

**Fair Political Practices Commission  
Filing Schedule for  
State Officeholders Not Listed on the  
June 3, 2014 Ballot**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Jan 31, 2014</b> <i>Semi-Annual</i>	thru – 12/31/13	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this report.</li> </ul>
<b>Within 10 Business Days</b> <i>\$5,000 Report</i>	Ongoing	497	<ul style="list-style-type: none"> <li>File if a contribution of \$5,000 or more is received.</li> <li>E-File only – no paper copy.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	3/5/14 – 6/2/14	496 497	<ul style="list-style-type: none"> <li>E-File only – no paper copy.</li> <li><b>496:</b> File if an independent expenditure of \$1,000 or more is made in connection with a local ballot measure being voted on the June 3 ballot.</li> <li><b>497:</b> File if a contribution of \$1,000 or more is made in the aggregate in connection with another state candidate being voted on the June 3 ballot or made to a political party committee.</li> </ul>
<b>Mar 24, 2014</b> <i>Pre-Election</i>	1/1/14 – 3/17/14	<a href="#">460</a>	<ul style="list-style-type: none"> <li>File if any contributions or independent expenditures are <i>made</i>.</li> <li>The March 22 deadline falls on Saturday, so the deadline is extended to the next business day.</li> </ul>
<b>May 22, 2014</b> <i>Pre-Election</i>	3/18/14 – 5/17/14	<a href="#">460</a>	<ul style="list-style-type: none"> <li>File if any contributions or independent expenditures are <i>made</i>.</li> </ul>
<b>Jul 31, 2014</b> <i>Semi-Annual</i>	thru – 6/30/14	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this report</li> </ul>

**Additional Reports**

**Notes:**

- E-Filers only:
- Payments of \$5,000 or more in connection with a state ballot measure will require filing the 496/497. See form instructions and Regulation 18466. Donor disclosure is also required.
- Form 511:** Committees that make payments of \$5,000 or more to an individual to appear in a ballot measure advertisement must file Form 511 as a paper report - E-Filers also file online.
- Form E-530:** Committees that make a payment of \$50,000 or more for an issue advocacy advertisement must file Form E-530, *online only*, no paper copy required.

## Fair Political Practices Commission

- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more since January 1, 2000.
- **Form 460:** All state committees must file paper reports. An E-Filer also files an online/electronic report.
- **Forms 496/497:** All reports filed online only.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Where to File:** State committees file reports with the Secretary of State. Only candidate controlled committees that are not E-Filers file paper copies with the election official at the candidate's county of domicile.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour independent expenditure reports (Form 496) or to those 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. A \$10 per day late fine may be assessed on both the paper and electronic report resulting in a \$20/day fine.
- **Paper Reports:** All paper filings must be filed by personal delivery or first class mail unless otherwise noted.
- **Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials are available at [www.fppc.ca.gov](http://www.fppc.ca.gov), click on the [Campaign](#) link.
- **Independent Expenditures:** A candidate or officeholder controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates. However, a committee may make independent expenditures in connection with a ballot measure. Disclosure reports include:
  - **462:** A verification form must be emailed to the FPPC within 10 days of an independent expenditure of \$1,000 or more.
  - **465:** This form is due on applicable pre-election/semi-annual deadlines in connection with the ballot measure election.
  - **496:** This form is due within 24 hours when made in a 90-day period of the election. Refer to the measure election filing schedule.
- A state candidate (who is *not* a state officeholder) who is *not* listed on the 2014 ballot must file semi-annual statements. If payments are made to other candidates/committees, additional reports may be required. Contact the FPPC.