FAIR POLITICAL PRACTICES COMMISSION
428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5660 • Fax (916) 322-0886

August 5, 2009

Ms. Catherine Richardson
REDACTED

Re: Warning Letter
FPPC No. 090414; Catherine Richardson

Dear Ms. Richardson:

The Fair Political Practices Commission ("Commission") enforces the provisions of the Political Reform Act (the "Act") found in Government Code Section 81000, and following. The Act requires that employees designated in their employer's conflict of interest code file Statements of Economic Interests (Form 700) when they assume office, annually thereafter, and within 30 days of leaving their position.

The Enforcement Division of the Commission has determined that you failed to file a 2006 annual statement of economic interests by the April 2, 2007 deadline, and a leaving office statement of economic interests by the August 2, 2007 deadline, with the State Compensation Insurance Fund regarding your position as a former Assistant Claims Manager.

We have decided to close this case. Your failure to file the required statements is a violation of the Act. (Gov. Code § 87300.) This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on future conduct or newly discovered information.

You must immediately file these statements with the State Compensation Insurance Fund.

Failure to comply with the provisions of the Act in the future may result in an enforcement action against you, including monetary penalties of up to $5,000 for each violation.

Although the Commission is not seeking a penalty in the current matter, you are still responsible for any late filing fees assessed by your filing officer. (Gov. Code § 91013.)

If you disagree with our determination that you violated the Act, please contact Adrianne Korchmaros in writing postmarked within 14 days of the date of this letter.

The Commission publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Technical Assistance Division at 1-866-275-3772. Please also visit our website at www.fppc.ca.gov.

If you have questions regarding this matter, please contact Adrianne Korchmaros at (916) 322-8241.

Sincerely,

Gary S. Winuk
Chief, Enforcement Division

GSW:AK:fp
cc: Ms. Emelda Estopare, Human Resources Consultant, State Compensation Insurance Fund