

COVER PAGE

FEB 10 2015

Please type or print in ink.

NAME OF FILER (LAST) ROTHSCHILD (FIRST) FRANCES JOSEPH A. LAMNDLE Clerk

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Court of Appeal

Division, Board, Department, District, if applicable

Second Appellate District

Your Position

Justice

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____

Position: _____

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 FAIR POLITICAL
 PRACTICES COMMISSION
 2015 FEB 12 AM 10:31

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of _____
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of _____
- Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2014, through December 31, 2014.
- or-
- The period covered is _____, through December 31, 2014.
- Assuming Office:** Date assumed _____
- Candidate:** Election year _____ and office sought, if different than Part 1: _____
- Leaving Office:** Date Left _____ (Check one)
- The period covered is January 1, 2014, through the date of leaving office.
- The period covered is _____, through the date of leaving office.

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 6

- Schedule A-1 - Investments** – schedule attached
- Schedule A-2 - Investments** – schedule attached
- Schedule B - Real Property** – schedule attached
- Schedule C - Income, Loans, & Business Positions** – schedule attached
- Schedule D - Income – Gifts** – schedule attached
- Schedule E - Income – Gifts – Travel Payments** – schedule attached
- or-
- None - No reportable interests on any schedule**

5. [Redacted]

herein and in any attached schedules is true and complete. I acknowledge and certify under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Date Signed 02/05/2015
 (month, day, year)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name
FRANCES ROTHSCHILD

NAME OF BUSINESS ENTITY: Sycamore Hill Capital Partners LP
GENERAL DESCRIPTION OF THIS BUSINESS: manufacture shampoo & body products
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Partnership Interest
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Sycamore Hill Capital Partners II LP
GENERAL DESCRIPTION OF THIS BUSINESS: manufacture shampoo/body products/candles
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Partnership Interest
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Sycamore Hill Capital Partners III LP
GENERAL DESCRIPTION OF THIS BUSINESS: manufacture candles; personnel recruiting
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Partnership Interest
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Sycamore Hill Capital Partners IV LP
GENERAL DESCRIPTION OF THIS BUSINESS: personnel recruitment
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Partnership Interest
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Sycamore Hill Capital Partners V LP
GENERAL DESCRIPTION OF THIS BUSINESS: manufacture candles
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Partnership Interest
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: North Run LP
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

FRANCES ROTHSCHILD

NAME OF BUSINESS ENTITY: Archipelago
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: Over \$1,000,000
NATURE OF INVESTMENT: Other Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Fundamental LP
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: Over \$1,000,000
NATURE OF INVESTMENT: Other Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Post Total Return Fund
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Other Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: Sansar, Asia
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: \$2,000 - \$10,000
NATURE OF INVESTMENT: Other Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY: First State
GENERAL DESCRIPTION OF THIS BUSINESS: Investment Fund
FAIR MARKET VALUE: \$100,001 - \$1,000,000
NATURE OF INVESTMENT: Other Limited Partnership
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

NAME OF BUSINESS ENTITY:
GENERAL DESCRIPTION OF THIS BUSINESS:
FAIR MARKET VALUE:
NATURE OF INVESTMENT:
IF APPLICABLE, LIST DATE: / / 14 ACQUIRED / / 14 DISPOSED

Comments:

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
FRANCES ROTHSCHILD

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Starlume, Inc. # 6

ADDRESS (Business Address Acceptable)
Bloomington, MN

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Candle Manufacturer

YOUR BUSINESS POSITION
Managing Director

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other Directors' fees
 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Aware Products, Inc.

ADDRESS (Business Address Acceptable)
9250 Mason Avenue, Chatsworth CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
manufacture hair care products

YOUR BUSINESS POSITION
Managing Director

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other Directors' fees
 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence

Real Property _____
 Street address _____
 City _____

Guarantor _____

Other _____
 (Describe)

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
 FRANCES ROTHSCHILD

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
 Ascent Services Group, LLC

ADDRESS (Business Address Acceptable)
 300 Oak Road, Walnut Creek, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
 personnel recruiting

YOUR BUSINESS POSITION
 Managing Director

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other Directors' fees

 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
 Allen E. Shay

ADDRESS (Business Address Acceptable)
 888 S. Figueroa St., #2150, Los Angeles CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE
 Investments

YOUR BUSINESS POSITION
 Consultant

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other Consulting fees

 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence

Real Property _____

 Street address

 City

Guarantor _____

Other _____

 (Describe)

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION

Name
FRANCES ROTHSCHILD

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
J A & A Holdings, LLC

ADDRESS (Business Address Acceptable)
888 S. Figueroa St., Los Angeles, CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investments

YOUR BUSINESS POSITION
Consultant

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other **Consulting fees**

 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
Pacific Properties, Inc.

ADDRESS (Business Address Acceptable)
888 S. Figueroa St., #2150, Los Angeles CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Real Estate (Commercial) Investments

YOUR BUSINESS POSITION
Consultant

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)

Sale of _____
 (Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

 (Describe)

Other **Consulting fees**

 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____% None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence

Real Property _____

 Street address

 City

Guarantor _____

Other _____
 (Describe)

Comments: _____