000	acquired 3/7/12
Salesforce.com, Inc.	custom mgt. relationship services	\$100,001-\$1,000,000	acquired 12/19/12
Schlumberger Ltd.	oil & service mgt.	\$10,001-\$100,000	disposed 12/12/12
Sierra Wireless, Inc.	wireless data modems	\$2,000-\$10,000	acquired 3/7/12
Tellabs, Inc.	telecommunications equip.	\$2,000-\$10,000	acquired 3/7/12
Thermo Fisher Scientific, Inc. (formerly Thermo Electron Corp.)	engineering/industrial production	\$100,001-\$1,000,000	
Verizon Communication	regional telephone	\$10,001-\$100,000	
Viacom, Inc.	communications media	\$10,001-\$100,000	acquired 3/2/12
Walt Disney Co.	movies-entertainment	\$100,001-\$1,000,000	

Investments in limited partnerships

Walden Capital Partners II, L.P.	venture capital fund	\$2,000-\$10,000	
H&Q FTI Investors	venture capital fund	\$10,001-\$100,000	acquired 3/2012
H&Q Seattle Systems Investors	venture capital fund	\$10,001-\$100,000	acquired 3/2012

Form 700 Stuart R. Pollak Schedule A-1 Attachment

Investments in Limited Liability Companies

W.R. Hambrecht/Collab.Net, LLC	invest in Collabnet	\$2,000-\$10,000	acquired 12/19/12
W.R. Hambrecht/Trivium,LLC	invest in Trivium Systems, Inc.	\$2,000-\$10,000	acquired 12/19/12



**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name Stuart R. Pallek

**BUSINESS ENTITY OR TRUST**

Name PCS Partnership  
 Address 2030 Broadway, SF 94115

Check one  
 Trust, go to 2  Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Investments

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED 1/06 DISPOSED 1/06

NATURE OF INVESTMENT  
 Sole Proprietorship  Partnership  Other

YOUR BUSINESS POSITION Partner

**BUSINESS ENTITY OR TRUST**

Name PCS2 Partnership  
 Address 2030 Broadway, SF 94115

Check one  
 Trust, go to 2  Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Investments

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED ~~1/06~~ DISPOSED 1/06

NATURE OF INVESTMENT  
 Sole Proprietorship  Partnership  Other

YOUR BUSINESS POSITION Partner

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

~~The Capital VII, LLC~~

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT  REAL PROPERTY

HG Capital VII, LLC  
 Name of Business Entity or  
 Street Address or Assessor's Parcel Number of Real Property

Develop real property  
 Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED 1/06 DISPOSED 1/06

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold  Other LLC interest  
 Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT  REAL PROPERTY

HG Capital VIII, LLC  
 Name of Business Entity or  
 Street Address or Assessor's Parcel Number of Real Property

Develop real property  
 Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

ACQUIRED ~~1/06~~ DISPOSED 1/06

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold  Other LLC interest  
 Yrs. remaining

Check box if additional schedules reporting investments or real property are attached

Comments:

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Stuart R. Pollak

**1. BUSINESS ENTITY OR TRUST**

PCS Partnership (cont.)

Name

Address (Business Address Acceptable)

Check one

- Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 09                          /     / 09

\$10,001 - \$100,000                      ACQUIRED                      DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

**NATURE OF INVESTMENT**

- Sole Proprietorship     Partnership     Other

YOUR BUSINESS POSITION \_\_\_\_\_

**1. BUSINESS ENTITY OR TRUST**

HPH, LLC

Name

P.O. Box 1546, Burlingame, CA

Address (Business Address Acceptable)

94011-1546

Check one

- Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

Own real property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 09                          /     / 09

\$10,001 - \$100,000                      ACQUIRED                      DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

**NATURE OF INVESTMENT**

- Sole Proprietorship     Partnership     LLC                      Other

YOUR BUSINESS POSITION Partner

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

- \$0 - \$499                       \$10,001 - \$100,000
- \$500 - \$1,000                       OVER \$100,000
- \$1,001 - \$10,000

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

- \$0 - \$499                       \$10,001 - \$100,000
- \$500 - \$1,000                       OVER \$100,000
- \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

See attachment

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

- INVESTMENT     REAL PROPERTY

Covermate, Inc.

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Food container covers

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 09                      7 / 11 / 12

\$10,001 - \$100,000                      ACQUIRED                      DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

**NATURE OF INTEREST**

- Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

- INVESTMENT     REAL PROPERTY

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

170 Associated Rd, South SF

8115 Elder Creek Rd, Sacramento

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 09                      1 / 29 / 12

\$10,001 - \$100,000                      ACQUIRED                      DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

**NATURE OF INTEREST**

- Property Ownership/Deed of Trust     Stock     Partnership

Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached



Form 700 Stuart R. Pollak Schedule A-2 Attachment  
*HPH LLC and*  
Regan Family Trust - Sources of income of \$10,000 or more

Tenants: 2620-2626 Ocean Avenue, San Francisco

- Labcorp Corp.
- Mystic Garden Florest
- Park Merced Hearing Center

Tenant: 170 Associated Road, South San Francisco

- United Brands, Inc.

Tenants: 8115 Elder Creek Road, Sacramento

- Friends in Jesus Ministries
- Rally Muffler
- Jim Parks
- Don Leong
- A&E Auto
- Unlimited Auto
- Salazar Tires
- Sherwin Berkfield
- Rodriquez Repair
- Phil Price

Form 700 Stuart R. Pollak Schedule A-2 Attachment

Regan Family Trust Investments in Stock

Acco Brands Corp.	office equipment	\$2,000-\$10,000	disposed 2/28/12
Altria Group	food products, tobacco	\$10,001-\$100,000	disposed 12/18/12
AT&T, Inc.	telecommunications	\$10,001-\$100,000	disposed 12/18/12
Baker Hughes	oil drilling	\$10,001-\$100,000	disposed 12/10/12
Beam, Inc.	tobacco	\$10,001-\$100,000	disposed 12/10/12
BP PLC	petroleum	\$100,001-\$1,000,000	disposed 12/10/12
Campbell Soup Co.	food products	\$10,001-\$100,000	disposed 12/18/12
Chevron Corp.	petroleum	\$100,001-\$1,000,000	disposed 12/18/12
Citigroup, Inc.	banking	\$2,000-\$10,000	disposed 4/17/12
DuPont de Nemours	chemicals	\$2,000-\$10,000	disposed 4/17/12
Exxon Mobil Corp.	petroleum	\$100,001-\$1,000,000	disposed 12/10/12
Fortune Brands Home & Security	life insurance	\$10,001-\$100,000	disposed 2/28/12
Hanesbrands, Inc.	grocery products	\$2,000-\$10,000	disposed 4/17/12
HSBC Holding	banking	\$10,001-\$100,000	disposed 1/13/12
Kraft Foods, Inc.	food products	\$10,001-\$100,000	disposed 10/17/12
Louisiana Pacific Corp.	timber	\$10,001-\$100,000	disposed 12/10/12
Marathon Oil Corp.	oil drilling	\$10,001-\$100,000	disposed 12/18/12
Marathon Petroleum Corp.	oil	\$2,000-\$10,000	disposed 12/18/12
Mattel, Inc.	toy manufacturer	\$2,000-\$10,000	disposed 4/17/12
McKesson Corp.	pharmaceutical, medical equip.	\$10,001-\$100,000	disposed 12/18/12
National Fuel Gas Co.	natural gas	\$10,001-\$100,000	disposed 12/10/12
Occidental Petroleum Corp. Cal.	oil drilling	\$10,001-\$100,000	disposed 12/18/12
Pepsico, Inc.	food products	\$10,001-\$100,000	disposed 12/18/12
Philip Morris, Intl.	Tobacco	\$10,001-\$100,000	disposed 12/18/12
Plum Creek Timber Co.	timber	\$100,001-1,000,000	disposed 12/18/12
Praxair, Inc.	industrial gasses, chemical	\$100,001-1,000,000	disposed 12/18/12
Safeway, Inc.	retail grocery	\$10,001-\$100,000	disposed 12/18/12
Sara Lee Corp.	bakery	\$10,001-\$100,000	disposed 6/29/12
Verizon Communication	regional telephone	\$2,000-\$10,000	disposed 12/18/12

Form 700 Stuart R. Pollak Schedule A-2 Attachment

Regan Family Trust Investments in Stock

Boston Scientific Corp.	medical equipment	\$2,000-\$10,000	disposed 3/1/12
Cavrium Networks, Inc.	communications	\$2,000-\$10,000	disposed 12/19/12
EMC Corp.	internet software	\$10,001-\$100,000	disposed 3/7/12
FEI Co.	computer hardware	\$100,000-\$1,000,000	disposed 3/7/12
Internap Network Services Corp.	internet connectivity	\$10,001-\$100,000	disposed 3/7/12
KBW, Inc.	insurance	\$2,000-\$10,000	disposed 3/7/12
Open Text Corp.	software	\$2,000-\$10,000	disposed 3/7/12
Promega Corp.	biotechnology-life support	\$10,001-\$100,000	disposed 4/16/12
Qualcomm, Inc.	global positioning	\$10,001-\$100,000	disposed 3/7/12
Salesforce.com, Inc.	custom management relationship services	\$100,001-\$1,000,000	disposed 12/19/12
Sierra Wireless, Inc.	wireless data modems	\$10,001-\$100,000	disposed 3/7/12
Tellabs, Inc.	telecommunications equip	\$10,001-\$100,000	disposed 3/7/12
WebMD Health Corp. (formerly HLTH Corp.)	medical information	\$2,000-\$10,000	disposed 3/7/12

Regan Family Trust Investments in Limited Partnerships

H & Q FTI Investors, LP	invest in Financial Technologies International (now GoldenSource Corp.)	\$10,001-\$100,000	disposed 3/2012
H & Q Seattle Systems Investors, LP	invest in Seattle Systems, Inc. (now Lumedx Corp.)	\$10,001-\$100,000	disposed 3/2012
H & Q Ultra Lucca Investors, LP	invest in A.G.Ferrari Foods, Inc.	\$2,000-\$10,000	disposed 3/2011
H & Q WB Investors, LP	invest in Sinogen International, Ltd.	\$10,001-\$100,000	disposed 8/28/12

Form 700 Stuart R. Pollak Schedule A-2 Attachment

Regan Family Trust - Investments in Limited Liability Companies

W.R. Hambrecht/Collab.Net, LLC	invest in Collabinet	\$2,000-\$10,000	disposed 12/19/12
W.R. Hambrecht/Trivium,LLC	invest in Trivium Systems, Inc.	\$2,000-\$10,000	disposed 12/19/12

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
Stuart R. Pollak

**1. BUSINESS ENTITY OR TRUST**

Name Regan Family Trust  
 Address (Business Address Acceptable) 2030 Broadway, S.F. 94115

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 10                          /     / 10  
 \$10,001 - \$100,000                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INVESTMENT  
 Sole Proprietorship     Partnership     \_\_\_\_\_ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

~~Stanger~~ Mercedes Benz of Pleasanton

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Name of Business Entity or Street Address or Assessor's Parcel Number of Real Property  
5877 Owens Dr. Pleasanton

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 10                      6 / 30 / 12  
 \$10,001 - \$100,000                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

**1. BUSINESS ENTITY OR TRUST**

Name \_\_\_\_\_  
 Address (Business Address Acceptable) \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 10                          /     / 10  
 \$10,001 - \$100,000                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INVESTMENT  
 Sole Proprietorship     Partnership     \_\_\_\_\_ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

\_\_\_\_\_

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT     REAL PROPERTY

Name of Business Entity or Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE                      IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000                          /     / 10                          /     / 10  
 \$10,001 - \$100,000                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining     Other \_\_\_\_\_

Check box if additional schedules reporting investments or real property are attached

SCHEDULE A-2

Investments, Income, and Assets  
of Business Entities/Trusts  
(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION  
Name Stuart R. Pollak

**1. BUSINESS ENTITY OR TRUST**

Name Harry Pollak Trust  
Address 2030 Broadway<sup>#6</sup>  
~~1500 Green St~~, SF, CA

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$10,001 - \$100,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$100,001 - \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 Over \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01

NATURE OF INVESTMENT  
 Sole Proprietorship     Partnership     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499       \$10,001 - \$100,000  
 \$500 - \$1,000       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (each a separate block if necessary)**

\_\_\_\_\_

\_\_\_\_\_

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT       REAL PROPERTY

See attached list

Name of Business Entity or  
Street Address or Assessor's Parcel Number of Real Property: \_\_\_\_\_

Description of Business Activity or  
City or Other Precise Location of Real Property: \_\_\_\_\_

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$10,001 - \$100,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$100,001 - \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 Over \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_  
Yrs. remaining \_\_\_\_\_

Other \_\_\_\_\_

**1. BUSINESS ENTITY OR TRUST**

Name \_\_\_\_\_

Address \_\_\_\_\_

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$10,001 - \$100,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$100,001 - \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 Over \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01

NATURE OF INVESTMENT  
 Sole Proprietorship     Partnership     \_\_\_\_\_ Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499       \$10,001 - \$100,000  
 \$500 - \$1,000       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (each a separate block if necessary)**

\_\_\_\_\_

\_\_\_\_\_

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT       REAL PROPERTY

Name of Business Entity or  
Street Address or Assessor's Parcel Number of Real Property: \_\_\_\_\_

Description of Business Activity or  
City or Other Precise Location of Real Property: \_\_\_\_\_

FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$10,001 - \$100,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 \$100,001 - \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01  
 Over \$1,000,000      \_\_\_\_\_/\_\_\_\_\_/01      \_\_\_\_\_/\_\_\_\_\_/01

NATURE OF INTEREST  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold \_\_\_\_\_  
Yrs. remaining \_\_\_\_\_

Other \_\_\_\_\_

Form 700 Stuart R. Pollak Schedule A-2 Attachment

Harry Pollak Trust Investments in Stock

Berkshire Hathaway Del	investments	\$10,001-\$100,000	disposed 3/2/12
CBS Corp.	broadcasting	\$10,001-\$100,000	disposed 3/2/12
Chevron Corp.	petroleum	\$100,001-\$1,000,000	disposed 3/2/12
Louisiana Pacific Corp.	timber	\$2,000-\$10,000	disposed 3/2/12
Plum Creek Timber Co.	timber	\$10,001-\$100,000	disposed 3/2/12
Sunoco, Inc.	petroleum	\$10,001-\$100,000	disposed 3/2/12
Viacom, Inc.	communications	\$10,001-\$100,000	disposed 3/2/12

SCHEDULE A-2

Investments, Income, and Assets  
of Business Entities/Trusts  
(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Stuart R. Pollock

**1. BUSINESS ENTITY OR TRUST**

Name Martin Arnette Labe Trust / Stark Revocable Trust  
Address 2030 Broadway #6, SF, CA

Check one  
 Trust go to 2  Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:	
<input type="checkbox"/> \$2,000 - \$10,000	<u>  </u> / <u>  </u> / <u>01</u>	<u>  </u> / <u>  </u> / <u>01</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INVESTMENT  
 Sole Proprietorship  Partnership  Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (attach a separate sheet if necessary)**

Alta Properties, LP  
727-737 South Los Angeles St., LA  
5877 Owens Dr., Pleasanton

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box  
 INVESTMENT  REAL PROPERTY held by Alta Properties, LP  
535 Taylor St.

Name of Business Entity or Street: Address of Assessor's Parcel Number of Real Property

SF  
Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:	
<input type="checkbox"/> \$2,000 - \$10,000	<u>  </u> / <u>  </u> / <u>01</u>	<u>  </u> / <u>  </u> / <u>01</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input checked="" type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold  Other

**1. BUSINESS ENTITY OR TRUST**

Name Labe / Stark trusts  
Address \_\_\_\_\_

Check one  
 Trust go to 2  Business Entity complete the box then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:	
<input type="checkbox"/> \$2,000 - \$10,000	<u>  </u> / <u>  </u> / <u>01</u>	<u>  </u> / <u>  </u> / <u>01</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INVESTMENT  
 Sole Proprietorship  Partnership  Other

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499  \$10,001 - \$100,000  
 \$500 - \$1,000  OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (attach a separate sheet if necessary)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box  
 INVESTMENT  REAL PROPERTY  
See attached list

Name of Business Entity or Street: Address of Assessor's Parcel Number of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:	
<input type="checkbox"/> \$2,000 - \$10,000	<u>  </u> / <u>  </u> / <u>01</u>	<u>  </u> / <u>  </u> / <u>01</u>
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST  
 Property Ownership/Deed of Trust  Stock  Partnership  
 Leasehold  Other

Form 700 Stuart R. Pollak Schedule A-2 Attachment

Labe/Stark Family Trusts Investments in Stocks

AT&T	regional telephone	\$10,001-\$100,000
Charles Schwab Corp.	securities brokerage	\$100,001-\$1,000,000
Honeywell International, Inc.	aerospace, fibers, auto	\$10,001-\$100,000
Thermo Fisher Scientific	engineering, ind. Production	\$100,001-\$1,000,000
Yahoo, Inc.	internet	\$10,001-\$100,000

- Continuation -  
Investments, Income and Assets  
of  
Business Entities/Trusts  
(Ownership Interest is 10% or Greater)

**CALIFORNIA**  
**1996/97 FORM 700**  
FAIR POLITICAL PRACTICES COMMIT.

Name  
*Stuart R. Pollak*

NAME OF BUSINESS ENTITY OR TRUST (From Previous Page)  
*John + Arnette Lohr Trust / Jack Rev Trust*

(cont.) Check one circle:  
 REAL PROPERTY  
Street address or precise location  
\_\_\_\_\_  
City  
\_\_\_\_\_

INVESTMENT  
Name of Business Entity  
*Alta Properties, L.P.*  
Description of Business Activity  
*Owns real property*

MARKET VALUE IF APPLICABLE, LIST DATE:  
\$1,000 - \$10,000 \_\_\_\_\_/\_\_\_\_\_/96  
\$10,001 - \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
Over \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
ACQUIRED DISPOSED

NATURE OF INVESTMENT  
 Property Ownership  Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  
 Other \_\_\_\_\_

(cont.) Check one circle:  
 REAL PROPERTY  
Street address or precise location  
*727-737 South Los Angeles St.*  
City  
*Los Angeles*

INVESTMENT  
Name of Business Entity  
\_\_\_\_\_  
Description of Business Activity  
\_\_\_\_\_

MARKET VALUE IF APPLICABLE, LIST DATE:  
\$1,000 - \$10,000 \_\_\_\_\_/\_\_\_\_\_/96  
\$10,001 - \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
Over \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
ACQUIRED DISPOSED

NATURE OF INVESTMENT  
 Property Ownership  Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  
 Other \_\_\_\_\_

4. (cont.) Check one circle:  
 REAL PROPERTY  
Street address or precise location  
*5877 Owens Dr.*  
City  
*Pleasanton*

INVESTMENT  
Name of Business Entity  
\_\_\_\_\_  
Description of Business Activity  
\_\_\_\_\_

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$1,000 - \$10,000 \_\_\_\_\_/\_\_\_\_\_/96  
 \$10,001 - \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
 Over \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
ACQUIRED DISPOSED

NATURE OF INVESTMENT  
 Property Ownership  Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  
 Other \_\_\_\_\_

4. (cont.) Check one circle:  
 REAL PROPERTY  
Street address or precise location  
\_\_\_\_\_  
City  
\_\_\_\_\_

INVESTMENT  
Name of Business Entity  
\_\_\_\_\_  
Description of Business Activity  
\_\_\_\_\_

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
 \$1,000 - \$10,000 \_\_\_\_\_/\_\_\_\_\_/96  
 \$10,001 - \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
 Over \$100,000 \_\_\_\_\_/\_\_\_\_\_/96  
ACQUIRED DISPOSED

NATURE OF INVESTMENT  
 Property Ownership  Deed of Trust  Stock  Partnership  
 Leasehold \_\_\_\_\_ Yrs. remaining  
 Other \_\_\_\_\_

**SCHEDULE B**  
Interests in Real Property

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name Stuart R. Pollak

STREET ADDRESS OR PRECISE LOCATION

5877 Owens Dr.

CITY

Pleasanton

FAIR MARKET VALUE

- \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:

1/01 6/30/12  
ACQUIRED DISPOSED

NATURE OF INTEREST

- Rental Property  Ownership/Deed of Trust  Easement

Leasehold \_\_\_\_\_  \_\_\_\_\_  
Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- \$0 - \$499  \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

Chang's, Inc.  
Mercedes Benz of Pleasanton

NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER

- Financial Institution  
 Other \_\_\_\_\_

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_ %  None \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD

- \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

Guarantor, if applicable

Check below if another loan is disclosed on Schedule D.

- Additional loan - refer to Sch. D.

STREET ADDRESS OR PRECISE LOCATION

173 Dipsea Rd.

CITY

Stinson Beach

FAIR MARKET VALUE

- \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:

1/01 1/01  
ACQUIRED DISPOSED

NATURE OF INTEREST

- Rental Property  Ownership/Deed of Trust  Easement

Leasehold \_\_\_\_\_  \_\_\_\_\_  
Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- \$0 - \$499  \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

NAME OF LENDER

GMAC Mortgage Corp.

ADDRESS

P.O. Box 780, Waterloo, IA 50704

BUSINESS ACTIVITY OF LENDER

- Financial Institution  
 Other \_\_\_\_\_

INTEREST RATE

TERM (Months/Years)

7.5 %  None 30 yrs.

HIGHEST BALANCE DURING REPORTING PERIOD

- \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

Guarantor, if applicable

Check below if another loan is disclosed on Schedule D.

- Additional loan - refer to Sch. D.

Comments: \_\_\_\_\_

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

Name  
*Stuart R. Pollak*

STREET ADDRESS OR PRECISE LOCATION  
*1048 Martis Landing*

CITY  
*Northstar-at-Tahoe, Truckee*

FAIR MARKET VALUE  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:  
 ACQUIRED 1/1/09 DISPOSED 12/20/12

NATURE OF INTEREST  
 Ownership/Deed of Trust (*1/2 interest*)  
 Easement  
 Leasehold \_\_\_\_\_ Yrs. remaining \_\_\_\_\_ Other \_\_\_\_\_

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

STREET ADDRESS OR PRECISE LOCATION  
*727-737 South Los Angeles St*

CITY  
*Los Angeles*

FAIR MARKET VALUE  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:  
 ACQUIRED 1/1/09 DISPOSED 1/1/09

NATURE OF INTEREST  
 Ownership/Deed of Trust  
 Easement  
 Leasehold \_\_\_\_\_ Yrs. remaining \_\_\_\_\_ Other \_\_\_\_\_

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
*Roger Stuart Clothes, Inc.; Eshan Yaghoubi dba  
Millenium II; Anwar & Facid Enriquez dba Dona Inez*

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE \_\_\_\_\_ %     None    TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000  
 Guarantor, if applicable

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE \_\_\_\_\_ %     None    TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000  
 Guarantor, if applicable

Comments: \_\_\_\_\_

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

Name  
Stuart R. Pollak

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
5501 Antique Rose Way

CITY  
Riverbank, CA

FAIR MARKET VALUE  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:  
7/1/12     /    /12  
 ACQUIRED DISPOSED

NATURE OF INTEREST  
 Ownership/Deed of Trust     Easement  
 Leasehold \_\_\_\_\_ Yrs. remaining     \_\_\_\_\_ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None  
Knowledge Universe Education, LLC

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
2620-2626 Ocean Ave.

CITY  
San Francisco

FAIR MARKET VALUE  
 \$2,000 - \$10,000  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

IF APPLICABLE, LIST DATE:  
1/29/12     /    /12  
 ACQUIRED DISPOSED

NATURE OF INTEREST  
 Ownership/Deed of Trust     Easement  
 Leasehold \_\_\_\_\_ Yrs. remaining     \_\_\_\_\_ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499     \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None  
Labcorp Corp.  
Mystic Garden Florist  
Park Merced Hearing Center

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

INTEREST RATE \_\_\_\_\_ %     None    TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000  
 Guarantor, if applicable

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

INTEREST RATE \_\_\_\_\_ %     None    TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000     \$1,001 - \$10,000  
 \$10,001 - \$100,000     OVER \$100,000  
 Guarantor, if applicable

Comments: \_\_\_\_\_

**SCHEDULE C**  
**Income, Loans & Business**  
**Positions**  
 (Other than Gifts and Travel Payments)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
Stuart R Pollak

**1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME  
Lee Pollak - therapy clients

ADDRESS  
2030 Broadway, SF

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Grief counseling

YOUR BUSINESS POSITION  
Wife - therapist & related

GROSS INCOME RECEIVED *work for Bay Area Jewish Healing Center*

\$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary     Spouse's or registered domestic partner's income  
 Loan repayment  
 Sale of \_\_\_\_\_  
(Property, car, boat, etc.)  
 Commission or  Rental Income, list each source of \$10,000 or more  
 \_\_\_\_\_  
 Other \_\_\_\_\_  
(Describe)

**1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

\$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary     Spouse's or registered domestic partner's income  
 Loan repayment  
 Sale of \_\_\_\_\_  
(Property, car, boat, etc.)  
 Commission or  Rental Income, list each source of \$10,000 or more  
 \_\_\_\_\_  
 Other \_\_\_\_\_  
(Describe)

**2. LOAN RECEIVED**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000  
 \$1,001 - \$10,000  
 \$10,001 - \$100,000  
 OVER \$100,000

INTEREST RATE      TERM (Months/Years)

\_\_\_\_\_ %     None

SECURITY FOR LOAN

None       Personal residence

Real Property \_\_\_\_\_  
Street address  
 \_\_\_\_\_  
City

Guarantor \_\_\_\_\_

Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_