

Fair Political Practices Commission

Filing Schedule for State Candidate Controlled Committees Senate District 28 Special Primary and Special General Listed on the March 3, 2020 and May 12, 2020 Ballots

Deadline	Period	Form	Notes
Jan 31, 2020** <i>Semi-Annual</i>	* - 12/31/19	460 or 470	<ul style="list-style-type: none"> Each candidate listed on the ballot must file Form 460 or Form 470 (see below). May be filed on January 23, 2020.
Within 24 Hours <i>Election Cycle Reports</i>	12/4/19 – 3/3/20 <i>(Special Primary)</i> 2/12/20 – 5/12/20 <i>(Special General)</i>	497	<ul style="list-style-type: none"> File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the March 3 and/or May 12 ballot, or made to a political party committee. The recipient of a non-monetary contribution of \$1,000 or more in the aggregate must file a Form 497 within 48 hours from the time the contribution is received. E-File only. No paper copy is required.
Within 10 Business Days <i>\$5,000 Report</i>	Ongoing – file any time other than 90-day election cycle	497	<p>Only e-filers file this report:</p> <ul style="list-style-type: none"> File if a contribution of \$5,000 or more is received from a single source. No paper copy is required. File within 10 business days of receipt of contribution.
Jan 23, 2020 <i>1st Pre-Election (Special Primary)</i>	1/1/20 – 1/18/20	460 or 470	<ul style="list-style-type: none"> All committees must file Form 460 or Form 470 (see below).
Feb 20, 2020 <i>2nd Pre-Election (Special Primary)</i>	1/19/20 – 2/15/20	460	<ul style="list-style-type: none"> All committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Apr 2, 2020 <i>1st Pre-Election (Special General)</i>	2/16/20 – 3/28/20	460	<ul style="list-style-type: none"> Candidates who were unsuccessful in the March 3 election do not file this statement. All other committees must file this statement.
Apr 30, 2020 <i>2nd Pre-Election (Special General)</i>	3/29/20 – 4/25/20	460	<ul style="list-style-type: none"> Candidates who were unsuccessful in the March 3 election do not file this statement. All other committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only.

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Jul 31, 2020 <i>Semi-Annual</i>	2/16/20 – 6/30/20 <i>(for those defeated in Special Primary)</i>	460	<ul style="list-style-type: none">All committees must file Form 460 unless the committee files termination Forms 410 and 460 before June 30, 2020.
	4/26/20 – 6/30/20 <i>(for those successful in the Special Primary)</i>		

Additional Reports:

Depending on committee activity, the following reports may also be required:

- Payments Related to a State Ballot Measure (E-Filers only):** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required.
- Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-Filers must also file a paper copy.
- Form E-530:** File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted on in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- * Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadlines:** Due to the election being held early in the year, the deadline for the first pre-election statement for calendar year 2020 is earlier than the deadline for the semi-annual statement for calendar year 2019. A candidate/committee active in both calendar years may file the 2019 statement on January 23, 2020.
- Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- Where to File:** State committees file reports with the Secretary of State. Only candidate controlled committees that are not e-filers file paper copies with the elections official at the candidate's county of domicile.
- Form 501:** All state candidates must file Form 501 before soliciting or receiving any contributions or before making expenditures from personal funds. A candidate may, until the deadline for filing nomination papers set forth in Section 8020 of the Elections Code, amend Form 501 to change her/his statement of acceptance or rejection of voluntary expenditure limits, provided she or he has not exceeded the limits.
- E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.

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- **Form 460:** All state committees, including e-filers, must file paper reports.
- **Form 470 (2019):** Candidates who do not raise or spend \$2,000 or more in 2019 and do not have an open committee may file form 470 (Short Form) on or before January 31, 2020. If a Form 470 is filed and later, during the calendar year, the candidate raises or spends \$2,000 or more, a Form 470 Supplement and a Form 410 must be filed.
- **Form 470 (2020):** Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2020 and do not have an open committee may file form 470 on or before April 2, 2020. If, later during the calendar year, the candidate raises or spends \$2,000 or more, a campaign committee must be opened and a Form 470 Supplement and a Form 410 must be filed.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates also file:
 - **Form 462:** This verification form must be e-mailed to the FPPC within 10 days.
 - **Form 496:** This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.
- **Multiple Committees:** All committees controlled by a state candidate listed on the March 3 and May 12 ballots must file statements on the pre-election filing deadlines, in addition to the committee formed for this election. For example, a candidate for the Senate listed on the March 3, 2020 ballot who maintains an Assembly officeholder committee must file pre-election statements for both committees even if the Assembly committee has not received or made payments.
- **State Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Penalties:** Late statements are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e. \$20 per day for a late Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or visit www.fppc.ca.gov > Learn > Campaign Rules.