

CG

COVER PAGE

Please type or print in ink.

FEB 23 2015

NAME OF FILER (LAST) Lee (FIRST) Richard (MIDDLE) ALAN CARLSON, Clerk of the Court

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Superior Court, Orange County

Division, Board, Department, District, if applicable

Your Position

Superior Court Judge

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

BY: [Signature] DEPUTY

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FAIR POLITICAL  
PRACTICES COMMISSION  
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2. Jurisdiction of Office (Check at least one box)

State

Judge or Court Commissioner (Statewide Jurisdiction)

Multi-County \_\_\_\_\_

County of \_\_\_\_\_

City of \_\_\_\_\_

Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2014, through December 31, 2014.

Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

-or-  
The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2014.

The period covered is January 1, 2014, through the date of leaving office.

Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

Candidate: Election year \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 4

Schedule A-1 - Investments - schedule attached

Schedule C - Income, Loans, & Business Positions - schedule attached

Schedule A-2 - Investments - schedule attached

Schedule D - Income - Gifts - schedule attached

Schedule B - Real Property - schedule attached

Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 02/20/2015  
(month, day, year)

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION  
Name  
Richard Y. Lee

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list, or "Other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
Abbvie Inc. Com	Healthcare	\$2,000 - \$10,000	Stock	8/4/2014	
American Water Works	Utilities	\$2,000 - \$10,000	Stock		
AT&T Inc.	Telecommunications Services	\$2,000 - \$10,000	Stock		
Baxter Intl. Inc.	Healthcare	\$2,000 - \$10,000	Stock		
BCE Inc. New	Telecommunications Services	\$2,000 - \$10,000	Stock		
BK Montreal	Financials	\$2,000 - \$10,000	Stock		
Cisco Sys Inc.	Telecommunications Services	\$2,000 - \$10,000	Stock		
CME Group Inc.	Financials	\$2,000 - \$10,000	Stock		
Digital Realty Trust Inc.	Financials	\$2,000 - \$10,000	Stock		
Eaton Corp PLC SHS	Electrical Components	\$2,000 - \$10,000	Stock	7/7/2014	
Energy Trans Eqty LP	Energy	\$2,000 - \$10,000	MLP		
Energy Transfer Partners LP	Energy	\$2,000 - \$10,000	MLP		
Johnson & Johnson	Healthcare	\$2,000 - \$10,000	Stock		
Kinder Morgan Incorp	Oil & Gas Storage & Transportation	\$2,000 - \$10,000	Stock	12/3/2014	
Lamar Advertising Co New CL A	Advertising	\$2,000 - \$10,000	Stock	10/15/2014	
LyodetBasel NV CLA	Commodity Chemicals Industry	\$2,000 - \$10,000	Stock	12/9/2014	
Markwest Engy Ptnrs LP	Oil & Gas Storage & Transportation	\$2,000 - \$10,000	LP	12/18/2014	
Maxim Integrated Products Inc.	Information Technology	\$2,000 - \$10,000	Stock		
Enterprise Prod Ptnrs LP	Energy	\$2,000 - \$10,000	LP		
General Electric	Industrial	\$2,000 - \$10,000	Stock		
Glaxosmithkline PLC ADS	Healthcare	\$2,000 - \$10,000	Stock		
HCP Inc.	Financials	\$2,000 - \$10,000	Stock		
Intel Corp Inc.	Information Technology	\$2,000 - \$10,000	Stock		
Merck & Co Inc. New Com	Healthcare	\$2,000 - \$10,000	Stock		
Microchip Technology Inc.	Technology	\$2,000 - \$10,000	Stock		
Microsoft Corp.	System Software	\$2,000 - \$10,000	Stock	1/16/2014	
Natl Grid Transco PLC	Utilities	\$2,000 - \$10,000	Stock		
Nisource Inc.	Utilities	\$2,000 - \$10,000	Stock		
Novartis AG ADR	Healthcare	\$2,000 - \$10,000	Stock	1/9/2014	
Omega Healthcare Inv. Inc.	Healthcare	\$2,000 - \$10,000	Stock		
Oneok Partners LP	Energy	\$2,000 - \$10,000	LP		
Pfizer Inc.	Healthcare	\$2,000 - \$10,000	Stock		
Plains All American Pipln LP	Energy	\$2,000 - \$10,000	LP		
Seagate Technology PLC	Technology	\$2,000 - \$10,000	Stock	4/30/2014	
Vodafone Group PLC	Telecommunications Services	\$2,000 - \$10,000	Stock		
Weyerhaeuser Co	Lumber	\$2,000 - \$10,000	Stock	12/9/2014	
Williams Co. Inc.	Oil & Gas	\$2,000 - \$10,000	Stock		
Ares Capital Corp	Financials	\$2,000 - \$10,000	Stock	6/27/2014	
Potash CP of Saskatchewan Inc.	Agricultural	\$2,000 - \$10,000	Stock		10/14/2014
Seadrill Ltd.	Energy	\$2,000 - \$10,000	Stock		10/15/2014
Linn Energy LLC	Energy	\$2,000 - \$10,000	LLC		12/9/2014
Apple Inc.	Consumer Electronics	\$2,000 - \$10,000	Stock	3/26/2014	
Walgreens Boots Alliance Inc.	Retailer	\$2,000 - \$10,000	Stock	3/26/2014	
Polyprop Int'l. Inc.	Microporous Membranes	\$2,000 - \$10,000	Stock	3/26/2014	
Ubiquiti Networks, Inc.	Wireless Electronics	\$2,000 - \$10,000	Stock	3/26/2014	
Wal-Mart Stores Inc.	Retailer	\$2,000 - \$10,000	Stock	3/26/2014	
NVE Corp	Spintronics	\$2,000 - \$10,000	Stock	3/26/2014	
Kura AG	Robotics	\$2,000 - \$10,000	Stock	3/26/2014	
Google, Inc.	Internet technologies	\$2,000 - \$10,000	Stock	3/28/2014	
Qualcomm, Inc.	Telecommunications Services	\$2,000 - \$10,000	Stock	3/28/2014	
CA Incorp	Software & IT Services	\$2,000 - \$10,000	Stock		1/15/2014



