FAIR POLITICAL PRACTICES COMMISSION
428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5660 • Fax (916) 322-0886

November 15, 2010

Ms. Karen Nau
Friends of Karen Nau 2010
Redacted

Ms. B. Marshall West
Friends of Karen Nau 2010
Redacted

Warning Letter Re: FPPC No. 10/976; Friends of Karen Nau 2010; Karen Nau, B. Marshall West, Treasurer, Respondents

Dear Ms. Nau and Ms. West:

The Fair Political Practices Commission (the "FPPC") enforces the provisions of the Political Reform Act (the "Act"),[1] found in Government Code section 81000, et seq. This letter is in response to a complaint filed against you that alleged your committee failed to itemize contributor information on your semi-annual campaign statement.

The FPPC has completed its investigation of the facts in this case. Specifically, the FPPC found that your semi-annual statement for the period January 1, 2010 through June 30, 2010 failed to provide complete addresses for six contributors.

Section 84211(f) requires that for each person who contributes $100 or more, the statement must contain the person's full name, street address, the contributor's occupation, the name of his or her employer, of if self-employed, the name of the business, the date and amount the contribution was received and the cumulative amount received.

[1] The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in sections 18109 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

Your actions violated the Act because you failed to provide full itemization of six contributions on your statement. However, since there was very little activity during this period of time and it appears you had just qualified as a committee, we are closing this matter.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to $5,000 for each violation.

You must immediately amend your semi-annual campaign statement for the period January 1, 2010 through June 30, 2010 to provide complete itemization of contributors as required by Section 84211(f) set forth above. Additionally, for your information, when you receive a contribution from the joint checking account of a husband and wife, for reporting purposes, you attribute the contribution to the spouse who signed the check. (Regulation 18533.)

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

Please feel free to contact me with any questions you may have regarding this letter.

Sincerely,

Redacted

Gary S. Winuk, Chief
Enforcement Division

GSW/jt