CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

GP

COVER PAGE

RECEIVED
FAIR POLITICAL
PRACTICES COMMISSION
12 APR -9 PM 3:08

RECEIVED
MAR 28 2012
BOARD OF SUPERVISORS
Date Received
Office Use Only

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	(MIDDLE)
SERNA	PHILLIP	RANDALL

1. Office, Agency, or Court

Agency Name
BOARD OF SUPERVIORS

Division, Board, Department, District, if applicable

Your Position
SUPERVISOR

FILED
APR 03 2012
CRAIG A. KRAMER, CLERK-RECORDER
By _____ DEPUTY

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office *(Check at least one box)*

- ☐ State
- ☐ Multi-County _____
- ☐ City of _____
- ☐ Judge or Court Commissioner (Statewide Jurisdiction)
- ☒ County of SACRAMENTO
- ☐ Other _____

3. Type of Statement *(Check at least one box)*

☒ **Annual:** The period covered is January 1, 2011, through December 31, 2011.

-or-

The period covered is ____/____/_____, through December 31, 2011.

☐ **Assuming Office:** Date assumed ____/____/_____

☐ **Candidate:** Election Year _____ Office sought, if different than Part 1: _____

☐ **Leaving Office:** Date Left ____/____/_____
(Check one)

○ The period covered is January 1, 2011, through the date of leaving office.

○ The period covered is ____/____/_____, through the date of leaving office.

4. Schedule Summary

Check applicable schedules or "None."

► *Total number of pages including this cover page:* 6

- ☒ **Schedule A-1** - *Investments* – schedule attached
- ☐ **Schedule A-2** - *Investments* – schedule attached
- ☐ **Schedule B** - *Real Property* – schedule attached
- ☒ **Schedule C** - *Income, Loans, & Business Positions* – schedule attached
- ☒ **Schedule D** - *Income – Gifts* – schedule attached
- ☐ **Schedule E** - *Income – Gifts – Travel Payments* – schedule attached

-or-

☐ **None** - *No reportable interests on any schedule*

5

I have used all reasonable diligence in preparing this statement. I have reviewed t
herein and in any attached schedules is true and complete. I acknowledge this is

I certify under penalty of perjury under the laws of the State of California th

Date Signed 3/27/2012
(month, day, year)

Signatu

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name: PHILLIP RANDALL SERNA

► NAME OF BUSINESS ENTITY: Revolution Wines, LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY: small commercial winery/tasting room

FAIR MARKET VALUE
- [x] $2,000 - $10,000
- [ ] $10,001 - $100,000
- [ ] $100,001 - $1,000,000
- [ ] Over $1,000,000

NATURE OF INVESTMENT
- [ ] Stock
- [ ] Other _____ (Describe)
- [ ] Partnership
  - ○ Income Received of $0 - $499
  - ○ Income Received of $500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:

___/___/ 11 ACQUIRED ___/___/ 11 DISPOSED

► NAME OF BUSINESS ENTITY: _____

GENERAL DESCRIPTION OF BUSINESS ACTIVITY: _____

FAIR MARKET VALUE
- [ ] $2,000 - $10,000
- [ ] $10,001 - $100,000
- [ ] $100,001 - $1,000,000
- [ ] Over $1,000,000

NATURE OF INVESTMENT
- [ ] Stock
- [ ] Other _____ (Describe)
- [ ] Partnership
  - ○ Income Received of $0 - $499
  - ○ Income Received of $500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE

___/___/ 11 ACQUIRED ___/___/ 11 DISPOSED

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GENERAL DESCRIPTION OF BUSINESS ACTIVITY: _____

FAIR MARKET VALUE
- [ ] $2,000 - $10,000
- [ ] $10,001 - $100,000
- [ ] $100,001 - $1,000,000
- [ ] Over $1,000,000

NATURE OF INVESTMENT
- [ ] Stock
- [ ] Other _____ (Describe)
- [ ] Partnership
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___/___/ 11 ACQUIRED ___/___/ 11 DISPOSED

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- [ ] $100,001 - $1,000,000
- [ ] Over $1,000,000

NATURE OF INVESTMENT
- [ ] Stock
- [ ] Other _____ (Describe)
- [ ] Partnership
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___/___/ 11 ACQUIRED ___/___/ 11 DISPOSED

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GENERAL DESCRIPTION OF BUSINESS ACTIVITY: _____

FAIR MARKET VALUE
- [ ] $2,000 - $10,000
- [ ] $10,001 - $100,000
- [ ] $100,001 - $1,000,000
- [ ] Over $1,000,000

NATURE OF INVESTMENT
- [ ] Stock
- [ ] Other _____ (Describe)
- [ ] Partnership
  - ○ Income Received of $0 - $499
  - ○ Income Received of $500 or More *(Report on Schedule C)*

IF APPLICABLE, LIST DATE:

___/___/ 11 ACQUIRED ___/___/ 11 DISPOSED

Comments: _____

SCHEDULE C
Income, Loans, & Business Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name
PHILLIP RANDALL SERNA

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
WEST COAST CODE CONSTULTANTS

ADDRESS *(Business Address Acceptable)*
2400 CAMINO RAMON, SUITE 240, SAN RAMON,

BUSINESS ACTIVITY, IF ANY, OF SOURCE
PLANS INSPECTION

YOUR BUSINESS POSITION
NOT AFFILIATED - SPOUSE'S INCOME

GROSS INCOME RECEIVED
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☒ $10,001 - $100,000
- ☐ OVER $100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
- ☐ Salary
- ☒ Spouse's or registered domestic partner's income
- ☐ Loan repayment
- ☐ Partnership
- ☐ Sale of _____ *(Real property, car, boat, etc.)*
- ☐ Commission or
- ☐ Rental Income, *list each source of $10,000 or more*
- ☐ Other _____ *(Describe)*

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☐ $10,001 - $100,000
- ☐ OVER $100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
- ☐ Salary
- ☐ Spouse's or registered domestic partner's income
- ☐ Loan repayment
- ☐ Partnership
- ☐ Sale of _____ *(Real property, car, boat, etc.)*
- ☐ Commission or
- ☐ Rental Income, *list each source of $10,000 or more*
- ☐ Other _____ *(Describe)*

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☐ $10,001 - $100,000
- ☐ OVER $100,000

INTEREST RATE
_____ % ☐ None

TERM (Months/Years)

SECURITY FOR LOAN
- ☐ None
- ☐ Personal residence
- ☐ Real Property _____ *Street address*
  _____ *City*
- ☐ Guarantor _____
- ☐ Other _____ *(Describe)*

Comments: _____

CALIFORNIA FORM 700
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Name
SERNA, PHILLIP RANDALL

SCHEDULE D
Income – Gifts

► NAME OF SOURCE
Greg Thatch
ADDRESS *(Business Address Acceptable)*
1730 I Street, Suite 220, Sacramento, CA 95814
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
5 / 31 / 11	$ 125.00	Salvation Army Lnchn
5 / 8 / 11	$ 149.00	Cap-to-Cap Dinner
/ /	$	

► NAME OF SOURCE
McClellan Business Park - Larry Kelley
ADDRESS *(Business Address Acceptable)*
3140 Peacekeeper Way McClellan, CA 95652
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
8 / 27 / 11	$ 95.00	6th BBQ on the Ramp
/ /	$	
/ /	$	

► NAME OF SOURCE
Oakland Chamber of Commerce
ADDRESS *(Business Address Acceptable)*
475 14th Street, Oakland, CA 94612
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
4 / 15 / 11	$ 125.00	Megaregion Summit
/ /	$	
/ /	$	

► NAME OF SOURCE
Corgan & Associates
ADDRESS *(Business Address Acceptable)*
401 North Houston Street Dallas, Texas 75202
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10 / 13 / 11	$ 118.59	Celeb. Airport Dinner
/ /	$	
/ /	$	

► NAME OF SOURCE
Turner Construction
ADDRESS *(Business Address Acceptable)*
1211 H Street Sacramento, CA 95814
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10 / 13 / 11	$ 118.59	Celeb. Airport Dinner
/ /	$	
/ /	$	

► NAME OF SOURCE
John Chuck
ADDRESS *(Business Address Acceptable)*
1955 Cowell Blvd, Davis, CA 95618
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
4 / 1 / 11	$ 200.00	2 Charity Dinner Tix
/ /	$	
/ /	$	

Comments:

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FAIR POLITICAL PRACTICES COMMISSION

Name
SERNA, PHILLIP RANDALL

SCHEDULE D
Income – Gifts

► NAME OF SOURCE
Jeff Pemstein - Homes by Towne
ADDRESS *(Business Address Acceptable)*
1640 Lead Hill Blvd, Suite 200, Roseville, CA 95661
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1 / 21 / 11	$ 75.00	BIA Annual Dinner tix
/ /	$	
/ /	$	

► NAME OF SOURCE
Mark Gilbert - ENGEO Inc.
ADDRESS *(Business Address Acceptable)*
2213 Plaza Drive, Rocklin, CA 95765
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1 / 21 / 11	$ 75.00	BIA Annual Dinner tix
/ /	$	
/ /	$	

► NAME OF SOURCE
Lawrence Walters, Sacramento Hotel Association
ADDRESS *(Business Address Acceptable)*
P.O. Box 276567, Sacramento, CA 95827
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
4 / 15 / 11	$ 125.00	SHA Hospitality Gala
/ /	$	
/ /	$	

► NAME OF SOURCE
Supervisor Steve Bestolarides
ADDRESS *(Business Address Acceptable)*
44 N. San Joaquin Street Suite 627, Stockton, CA
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
11 / 30 / 11	$ 96.15	CSAC Dinner
/ /	$	
/ /	$	

► NAME OF SOURCE
Crocker & Crocker
ADDRESS *(Business Address Acceptable)*
1614 19th St Sacramento, CA 95811
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10 / 13 / 11	$ 149.00	Perspectives 2011
/ /	$	
/ /	$	

► NAME OF SOURCE
ADDRESS *(Business Address Acceptable)*
BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
/ /	$	
/ /	$	
/ /	$	

Comments:

- Area 4 Agency on Aging Advisory Council – Director
- Capital Corridor Jt. Powers Authority – Board member
- Capital Valley Regional SAFE - Director
- Regional Human Rights/Fair Housing Commission - Commissioner
- River City Regional Stadium Financing Authority – Board member
- Sacramento Area Council of Governments – Board member
- Sacramento Area Flood Control Agency – Director
- Sacramento Area Sewer District – Director
- Sacramento County Board of Supervisors - Supervisor
- Sacramento County Mental Health Board – Board member
- Sacramento County Public Facilities Financing Corporation – Board Member
- Sacramento First Five Commission – Commissioner
- Sacramento Local Agency Formation Commission – Alternate
- Sacramento Metropolitan Air Quality Management District – Director
- Sacramento Metropolitan Cable Television Commission – Board member
- Sacramento Public Library Authority – Board member
- Sacramento Regional Arts Facilities Financing Authority – Board member
- Sacramento Regional County Sanitation District – Board member
- Sacramento Regional Solid Waste Authority – Board member
- Sacramento Regional Transit Board – Board member
- Sacramento Transportation Authority & Sacramento Abandoned Vehicle Service Authority – Board member
- Tobacco Securitization Corporation – Director