



RECEIVED
FAIR POLITICAL PRACTICES COMMISSION
STATEMENT OF ECONOMIC INTERESTS

2013 MAR - 1 PM 5:06
COVER PAGE

MAR - 1 2013

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Please type or print in ink

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Rubio Michael J

1. Office, Agency, or Court

Agency Name
California State Senata
Division Board Department District if applicable Your Position
Senator, 16th District

► If filing for multiple positions, list below or on an attachment.

Agency _____ Position _____

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
- Multi-County _____ County of _____
- City of _____ Other _____

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2012 through December 31, 2012
- or-
- Leaving Office: Date Left _____
The period covered is January 1, 2012 through the date of leaving office
- Assuming Office: Date assumed _____
The period covered is _____ through the date of leaving office
- Candidate: Election year _____ and office sought (if different than Part 1) _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 6

- Schedule A-1 - Investments - schedule attached
- Schedule A-2 - Investments - schedule attached
- Schedule B - Real Property - schedule attached
- Schedule C - Income: Loans, & Business Positions - schedule attached
- Schedule D - Income - Gifts - schedule attached
- Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-
 None - No reportable interests on any schedule

5. Verification



Date Signed 3/1/13 Signature _____

Form 700x Comments

Schedules A-1 through E Comments



Name

Michael J. Rubio

Schedule A-1 Comments

Schedule A-2 Comments

For the calendar year 2012, I personally received no income from M&R Investment Group.

Schedule B Comments

Schedule C Comments

Schedule D Comments

Schedule E Comments

Schedule D
Income - Gifts

CALIFORNIA FORM
FAIR POLITICAL PRACTICES COMMISSION

700

Name

Michael J. Rubio

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NAME OF SOURCE	ADDRESS OF SOURCE (Business Address Acceptable)	ZIP CODE	BUSINESS ACTIVITY IF ANY OF SOURCE	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
Nisei Farmers League	1775 N. Fine Avenue, Fresno, CA	93727	Agriculture	02/28/12	\$ 246.85	Ag Presidents' Council Dinner gift - Meals \$233.57, reusable bag \$5.28, mug, raisins, dried apricots \$8.00
California Cut Flower Commission	P.O. Box 90225, Santa Barbara, CA	93190	Agriculture Trade Association	02/29/12	\$ 60.00	Gift - Floral arrangement
American Society of Pension Professionals	4245 North Fairfax Drive, Suite 750, Arlington, VA	22203	Retirement	03/06/12	\$ 51.74	Dinner meal
California Issues Forum	1717 I Street, Sacramento, CA	95814		05/29/12	\$ 87.05	Dinner meal
California Retailers Association	980 9th Street, Suite 2100, Sacramento, CA	95814	Retail industry	06/14/12	\$ 100.00	Gift card - Sephora
Western States Petroleum Association	1415 L Street, Suite 600, Sacramento, CA	95814	Petroleum	06/18/12	\$ 323.25	Dinner meal
Pharmaceutical Research and Manufacturers of America	1215 K Street, Suite 970, Sacramento, CA	95814	Pharmaceutical industry research and biotechnology	7/20 - 7/21/12	\$ 126.68	2 gifts - Blanket \$67.16 and Spa \$59.52
Governor's Cup Foundation, Inc.	755 Riverpoint Drive, West Sacramento, CA	95605		7/20 - 7/21/12	\$ 400.00	Gift card
Californians for Safe Neighborhoods & Strong Communities	455 Capitol Mall, Suite 600, Sacramento, CA	95814		7/20 - 7/21/12	\$ 237.68	Gift - Jacket \$147.60, shirt \$59.12 and golf hat \$30.96
Applied Materials	3050 Bowers Avenue, Santa Clara, CA	95054	Silicon, Display, Energy and Environmental Solutions and Applied Global Services	07/23/12	\$ 246.19	Spouse - Reception
Phillips 66	1201 K Street, Suite 1930, Sacramento, CA	95814	Energy	08/27/12	\$ 77.00	Dinner meal
California Latino Caucus Leadership PAC	400 Capitol Mall, 22nd floor, Sacramento, CA	95814	Public policy	12/02/12	\$ 75.00	Gift - Cancellation and picture frame

SCHEDULE E
Income - Gifts
Travel Payments, Advances,
and Reimbursements

CALIFORNIA FORM		700
FAIR POLITICAL PRACTICES COMMISSION		
Name		
Michael J. Rubio		

<BLUE> is a required field

- You must mark either the gift or income box.
- Mark the "501(c)(3)" box for a travel payment received from a nonprofit 501(c)(3) organization or the "speech" box if you made a speech or participated in a panel. These payments are not subject to the \$440 gift limit, but may result in a disqualifying conflict of interest.

NAME AND ADDRESS OF SOURCE <i>(Business Address Acceptable)</i>	BUSINESS ACTIVITY IF ANY OF SOURCE	501 (c)(3)	DATE(S) (mm/dd/yyyy) (if gift)	AMOUNT	TYPE OF PAYMENT (Gift or Income)	MADE A SPEECH/ PARTICIPATED IN A PANEL	DESCRIPTION
Northern California Water Association, 455 Capitol Mall, suite 335, Sacramento, CA 95814	Water		2/22/12	\$ 21.00	Gift		Tour of Sacramento Valley & Reception - participant portion of car rental
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	2/23 - 2/24/12	\$ 572.23	Gift	Y	Lodging & Meals - Participated in conference
Personal Insurance Federation of CA, 1201 K Street, Suite 950, Sacramento, CA 95814	Insurance		6/14/12	\$ 420.00	Gift		Lodging
California Correctional Peace Officers Association, 755 Riverpoint Drive, West Sacramento, CA 95605	Correctional Officer Employee Organization		7/20 - 7/21/12	\$ 2,176.54	Gift	Y	Lodging & Meals - Participated on a panel at 2012 Governor's Cup
Applied Materials, 3050 Bowers Avenue, Santa Clara, CA 95054	Silicon, Display, Energy and Environmental Solutions and Applied Global Services		7/23/12	\$ 679.76	Gift	Y	Lodging & Meals
California Council for Environmental and Economic Balance, 100 Spear Street, Suite 805, San Francisco, CA 94105	Environmental Association		7/16 - 7/19/12	\$ 715.94	Gift	Y	Lodging & Meals - Participated in conference
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	9/27 - 9/28/12	\$ 493.69	Gift	Y	Lodging & Meals
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum		11/8-11/21/12	\$ 4,186.72	Gift	Y	Airfare
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	11/8-11/21/12	\$ 3,123.00	Gift	Y	Lodging
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	11/8-11/21/12	\$ 984.43	Gift	Y	Meals
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	11/8-11/21/12	\$ 312.00	Gift	Y	Transportation
California Independent Petroleum Association, 1001 K Street, 6th floor, Sacramento, CA 95814	Petroleum Trade Association		11/30/12	\$ 892.00	Gift	Y	Lodging & Meals
California Foundation on the Environment and the Economy, Pier 35, Suite 202, San Francisco, CA 94133	Public Policy Forum	Y	12/6 - 7/20/12	\$ 609.22	Gift	Y	Lodging & Meals

rec'd 3/22/13
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SCHEDULE D Income - Gifts

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
AMENDMENT

▶ NAME OF SOURCE (Not an Acronym)
California Business Properties Association

ADDRESS (Business Address Acceptable)
1121 L Street, Suite 809, Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>06 / 12 / 12</u>	<u>\$ 58.51</u>	<u>Meal</u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>
<u> / / </u>	<u>\$</u>	<u> </u>

Filer's Verification

Print Name Michael J. Rubio

Office, Agency or Court California State Senate - 16th District

Statement Type 2012/2013 Annual Assuming Leaving
 2012 Annual Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/22/13
(d)(5)

Filer's Signature

Comments: _____

Rec'd FPCC
5/1/13
- WAK



SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION
AMENDMENT

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
DCM Assets Management, LLC

ADDRESS (Business Address Acceptable)
190 Newport Center Dr., Newport Beach, CA 92660

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investments management

YOUR BUSINESS POSITION
None

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of real property - personal residence
(Real property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

Other _____
(Describe)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 Loan repayment Partnership
 Sale of _____
(Real property, car, boat, etc.)
 Commission or Rental Income, list each source of \$10,000 or more

Other _____
(Describe)

Comments: Funds pld directly to bank/lien holder (short sale); no funds received by filer.

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*	INTEREST RATE	TERM (Months/Years)
_____	_____ % <input type="checkbox"/> None	_____
ADDRESS (Business Address Acceptable)	SECURITY FOR LOAN	
_____	<input type="checkbox"/> None <input type="checkbox"/> Personal residence	
BUSINESS ACTIVITY, IF ANY, OF LENDER	<input type="checkbox"/> Real Property _____	<small>Street address</small>
_____	_____	<small>City</small>
HIGHEST BALANCE DURING REPORTING PERIOD	<input type="checkbox"/> Guarantor _____	
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> Other _____	<small>(Describe)</small>
<input type="checkbox"/> \$1,001 - \$10,000		
<input type="checkbox"/> \$10,001 - \$100,000		
<input type="checkbox"/> OVER \$100,000		

Filer's Verification

Print Name Michael J. Rubio Office, Agency or Court California State Senate, 16th District

Statement Type 2011/2012 Annual _____ Annual Assuming Leaving Candidate
(yr)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Date Signed 5/1/13 Filer's Signature _____
(month, day, year) (d)(5)