

Fair Political Practices Commission
Filing Schedule for
State General Purpose Recipient Committees (Except Political Party Committees)
July thru December 2014

Deadline	Period	Form	Notes
Jul 31, 2014 <i>Semi-Annual</i>	thru – 6/30/14	450 or 460 & possibly 465	<ul style="list-style-type: none"> ▪ 450 or 460: All committees must file this report. ▪ 465: File if independent expenditures totaling \$1,000 or more were made between May 18 and June 2.
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> ▪ Committees making independent expenditures (“IEs”) must file this form with the FPPC. ▪ Committees file only one Form 462, each election, for each candidate or measure supported or opposed by an independent expenditure. ▪ Email only - no paper copy.
Within 24 Hours <i>Election Cycle Reports</i>	8/6/14 – 11/4/14	496	<ul style="list-style-type: none"> ▪ E-File only – no paper copy. ▪ 496: File if an independent expenditure of \$1,000 or more is made in connection with a candidate or ballot measure being voted on the November 4 ballot. (Closes November 3 for local elections and November 4 for state elections.)
Within 24 Hours <i>Election Cycle Reports</i>	8/6/14 – 11/3/14	497	<ul style="list-style-type: none"> ▪ 497: File if a contribution of \$1,000 or more is made in the aggregate in connection with a candidate or ballot measure being voted on the November 4 ballot or to a political party committee. ▪ 497: State Measures. A contribution to a state primarily formed ballot measure committee of \$1,000 or more is due within 24 hours. (See page 2 for \$5,000 reports.)
Oct 6, 2014 <i>Pre-Election</i>	7/1/14 – 9/30/14	450 or 460 & possibly 465	<ul style="list-style-type: none"> ▪ 450 or 460: File if contributions or independent expenditures totaling \$500 or more are made. ▪ 465: File if independent expenditures totaling \$1,000 or more are made. ▪ The October 5 deadline falls on Sunday, so the deadline is extended to the next business day.
Oct 23, 2014 <i>Pre-Election</i>	10/1/14 – 10/18/14	450 or 460 & possibly 465	<ul style="list-style-type: none"> ▪ 450 or 460: File if contributions or independent expenditures totaling \$500 or more are made. ▪ 465: File if independent expenditures totaling \$1,000 or more are made. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Feb 2, 2015 <i>Semi-Annual</i>	thru – 12/31/14	450 or 460 & possibly 465	<ul style="list-style-type: none"> ▪ 450 or 460: All committees must file this report ▪ 465: File if independent expenditures totaling \$1,000 or more are made between October 19 and November 4. ▪ The January 31, 2015, deadline falls on Saturday, so the deadline is extended to the next business day.

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<p>Within 10 Business Days <i>\$5,000 Report State Ballot Measure Payments</i></p>	<p>Ongoing</p>	<p>496 497</p>	<ul style="list-style-type: none"> ▪ E-Filers only: <ul style="list-style-type: none"> ○ 496: Report each independent expenditure totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. ○ 497: Report each contribution totaling \$5,000 or more to support or oppose the qualification or passage of a single state ballot measure. ○ Period: List all new contributions of \$100 or more received by the committee that have not been previously reported up through the date of the \$5,000 or more payment. (See notes.)
<p>Within 10 Business Days of the Expenditure <i>Paid Spokesperson Report</i></p>	<p>Ongoing</p>	<p>511</p>	<ul style="list-style-type: none"> ▪ Paper and E-Filers: ▪ File if an expenditure totaling \$5,000 or more is made to an individual to appear in an advertisement to support or oppose a ballot measure on a paper report. E-Filers also file online.
<p>Within 48 Hours <i>Issue Advocacy Report</i></p>	<p>9/20/14 – 11/3/14</p>	<p>E-530</p>	<ul style="list-style-type: none"> ▪ E-Filers only: ▪ File if a payment or promise of payment of \$50,000 or more is made during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy required.

- **Form 496:** 24-hour independent expenditure reports must be filed through November 4 for state candidates/measures and November 3 for local candidates/measures.
- **Form 497:** Payments in connection with a state measure. Government Code Sections 82036, 84203 and 84204.5 address reporting of payments in connection with state ballot measures. Sections 82036 and 84203 require a Form 497 to be filed within 24 hours for contributions **made** to a primarily formed state ballot measure committee 90 days before an election. During the 90 days before an election, Section 84204.5 reporting provisions overlap and include payments made to a primarily formed state ballot measure committee and other general purpose committees that use the funds for a state ballot measure. When payments are \$5,000 or more, new donors to the committee must be disclosed within 10 business days. Applies to committees that are E-filers. See form instructions and FPPC Regulation 18466.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more since January 1, 2000.
- **Paper Reports:** All state committees must file an original and one copy in paper format by personal delivery or first class mail unless otherwise noted. An E-Filer also files an online/electronic report.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.

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- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to *any* 24-hour independent expenditure reports (Form 496) or to those 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. A \$10 per day late fine may be assessed on both the paper and electronic report resulting in a \$20/day fine.
- **Local Elections:** 24-hour independent expenditure or contribution reports (Forms 496 & 497) must be filed if independent expenditures or contributions totaling \$1,000 or more are made during the 90-day period prior to an election. Independent expenditures of \$1,000 or more also require the filing of Form 465. Additionally, supplemental pre-election campaign statements (Form 495) may be required if contributions totaling \$10,000 or more are made in connection with an election. Consult the filing schedule for the election.
- **Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials are available at www.fppc.ca.gov, click on the [Campaign](#) link.