

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

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NAME OF FILER (LAST) (FIRST) (MIDDLE)
KEN ROSENBERG

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

CITY OF MOUNTAIN VIEW

Division, Board, Department, District, if applicable

Your Position

COUNCIL MEMBER

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____

Position: _____

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FAIR POLITICAL
PRACTICES COMMISSION
2015 JAN 26 PM 3:19

2. Jurisdiction of Office (Check at least one box)

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County _____

County of _____

City of MOUNTAIN VIEW

Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2014, through December 31, 2014.

Leaving Office: Date Left ____/____/____
(Check one)

-or-
The period covered is ____/____/____, through December 31, 2014.

The period covered is January 1, 2014, through the date of leaving office.

Assuming Office: Date assumed 1/6/15

The period covered is ____/____/____, through the date of leaving office.

Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 15

Schedule A-1 - Investments - schedule attached

Schedule C - Income, Loans, & Business Positions - schedule attached

Schedule A-2 - Investments - schedule attached

Schedule D - Income - Gifts - schedule attached

Schedule B - Real Property - schedule attached

Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5.

Date Signed

1/20/15
(month, day, year)

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>KEN ROSENBERG</u>

▶ NAME OF BUSINESS ENTITY
See ATTACHED

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____ / _____ / 14 _____ / _____ / 14
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
KEN ROSENBERG

1. BUSINESS ENTITY OR TRUST

MORGAN STANLEY

Name
20400 STEVENS CREEK BLVD #750

Address (Business Address Acceptable)
Cupertino, CA 95014

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
INVESTMENT & WEALTH MGMT

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$0 - \$1,999 / / 14 / / 14

\$2,000 - \$10,000 ACQUIRED DISPOSED

\$10,001 - \$100,000

\$100,001 - \$1,000,000

Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship Other

YOUR BUSINESS POSITION FAMILY FINANCIAL PLANNER

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000

\$500 - \$1,000 OVER \$100,000

\$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000 / / 14 / / 14

\$10,001 - \$100,000 ACQUIRED DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold Yrs. remaining Other

Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST

Name

Address (Business Address Acceptable)

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$0 - \$1,999 / / 14 / / 14

\$2,000 - \$10,000 ACQUIRED DISPOSED

\$10,001 - \$100,000

\$100,001 - \$1,000,000

Over \$1,000,000

NATURE OF INVESTMENT
 Partnership Sole Proprietorship Other

YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000

\$500 - \$1,000 OVER \$100,000

\$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000 / / 14 / / 14

\$10,001 - \$100,000 ACQUIRED DISPOSED

\$100,001 - \$1,000,000

Over \$1,000,000

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold Yrs. remaining Other

Check box if additional schedules reporting investments or real property are attached

Comments: _____

DJIA 17,515.23 +3.66 | NASDAQ 4,654.85 +20.46 | S&P 2,022.55 +3.13

Morgan Stanley

Holdings

Filter: Joint - MS holding - 8059 All Assets by Product Type

Joint - MS holding - 8059

Market Value²: \$105,580.15 -424.06

Gain / Loss²: +\$13,506.96

Cash, MMF and BDP	Gain / Loss	Market Value	Total Cost
	-	\$322.38 -	-

Cash, MMF and BDP

Deposit and Money Market Yields

Name/Symbol	Market Value	APY	Accrued Interest	YTD Int. Paid	YTD Int. With	% of Portfolio
BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A.	\$322.38 -	0.01	\$0.02	0.00	0.00	0.31
Totals:	\$322.38 -	-	\$0.02	0.00	0.00	0.31

Stocks / Options	Gain / Loss*	Market Value	Total Cost*
	\$13,506.96	\$105,257.75 -424.06	\$80,526.54

Name/Symbol	Price	Quantity	Adjusted Cost	Market Value	Gain / Loss	Gain Loss %	Est. Annual Income	Current Yield %	% of Portfolio
MORGAN STANLEY B-[MS]	4:01 PM \$34.750 -0.140	3,029.000	N/A	\$105,257.75 -424.06	N/A	N/A	\$1,212.00	1.14	99.69
Totals:			\$80,526.54*	\$105,257.75 -424.06 -0.40%	+\$13,506.96*	+16.77%*	\$1,212.00		99.69

Short trade date balance:	-
Cash account balance:	\$322.38
Est. Annual Income balance:	\$1,212.00
Accrued Interest balance:	\$0.02

² This "Market Value" summary field includes accrued interest.

*Account contains securities for which cost basis and/or other values are not available (indicated by a "N/A" displaying in the cost and/or gain (loss) fields). Totals shown do not incorporate gains (or losses) for these securities. In addition, total cost or adjusted cost may also be unavailable and excluded from totals. Please contact your Financial Advisor for additional information. The accuracy of the purchase date, cost basis and other information you provide is not independently verified.

* Bank deposits are held at either - (1) Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member. Deposits are eligible for FDIC insurance up to applicable limits and in accordance with FDIC rules. Not SIPC insured.

Current prices are available for Stocks and Options only. All other current pricing reflects the most recently available price for that security. For example, Mutual Fund current prices are the most recent business day's Net Asset Value. Previous Close Values on this page reflect the closing prices of Friday, January 16, 2015.

The accrued interest is based on prior day valuation. Please note that since this is not a real time calculation, it may be overstated on the Payable Date of a security, since the interest paid will also be reflected as a Change (\$) in "Cash" for that day. For floating rate securities, the estimated accrued interest and estimated annual income are based on the current floating coupon rate and may not reflect historic rates within the accrual period.

Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to us by outside pricing services and/or from our own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

FDIC insurance

Detailed information on federal deposit insurance coverage can be found on the FDIC's website at: www.fdic.gov. For more information about the Bank Deposit Program, including Deposit Limits, please contact your Financial Advisor or review the Bank Deposit Disclosure Statement.

If you hold structured products, please refer to the Special Considerations Regarding Structured Products.

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Morgan Stanley Smith Barney LLC Financial Statement | Morgan Stanley & Co. LLC Financial Statement
Co-Browse

Account: Joint - MS holding - 8059

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Account Activity

Account Activity | Filter: Joint - MS holding - 8059 | All Activity Types | 01/06/2014 - 01/06/2015

Joint - MS holding - 8059

Account Activity

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
1/2/2015	1/13/2015	Transfer out of Acco	323.000		MORGAN STANLEY *COST*BASIS*\$38.7000	MS MORGAN STANLEY		-\$11,637.69
1/2/2015	1/12/2015	Transfer into Accoun	323.000		MORGAN STANLEY *COST*BASIS*\$38.7000	MS MORGAN STANLEY		\$11,747.51
1/5/2015	1/5/2015	CASH TRANSFER			FUNDS TRANSFERRED CONFIRMATION # 31974196 TO 128-032999			-\$12,000.00
1/2/2015	1/2/2015	Transfer into Accoun	323.000		MORGAN STANLEY *COST*BASIS*\$38.7000	MS MORGAN STANLEY		\$12,503.33
12/31/2014	12/31/2014	Interest			MORGAN STANLEY BANK N.A. (Period 12/01-12/31)	MSBANK MORGAN STANLEY BANK N.A.		\$0.08
12/5/2014	12/5/2014	Transfer Out			WIRED FUNDS SENT BENE: COLTON PIANO ACCT: XXXXX7165			-\$6,000.00
12/2/2014	12/2/2014	Transfer into Accoun	500.000		MORGAN STANLEY PER VERBAL INSTRUCTIONS FR 128-032999-000 AO 12/02/14	MS MORGAN STANLEY		\$17,885.00
12/2/2014	12/2/2014	Sold	501.000		MORGAN STANLEY PREFERENTIAL RATE	MS MORGAN STANLEY	\$35.753	\$17,855.75
12/1/2014	12/1/2014	Transfer out of Acco	500.000		MORGAN STANLEY PER VERBAL INSTRUCTIONS TO 128-032999-000 AO 12/01/14	MS MORGAN STANLEY		-\$17,550.00
11/28/2014	11/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 11/01-11/30)	MSBANK MORGAN STANLEY BANK N.A.		\$0.01
11/14/2014	11/14/2014	Dividend			MORGAN STANLEY	MS MORGAN STANLEY		\$320.70
10/31/2014	10/31/2014	Interest			MORGAN STANLEY BANK N.A. (Period 10/01-10/31)	MSBANK MORGAN STANLEY BANK N.A.		\$0.01
10/16/2014	10/16/2014	CASH TRANSFER			FUNDS TRANSFERRED CONFIRMATION # 26644262 TO 128-032999			-\$4,000.00
9/30/2014	9/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 08/29-09/30)	MSBANK MORGAN STANLEY BANK N.A.		\$0.04
8/28/2014	8/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 07/31-08/28)	MSBANK MORGAN STANLEY BANK N.A.		\$0.03
8/15/2014	8/15/2014	Dividend			MORGAN STANLEY	MS MORGAN STANLEY		\$320.70
7/30/2014	7/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 06/28-07/30)	MSBANK MORGAN STANLEY BANK N.A.		\$0.04
6/27/2014	6/27/2014	Interest			MORGAN STANLEY BANK N.A. (Period 05/30-06/27)	MSBANK MORGAN STANLEY BANK N.A.		\$0.03
5/29/2014	5/29/2014	Interest			MORGAN STANLEY BANK N.A. (Period 04/30-05/29)	MSBANK MORGAN STANLEY BANK N.A.		\$0.03

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
5/15/2014	5/15/2014	Dividend			MORGAN STANLEY	MS MORGAN STANLEY		\$320.70
4/29/2014	4/29/2014	Interest			MORGAN STANLEY BANK N.A. (Period 03/29-04/29)	MSBNK MORGAN STANLEY BANK N.A.		\$0.03
3/28/2014	3/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 02/28-03/28)	MSBNK MORGAN STANLEY BANK N.A.		\$0.03
2/27/2014	2/27/2014	Interest			MORGAN STANLEY BANK N.A. (Period 01/31-02/27)	MSBNK MORGAN STANLEY BANK N.A.		\$0.02
2/14/2014	2/14/2014	Dividend			MORGAN STANLEY	MS MORGAN STANLEY		\$160.35
1/30/2014	1/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 12/31-01/30)	MSBNK MORGAN STANLEY BANK N.A.		\$0.03
1/27/2014	1/27/2014	Transfer into Acct	31.000		MORGAN STANLEY *COST*BASIS*\$30.0350	MS MORGAN STANLEY		\$929.07

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to us by outside pricing services and/or from our own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

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Morgan Stanley Smith Barney LLC Financial Statement | Morgan Stanley & Co. LLC Financial Statement
Co-Browse

Account: Joint - MS holding - 8059

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Morgan Stanley

Holdings

Filter: Joint - MSA - 2999 All Assets by Product Type

Joint - MSA - 2999

Market Value²: \$105,230.20 +160.35

Gain / Loss³: +\$37,713.46

Cash, MMF and BDP	Gain / Loss	Market Value	Total Cost
	-	\$2,397.65 \$-1,321.88	-

Cash, MMF and BDP

Deposit and Money Market Yields

Name/Symbol	Market Value	APY	Accrued Interest	YTD Int. Paid	YTD Int. With	% of Portfolio
BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A.	\$3,719.53	0.05	\$0.12	0.00	0.00	3.53
Cash	-\$1,321.88 -1,321.88	-	-	-	-	-1.26
Totals:	\$2,397.65 -1,321.88	-	\$0.12	0.00	0.00	2.28

Stocks / Options

Gain / Loss* \$37,215.57 Market Value \$97,197.80 \$1,484.15 Total Cost* \$59,982.23

Name/Symbol	Price	Quantity	Adjusted Cost	Market Value	Gain / Loss	Gain Loss %	Est. Annual Income ³	Current Yield %	% of Portfolio
ADOBE SYSTEMS B [ADBE]	4:00 PM \$71.490 -0.240	100.000	\$3,301.99	\$7,149.00 -24.00	+\$3,847.01	+116.51%	N/A	0.00	6.79
ALBEMARLE CORPORATION A- [ALB]	4:00 PM \$57.800 -0.720	100.000	\$6,604.99	\$5,780.00 -72.00	-\$824.99	-12.49%	\$110.00	1.87	5.49
AMAZON COM INC B- [AMZN]	4:15 PM \$289.440 -1.300	10.000	\$3,003.80	\$2,894.40 -13.00	-\$109.40	-3.64%	N/A	0.00	2.75
CIMAREX ENERGY CO B [XEC]	4:05 PM \$98.620 -0.330	-	N/A	-4,452.75	N/A	N/A	N/A	0.64	-
COGNEX CORP B+ [CGNX]	4:00 PM \$37.800 +0.600	100.000	\$3,163.72	\$3,780.00 +60.00	+\$616.28	+19.48%	N/A	0.00	3.59
EATON CORP PLC SHS N/R [ETN]	4:00 PM \$64.800 +0.210	50.000	\$3,642.50	\$3,240.00 +10.50	-\$402.50	-11.05%	\$98.00	3.03	3.08
FACEBOOK INC CL-A N/R [FB]	4:15 PM \$78.240 +1.060	200.000	\$5,305.80	\$15,248.00 +212.00	+\$9,942.20	+187.38%	N/A	0.00	14.49
GW PHARMACEUTICALS PLC ADR N/R [GWPH]	4:00 PM \$76.820 +1.650	75.000	\$5,145.00	\$5,761.50 +123.75	+\$616.50	+11.98%	N/A	0.00	5.48
MYLAN INC A- [MYL]	4:00 PM \$55.130 -0.870	100.000	\$3,831.67	\$5,513.00 -87.00	+\$1,681.33	+43.88%	N/A	0.00	5.24
NXP SEMICONDUCTORS NV N/R [NXP]	4:00 PM \$78.780 +0.870	75.000	\$4,622.24	\$5,908.50 +65.25	+\$1,286.26	+27.83%	N/A	0.00	5.61
	4:03 PM	50.000	\$4,114.50	\$4,025.50	-\$89.00	-2.16%	\$116.00	2.86	3.83

Name/Symbol	Price	Quantity	Adjusted Cost	Market Value	Gain / Loss	Gain Loss %	Est. Annual Income ³	Current Yield %	% of Portfolio
PRUDENTIAL FINANCIAL INC B- [PRU]	\$80.510 -0.490			-24.50					
SIRIUS XM HLDGS INC B- [SIRI]	4:15 PM \$3.490 -0.040	500.000	\$1,865.00	\$1,745.00 -20.00	-\$120.00	-6.43%	N/A	0.00	1.66
TESLA MOTORS INC N/R [TSLA]	4:00 PM \$191.930 -1.140	30.000	\$5,698.08	\$5,757.90 +5,757.90	+\$59.82	+1.05%	N/A	0.00	5.47
WALT DISNEY CO HLDG CO A+ [DIS]	4:00 PM \$94.740 -0.440	100.000	\$4,532.57	\$9,474.00 -44.00	+\$4,941.43	+109.02%	\$115.00	1.20	9.00
WESTERN DIGITAL CORPORATION B [WDC]	4:00 PM \$106.590 +0.220	100.000	\$4,150.57	\$10,659.00 +22.00	+\$6,508.43	+156.81%	\$160.00	1.50	10.13
WHOLE FOODS MARKETS INC B+ [WFM]	4:00 PM \$51.310 -0.150	200.000	\$999.80	\$10,262.00 -30.00	+\$9,262.20	+926.41%	\$104.00	1.01	9.75
Totals:			\$59,982.23*	\$97,197.80 +1,484.15 +1.55%	+\$37,215.57*	+62.04%*	\$703.00		92.37

Mutual Funds	Net Value Inc/Dec	Gain / Loss	Market Value	Total Cost
	\$634.63	\$497.89	\$5,634.63 \$-1.92	\$5,136.74

Name/Symbol	Net Asset Value	Quantity	Adjusted Cost/ Total Purchase	Market Value	Gain / Loss	Gain Loss %	Net Value Inc/Dec ¹	Est. Annual Income ³	Current Yield %	% of Portfolio
HIGHLAND LG SHT HEALTHCARE Z [HHCZX]	6:25 PM \$15.250 -	131.099	\$2,087.03 \$2,000.00	\$1,999.26 -	-\$87.77	-4.21%	-\$0.74	N/A	0.00	1.90
MSIF FRONTIER EMERG MKTS I [MFMIX]	6:25 PM \$18.910 -0.010	192.246	\$3,049.71 \$3,000.00	\$3,635.37 -1.92	+\$585.66	+19.20%	+\$635.37	N/A	0.00	3.45
Totals:			\$5,136.74 \$5,000.00	\$5,634.63 -1.92	+\$497.89	+9.69%	+\$634.63	-		5.35

Short trade date balance:	-
Cash account balance:	\$2,397.65
Est. Annual Income balance:	\$703.00
Accrued Interest balance:	\$0.12

² This "Market Value" summary field includes accrued interest.

*Account contains securities for which cost basis and/or other values are not available (indicated by a "N/A" displaying in the cost and/or gain (loss) fields). Totals shown do not incorporate gains (or losses) for these securities. In addition, total cost or adjusted cost may also be unavailable and excluded from totals. Please contact your Financial Advisor for additional information. The accuracy of the purchase date, cost basis and other information you provide is not independently verified.

* Bank deposits are held at either - (1) Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member. Deposits are eligible for FDIC insurance up to applicable limits and in accordance with FDIC rules. Not SIPC insured.

*Mutual Funds are typically priced after market hours. The Net Asset Value provided during market hours is the previous business day's price. The change in value associated with NAV will display once the mutual fund has been updated with the day's price.

¹Net Value Increase/ Decrease reflects the difference between your total purchases and the current value of the fund's shares, plus the cash distributions. This calculation is for informational purposes only and does not reflect your total

unrealized gain or loss nor should it be used for tax purposes.

Current prices are available for Stocks and Options only. All other current pricing reflects the most recently available price for that security. For example, Mutual Fund current prices are the most recent business day's Net Asset Value. Previous Close Values on this page reflect the closing prices of Friday, January 16, 2015

The accrued interest is based on prior day valuation. Please note that since this is not a real time calculation, it may be overstated on the Payable Date of a security, since the interest paid will also be reflected as a Change (\$) in "Cash" for that day. For floating rate securities, the estimated accrued interest and estimated annual income are based on the current floating coupon rate and may not reflect historic rates within the accrual period.

³ We are unable to provide projected income information for this security. Please contact your Financial Advisor/Private Wealth Advisor for additional information.

Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

Important Notice Regarding Pricing of Auction Rate Securities

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FDIC insurance

Detailed information on federal deposit insurance coverage can be found on the FDIC's website at: www.fdic.gov. For more information about the Bank Deposit Program, including Deposit Limits, please contact your Financial Advisor or review the Bank Deposit Disclosure Statement.

If you hold structured products, please refer to the Special Considerations Regarding Structured Products.

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Account: Joint - MSA - 2999

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DJIA 17,515.23 +3.66 | NASDAQ 4,654.85 +20.46 | S&P 2,022.55 +3.13



Account Activity

Account Activity | Filter: Joint - MSA - 2999 | All Activity Types | 01/06/2014 - 01/06/2015

Joint - MSA - 2999

Account Activity

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
1/6/2015	1/6/2015	Service Fee			DEPOSIT/WITHDRAWAL ADJ			-\$16.27
1/5/2015	1/5/2015	CASH TRANSFER			FUNDS TRANSFERRED CONFIRMATION # 31974196 FROM 128-038059			\$12,000.00
1/2/2015	1/2/2015	Dividend			ALBEMARLE CORPORATION	ALB ALBEMARLE CORPORATION		\$27.50
12/31/2014	12/31/2014	Interest			MORGAN STANLEY BANK N.A. (Period 12/01-12/31)	MSBNK MORGAN STANLEY BANK N.A.		\$0.19
12/24/2014	12/24/2014	Refund			HIGHLAND LG SHT HEALTH			\$0.40
12/18/2014	12/18/2014	Dividend			PRUDENTIAL FINANCIAL INC	PRU PRUDENTIAL FINANCIAL INC		\$29.00
12/18/2014	12/18/2014	Branch Deposit			FUNDS RECEIVED			\$360.00
12/16/2014	12/17/2014	Dividend			MSIF FRONTIER EMERG MKTS I DIV PAYMENT	MFMIX MSIF FRONTIER EMERG MKTS I		\$33.87
12/16/2014	12/17/2014	Automatic Investment	1.890		MSIF FRONTIER EMERG MKTS I REINVESTMENT	MFMIX MSIF FRONTIER EMERG MKTS I	\$17.920	-\$33.87
12/12/2014	12/12/2014	Service Fee			4TH QTR ADVISORY FEE			-\$237.86
12/11/2014	12/11/2014	Branch Deposit			FUNDS RECEIVED			\$45.37
12/11/2014	12/11/2014	Branch Deposit			FUNDS RECEIVED			\$495.00
12/2/2014	12/3/2014	Short Term Cap Gain			HIGHLAND LG SHT HEALTHCARE A	HHCAX HIGHLAND LG SHT HEALTHCARE A		\$82.46
12/2/2014	12/3/2014	Long Term Cap Gain			HIGHLAND LG SHT HEALTHCARE A	HHCAX HIGHLAND LG SHT HEALTHCARE A		\$4.57
12/2/2014	12/3/2014	Automatic Investment	0.318		HIGHLAND LG SHT HEALTHCARE A REINVESTMENT	HHCAX HIGHLAND LG SHT HEALTHCARE A	\$14.370	-\$4.57
12/2/2014	12/3/2014	Automatic Investment	5.738		HIGHLAND LG SHT HEALTHCARE A REINVESTMENT	HHCAX HIGHLAND LG SHT HEALTHCARE A	\$14.370	-\$82.46
12/2/2014	12/2/2014	Transfer out of Acco	500.000		MORGAN STANLEY PER VERBAL INSTRUCTIONS TO 128-038059-000 AO 12/02/14	MS MORGAN STANLEY		-\$17,885.00
12/1/2014	12/1/2014	Transfer into Accoun	500.000		MORGAN STANLEY PER VERBAL INSTRUCTIONS FR 128-038059-000 AO 12/01/14	MS MORGAN STANLEY		\$17,550.00
12/1/2014	12/1/2014	Dividend			CIMAREX ENERGY CO	XEC CIMAREX ENERGY CO		\$7.20
11/28/2014	11/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 11/01-11/30)	MSBNK MORGAN STANLEY BANK N.A.		\$0.17
11/28/2014	11/28/2014	Dividend			EATON CORP PLC SHS	ETN EATON CORP PLC SHS		\$24.50

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
11/26/2014	11/26/2014	Refund			HIGHLAND LG SHT HEALTH			\$0.42
11/10/2014	11/10/2014	Branch Deposit			FUNDS RECEIVED			\$102.30
11/3/2014	11/3/2014	Check		2192	PENINSULA YOUTH THEATRE			-\$750.00
10/31/2014	10/31/2014	Interest			MORGAN STANLEY BANK N.A. (Period 10/01-10/31)	MSBNK MORGAN STANLEY BANK N.A.		\$0.26
10/30/2014	10/30/2014	Check		2191	VANCE TUNBERG			-\$340.00
10/24/2014	10/24/2014	Refund			HIGHLAND LG SHT HEALTH			\$0.40
10/17/2014	10/17/2014	Check		2190	BANK OF AMERICA			-\$3,224.43
10/16/2014	10/16/2014	CASH TRANSFER			FUNDS TRANSFERRED CONFIRMATION # 26644262 FROM 128-038059			\$4,000.00
10/15/2014	10/15/2014	Dividend			WESTERN DIGITAL CORPORATION	WDC WESTERN DIGITAL CORPORATION		\$40.00
10/14/2014	10/14/2014	Service Fee			DEPOSIT/WITHDRAWAL ADJ			\$6.41
10/10/2014	10/10/2014	Check		2189	KEN ROSENBERG FOR MV CITY COUN			-\$5,000.00
10/7/2014	10/7/2014	Dividend			WHOLE FOODS MARKETS INC	WFM WHOLE FOODS MARKETS INC		\$24.00
10/3/2014	10/3/2014	Check		2001	RODAYTILNEY STUDIO 22			-\$1,000.00
10/1/2014	10/1/2014	Dividend			ALBEMARLE CORPORATION	ALB ALBEMARLE CORPORATION		\$27.50
9/30/2014	10/1/2014	Service Fee			GW PHARMACEUTICALS PLC ADR AGENT CUSTODY FEE \$0.0200/SH	GWPH GW PHARMACEUTICALS PLC ADR		-\$1.50
9/30/2014	9/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 08/29-09/30)	MSBNK MORGAN STANLEY BANK N.A.		\$0.49
9/30/2014	9/30/2014	Branch Deposit			FUNDS RECEIVED			\$160.00
9/25/2014	9/25/2014	Refund			HIGHLAND LG SHT HEALTH			\$0.41
9/23/2014	9/23/2014	Check		2188	CHAMBER OF COMMERCE MOUNTAIN			-\$359.60
9/22/2014	9/23/2014	Branch Deposit			FUNDS RECEIVED			\$99.00
9/22/2014	9/22/2014	Check		2186	HEIDI CHUN			-\$150.00
9/18/2014	9/18/2014	Dividend			PRUDENTIAL FINANCIAL INC	PRU PRUDENTIAL FINANCIAL INC		\$26.50
9/16/2014	9/16/2014	Check		2187	MULA VIPERS WHITE			-\$850.00
9/15/2014	9/15/2014	Service Fee			3RD QTR ADVISORY FEE			-\$255.12
9/15/2014	9/15/2014	Branch Deposit			FUNDS RECEIVED			\$213.60
9/2/2014	9/2/2014	Dividend			CIMAREX ENERGY CO	XEC CIMAREX ENERGY CO		\$7.20
8/28/2014	8/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 07/31-08/28)	MSBNK MORGAN STANLEY BANK N.A.		\$0.43
8/22/2014	8/26/2014	Refund			HIGHLAND LG SHT HEALTH			\$0.41
8/22/2014	8/22/2014	Dividend			EATON CORP PLC SHS	ETN EATON CORP PLC SHS		\$24.50
8/19/2014	8/19/2014	Service Fee			DEPOSIT/WITHDRAWAL ADJ			\$4.14
8/18/2014	8/18/2014	Check		2185	LINCOLN BENEFIT LIFE CO			-\$12,000.00
8/13/2014	8/13/2014	Service Fee			DEPOSIT/WITHDRAWAL ADJ			-\$6.05
8/12/2014	8/12/2014	Branch Deposit			FUNDS RECEIVED			\$254.70
8/12/2014	8/12/2014	Branch Deposit			FUNDS RECEIVED			\$12,000.00
8/11/2014	8/11/2014	Branch Deposit			FUNDS RECEIVED			\$215.20

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
8/11/2014	8/11/2014	Branch Deposit			FUNDS RECEIVED			\$322.94
8/6/2014	8/6/2014	Service Fee			DEPOSIT/WITHDRAWAL ADJ			\$3.32
8/5/2014	8/5/2014	Online Transfer			FUNDS PAID Joint Checking XX-1635 CONFIRMATION # 21656265			-\$5,000.00
8/4/2014	8/4/2014	Sold	50.000		NEXTERA ENERGY INC COM VPF20140804114830906317	NEE NEXTERA ENERGY INC COM	\$93.147	\$4,657.27
8/4/2014	8/4/2014	Branch Deposit			FUNDS RECEIVED			\$1,821.50
7/31/2014	7/31/2014	Sold	200.000		WHOLE FOODS MARKETS INC VPF20140731133841442389	WFM WHOLE FOODS MARKETS INC	\$38.523	\$7,704.50
7/30/2014	7/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 06/28-07/30)	MSBNK MORGAN STANLEY BANK N.A.		\$0.10
7/28/2014	7/28/2014	Refund			HIGHLAND LG SHT HEALTH			\$1.17
7/28/2014	7/28/2014	Online Transfer			FUNDS PAID Joint Checking XX-1635 CONFIRMATION # 21333808			-\$1,200.00
7/15/2014	7/15/2014	Dividend			WESTERN DIGITAL CORPORATION	WDC WESTERN DIGITAL CORPORATION		\$40.00
7/15/2014	7/15/2014	Dividend			WHOLE FOODS MARKETS INC	WFM WHOLE FOODS MARKETS INC		\$48.00
7/14/2014	7/14/2014	Sold	50.000		CHEVRON CORP VPF20140714121850158534	CVX CHEVRON CORP	\$129.320	\$6,465.86
7/14/2014	7/14/2014	Bought	45.000		CIMAREX ENERGY CO	XEC CIMAREX ENERGY CO	\$142.349	-\$6,405.74
7/2/2014	7/3/2014	Dividend			MSIF FRONTIER EMERG MKTS I DIV PAYMENT	MFMIX MSIF FRONTIER EMERG MKTS I		\$2.41
7/2/2014	7/3/2014	Automatic Investment	0.114		MSIF FRONTIER EMERG MKTS I REINVESTMENT	MFMIX MSIF FRONTIER EMERG MKTS I	\$21.110	-\$2.41
7/1/2014	7/1/2014	Dividend			ALBEMARLE CORPORATION	ALB ALBEMARLE CORPORATION		\$27.50
6/27/2014	6/27/2014	Interest			MORGAN STANLEY BANK N.A. (Period 05/30-06/27)	MSBNK MORGAN STANLEY BANK N.A.		\$0.09
6/23/2014	6/23/2014	Branch Deposit			FUNDS RECEIVED			\$185.00
6/20/2014	6/20/2014	Branch Deposit			FUNDS RECEIVED			\$274.00
6/19/2014	6/19/2014	Dividend			PRUDENTIAL FINANCIAL INC	PRU PRUDENTIAL FINANCIAL INC		\$26.50
6/18/2014	6/18/2014	Check		2184	M V C C			-\$66.00
6/16/2014	6/16/2014	Dividend			NEXTERA ENERGY INC COM	NEE NEXTERA ENERGY INC COM		\$36.25
6/13/2014	6/13/2014	Service Fee			2ND QTR ADVISORY FEE			-\$243.89
6/10/2014	6/10/2014	Dividend			CHEVRON CORP	CVX CHEVRON CORP		\$53.50
6/9/2014	6/10/2014	Branch Deposit			FUNDS RECEIVED			\$147.87
6/9/2014	6/10/2014	Branch Deposit			FUNDS RECEIVED			\$510.50
5/30/2014	6/2/2014	Branch Deposit			FUNDS RECEIVED			\$300.00
5/29/2014	5/29/2014	Interest			MORGAN STANLEY BANK N.A. (Period 04/30-05/29)	MSBNK MORGAN STANLEY BANK N.A.		\$0.22
5/28/2014	5/28/2014	Bought	75.000		GW PHARMACEUTICALS PLC ADR	GWPH	\$68.600	-\$5,145.00

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
5/15/2014	5/15/2014	Sold	100.000		WISDOMTREE TRUST JAPN HEDGE EQ VVF20140515113820783696	GW PHARMACEUTICALS PLC ADR DXJ WISDOMTREE TRUST JAPN HEDGE EQ	\$45.833	\$4,583.25
5/14/2014	5/15/2014	Branch Deposit			FUNDS RECEIVED			\$156.00
5/14/2014	5/14/2014	Bought	10.000		AMAZON COM INC	AMZN AMAZON COM INC	\$300.380	-\$3,003.80
5/6/2014	5/6/2014	Branch Deposit			FUNDS RECEIVED			\$74.25
5/6/2014	5/6/2014	Online Transfer			FUNDS PAID Joint Checking XX-1635 CONFIRMATION # 19326805			-\$1,200.00
5/5/2014	5/5/2014	Bought	50.000		EATON CORP PLC SHS	ETN EATON CORP PLC SHS	\$72.850	-\$3,642.50
5/5/2014	5/5/2014	Bought	50.000		PRUDENTIAL FINANCIAL INC	PRU PRUDENTIAL FINANCIAL INC	\$82.290	-\$4,114.50
5/5/2014	5/5/2014	Sold	100.000		QLIK TECHNOLOGIES VVF20140505114313984600	QLIK QLIK TECHNOLOGIES	\$21.994	\$2,199.44
5/5/2014	5/5/2014	Sold	60.000		CAPITAL ONE FINANCIAL CORP	COF CAPITAL ONE FINANCIAL CORP	\$75.670	\$4,540.09
4/29/2014	4/29/2014	Interest			MORGAN STANLEY BANK N.A. (Period 03/29-04/29)	MSBNK MORGAN STANLEY BANK N.A.		\$0.28
4/22/2014	4/22/2014	Dividend			WHOLE FOODS MARKETS INC	WFM WHOLE FOODS MARKETS INC		\$48.00
4/15/2014	4/15/2014	Dividend			WESTERN DIGITAL CORPORATION	WDC WESTERN DIGITAL CORPORATION		\$30.00
4/2/2014	4/2/2014	Bought	75.000		NXP SEMICONDUCTORS NV	NXPI NXP SEMICONDUCTORS NV	\$61.629	-\$4,622.24
4/1/2014	4/1/2014	Dividend			ALBEMARLE CORPORATION	ALB ALBEMARLE CORPORATION		\$27.50
3/31/2014	3/31/2014	Sold	20.000		APPLE INC VVF20140331133446571289	AAPL APPLE INC	\$536.500	\$10,729.76
3/31/2014	3/31/2014	Bought	128.287		HIGHLAND LG SHT HEALTHCARE A CONFIRM NBR 010012124	HHCAX HIGHLAND LG SHT HEALTHCARE A	\$15.590	-\$2,000.00
3/28/2014	3/28/2014	Interest			MORGAN STANLEY BANK N.A. (Period 02/28-03/28)	MSBNK MORGAN STANLEY BANK N.A.		\$0.28
3/28/2014	3/28/2014	Check		2183	CHAMBER OF COMMERCE MV			-\$450.00
3/24/2014	3/25/2014	Branch Deposit			FUNDS RECEIVED			\$50.00
3/21/2014	3/21/2014	Bought	100.000		QLIK TECHNOLOGIES	QLIK QLIK TECHNOLOGIES	\$29.277	-\$2,927.75
3/17/2014	3/17/2014	Dividend			NEXTERA ENERGY INC COM	NEE NEXTERA ENERGY INC COM		\$36.25
3/14/2014	3/14/2014	Service Fee			1ST QTR ADVISORY FEE			-\$263.91
3/10/2014	3/10/2014	Dividend			CHEVRON CORP	CVX CHEVRON CORP		\$50.00
3/4/2014	3/4/2014	Bought	100.000		ALBEMARLE CORPORATION	ALB ALBEMARLE CORPORATION	\$66.049	-\$6,604.99
2/27/2014	2/27/2014	Interest			MORGAN STANLEY BANK N.A. (Period 01/31-02/27)	MSBNK MORGAN STANLEY BANK N.A.		\$0.16
2/24/2014	2/24/2014	Sold	100.000		3 D SYSTEMS CORP NEW VVF20140224152936069921	DDD 3 D SYSTEMS CORP NEW	\$76.000	\$7,599.87
2/21/2014	2/21/2014	Dividend				COF		\$18.00

Date	Processing/ Transaction Date	Activity	Quantity	Check # / Card Last 4	Description	Symbol / Name	Price	Amount
2/13/2014	2/13/2014	Dividend			CAPITAL ONE FINANCIAL CORP	CAPITAL ONE FINANCIAL CORP		
					APPLE INC	AAPL APPLE INC		\$61.00
2/11/2014	2/11/2014	Branch Deposit			FUNDS RECEIVED			\$1,513.00
2/4/2014	2/4/2014	Branch Deposit			FUNDS RECEIVED			\$767.49
2/3/2014	2/3/2014	Check		2182	JOANN BUCHANAN			-\$16.00
1/30/2014	1/30/2014	Interest			MORGAN STANLEY BANK N.A. (Period 12/31-01/30)	MSBNK MORGAN STANLEY BANK N.A.		\$0.07
1/28/2014	1/28/2014	Dividend			WHOLE FOODS MARKETS INC	WFM WHOLE FOODS MARKETS INC		\$48.00
1/24/2014	1/24/2014	Check		2181	JULIA KAHAN			-\$156.00
1/21/2014	1/22/2014	Branch Deposit			FUNDS RECEIVED			\$455.45
1/16/2014	1/16/2014	Dividend			WALT DISNEY CO HLDG CO	DIS WALT DISNEY CO HLDG CO		\$86.00
1/15/2014	1/15/2014	Dividend			WESTERN DIGITAL CORPORATION	WDC WESTERN DIGITAL CORPORATION		\$30.00
1/8/2014	1/8/2014	Bought	50.000		NEXTERA ENERGY INC COM	NEE NEXTERA ENERGY INC COM	\$85.320	-\$4,266.00
1/8/2014	1/8/2014	Sold	75.000		EBAY INC VFP20140108115044080151	EBAY EBAY INC	\$53.110	\$3,983.18
1/7/2014	1/7/2014	Service Fee			DEPOSIT/WITHDRAWAL ADJ			\$19.30
1/6/2014	1/6/2014	Interest			MORGAN STANLEY BANK N.A.	MSBNK MORGAN STANLEY BANK N.A.		\$0.12
1/6/2014	1/6/2014	Check		2180	KEN ROSENBERG FOR MV CITY COUN			-\$1,000.00
1/6/2014	1/6/2014	Check		2178	MORGAN STANLEY SMITH BARNEY			-\$13,500.00

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Name	
Ken Rosenberg	

2015 FEB 26 PM 3:18

Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/2010)	
				ACQUIRED	DISPOSED
ADOBE SYSTEMS	Software	\$2,000 - \$10,000	Stock		
ALBEMARLE CORPORATION	Chemicals	\$2,000 - \$10,000	Stock	3/4/2014	
AMAZON COM INC	Catalog and Mail/Internet Order House	\$2,000 - \$10,000	Stock		
COGNEX CORP	Scientific and Technical Instruments	\$2,000 - \$10,000	Stock	5/14/2014	
WALT DISNEY CO HLDG CO	Entertainment	\$10,001 - \$100,000	Stock		
FACEBOOK INC CL-A	Internet Information Provider	\$10,001 - \$100,000	Stock		
GW PHARMACEUTICALS PLC ADR	Pharmaceuticals	\$2,000 - \$10,000	Stock	5/28/2014	
INTREXON CORP COM	Biotechnology	\$2,000 - \$10,000	Stock		
MYLAN INC	Pharmaceuticals	\$2,000 - \$10,000	Stock		
PRUDENTIAL FINANCIAL INC	Financials	\$2,000 - \$10,000	Stock	5/8/2014	
SIRIUS XM HLDGS INC	Broadcasting	\$2,000 - \$10,000	Stock		
TESLA MOTORS INC	Automotive	\$2,000 - \$10,000	Stock		
WESTERN DIGITAL CORPORATION	Disc Drives	\$10,001 - \$100,000	Stock		
WHOLE FOODS MARKETS INC	Grocery	\$10,001 - \$100,000	Stock		7/31/2014
EATON CORP PLC SHS	Diversified Machinery	\$2,000 - \$10,000	Stock	5/5/2014	
NXP SEMICONDUCTORS NV	Semiconductor	\$2,000 - \$10,000	Stock	4/2/2014	
Morgan Stanley	Financials	\$100,001 - \$1,000,000	Stock		
Ebay	Specialty Retail	\$2,000 - \$10,000	Stock		1/8/2014
Nextera	Utilities	\$2,000 - \$10,000	Stock	1/8/2014	8/4/2014
3 D Systems	Computer Peripherals	\$2,000 - \$10,000	Stock	2/24/2014	
QLIK Technologies	Software	\$2,000 - \$10,000	Stock	3/21/2014	5/5/2014
Apple	Electronic Equipment	\$10,001 - \$100,000	Stock		3/31/2014
Capital One	Credit Services	\$2,000 - \$10,000	Stock	5/5/2014	
Cimarex	Oil & Gas	\$2,000 - \$10,000	Stock	7/14/2014	
Chevron	Oil & Gas	\$2,000 - \$10,000	Stock		7/14/2014

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