



MEMORANDUM

To: Chair Remke, Commissioners Audero, Casher, Wasserman, and Wynne
From: Galena West, Enforcement Chief
Subject: Enforcement Division's Monthly Report
Date: December 2, 2015

During the period of November 4, 2015 through December 2, 2015, the Enforcement Division received 45 referrals and complaints as detailed in the chart below.

Type	SWORN	PROACTIVE/INFORMAL	NON-FILER
Number Received	10	18	17
Case Opened	2	1	12
Complaint Rejected	2	12	4
Under Review	6	5	1

Also during this time, the Division closed a total of 112 cases including:

- 16 warning letters,
- 23 no violation letters,
- 71 as a result of the adoption of stipulations and defaults at the November Commission meeting, and
- 2 committees were administratively terminated.

The Division had 970 cases in various stages of resolution at the time of the November Monthly Report and currently has 885 cases in various stages of resolution, including the 23 cases before the Commission as listed in the December 2015 agenda.

To give an overview of all cases within the Enforcement Division, in addition to the numbers provided above, below is a second chart to track the outcome of the 2,460 \$50 Annual Fee referrals that the Enforcement Division received from the Secretary of State's office on May 1, 2015.

	2013 \$50 ANNUAL FEE: Total Referral – 2,460
Referrals Rejected	625
Administratively Terminated	109
Slated for Administrative Termination (Pending)	1051
Other Terminations	285
Administrative Fine	153 (+4 proposed on December agenda)
Warning Letters	87
No Violation Letters	46
Under Review	100