

Fair Political Practices Commission
Filing Schedule for
Committees Primarily Formed to Support/Oppose Candidates for State Office
Senate District 28
Listed on the March 3, 2020 and May 12, 2020 Ballots

Deadline	Period	Form	Notes
Jan 31, 2020** <i>Semi-Annual</i>	* – 12/31/19	460	<ul style="list-style-type: none"> All committees must file this statement. May be filed on January 23, 2020.
Within 3 Business Days <i>Top Ten Contributor List</i>	Ongoing <i>Except during the 16 days before the election – see notes</i>	T-10	<ul style="list-style-type: none"> Committees (primarily formed to support or oppose a state candidate) that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. If the requirement is triggered within 16 days of the election, Form T-10 must be filed within 2 business days. The Form T-10 must be filed with the FPPC by e-mail only.
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required. Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure.
Within 24 Hours <i>Election Cycle Reports</i>	12/4/19 – 3/3/20 <i>(Special Primary)</i> 2/12/20 – 5/12/20 <i>(Special General)</i>	496 497	<ul style="list-style-type: none"> 496: File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a candidate or ballot measure being voted on the March 3 and/or May 12 ballots. 497: File if a contribution of \$1,000 or more in the aggregate is received from a single source. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the March 3 and/or May 12 ballots, or made to a political party committee. The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the non-monetary contribution is received. E-file only. No paper copy is required
Jan 23, 2020 <i>1st Pre-Election (Special Primary)</i>	1/1/20 – 1/18/20	460	<ul style="list-style-type: none"> All committees must file this statement
Feb 20, 2020 <i>2nd Pre-Election (Special Primary)</i>	1/19/20 – 2/15/20	460	<ul style="list-style-type: none"> All committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Apr 2, 2020 <i>1st Pre-Election (Special General)</i>	2/16/20 – 3/28/20	460	<ul style="list-style-type: none"> Committees formed to support or oppose candidates who were unsuccessful in the March 3 election do not file this statement. All other committees must file this statement.

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Apr 30, 2020 <i>2nd Pre-Election (Special General)</i>	3/29/20 – 4/25/20	460	<ul style="list-style-type: none"> • Committees formed to support or oppose candidates who were unsuccessful in the March 3 election do not file this statement. • All other committees must file this statement. • Paper copies must be filed by personal delivery or guaranteed overnight service only.
Jul 31, 2020 <i>Semi-Annual</i>	2/16/20 – 6/30/20 <i>(for those defeated in the Special Primary)</i> 4/26/20 – 6/30/20 <i>(for those successful in the Special Primary)</i>	460	<ul style="list-style-type: none"> • All committees must file Form 460 unless the committee files termination Forms 410 and 460 before June 30, 2020.

Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- **Payments Related to a State Ballot Measure (E-Filers only):** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required. Payments of this type may change the nature of a primarily formed candidate committee.
- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers must also file a paper copy.
- **Form E-530:** File within 48 hours of making a payment or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

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Additional Notes:

- *** Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- ****Deadlines:** Due to the election being held early in the year, the deadline for the first pre-election statement for calendar year 2020 is earlier than deadline for the semi-annual statement for calendar year 2019. A candidate/committee active in both calendar years may file the 2019 statement on January 23, 2020.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper statements.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see the FPPC'S fact sheet, [Campaign Reporting Rules for Multipurpose Organizations](#).
- **State Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to limits. Refer to the [contribution limits chart](#) on the FPPC website. Committees making "issue ads" featuring state candidates are also subject to contribution limits.
- **Penalties:** Late statement are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or, visit www.fppc.ca.gov > Learn > Campaign Rules.