

**Fair Political Practices Commission
Filing Schedule for
State Officeholders and Candidates Not Listed on
November 8, 2016 Ballot**

Deadline	Period	Form	Notes
Aug 1, 2016 <i>Semi-Annual</i>	* – 6/30/16	460	<ul style="list-style-type: none"> All committees must file this report. The July 31 deadline falls on a Sunday, so the deadline is extended to the next business day.
Within 10 Business Days <i>\$5,000 Report</i>	Ongoing	497	<p>Only e-filers file this report:</p> <ul style="list-style-type: none"> File if a contribution of \$5,000 or more is received from a single source. No paper is copy required.
Within 24 Hours <i>Election Cycle Reports</i>	8/10/16 – 11/8/16	496 497	<ul style="list-style-type: none"> E-file only – no paper copy. 496: File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a ballot measure being voted on the November 8 ballot. 497: File if a contribution of \$1,000 or more in the aggregate is made to a candidate or ballot measure being voted on the November 8 ballot or to a political party committee.
Sep 29, 2016 <i>1st Pre-Election</i>	7/1/16 – 9/24/16	460	<p>File this report if:</p> <ul style="list-style-type: none"> Contributions or independent expenditures of \$500 or more are made in connection with the statewide primary or general election.
Oct 27, 2016 <i>2nd Pre-Election</i>	9/25/16 – 10/22/16	460	<p>File this report if:</p> <ul style="list-style-type: none"> Contributions or independent expenditures of \$500 or more are made in connection with the statewide primary or general election.
Jan 31, 2017 <i>Semi-Annual</i>	10/23/16 – 12/31/16	460	<ul style="list-style-type: none"> All committees must file this report.
Additional Reports			<ul style="list-style-type: none"> E-filers only: Payments of \$5,000 or more in connection with a state ballot measure will require filing the 496 or 497 within 10 business days.

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Additional Reports (cont'd.)

- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers also file online.
- **Form E-530:** Committees that make a payment of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate file within 48 hours. No paper copy is required.

- *** Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **E-File:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper reports.
- **Forms 496 and 497:** All reports filed online only.
- **Where to File:** State committees file reports with the Secretary of State. Only candidate controlled committees that are not e-filers file paper copies with the elections official at the candidate's county of domicile.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour independent expenditure reports (Form 496) or to 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week and are subject to a \$10 per day late fine.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (e.g., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Contribution Limits:** Refer to the [contribution limits chart](#) on the [FPPC website](#).
- **Public Documents:** All forms are public documents. Campaign manuals and instructional materials are available at www.fppc.ca.gov, click on the "[Learn](#)" link.
- Committees making independent expenditures to support or oppose other candidates or ballot measures also file:
 - **462:** This verification form must be emailed to the FPPC within 10 days of making an independent expenditure of \$1,000 or more.
 - **496:** This form is due within 24 hours when made in the 90-day period before an election or on the date of the election. Refer to the ballot measure election filing schedule.