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CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) BERGERON (FIRST) JOSEPH (MIDDLE) EUGENE

1. Office, Agency, or Court

Agency Name
Superior Court of California, County of San Mateo
Your Position
JUDGE

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of _____
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of _____
- Other _____

3. Type of Statement (Check at least one box)

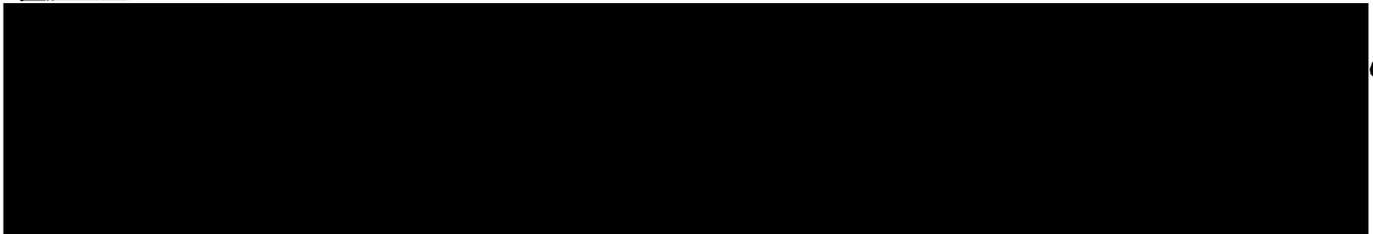
- Annual: The period covered is January 1, 2012, through December 31, 2012.
- or-
- The period covered is _____, through December 31, 2012.
- Assuming Office: Date assumed _____
- Leaving Office: Date Left _____ (Check one)
- The period covered is January 1, 2012, through the date of leaving office.
- The period covered is _____ through the date of leaving office.
- Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: ~~13~~ 19 JPB

- Schedule A-1 - Investments - schedule attached
- Schedule A-2 - Investments - schedule attached
- Schedule B - Real Property - schedule attached
- Schedule C - Income, Loans, & Business Positions - schedule attached
- Schedule D - Income - Gifts - schedule attached
- Schedule E - Income - Gifts - Travel Payments - schedule attached
- or-
- None - No reportable interests on any schedule



063

herein and in any attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed Jo Feb 26, 2013
(month, day, year)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name
Bergeron

▶ NAME OF BUSINESS ENTITY
APPLE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/3/12 12/12/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ABBOTT LABS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharma

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/3/12 10/18/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALEXION PHARMA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharma

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/16/12 _____/_____/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALLERGAN

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MEDICAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/13/12 5/18/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AMERICAN INTERNATIONAL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCIAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/27/12 5/07/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AMERICAN TOWER

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CELL TOWERS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/15/12 10/22/12
 ACQUIRED DISPOSED

Comments:

Disposed of Pa 1-11 (1)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
BERGERON

▶ NAME OF BUSINESS ENTITY
ARCENA RETAIL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
RETAIL CLOTHING

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/8/12 7/26/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALKERMES PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/10/12 _____/_____/12
 ACQUIRED DISPOSED

STOCK OPEN

▶ NAME OF BUSINESS ENTITY
AMERICAN ELECTRIC POW

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
UTILITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/2/12 11/12/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AM CAPITAL AG (AGUK)

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/31/12 12/19/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AMERICAN REALTY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
REAL ESTATE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/13/12 10/16/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CA INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
SOFTWARE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/1/12 5/18/12
 ACQUIRED DISPOSED

Comments: _____

3
②

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name BERGERON

NAME OF BUSINESS ENTITY REMOTEMDK
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY SECURITY OPEN
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
2 / 3 / 12 12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY PERMANIAN BASIN
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY Oil
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
2 / 10 / 12 2 / 15 / 12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY LINN
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY ENERGY
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
2 / 10 / 12 1 / 12 / 12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY ENERGY TRANSFER
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY PIPELINE
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
8 / 10 / 12 5 / 15 / 12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY EATON
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY MFC
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
12 / 8 / 12 7 / 12 / 12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY SOUTHERN CO.
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY UTILITY
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
6 / 28 / 12 3 / 30 / 12
 ACQUIRED DISPOSED

Comments:

A
 (3)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name BERGERON

▶ NAME OF BUSINESS ENTITY
CALUMET SPECIALTY
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
GAS + OIL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/3/12 5/8/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CME Group
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 12/20/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CSLGENE
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/23/12 1/30/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CHESAPEAKE ENERGY
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
GAS + OIL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/23/12 1/30/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CONOCO Phillips
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/2/12 3/6/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DISH NETWORK
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ENTERTAINMENT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 12/31/12
 ACQUIRED DISPOSED

Comments: _____

5
 4

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name: BERGETON

NAME OF BUSINESS ENTITY: DICKS SPORTING
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: SPORTING GOODS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/6/12 12/7/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: DEVON ENERGY
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: OIL GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/1/12 3/6/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: EATON CORP
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: MFG

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/1/12 7/20/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: IBM
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/24/12 6/12/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: INVENSSENSE INC
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/12/12 3/29/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: Lockheed
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY: AERO SPACE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/19/12 12/26/12
 ACQUIRED DISPOSED

Comments:

5/16

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name BERGERON

NAME OF BUSINESS ENTITY
WINDSTREAM
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ENERGY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/7/11 5/10/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
PHILLIP MORRIS
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TOBACCO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9/21/11 1/6/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
AIRGAS
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
ENERGY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/2/10 10/15/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
LEAR
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AIRCRAFT

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 12/31/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
LIUN ENERGY
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/9/12 5/17/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
ELI LILLY
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/12 _____/_____/12
 ACQUIRED DISPOSED

Comments:

7
 6

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name BERGERON

NAME OF BUSINESS ENTITY FFIVE
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY TECH
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
9/24/12 10/10/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY GAP STORE
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY CLOTHING
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
11/29/12 12/4/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY LIMITED BRANDS
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY CLOTHING
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
12/17/12 12/12/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY ANNALY CAPITAL
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY FINANCE
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
4/3/12 10/15/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY MERCK + CO
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY PhARMA
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
1/24/12 11/12/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY MMM
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY MFG
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE
1/12/12 1/23/12
 ACQUIRED DISPOSED

Comments:

8
7

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name BERGERON

NAME OF BUSINESS ENTITY
PANERA BREAD
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FOOD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/12/12 5/17/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
PPG INDUSTRIES
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
MFG

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
12/12/11 1/23/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
QUALCOMM
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/19/12 5/19/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
SOLAR CAPITAL
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/5/11 4/18/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
SERETA THERAPEUTICS
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharma

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/2/12 7/20/12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
VODAFONE
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TELECOMMUNICATIONS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/3/12 2/27/12
 ACQUIRED DISPOSED

Comments:

9
8

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name BERGERON

▶ NAME OF BUSINESS ENTITY
Phillip Van Husen

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CLOTHING

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/28/12 12/5/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
XEROX

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/12/12 7/26/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WATSON PHARMAS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/26/12 5/21/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SARA LEE / HILLSHIRE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FOOD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/13/12 8/27/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SCOTT MIRACLE Grow

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fertilizer

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
5/10/12 6/8/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
STARBUCKS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
COFFEE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/12/12 5/29/12
 ACQUIRED DISPOSED

Comments: _____

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SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name BERGSDORF

▶ NAME OF BUSINESS ENTITY
SEAGATE
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
4/18/12 5/18/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
TOTAL SA
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
4/2/12 4/3/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
TIBEX
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
3/30/12 6/5/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
TRONX
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
11/29/12 12/24/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WASTE MANAGEMENT
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TRASH RECYCLE
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
4/8/12 5/8/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WILLIAMS PARTNERS
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
INVESTMENTS REAL ESTATE
 FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000
 NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)
 IF APPLICABLE, LIST DATE:
7/30/12 11/13/12
 ACQUIRED DISPOSED

Comments: _____

11
 10

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name BERGERON

▶ NAME OF BUSINESS ENTITY
ALEXION

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/26/12 3/26/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
RAYtheon

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 12/15/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/12 ____/____/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/12 ____/____/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/12 ____/____/12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
GLENBOROUGH ELLSWORTH LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
REAL ESTATE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/5/12 ____/____/12
 ACQUIRED DISPOSED

Comments: _____

12
 (11)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

Name BERGERON

▶ NAME OF BUSINESS ENTITY
ALTRIA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TOBACCO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/18/12 / / 12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ATT

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
COMMUNICATIONS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/12/12 / / 12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALKERMES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/18/12 / / 12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
B AND G FOODS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FOOD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/28/12 / / 12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BRISTOL MEYERS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PHARMA

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
9/30/12 / / 12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CYNOTECH

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
RESEARCH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/16/12 / / 12
 ACQUIRED DISPOSED

Comments: _____

13

CARRIED OVER Pg 12-14 (12)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name BERGERON

▶ NAME OF BUSINESS ENTITY
CEDAR FAIR

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AMUSEMENT PARKS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/18/11 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CONSOLIDATED EDISON

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
UTILITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/3/11 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CLEAN HARBORS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
WASTE CLEANUP

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/1/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DUKE ENERGY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
UTILITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
7/13/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DUNKIN

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAST FOOD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/30/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
EBAY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/30/12 / /12
 ACQUIRED DISPOSED

Comments: _____

14
13

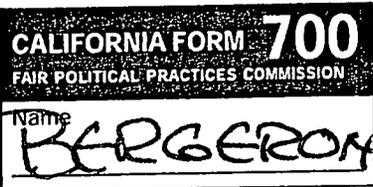
SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.



NAME OF BUSINESS ENTITY
HEALTHCARE TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
HEALTHCARE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/20/12 / /12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
KRAFT

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FOODS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/27/12 / /12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
LNCO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PIPELINES

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/17/12 / /12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
KINDERMARTIN

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
PIPELINES

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10/24/12 / /12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
MICROSOFT

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
5/31/12 / /12
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
MONDELEZ

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FOOD

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/5/12 / /12
 ACQUIRED DISPOSED

Comments: _____

15
 14

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name BETCOEROX

▶ NAME OF BUSINESS ENTITY
ORIELLY AUTO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
AUTO PARTS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
RENETECH

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FERTILIZER

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
8/6/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
PS BUSINESS PARK

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
STORAGE RENTAL

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/5/09 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
REMOTEMDX

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
SECURITY

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/2/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
POLYONE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CHEMICALS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/12/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SBA COMMUNICATIONS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CELL TOWERS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
4/5/12 / /12
 ACQUIRED DISPOSED

Comments: _____

16
15

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.



Name BERGERON

▶ NAME OF BUSINESS ENTITY
SEADRILL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL + GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/28/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SAUBRIDGE MISSISSIPPIAN TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
OIL + GAS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/24/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
VERIZON

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TELECOM

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/19/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WR BERKELEY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FINANCE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/29/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
YAHOO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
TECH

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
11/26/12 / /12
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CLYSDALE PARTNERS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
INVESTMENTS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
3/3/05 / /12
 ACQUIRED DISPOSED

Comments: _____

17
 16

SCHEDULE D
Income - Gifts

▶ NAME OF SOURCE (Not an Acronym)
SAN MATEO COUNTY BAR ASSN
 ADDRESS (Business Address Acceptable)
333 BRADFORD ST Redwood City CA
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
BAR ASSN

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>2, 3, 12</u>	<u>\$ 50</u>	<u>FOOD INSTALLATIO</u>
<u>6, 7, 12</u>	<u>\$ 50</u>	<u>FOOD JUDGE DINNER</u>
<u>9, 12, 12</u>	<u>\$ 30</u>	<u>FOOD JUDGES LUNCH</u>

▶ NAME OF SOURCE (Not an Acronym)
SAN MATEO COUNTY TRIAL LAWYERS
 ADDRESS (Business Address Acceptable)
PO BOX 752 SAN CARLOS CA
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
TRIAL LAWYERS

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11, 7, 12</u>	<u>\$ 75</u>	<u>DINNER</u>
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
THOMAS PETERSON
 ADDRESS (Business Address Acceptable)
780 WEST GRAND AVE #200
 BUSINESS ACTIVITY, IF ANY, OF SOURCE OAKLAND CAL
REAL ESTATE DEVELOPER

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6, 10, 12</u>	<u>\$ 200</u>	<u>GROUND LEVEL BOX SEAT AT OAKLAND A's GAME</u>
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>
<u> </u>	<u>\$</u>	<u> </u>

Comments: _____