

STATEMENT OF ECONOMIC INTERESTS

Date Received
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COVER PAGE



FEB 25 2014 AD

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Liu Carol

1. Office, Agency, or Court

Agency Name (Do not use acronyms) State Senate SENATOR
Division, Board, Department, District, if applicable Your Position

RECEIVED
FAIR POLITICAL PRACTICES COMMISSION
2014 FEB 28 AM 7:40

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Treasurer's Office Position: Member- CA Debt + Investment Com

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
- Multi-County County of
- City of Other

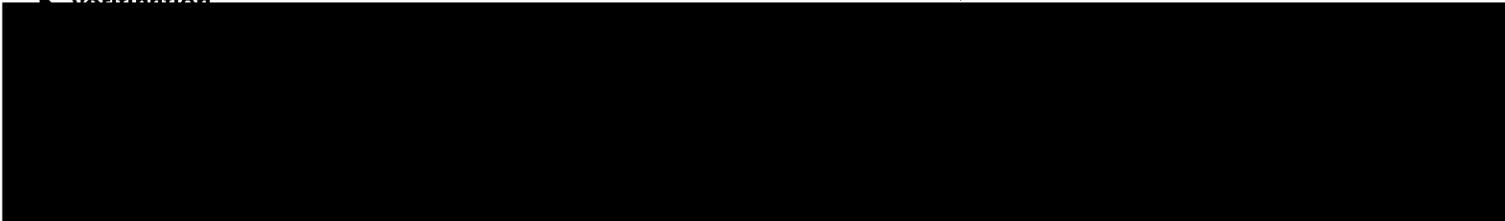
3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2013, through December 31, 2013. Leaving Office: Date Left / / (Check one)
- or- The period covered is / / through / / The period covered is January 1, 2013, through the date of leaving office.
- Assuming Office: Date assumed / / The period covered is / / through the date of leaving office.
- Candidate: Election year and office sought, if different than Part 1:

4. Schedule Summary

- Check applicable schedules or "None." ▶ Total number of pages including this cover page:
- Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
- Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
- Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached
- or- None - No reportable interests on any schedule

5. Verification



herein and in any attached schedules is true and complete. I acknowledge this is
I certify under penalty of perjury under the laws of the State of California that

Date Signed February 25, 2014 Signature
(month, day, year)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name
Carol Liu

NAME OF BUSINESS ENTITY
Valley Water Company
GENERAL DESCRIPTION OF THIS BUSINESS
Mutual water co-ownership required for water
FAIR MARKET VALUE
\$2,000 - \$10,000
NATURE OF INVESTMENT
Stock

NAME OF BUSINESS ENTITY
Simply She
GENERAL DESCRIPTION OF THIS BUSINESS
retail pet company
FAIR MARKET VALUE
\$100,001 - \$1,000,000
NATURE OF INVESTMENT
Stock

NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
NATURE OF INVESTMENT

NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
NATURE OF INVESTMENT

NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
NATURE OF INVESTMENT

NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
NATURE OF INVESTMENT

Comments:

SCHEDULE D
Income – Gifts

Name
Liu, CAROL

▶ NAME OF SOURCE (Not an Acronym)
Clean Energy Coalition
 ADDRESS (Business Address Acceptable)
1100 11th St, # 200, Sacramento, CA 95814
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>04 / 29 / 13</u>	<u>\$ 102.29</u>	<u>Dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
Cal Electric Transportation Coalition
 ADDRESS (Business Address Acceptable)
1015 K # 200, Sacramento, CA 95814
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11 / 22 / 13</u>	<u>\$ 46.10</u>	<u>lunch & tour</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
Walt Disney Company
 ADDRESS (Business Address Acceptable)
500 South Buena Vista St, Burbank, CA 91521
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10 / 08 / 13</u>	<u>\$ 32.25</u>	<u>lunch</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
CA State Protocol Foundation
 ADDRESS (Business Address Acceptable)
11355 W. Olympic Blvd., Los Angeles, CA 90064
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>01 / 29 / 13</u>	<u>\$ 86.29</u>	<u>Dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
Greater LA New Car Dealers Assn.
 ADDRESS (Business Address Acceptable)
714 W. Olympic Blvd. # 601, Los Angeles, CA 90015
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>11 / 20 / 13</u>	<u>\$ 40.00</u>	<u>RECEPTION</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

▶ NAME OF SOURCE (Not an Acronym)
CA Democratic Party
 ADDRESS (Business Address Acceptable)
1401 21st St, # 200, Sacramento, CA 95814
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>02 / 05 / 13</u>	<u>\$ </u>	<u> </u>
<u>02 / 06 / 13</u>	<u>\$ 116.19</u>	<u>meals</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

SCHEDULE D
Income – Gifts

Name
 Carol Liu

▶ NAME OF SOURCE (Not an Acronym)
 The Nature Conservancy

ADDRESS (Business Address Acceptable)
 601 S. Figueroa St # 1425, Los Angeles, Ca 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE
 501(c)(3)

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 02 / 13	\$ 200.00	food & beverages
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

Comments: _____