



2023 Statement of Economic Interests (SEI) – Form 700 Filing Officer Informational Fact Sheet State Agencies

****Don't forget to continue to update FPPC system when filers leave and assume office****

FPPC Contacts

Andrea Carey
Form700@fppc.ca.gov
916-323-3213

- Late fine may be imposed if the statement is filed passed the due date.
- Filers whose statements are forwarded to FPPC will use either their agency's FPPC certified electronic filing system or FPPC's electronic system to file their Form 700s.
- If a filer passes away, please notify your FPPC Contact. This way a notice will not be sent to the family.



March 1, 2023 – Annual Deadline for:

- Coastal Commissioners
- Public Utilities Commissioners
- California High Speed Rail Members
- Board of Equalization Members
- State Energy Resources Conservation and Development Commissioners
- Elected Members of the Board of Administration of the Public Employees' Retirement System
- Elected Members of the Teachers' Retirement Board

April 3, 2023 - Annual Deadline for Most Other Filers

- Check your conflict-of-interest code to determine which statements are sent to the FPPC. Generally, it is the head of your agency and board and commission members. This information is typically located in the second paragraph of the first page of your agency's conflict of interest code.
- Form 700s are not filed with FPPC unless your conflict-of-interest code specifically requires you to do so.

Questions:

Please send questions regarding electronic filing or questions if you need to file or received a letter to form700@fppc.ca.gov.

Reporting:

Call: 1-866-275-3772 or

E-mail: advice@fppc.ca.gov

Training Workshops and Webinars:

Watch our on-demand video and sign up for workshops and webinars!

- [Training](#) video
- Continue to check for [dates and locations](#)

Gift Limit

The gift limit is \$520 for 2022 which remains in effect until December 31, 2022. As of January 1, 2023, the gift limit is \$590 (2023-2024). For more information on gift limits and disclosure, review the Gift, Honoraria, Travel & Loans fact sheet on FPPC website.

All Electronic Filings:

Your Checklist for Agencies Using FPPC's E-Filing System

- ***Keep filers' information current in the electronic system including assuming and leaving office information. (Regulation 18115, 18115.1 and 18115.2)***
- Make statements accessible to the public during your regular business hours. Requestors may remain anonymous. (Sec. 81008)
- **If your conflict-of-interest code requires that *copies* are sent to FPPC, please email the statements to 700copies@fppc.ca.gov. (Sec.87500(h))**

For statements retained by your agency:

- Supply filers disclosure categories and forms or a link to a website where filers can download a form.
- Conduct a facial review on all statements, and a full review on at least 20% of timely filed statements and on all late statements.
- Follow up on non-filed statements and make enforcement referrals, if necessary. Use the Notification Guidelines on the FPPC website for guidance.

Your Checklist for Agencies Using Their Own E-Filing System

- Keep filer information current in both your agency's system and the FPPC system (system automatically notifies filers). **Please ensure the information in your system exactly matches FPPC's system. (Regulation 18115, 18115.1 and 18115.2).**
- Communicate with FPPC regularly about filer status.
- Provide public access.

For statements retained by your agency:

- Keep filer information current (system automatically notifies filers)
- Conduct a facial review on all statements, and a full review on at least 20% of timely filed statements and on all late statements.
- Follow up on non-filed statements and make enforcement referrals, if necessary. Use the Notification Guidelines on the FPPC website for guidance.
- Provide public access.

Conflict of Interest Code Biennial Notices Due in 2023

The Political Reform Act requires each State Agency to review its conflict-of-interest code biennially and notify the FPPC as to whether it needs to be amended. The biennial notice must be submitted to the FPPC no later than March 1, 2023. Your agency will receive further information on this requirement soon.

All Paper Filings:

Forward original Annual Form 700s to the FPPC **within 5 days of the due date.**

Send Statements to:

Fair Political Practices Commission
1102 Q Street, Suite 3000
Sacramento, CA 95811

Your Checklist – Paper

For statements that you forward to the FPPC:

Please Note:

- **Date stamp all paper filed statements.**
- **Notify filers about the deadline.**
- **Make sure you are giving out the correct year forms and amendments.**
- **Please do not use acronyms when listing agency names.**
- Notify filers about the deadline.
- Provide the agency's conflict of interest code; the disclosure categories must be known when an individual completes the form.
- Make statements accessible to the public during your regular business hours. Requestors may remain anonymous. (Sec. 81008)
- Continue to keep filer's information current in the system. Continue to monitor when assuming and leaving office statements should be filed.
- E-mail copies of statements filed by members of boards, commissions, and similar multimember bodies to 700copies@fppc.ca.gov if you do not already forward the original statements to the FPPC. (Sec. 87500(h))

For original statements that you forward to the FPPC that aren't e-filed:

- Forward assuming, annual, and leaving office statements to the FPPC within five days of the filing deadlines. Remember to send the actual "wet signature" SEI and not a copy.
- If a filer leaves their position, please request a forwarding e-mail address.

New Positions – Form 804

Regulation 18734 requires an individual hired for a position not yet covered under your agency's conflict of interest code to file Form 700 if the individual serves in a position that makes or participates in making governmental decisions.

Use of Form 804 helps agencies identify new positions and disclosure requirements for individuals serving in new positions. The Form 804 is recommended as it promotes uniform reporting among agencies. [Form 804](#) is retained by your agency.

Example: Your agency recently hired an IT Specialist. This is a brand-new position, and thus, is not yet included in your agency's conflict of interest code. Because this individual will make decisions on purchasing computer software, the position must be added to the code. In the meantime, this person will file Form 700 under the broadest disclosure category (or limited disclosure if provided for on Form 804) until the code is amended to include this position.

Consultants - Form 805

State and local government agencies may use new Form 805 to identify consultants that will make or participate in making governmental decisions on behalf of the agency. Form 805 identifies the disclosure requirements for individuals serving in these positions and is retained at your office. For more information, refer to the FPPC website and Regulations 18700.3 and 18734.

Form 802 – New Rules

FPPC Regulation [18944.1](#) sets out the circumstances under which an agency's distribution of tickets to entertainment events, sporting events, and like occasions would not result in a gift to individuals that attend the function. In general, the agency must adopt a policy which identifies the public purpose served in distributing the admissions. The Form 802 serves to detail each event and the public purpose of each ticket distribution.