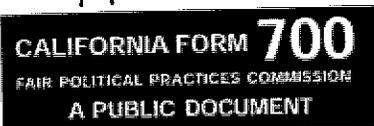


AN

GZ



STATEMENT OF ECONOMIC INTERESTS

RECEIVED BY CLERK OF SUPERIOR COURT LOS ANGELES COUNTY

COVER PAGE

2013 FEB 05 AM 11:40

2013 FEB 05 PM 1:00

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Otto James Daniel

1. Office, Agency, or Court

Agency Name
Superior Court of CA, County of Los Angeles
Division, Board, Department, District, if applicable
Your Position
Judge

If filing for multiple positions, list below or on an attachment.

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State
Multi-County
City of
Judge or Court Commissioner (Statewide Jurisdiction)
County of
Other

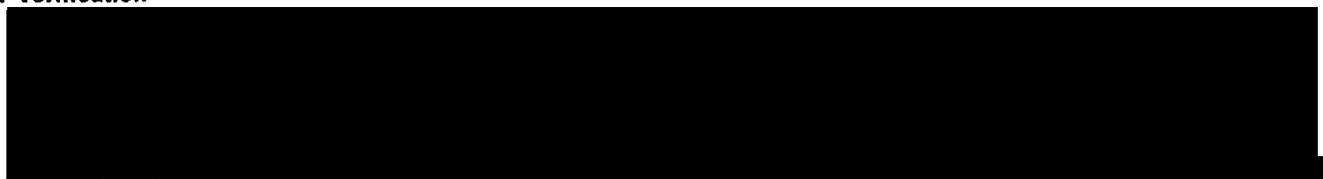
3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2012, through December 31, 2012.
-or-
The period covered is through December 31, 2012.
Assuming Office: Date assumed
Candidate: Election year and office sought, if different than Part 1:
Leaving Office: Date Left
The period covered is January 1, 2012, through the date of leaving office.
The period covered is through the date of leaving office.

4. Schedule Summary

- Check applicable schedules or "None."
Total number of pages including this cover page: 22
Schedule A-1 - Investments - schedule attached
Schedule A-2 - Investments - schedule attached
Schedule B - Real Property - schedule attached
Schedule C - Income, Loans, & Business Positions - schedule attached
Schedule D - Income - Gifts - schedule attached
Schedule E - Income - Gifts - Travel Payments - schedule attached
None - No reportable interests on any schedule

5. Verification



I have used all reasonable diligence in preparing this statement. I have reviewed herein and in any attached schedules is true and complete. I acknowledge that I certify under penalty of perjury under the laws of the State of California

Date Signed 02/13/2013
(month, day, year)
Sig

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

<p><b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <b>James Daniel Otto</b></p>
--

▶ NAME OF BUSINESS ENTITY  
**American Capital Agency Corp.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Residential REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Common**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12 / 18 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Cedar Realty TR Inc SER B**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Retail Property REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 20 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Popular Capital Trust I Cumulative Monthly**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Foreign Banking**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 06 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Calumet Specialty Products Partners**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Oil and Gas**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Common**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 27 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Popular Capital Trust I Cumulative Monthly**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Foreign Banking**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 20 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Comcast Corp. Class A**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Communications/Commercial**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
02 / 04 / 12           /      / 12  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**  
**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
*Do not attach brokerage or financial statements.*

<b>CALIFORNIA FORM 700</b> <small>FAIR POLITICAL PRACTICES COMMISSION</small> Name <b>James Daniel Otto</b>
--

▶ **NAME OF BUSINESS ENTITY**  
**COMMONWEALTH REIT**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**OFFICE REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
04 / 19 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**EPR PROPERTIES PFD CONVERTIBLE SER**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Retail Property REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 19 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**COMMONWEALTH REIT**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**OFFICE REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
06 / 22 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**HOME DEPOT INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**HOME IMPROVEMENT STORES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Common**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**DISNEY WALT COMPANY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**ENTERTAINMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N V PERPETUAL HYBRID CAP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Preferred**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 03 / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

James Daniel Otto

▶ NAME OF BUSINESS ENTITY  
**ING GROEP N V PERPETUAL HYBRID CAP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
06 / 22 / 12           /     / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INVESCO MORTGAGE CAPITAL INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**MORTGAGE INVESTMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
07 / 20 / 12           /     / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INVESCO MORTGAGE CAPITAL INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**MORTGAGE INVESTMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
06 / 22 / 12           /     / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INVESCO MORTGAGE CAPITAL INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**MORTGAGE INVESTMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **Common**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 23 / 12           /     / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INVESCO MORTGAGE CAPITAL INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**MORTGAGE INVESTMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
07 / 16 / 12           /     / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**INVESCO MORTGAGE CAPITAL INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**MORTGAGE INVESTMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
09 / 19 / 12           /     / 12  
 ACQUIRED                  DISPOSED

Comments:



**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

<p><b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <b>James Daniel Otto</b></p>
--

▶ NAME OF BUSINESS ENTITY  
**NOVO NORDISK AS ADR**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN DRUG MANUFACTURING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**JC PENNEY PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL DEPARTMENT STORES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 20 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**NORTHSTAR REALTY FINANCE CORP.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 07 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**ROYAL BANK OF SCOTLAND GROUP PLC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 19 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**JC PENNEY PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL DEPARTMENT STORES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
07 / 16 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SANTANDER FINANCE PFD SA**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 07 / 12           /     / 12  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

<p><b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <b>James Daniel Otto</b></p>
--

▶ **NAME OF BUSINESS ENTITY**  
**SYNGENTA AG SPONSORED ADR**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN AGRICULTURE CHEMICALS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12          /     / 12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**TWO HARBORS INVESTMENT CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
09 / 19 / 12          /     / 12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**TWO HARBORS INVESTMENT CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 15 / 12          /     / 12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**TWO HARBORS INVESTMENT CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 01 / 12          /     / 12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**TWO HARBORS INVESTMENT CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 23 / 12          /     / 12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**APPLE INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**PERSONAL COMPUTERS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000      \$10,001 - \$100,000  
 \$100,001 - \$1,000,000      Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock      Other  
(Describe)  
 Partnership      Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12     10 / 31 / 12  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

Name  
**James Daniel Otto**

▶ NAME OF BUSINESS ENTITY  
**FLEET CAPITAL TRUST VIII**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING & FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      02 / 15 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AREGON NV PERPETUAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 24 / 12      08 / 06 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**FLEET CAPITAL TRUST VIII**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING & FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      02 / 15 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AEGON NV PERPETUAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      07 / 13 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**FLEET CAPITAL TRUST IX**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AREGON NV PERPETUAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      07 / 13 / 12  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
**James Daniel Otto**

▶ NAME OF BUSINESS ENTITY  
**AMERICAN CAPITAL AGENCY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 15 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AMERICAN CAPITAL AGENCY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 01 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AMERICAN CAPITAL AGENCY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 23 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**ASHFORD HOSPITALITY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**HOSPITALITY REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 12      02 / 16 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AMERICAN CAPITAL AGENCY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RESIDENTIAL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
09 / 19 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**ASHFORD HOSPITALITY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**HOSPITALITY REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 12      03 / 01 / 12  
 ACQUIRED      DISPOSED

Comments:

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
**James Daniel Otto**

▶ NAME OF BUSINESS ENTITY  
**AMAZON.COM INC.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**CATALOG MAIL/INTERNET ORDER SERVICE**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 29 / 12      11 / 07 / 12  
 ACQUIRED                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP DEP SHS**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      02 / 03 / 12  
 ACQUIRED                          DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED                          DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP DEP SHS**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      02 / 03 / 12  
 ACQUIRED                          DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
05 / 04 / 12      08 / 24 / 12  
 ACQUIRED                          DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      03 / 26 / 12  
 ACQUIRED                          DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
**James Daniel Otto**

▶ NAME OF BUSINESS ENTITY  
**CITIGROUP CAPITAL XVII**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING/MONEY CENTER**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING/MONEY CENTER**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**CHEVRON CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**OIL AND GAS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 08 / 29 / 12      11 / 20 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BARCLAYS BANK PLC PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      03 / 16 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BARCLAYS BANK PLC PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 01 / 11 / 12      03 / 16 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**BANK AMERICA CORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 02 / 16 / 12      03 / 26 / 12  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
**James Daniel Otto**

▶ NAME OF BUSINESS ENTITY  
**COMMONWEALTH REIT**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**OFFICE REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**DEUTSCHE BANK CAPITAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 04 / 09 / 12      09 / 14 / 12  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**DEUTSCHE BANK**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 01 / 11 / 12      02 / 02 / 12  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**EXPRESS SCRIPTS HOLDING**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**HEALTH CARE PLANS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 08 / 27 / 12      11 / 06 / 12  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**DEUTSCHE BANK CAPITAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED                                  DISPOSED

▶ NAME OF BUSINESS ENTITY  
**FIRST INDUSTRIAL REALTY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 05 / 24 / 12      08 / 24 / 12  
 ACQUIRED                                  DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**

**Investments**

**Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

James Daniel Otto

▶ **NAME OF BUSINESS ENTITY**  
**GILMCHER REALTY TRUST**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**RETAIL REIT**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 / / 12      04 / 18 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**GOLDMAN SACHS PDF NON**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**FINANCIAL/INVESTMENT SERVICES**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 08 / 07 / 12      11 / 20 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**GILMCHER REALTY TRUST**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**RETAIL REIT**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 01 / 11 / 12      04 / 18 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**HSBC HOLDINGS PLC**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**FOREIGN BANKING**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 / / 12      02 / 23 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**GOLDMAN SACHS GROUP INC.**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**FINANCIAL/ INVESTMENT SERVICES**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 08 / 07 / 12      12 / 26 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**HOSPITALITY PROPERTIES**

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
**RETAIL REIT**

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT** **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 / / 12      01 / 11 / 12  
 ACQUIRED      DISPOSED

Comments:

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
**James Daniel Otto**

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP NV**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      02 / 03 / 12  
 ACQUIRED                                  DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N.V.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      09 / 28 / 12  
 ACQUIRED                                  DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N.V.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      02 / 03 / 12  
 ACQUIRED                                  DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N.V.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
02 / 10 / 12      08 / 10 / 12  
 ACQUIRED                                  DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N.V.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FORGEIN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      09 / 28 / 12  
 ACQUIRED                                  DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**ING GROEP N.V.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**FOREIGN FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED                                  DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<p><b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION</p> <p>Name <u>James Daniel Otto</u></p>
--

▶ NAME OF BUSINESS ENTITY  
**KCAP FINANCIAL INC.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**DIVERSIFIED INVESTMENTS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 01 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**LEXINGTON REALTY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      05 / 03 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**LEXINGTON REALTY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
     /    / 12      05 / 03 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**LEXINGTON REALTY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
01 / 11 / 12      05 / 10 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**LEXINGTON REALTY TRUST**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 08 / 12      05 / 02 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**ALTRIA GROUP INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**CIGARETTES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 27 / 12      11 / 07 / 12  
 ACQUIRED      DISPOSED

Comments:

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

James Daniel Otto

▶ **NAME OF BUSINESS ENTITY**  
**MORGAN STANLEY CAPITAL.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**DIVERSIFIED INVESTMENTS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      01 / 11 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**SUNSTONE HOTEL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**HOTEL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      06 / 21 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**NORTHSTAR REALTY FINANCIAL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 02 / 10 / 12      10 / 01 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**SUNSTONE HOTEL**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**HOTEL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 01 / 11 / 12      06 / 21 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**SANTANDER FINANCE PFD**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**FOREIGN BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 10 / 19 / 12      12 / 17 / 12  
 ACQUIRED      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
**AT&T INC**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**TELECOMMUNICATIONS**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 10 / 01 / 12      11 / 06 / 12  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**

**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

James Daniel Otto

▶ NAME OF BUSINESS ENTITY  
**TRIANGLE CAPITAL CORP.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**ASSET MANAGEMENT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 01 / 12      11 / 23 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**ZIONS BANCORPORATION**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 07 / 12      11 / 20 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**TJX COMPANIES INC NEW**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**DEPARTMENT STORES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
08 / 27 / 12      10 / 31 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**AMERICAN SPECTRUM REALTY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **partnership points convert/st**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**WEINGARTEN REALTY**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**RETAIL REIT**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **PREFERRED STOCK**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
03 / 29 / 12      04 / 09 / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**PACWEST BANCORP**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**BANKING ANND FINANCIAL SERVICES**

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT **COMMON STOCK**  
 Stock       Other  
 (Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

Comments:

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <b>James Daniel Otto</b>
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▶ **NAME OF BUSINESS ENTITY**  
BehringerHarvard MultiFamily Reit I, Inc.

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Residential Real Estate/ REIT

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
PACWEST BANCORP

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Banking and Financial Services

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
BehringerHarvard Opportunity Reit II

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Residential Real Estate/ REIT

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
SIBONEY CORPORATION

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Banking & Financial Services

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
Resource Real Estate Opportunity REIT

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Residential Real Estate/ REIT

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 03/20/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

▶ **NAME OF BUSINESS ENTITY**  
Money Fund GVI

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
Money Market Account

**FAIR MARKET VALUE**  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

**NATURE OF INVESTMENT**  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership       Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**  
 \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

Name  
**James Daniel Otto**

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
**448170043-7**

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CITY  
**Hemet, CA**

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FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/12      \_\_\_\_\_/\_\_\_\_\_/12  
 \$10,001 - \$100,000      ACQUIRED      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INTEREST  
 Ownership/Deed of Trust       Easement  
 Leasehold \_\_\_\_\_       \_\_\_\_\_  
Yrs. remaining      Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499       \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

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▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS  
**1406 Stone Ridge**

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CITY  
**Taylor, Texas**

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FAIR MARKET VALUE      IF APPLICABLE, LIST DATE:  
 \$2,000 - \$10,000      \_\_\_\_\_/\_\_\_\_\_/12      10/31/12  
 \$10,001 - \$100,000      ACQUIRED      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

NATURE OF INTEREST  
 Ownership/Deed of Trust       Easement  
 Leasehold \_\_\_\_\_       Spouse's Ben. Int. \_\_\_\_\_  
Yrs. remaining      Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
 \$0 - \$499       \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 None

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\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

INTEREST RATE      TERM (Months/Years)  
 \_\_\_\_\_%       None

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

Guarantor, if applicable

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

INTEREST RATE      TERM (Months/Years)  
 \_\_\_\_\_%       None

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

Guarantor, if applicable

Comments: \_\_\_\_\_







2014 MAR 10 Stocks, Bonds, and Other Interests  
 (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

▶ NAME OF BUSINESS ENTITY  
**FELCOR LODGING TRUST**  
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**REAL ESTATE REIT**  
 FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000  
 NATURE OF INVESTMENT  
 Stock       Other **PREFERRED**  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)  
 IF APPLICABLE, LIST DATE:  
11 / 20 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SOUTHERN CAL EDISON**  
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**UTILITY**  
 FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000  
 NATURE OF INVESTMENT  
 Stock       Other **PREFERRED**  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)  
 IF APPLICABLE, LIST DATE:  
10 / 31 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SPDR GOLD TRUST**  
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**COMMODITIES/GOLD**  
 FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000  
 NATURE OF INVESTMENT  
 Stock       Other **ETF SHARES**  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)  
 IF APPLICABLE, LIST DATE:  
11 / 23 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SAN DIEGO GAS & ELECTRIC**  
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**UTILITY**  
 FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000  
 NATURE OF INVESTMENT  
 Stock       Other **PREFERRED**  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)  
 IF APPLICABLE, LIST DATE:  
10 / 19 / 12           /     / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**SPDR GOLD TRUST**  
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**COMMODITIES/GOLD**  
 FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000  
 NATURE OF INVESTMENT  
 Stock       Other **ETF SHARES**  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)  
 IF APPLICABLE, LIST DATE:  
12 / 26 / 12           /     / 12  
 ACQUIRED                      DISPOSED

**Filer's Verification**

Print Name JAMES DANIEL OTTO

Office, Agency or Court Superior Court of CA., County of L.A.

Statement Type     2012/2013 Annual     Assuming     Leaving  
 2012 Annual       Candidate  
(??)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 02-20-2014

Filer

Comments: \_\_\_\_\_

**SCHEDULE A-1  
Investments**

**Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)**

*Do not attach brokerage or financial statements.*

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

**AMENDMENT**

▶ NAME OF BUSINESS ENTITY  
AMERICAN SPECTRUM REALTY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
REAL ESTATE REIT

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other PARTNERSHIP POINTS  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
     /      / 12      01 / 01 / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
     /      / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11 / 23 / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
     /      / 12           /      / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
 \$2,000 - \$10,000       \$10,001 - \$100,000  
 \$100,001 - \$1,000,000       Over \$1,000,000

NATURE OF INVESTMENT  
 Stock       Other \_\_\_\_\_  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
     /      / 12           /      / 12  
 ACQUIRED                      DISPOSED

**Filer's Verification**

Print Name JAMES DANIEL OTTO

Office, Agency or Court Superior Court of CA., County of L.A.

Statement Type     2012/2013 Annual     Assuming     Leaving  
 2012 Annual     Candidate  
(Yr)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 02-20-2014

Filer's Signature \_\_\_\_\_

Comments: Inadvertently omitted from original form

**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
(Other than Gifts and Travel Payments)

**▶ 1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME  
RE-ELECT JUDGE OTTO 2012

ADDRESS (Business Address Acceptable)  
3700 WILSHIRE BLVD., STE 1050B, LA, CA, 90010

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
RE-ELECTION CAMPAIGN COMMITTEE

YOUR BUSINESS POSITION  
CANDIDATE

GROSS INCOME RECEIVED  
 \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary     Spouse's or registered domestic partner's income  
 Loan repayment     Partnership  
 Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)  
 Commission or     Rental Income, list each source of \$10,000 or more  
\_\_\_\_\_  
 Other \_\_\_\_\_  
(Describe)

**▶ 1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME  
\_\_\_\_\_

ADDRESS (Business Address Acceptable)  
\_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
\_\_\_\_\_

YOUR BUSINESS POSITION  
\_\_\_\_\_

GROSS INCOME RECEIVED  
 \$500 - \$1,000       \$1,001 - \$10,000  
 \$10,001 - \$100,000       OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary     Spouse's or registered domestic partner's income  
 Loan repayment     Partnership  
 Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)  
 Commission or     Rental Income, list each source of \$10,000 or more  
\_\_\_\_\_  
 Other \_\_\_\_\_  
(Describe)

**Comments:** LOAN RE-PAYMENT REPORTED ON FORM 460 ON 7/19/12, INADVERTANTLY OMITTED FROM 700

**▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____ ADDRESS (Business Address Acceptable) _____ BUSINESS ACTIVITY, IF ANY, OF LENDER _____	INTEREST RATE _____% <input type="checkbox"/> None	TERM (Months/Years) _____
HIGHEST BALANCE DURING REPORTING PERIOD <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000	SECURITY FOR LOAN <input type="checkbox"/> None <input type="checkbox"/> Personal residence <input type="checkbox"/> Real Property _____ <small>Street address</small> _____ <small>City</small> <input type="checkbox"/> Guarantor _____ <input type="checkbox"/> Other _____ <small>(Describe)</small>	

**Filer's Verification**

Print Name JAMES DANIEL OTTO      Office, Agency or Court Superior Court of CA., County of L.A.>

Statement Type     2012/2013 Annual     2012 Annual     Assuming     Leaving     Candidate  
(yr)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.  
I certify under penalty of perjury under the laws of the State of California

Date Signed 02/20/2014      Filer's \_\_\_\_\_  
(month, day, year)