

CG

COVER PAGE

MAR 12 2015

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Royse Alvin Lee TOWN OF HILLSBOROUGH

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Town of Hillsborough

Division, Board, Department, District, if applicable

City Council

Your Position

Councilmember

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: See Attachment 1

Position:

2. Jurisdiction of Office (Check at least one box)

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County _____

County of _____

City of Hillsborough

Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2014, through December 31, 2014.

Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2014.

The period covered is January 1, 2014, through the date of leaving office.

Assuming Office: Date assumed ____/____/____

The period covered is ____/____/____, through the date of leaving office.

Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 20

Schedule A-1 - Investments - schedule attached

Schedule C - Income, Loans, & Business Positions - schedule attached

Schedule A-2 - Investments - schedule attached

Schedule D - Income - Gifts - schedule attached

Schedule B - Real Property - schedule attached

Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

I have used all reasonable diligence in preparing this statement. herein and in any attached schedules is true and complete. I a

I certify under penalty of perjury under the laws of the State

Date Signed 3-11-15
(month, day, year)

STATEMENT OF ECONOMIC INTERESTS

Additional Agency:

1. Commute.org Board
2. South Bayside Waste Management Authority

Position:

Alternate Board Member
Alternate Board Member

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>ALVIN L ROISE</u>

▶ NAME OF BUSINESS ENTITY
BANK OF AMERICA

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1-13-06

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
COMPASS MINERALS INTL COM

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12-20-05

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CARMAX INC

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 9-27-04

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
GENERAL ELECTRIC Co. COM

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 5-7-04

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
CEMEX S.A.B. DE C.V.

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1-10-07

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
NAVIENT CORP

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 14 / / 14
 ACQUIRED DISPOSED

Comments: HELD IN BROKERAGE ACCOUNT T.D. AMERITRADE

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

AWIN L ROYCE

▶ NAME OF BUSINESS ENTITY
NOKIA Corp NOR

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1-13-06

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SLM CORP

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 8-12-2004

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
POWERSHARES FUND

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund/ETF (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 3-16-09

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
T.C. PIPELINE LP

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other MASTER L.P. (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12-20-05

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SIRIUS XM Holdings Inc

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10-13-05

 / / 14 / / 14
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Wells Fargo & Co.

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 5-11-2004

 / / 14 / / 14
 ACQUIRED DISPOSED

Comments: HELD IN brokerage Account: T.D. Ameritrade

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

ALWIN L ROYSE

NAME OF BUSINESS ENTITY: DODGE & COX FUNDS INTL
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 9-22-06

 / /14 / /14
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: VANGUARD TOTAL BOND ADULT INDEX
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund - IRA
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/22/09

 / /14 / /14
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: LARGE-CAP VANGUARD TECH INDEX FUND
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund - IRA
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/22/09

 / /14 / /14
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: VANGUARD TOTAL STK MKT INDEX ADM
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund - IRA
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/22/09

 / /14 / /14
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: VANGUARD INTL STOCK INDEX ADM.
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund - IRA
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/22/09

 / /14 / /14
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY: T. ROWE PRICE
 GENERAL DESCRIPTION OF THIS BUSINESS: STK FO

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Mutual Fund - IRA
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1976-1985

 / /14 / /14
 ACQUIRED DISPOSED

Comments: * HELD IN BROKERAGE ACCOUNT TO AMERITRADE

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

ALVIN L ROYSE

✓ NAME OF BUSINESS ENTITY
T.D. Ameritrade

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other CASH / Brokerage Account
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 14 / / 14
 ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
Intel Corp

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 8-24-2010
 / / 14 11/6/14
 ACQUIRED DISPOSED

~~NAME OF BUSINESS ENTITY
AT&T Inc.~~

~~GENERAL DESCRIPTION OF THIS BUSINESS~~

~~FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000~~

~~NATURE OF INVESTMENT
 Stock Other
(Describe)~~

~~Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)~~

~~IF APPLICABLE, LIST DATE: 2/11/10
 / / 14 / / 14
 ACQUIRED DISPOSED~~

✓ NAME OF BUSINESS ENTITY
Molitor Casko Brewing Co.

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 3-24-2010
 / / 14 / / 14 (and 1/10/15)
 ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
Frontier Comm. Corp

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 3/24/10
 / / 14 11/7/14
 ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
Northeast Utilities

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT 2-11-2010
 Stock Other
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 14 8/7/14
 ACQUIRED DISPOSED

Comments: HELD IN EDWARD JONES BROKERAGE ACCOUNT

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

ALVIN L. ROYSE

NAME OF BUSINESS ENTITY

Royal Dutch Shell PLC

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE: 2-11-10

ACQUIRED and DISPOSED dates: 1/1/14, 10/7/14

NAME OF BUSINESS ENTITY

Telefonica DE ESPANA SA ADR

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE: 12-3-2010

ACQUIRED and DISPOSED dates: 1/1/14, 1/1/14

NAME OF BUSINESS ENTITY

SUNBEL CDA INC. NEWS

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE: 3-24-2010

ACQUIRED and DISPOSED dates: 1/1/14, 1/1/14

NAME OF BUSINESS ENTITY

Westar Energy Inc. (WR)

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE:

ACQUIRED and DISPOSED dates: 1/1/14, 1/1/14

NAME OF BUSINESS ENTITY

TARGET CORP

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE: 3-24-2010

ACQUIRED and DISPOSED dates: 1/1/14, 1/1/14

NAME OF BUSINESS ENTITY

FRANKLIN Floating Rate Daily Access Fund

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- FAIR MARKET VALUE options: \$2,000 - \$10,000, \$100,001 - \$1,000,000, \$10,001 - \$100,000, Over \$1,000,000

NATURE OF INVESTMENT

- NATURE OF INVESTMENT options: Stock, Other, Partnership, Income Received of \$0 - \$499, Income Received of \$500 or More

IF APPLICABLE, LIST DATE: 2-23-10

ACQUIRED and DISPOSED dates: 1/1/14, 1/1/14

Comments:

HELD IN EDWARD JONES BROKERAGE ACCOUNT

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

AVI L ROYCE

✓ NAME OF BUSINESS ENTITY
FRANKLIN INCOME FUND CL A
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other INVESTMENT FUND
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 2-22-10

 / /14 / /14
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
TEMPLETON GLOBAL BOND FUND
GENERAL DESCRIPTION OF THIS BUSINESS CL A

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other INVESTMENT FUND
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 2-24-2-10

 / /14 / /14
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
FRANKLIN RISING DIVIDEND FUND
GENERAL DESCRIPTION OF THIS BUSINESS CL A

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other INVESTMENT FUND
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 2-22-2-10

 / /14 / /14
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
LINCOLN NAT'L LIFE INS. CO
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ANNUITY & INS. CO. INVEST CONTRACTS
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 4-23-2010

 / /14 / /14
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
FRANKLIN TOTAL RETURN FUND
GENERAL DESCRIPTION OF THIS BUSINESS CL A

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other INVESTMENT FUND
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 2-22-2-10

 / /14 / /14
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
CHICEPUS ASSURANCE CL A
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ANNUITY & INVESTMENT FUNDS
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / /14 / /14
ACQUIRED DISPOSED

Comments: HELD IN EDWARD JONES BROKERAGE ACCOUNT

**SCHEDULE A-1
Investments**

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
ARWIN L. ROYSE

✓ NAME OF BUSINESS ENTITY
Protective Life Insurance
GENERAL DESCRIPTION OF THIS BUSINESS C.

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Investment Funds
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 3-5-2010

 / / / /
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
ANNAKY CAPITAL Mgmt Co
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/11

 / / / /
ACQUIRED DISPOSED

~~NAME OF BUSINESS ENTITY
Abbott Laboratories
GENERAL DESCRIPTION OF THIS BUSINESS OR~~

~~FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000~~

~~NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)~~

~~IF APPLICABLE, LIST DATE: 9-10-2013~~

~~/ / / /
ACQUIRED DISPOSED~~

✓ NAME OF BUSINESS ENTITY
BEST BUY INC.
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/11

 / / / /
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Abbvie Inc.
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: SPIN-FF of
4/11/14 1/2/13
Abbott

 / / / /
ACQUIRED DISPOSED

✓ NAME OF BUSINESS ENTITY
Dho Billiton PLC AOR
GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/11

 / / / /
ACQUIRED DISPOSED

C mments: HELD THROUGH EDWARD JONES BROKERAGE ACCOUNT

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

ARVIN L ROYSE

NAME OF BUSINESS ENTITY
BLACK CHEVRON Corp

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/11

 / / 14 / / 14
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Johnson & Johnson

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/2011

 / / 14 / / 14
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Health Care REIT Inc

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other REIT (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12/27/2013

 / / 14 / / 14
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
J.P. MORGAN & CHASE & CO

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10-26-2011

 / / 14 / / 14
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
IBM

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/23/13

 / / 14 / / 14
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
PEPISCO INC

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/2011

 / / 14 / / 14
ACQUIRED DISPOSED

Comments: HELD IN EDWARD JONES BROKERAGE ACCOUNT

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

ALVIN L. ROYCE

NAME OF BUSINESS ENTITY

POTASH CORP. SALK INC

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12/27/13

1/14 ACQUIRED 1/14 DISPOSED

NAME OF BUSINESS ENTITY

IVY HIGH INCOME FOND CIA

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other Mutual Fund (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 11-1-2011

1/14 ACQUIRED 1/14 DISPOSED

NAME OF BUSINESS ENTITY

TOTAL SA SPONSORED ADR

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 10/26/2011

1/14 ACQUIRED 10/27/14 DISPOSED

NAME OF BUSINESS ENTITY

FT Global Div Series 27

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other UNIT TRUST (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 11-1-11 / 11-15 13

1/14 ACQUIRED 1/14 DISPOSED

NAME OF BUSINESS ENTITY

KAYNE ANDERSON MLP INVEST CO.

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other Exchange Traded CLOSED FUND (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/14 ACQUIRED 10/17/14 DISPOSED

NAME OF BUSINESS ENTITY

FRANCHISE APPLICABLE TO GREAT

GENERAL DESCRIPTION OF THIS BUSINESS SEC PO A

FAIR MARKET VALUE

- \$2,000 - \$10,000 \$10,001 - \$100,000
- \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT

- Stock Other Mutual Fund (Describe)
- Partnership Income Received of \$0 - \$499
- Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 2/22/10

1/14 ACQUIRED 1/14 DISPOSED

Comments:

HELD IN Edward Jones Brokerage Account

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

ARVIN L. BOYSS

▶ NAME OF BUSINESS ENTITY
FLORIDA CAPITAL

GENERAL DESCRIPTION OF THIS BUSINESS
REAL ESTATE Partnership

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: PRE 2010

 / / 14 / / 14
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
DELSTH + Teache LLP

GENERAL DESCRIPTION OF THIS BUSINESS
Retirement Pension Account

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other PENSION PLAN (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/2/2010

 / / 14 / / 14
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Greenhouse Capital Partners

GENERAL DESCRIPTION OF THIS BUSINESS
Limited P'sHIP Investing in "Green Company"

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 3-6-2007

 / / 14 / / 14
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Privileged Asset (AME)

GENERAL DESCRIPTION OF THIS BUSINESS
Annuity Investment

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other Annuity Account (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 1/1/2000

 / / 14 / / 14
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Halo Fund Limited Partnership

GENERAL DESCRIPTION OF THIS BUSINESS
ANGEL FUND

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12-15-2004

 / / 14 / / 14
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Packet D. Springfield Angel Fund

GENERAL DESCRIPTION OF THIS BUSINESS
ANGEL FUND Investing in Packet Digital LLC

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other ANGEL FUND (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: 12/31/14

 / / 14 / / 14
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
 (Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
ALVIN L ROYSE

1. BUSINESS ENTITY OR TRUST

Name Direct Investors LP
 Address (Business Address Acceptable) MENLO PARK CAL.

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
INVESTING PARTNERSHIP

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 ACQUIRED 1/14 DISPOSED 1/14

NATURE OF INVESTMENT
 Partnership Sole Proprietorship Other

YOUR BUSINESS POSITION 50% - Limited Partner

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 ACQUIRED 1/14 DISPOSED 1/14

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST

Name Debitte Touche LP
 Address (Business Address Acceptable) NYC, NY

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
PROFESSIONAL SERVICES FIRM

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 ACQUIRED 1/14 DISPOSED 1/14

NATURE OF INVESTMENT
 Partnership Sole Proprietorship Other

YOUR BUSINESS POSITION limited Retired Partner

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below
UNKNOWN (global firm)

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Firm headquarters in NYC, TENN, & EUROPE

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
 ACQUIRED 1/14 DISPOSED 1/14

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE A-2
Investm nts, Income, and Assets
of Business Entiti s/Trusts
 (Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
ALVIN L ROYSE

▶ 1. BUSINESS ENTITY OR TRUST

Name Mobile Sense LLC
 Address (Business Address Acceptable) 2570 North First St, Ste 200
SAN JOSE CA 95131

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
SOFTWARE / Technology

FAIR MARKET VALUE IF APPLICABLE, LIST DATE: 8/1/11
 \$0 - \$1,999
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/1/14 DISPOSED 1/1/14

NATURE OF INVESTMENT
 Partnership Sole Proprietorship convertible
WARRANT
 Other

YOUR BUSINESS POSITION INVESTOR

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000 UNKNOWN

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below
UNKNOWN

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box: UNKNOWN
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/1/14 DISPOSED 1/1/14

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold Yrs. remaining Other CONV. WARRANT

Check box if additional schedules reporting investments or real property are attached

▶ 1. BUSINESS ENTITY OR TRUST

Name SPOT ON PARKING
 Address (Business Address Acceptable) MOBILE PARK, CA

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
SOFTWARE / Technology

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/1/14 DISPOSED 1/1/14

NATURE OF INVESTMENT
 Partnership Sole Proprietorship convertible
WARRANT
 Other

YOUR BUSINESS POSITION INVESTOR

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000 UNKNOWN

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below
UNKNOWN

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY
UNKNOWN

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/1/14 DISPOSED 1/1/14

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership
 Leasehold Yrs. remaining Other CONV. WARRANT

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE B
Interests in Real Property
(Including Rental Income)

Name
ALVIN L ROYCE

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
3613 Memorial Highway
CITY
MADISON, N.D. 58504

FAIR MARKET VALUE
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
1/14 / 1/14
ACQUIRED DISPOSED
Acq Pre 2000

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining _____ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED 0
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
Mineral Rights, N. DAK
CITY
Township 162 North Range 62 West Burke County, N. DAK

FAIR MARKET VALUE
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

IF APPLICABLE, LIST DATE:
1/14 / 1/14
ACQUIRED DISPOSED
Acq: 2/24/11

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining Mineral Rights Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None
Legacy Resources Inc. (N.D.)

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____% None TERM (Months/Years) _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____% None TERM (Months/Years) _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

Comments: _____

SCHEDULE B
Interests in Real Property
(Including Rental Income)

Name
ALVIN L. ROYSE

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
3600 N. Lake Blvd, #20
CITY
Tahoe City, CA

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/14 DISPOSED 1/14
AD. 2005

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining Other _____

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None
NONE

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
426 W 135th St, PL 6E
CITY
NYC, N.Y. 10012

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/14 DISPOSED 1/14

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining Other _____

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None
JENNIFER L. DISUNOV, NYC, NY
(Joint Owner of Property)

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____% None TERM (Months/Years) _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____% None TERM (Months/Years) _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

Comments: _____

SCHEDULE B
Interests in Real Property
(Including Rental Income)

Name
ALVIN L ROYCE

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
20 Pine St, # 812

CITY
NYC, NY 10014

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/14 DISPOSED 1/14
PRE-2010

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining Other _____

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None
UNRELATED TENANTS

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
182 MIDCREST WAY

CITY
SAN FRANCISCO, CA

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

ACQUIRED 1/14 DISPOSED 1/14
ATA 2007/2008

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____ Yrs. remaining Other _____

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None
MATTHEWS ROYCE (Joint Ownership)
UNRELATED TENANT

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____ TERM (Months/Years) _____
 _____% None

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____ TERM (Months/Years) _____
 _____% None

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

Comments: _____

SCHEDULE C
Income, Loans, & Business
Position
 (Other than Gifts and Travel Payments)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME Direct Investor
~~Dobelle & Co LLC~~ LLP

ADDRESS (Business Address Acceptable) 66 Reger Way
~~50 Fremont~~ Palo Alto, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE Investment
~~Consulting~~ Real Estate Income

YOUR BUSINESS POSITION
~~Partner~~ Partner

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000 ARR
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

Other Share of PIVIP Quadrillion
 (Describe) Partnership
 (Describe) LLC

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Greenhouse Capital

ADDRESS (Business Address Acceptable)
Gate 6 Bldg, Suite 203, San Jose, CA
9495

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investment

YOUR BUSINESS POSITION
limited Partner

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

Other Share of PIVIP Dobelle
 (Describe)
 (Describe)

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____ % None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
 Street address

 City
 Guarantor _____
 Other _____
 (Describe)

Comments: _____

SCHEDULE E
Incom - Gifts
Travel Payments, Advances,
and Reimbursements

Name
ALVIN L ROYCE

- Mark either the gift or income box.
- Mark th "501(c)(3)" box for a travel payment received from a nonprofit 501(c)(3) organization or the "Speech" box if you made a speech or participated in a panel. These payments are not subject to the \$440 gift limit, but may result in a disqualifying conflict of interest.

▶ NAME OF SOURCE (Not an Acronym)
AMERICAN HEART ASSOCIATION (AHA)

ADDRESS (Business Address Acceptable)
7272 GREENVILLE AVE

CITY AND STATE
DALLAS TX 75231

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): VARIOUS / / AMT: \$ 2,716.12
(if gift) EXP. REIMB

TYPE OF PAYMENT: (must check one) Gift Income
(EXPENSE REIMB)

Made a Speech/Participated in a Panel

Other - Provide Description
BOARD OF DIRECTORS; COMM ACTIVITIES;
AHA EVENTS; TRAVEL/MAIL REIMB.

▶ NAME OF SOURCE (Not an Acronym)
UNIV of NORTH DAKOTA FOUNDATION

ADDRESS (Business Address Acceptable)
3581 UNIVERSITY AVE, STOP B157

CITY AND STATE
GRAND FORKS N.D. 58202

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): VARIOUS / / AMT: \$ 1,566.75
(if gift)

TYPE OF PAYMENT: (must check one) Gift Income
(EXPENSE REIMB)

Made a Speech/Participated in a Panel

Other - Provide Description
BOARD OF DIRECTORS; COMMUNITY
EVENTS; TRAVEL/MAIL REIMBURSEMENT

▶ NAME OF SOURCE (Not an Acronym)
DeWitt & Tinsley LLP

ADDRESS (Business Address Acceptable)
NYC, NY

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE
C-NO-LAW, ACCT FIRM

DATE(S): 7/11/14 / / AMT: \$ 124.30
(if gift) EXP REIMB

TYPE OF PAYMENT: (must check one) Gift Income
EXP. REIMB

Made a Speech/Participated in a Panel

Other - Provide Description FACILITATED A
CLASS ON TEACHERS.

▶ NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): / / AMT: \$

(if gift)

TYPE OF PAYMENT: (must check one) Gift Income

Made a Speech/Participated in a Panel

Other - Provide Description

Comments: I serve on board of directors of number of non-profit (eg
AHA, UNIV OF ND, PER FAMILY HEALTH, NO COBRA, AMVETS
and) for which out-of-pocket expenses
are reimbursed. I receive nothing
in excess of expense reimbursement, as dictated to my IN
account with IRS regulations.